## Assignment #2: Proofs. Solutions.

**1.** *Problems in NP.* Show that the following problems are in NP.

a) The Knapsack problem.

Given n items numbered 1 through n so that *i*-th item has weight  $w_i$  and value  $v_i$ , determine whether it is possible to select some of these items with total weight at most W and total value at least V.

**Solution:** This problem is in NP, because the collection of items satisfying the requirements gives a certificate for the YES answer, which can be verified in polynomial time. Given indices  $i_1, i_2, \ldots, i_k$  so that

$$w_{i_1} + w_{i_2} + \ldots + w_{i_k} \le W$$

and

$$v_{i_1} + v_{i_2} + \ldots + v_{i_k} \ge V,$$

we can verify that the above inequalities are valid in time polynomial in the input size.

b) Quadratic Diophantine equations.

Given three natural numbers a, b and c do there exist integers x and y so that  $ax^2 + by = c$ ?

**Solution:** Suppose such x and y exist. We divide x by b with remainder: Let x = qb + r for some integers q and r so that  $0 \le r < b$ . Then

$$a(qb+r)^2 + by = c.$$

Expanding we obtain

$$ar^2 + b(aq^2b + 2aqr + y) = c,$$

so  $x_0 = r$  and  $y_0 = aq^2b + 2aqr + y$  is also a solution to the original equation. We have  $|x_0| \leq b$  and therefore  $|y_0| \leq ab$ . Thus we can verify that  $ax_0^2 + by_0 = c$  in time polynomial in the input size.

**2.** Order notation. For each of the following pairs of functions indicate whether f = O(g) or  $f = \Omega(g)$ , or both. (All logarithms may be assumed to be natural.) In each case, briefly justify your answer.

1.  $f(n) = 2n^2 + 5n, g(n) = 5n^2 + 2n.$ Solution: f = O(g) and  $f = \Omega(g)$ , as  $f(n) \le \frac{5}{2}g(n)$  and  $g(n) \le \frac{5}{2}$  for all n.

- 2.  $f(n) = \log(n), g(n) = \log(n^2).$ Solution:  $g(n) = 2\log(n) = 2f(n)$ . Therefore f = O(g) and  $f = \Omega(g)$ .
- 3.  $f(n) = \log(n), g(n) = (\log n)^2$ . Solution:  $g(n)/f(n) = \log(n)$  and  $\lim_{n\to\infty} \log(n) = \infty$ . Therefore f = O(g),  $f \neq \Omega(g)$ .

4. 
$$f(n) = n^3 2^n, g(n) = n^2 3^n.$$
  
Solution:  $g(n)/f(n) = n(2/3)^n \rightarrow_{n \rightarrow \infty} 0$ . Therefore  $f = O(g), f \neq \Omega(g)$ 

- 5.  $f(n) = (logn)^n, g(n) = n^{\log n};$ Solution:  $f(n) = e^{n \log(\log n)}, g(n) = e^{(\log n)^2}$ . As  $\lim_{n \to \infty} (\log n)^2/n = 0$ , we have  $f \neq O(g), f = \Omega(g)$ .
- 6.  $f(n) = n!, g(n) = n^n$ .

## Solution:

$$\frac{f(n)}{g(n)} = \frac{1 \cdot 2 \cdot \ldots \cdot n}{n \cdot n \cdot \ldots \cdot n} \le \frac{1}{n}.$$

Therefore,  $f = O(g), f \neq \Omega(g)$ .

## **3.** *Predicate calculus.*

a) Write down in the predicate calculus the negation of the following statement:

$$\forall n \in \mathbb{N}(\exists m \in \mathbb{N}((n^2 = 3m) \lor (n^2 = 3m - 2))).$$

Solution: Using the negation rule twice we get

$$\neg(\forall n \in \mathbb{N}(\exists m \in \mathbb{N}((n^2 = 3m) \lor (n^2 = 3m - 2)))) \leftrightarrow \\ \exists n \in \mathbb{N}(\neg(\exists m \in \mathbb{N}((n^2 = 3m) \lor (n^2 = 3m - 2)))) \leftrightarrow \\ \exists n \in \mathbb{N}(\forall m \in \mathbb{N}(\neg((n^2 = 3m) \lor (n^2 = 3m - 2)))) \leftrightarrow \\ \exists n \in \mathbb{N}(\forall m \in \mathbb{N}((n^2 \neq 3m) \land (n^2 \neq 3m - 2))).$$

b) Is the statement in a) true or is its negation true?

**Solution:** We will show that the statement in a) is true suing case analysis. The remainder of n after division by 3 is 0, 1 or 2. Therefore n = 3k or n = 3k - 2 or n = 3k - 1 for some  $k \in \mathbb{N}$ . In the first case  $n^2 = 9k^2 = 3(3k^2)$  so  $m = 3k^2$  satisfies the statement. In the second case  $n^2 = (3k-2)^2 = 3(3k^2-4k+2)-2$  and  $m = 3k^2 - 4k + 2$  works. Finally, if n = 3k - 1, we have  $n^2 = 3(3k^2 - 2k + 1) - 2$ .

**4.** Social choice functions. Does there exist a social choice function f satisfying the following property: For any pair of candidates  $\alpha$  and  $\beta$ , if at least 60% of all the voters prefer  $\alpha$  to  $\beta$  then f ranks  $\alpha$  above  $\beta$ .

**Solution:** Such a function does not exist. Suppose for a contradiction that it does. Consider the following rankings of candidates A, B and C by 3 voters:

$$v_1: A > B > C;$$
  
 $v_2: B > C > A;$   
 $v_3: C > A > B.$ 

According to the rule specified in the problem we must have A > B in the ranking produced by f as 2 out of 3 voters prefer A to B. But similarly, we must have B > C and C > A. So A > B > C > A, a contradiction

## **5.** *Induction.*

a) Show that for all positive integers n

$$1^{2} + 2^{2} + \ldots + n^{2} = \frac{n(n+1)(2n+1)}{6}$$

**Solution:** <u>Base of induction</u>: For n = 1:  $1^2 = 1 \cdot 2 \cdot 3/6$ . Induction step: Assuming

$$1^{2} + 2^{2} + \ldots + n^{2} = \frac{n(n+1)(2n+1)}{6}$$

we want to show that

$$1^{2} + 2^{2} + \ldots + n^{2} + (n+1)^{2} = \frac{(n+1)((n+1)+1)(2(n+1)+1)}{6}$$

By our assumption the left side of the above equation is equal to

$$\frac{n(n+1)(2n+1)}{6} + (n+1)^2 = (n+1)\frac{n(2n+1) + 6(n+1)}{6} = (n+1)\frac{2n^2 + 8n + 6}{6}$$
$$= (n+1)\frac{(n+2)(2n+3)}{6},$$

as desired.

b) A sequence an is defined recursively by  $a_0 = 0$ ,  $a_1 = 1$ , and  $a_{n+2} = 7a_{n+1} - 12a_n$  for  $n \ge 0$ . Show that  $a_n = 4^n - 3^n$  for all non-negative integers n.

**Solution:** <u>Base of induction</u>: For n = 0:  $a_0 = 0 = 4^0 - 3^0$ . For n = 1:  $a_1 = 1 = 4^1 - 3^1$ .

Induction step: Assuming that  $a_n = 4^n - 3^n$  and  $a_{n+1} = 4^{n+1} - 3^{n+1}$  we have

$$a_{n+2} = 7(4^{n+1} - 3^{n+1}) - 12(4^n - 3^n) = (7 \cdot 4 - 12)4^n - (7 \cdot 3 - 12)3^n$$
  
= 16 \cdot 4^n - 93^n = 4^{n+2} - 3^{n+2},

as desired.

c) Show that  $1 + hn \leq (1 + h)^n$  for all real  $h \geq -1$  and all positive integers n. **Solution:** Induction on n. <u>Base of induction:</u> For n = 1:  $1 + 1 \cdot h = 1 + h \leq (1 + h)^1$ . <u>Induction step:</u> Assuming that  $1 + hn \leq (1 + h)^n$  we will show that  $1 + h(n + 1) \leq (1 + h)^{n+1}$ :

 $(1+h)^{n+1} = (1+h)^n (1+h) \ge (1+hn)(1+h) = 1 + (n+1)h + nh^2 \ge 1 + (n+1)h,$ 

as desired.