

# p-adic periods, p-adic L-functions and the p-adic uniformization of Shimura curves

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## 1 Introduction

Let  $E/\mathbb{Q}$  be a modular elliptic curve of conductor  $N$ , and let  $p$  be a prime of split multiplicative reduction for  $E$ . Write  $\mathbb{C}_p$  for a fixed completion of an algebraic closure of  $\mathbb{Q}_p$ . Tate’s theory of  $p$ -adic uniformization of elliptic curves yields a rigid-analytic,  $\text{Gal}(\mathbb{C}_p/\mathbb{Q}_p)$ -equivariant uniformization of the  $\mathbb{C}_p$ -points of  $E$

$$(1) \quad 0 \rightarrow q^{\mathbb{Z}} \rightarrow \mathbb{C}_p^\times \xrightarrow{\Phi_{\text{Tate}}} E(\mathbb{C}_p) \rightarrow 0,$$

where  $q \in p\mathbb{Z}_p$  is the  $p$ -adic period of  $E$ .

Mazur, Tate and Teitelbaum conjectured in [MTT] that the cyclotomic  $p$ -adic  $L$ -function of  $E/\mathbb{Q}$  vanishes at the central point to order one greater than that of its classical counterpart. Furthermore, they proposed a formula for the leading coefficient of such a  $p$ -adic  $L$ -function. In the special case where the analytic rank of  $E(\mathbb{Q})$  is zero, they predicted that the ratio of the special value of the first derivative of the cyclotomic  $p$ -adic  $L$ -function and the algebraic part of the special value of the complex  $L$ -function of  $E/\mathbb{Q}$  is equal to the quantity

$$\frac{\log_p(q)}{\text{ord}_p(q)}$$

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(where  $\log_p$  is Iwasawa's cyclotomic logarithm), which is defined purely in terms of the  $p$ -adic uniformization of  $E$ . Greenberg and Stevens [GS] gave a proof of this special case. See also the work of Boichut [Boi] in the case of analytic rank one.

The article [BD1] formulates an analogue of the conjectures of [MTT] in which the cyclotomic  $\mathbb{Z}_p$ -extension of  $\mathbb{Q}$  is replaced by the anticyclotomic  $\mathbb{Z}_p$ -extension of an imaginary quadratic field  $K$ . When  $p$  is split in  $K$  and the sign of the functional equation of  $L(E/K, s)$  is  $+1$ , this conjecture relates the first derivative of the anticyclotomic  $p$ -adic  $L$ -function of  $E$  to the anticyclotomic logarithm of the  $p$ -adic period of  $E$ . The present paper supplies a proof of this conjecture. Our proof is based on the theory of  $p$ -adic uniformization of Shimura curves. More precisely, assume that  $K$  is an imaginary quadratic field with  $(\text{disc}(K), N) = 1$  such that:

- (i)  $p$  is split in  $K$ ;
- (ii)  $E$  is semistable at the rational primes which divide  $N$  and are inert in  $K$ ;
- (iii) the number of these rational primes is odd.

The complex  $L$ -function  $L(E/K, s)$  of  $E$  over  $K$  has a functional equation and an analytic continuation to the whole complex plane. Under our assumptions, the sign of the functional equation of  $L(E/K, s)$  is  $+1$  (cf. [GZ], p. 71), and hence  $L(E/K, s)$  vanishes to even order at  $s = 1$ .

Fix a positive integer  $c$  prime to  $N$ , and let  $\mathcal{O}$  be the order of  $K$  of conductor  $c$ . Let  $H_n$  be the ring class field of  $K$  of conductor  $cp^n$ , with  $n \geq 0$ , and let  $H_\infty$  be the union of the  $H_n$ . By class field theory, the Galois group  $\text{Gal}(H_\infty/H_0)$  is identified with  $\mathcal{O}^\times \backslash (\mathcal{O}_K \otimes \mathbb{Z}_p)^\times / \mathbb{Z}_p^\times \simeq \mathbb{Z}_p \times \mathbb{Z}/((p-1)/u)\mathbb{Z}$ , with  $u := \frac{1}{2}\#\mathcal{O}^\times$ . Moreover,  $\text{Gal}(H_0/K)$  is identified with the Picard group  $\text{Pic}(\mathcal{O})$ . Set

$$\mathbf{G}_n := \text{Gal}(H_n/K), \quad \mathbf{G}_\infty := \text{Gal}(H_\infty/K).$$

Thus,  $\mathbf{G}_\infty$  is isomorphic to the product of  $\mathbb{Z}_p$  by a finite abelian group. Choose a prime  $\mathfrak{p}$  of  $K$  above  $p$ . Identify  $K_{\mathfrak{p}}$  with  $\mathbb{Q}_p$ , and let

$$\text{rec}_p : \mathbb{Q}_p^\times \rightarrow \mathbf{G}_\infty$$

be the reciprocity map of local class field theory. Define the integral completed group ring of  $\mathbf{G}_\infty$  to be

$$\mathbb{Z}[\mathbf{G}_\infty] := \varprojlim_n \mathbb{Z}[\mathbf{G}_n],$$

where the inverse limit is taken with respect to the natural projections of group rings.

In section 3, we recall the construction explained in [BD1], section 2.7 of an element

$$\mathcal{L}_p(E/K) \in \mathbb{Z}[\mathbf{G}_\infty]$$

attached to  $(E, H_\infty/K)$ , which interpolates the special values  $L(E/K, \chi, 1)$  of  $L(E/K, s)$  twisted by finite order characters of  $\mathbf{G}_\infty$ . The construction of this  $p$ -adic  $L$ -function is based on the ideas of Gross [Gr] and a generalization due to Daghigh [Dag]. We will show that  $\mathcal{L}_p(E/K)$  belongs to the augmentation ideal  $I$  of  $\mathbb{Z}[\mathbf{G}_\infty]$ . Let  $\mathcal{L}'_p(E/K)$  be the natural image of  $\mathcal{L}_p(E/K)$  in  $I/I^2 = \mathbf{G}_\infty$ . The element  $\mathcal{L}'_p(E/K)$  should be viewed as the first derivative of  $\mathcal{L}_p(E/K)$  at the central point.

Let  $f = \sum_{n \geq 1} a_n q^n$  be the newform attached to  $E$ , and let

$$\Omega_f := 4\pi^2 \iint_{\mathcal{H}/\Gamma_0(N)} |f(\tau)|^2 d\tau \wedge id\bar{\tau}$$

be the Petersson inner product of  $f$  with itself. We assume that  $E$  is the strong Weil curve for the Shimura curve parametrization defined in section 4. Set  $d := \text{disc}(\mathcal{O})$ , and let  $n_f$  be the positive integer defined later in this introduction, and specified further in section 2. Our main result (stated in a special case: see theorem 6.4 for the general statement) is the following.

**Theorem 1.1**

Suppose that  $c = 1$ . The equality (up to sign)

$$\mathcal{L}'_p(E/K) = \frac{\text{rec}_p(q)}{\text{ord}_p(q)} \sqrt{L(E/K, 1) \Omega_f^{-1} \cdot d^{\frac{1}{2}} u^2 n_f}$$

holds in  $I/I^2 \otimes \mathbb{Q}$ .

For the convenience of the reader, we now briefly sketch the strategy of the proof of theorem 1.1.

Write the conductor  $N$  of  $E$  as  $pN^+N^-$ , where  $N^+$ , resp.  $N^-$  is divisible only by primes which are split, resp. inert in  $K$ . Under our assumptions,  $N^-$  has an odd number of prime factors, and  $pN^-$  is squarefree. Denote by  $B$  the definite quaternion algebra over  $\mathbb{Q}$  of discriminant  $N^-$ , and fix an Eichler order  $R$  of  $B$  of level  $N^+p$ . Let  $\Gamma$  be the subgroup of elements of  $\mathbb{Q}_p^\times \backslash R[\frac{1}{p}]^\times$  whose norm has even  $p$ -adic valuation, and set  $\mathcal{N} := \text{Hom}(\Gamma, \mathbb{Z})$ . The module  $\mathcal{N}$  is a free abelian group, and is equipped with the action of a Hecke algebra  $\mathbb{T}$  attached to modular forms of level  $N$  which are new at  $N^-p$ . In section 2, we will also define a canonical free quotient  $\mathcal{N}_{\text{sp}}$  of  $\mathcal{N}$ , which is stable for the action of  $\mathbb{T}$  and is such that the image of  $\mathbb{T}$  in  $\text{End}(\mathcal{N}_{\text{sp}})$  corresponds to modular forms which are split multiplicative at  $p$ . Let  $\pi_f$  be the idempotent of  $\mathbb{T} \otimes \mathbb{Q}$  associated with  $f$ , and let  $n_f$  be a positive integer such that  $\eta_f := n_f \pi_f$  belongs to  $\mathbb{T}$ . Denote by  $\mathcal{N}^f$  the submodule of  $\mathcal{N}$  on which  $\mathbb{T}$  acts via the character

$$\phi_f : \mathbb{T} \rightarrow \mathbb{Z}, \quad T_n \mapsto a_n$$

defined by  $f$ . By the multiplicity-one theorem, the module  $\mathcal{N}^f$  is isomorphic to  $\mathbb{Z}$ . The operator  $\eta_f$  yields a map (denoted in the same way by an abuse of notation)  $\eta_f : \mathcal{N} \rightarrow \mathcal{N}^f$ , which factors through  $\mathcal{N}_{\text{sp}}$ . We will define an element  $\mathcal{L}_p(\mathcal{N}_{\text{sp}}/K) \in \mathcal{N}_{\text{sp}} \otimes \mathbb{Z}[\mathbf{G}_\infty]$ , such that (up to sign)

$$(\eta_f \otimes \text{id})(\mathcal{L}_p(\mathcal{N}_{\text{sp}}/K)) = c_p \cdot \mathcal{L}_p(E/K),$$

where  $c_p := \text{ord}_p(q)$ . Recall that the derivative  $\mathcal{L}'_p(E/K)$  of  $\mathcal{L}_p(E/K)$  belongs to  $\mathcal{N}^f \otimes \mathbf{G}_\infty = \mathbf{G}_\infty$ .

On the other hand, the module  $\mathcal{N}$  is related to the theory of  $p$ -adic uniformization of Shimura curves. Let  $\mathcal{B}$  be the indefinite quaternion algebra of discriminant  $pN^-$ , and let  $\mathcal{R}$  be an Eichler order of  $\mathcal{B}$  of level  $N^+$ . Write  $X$  for the Shimura curve

over  $\mathbb{Q}$  associated with  $\mathcal{R}$  (see section 4), and  $J$  for the jacobian of  $X$ . A theorem of Cerednik ([Cer]), combined with the theory of jacobians of Mumford curves ([GVdP]), yields a rigid-analytic uniformization

$$(2) \quad 0 \rightarrow \Lambda \rightarrow \mathcal{N} \otimes \mathbb{C}_p^\times \xrightarrow{\Phi} J(\mathbb{C}_p) \rightarrow 0,$$

where  $\Lambda$  is the lattice of  $p$ -adic periods of  $J$ . The Tate uniformization (1) is obtained from the sequence (2) by applying the operator  $\eta_f$  to the Hecke modules  $\mathcal{N} \otimes \mathbb{C}_p^\times$  and  $J(\mathbb{C}_p)$  of (2). In particular, the  $p$ -adic period  $q$  of  $E$  can be viewed as an element of the module  $\mathcal{N}^f \otimes \mathbb{C}_p^\times$ , and in fact one checks it belongs to  $\mathcal{N}^f \otimes \mathbb{Q}_p^\times = \mathbb{Q}_p^\times$ . An explicit calculation of  $p$ -adic periods, combined with a formula for  $L(E/K, 1)$  given in [Gr] and [Dag], will prove theorem 1.1.

A similar strategy was used in [BD2], when  $p$  is inert in  $K$  and the sign of the functional equation of  $L(E/K, s)$  is  $-1$ , to obtain a  $p$ -adic analytic construction of a Heegner point in terms of the first derivative of an anticyclotomic  $p$ -adic  $L$ -function.

It is worth observing that an analogous strategy has not (yet) proven to work in the case of the cyclotomic  $\mathbb{Z}_p$ -extension of  $\mathbb{Q}$ . The difficulty is that of relating in a natural way the construction of the cyclotomic  $p$ -adic  $L$ -function, which is defined in terms of modular symbols, to the  $p$ -adic uniformization of Shimura curves. P. Schneider [Sch] has proposed the definition of a  $p$ -adic  $L$ -function based on the notion, which stems directly from the theory of  $p$ -adic uniformization, of rigid-analytic modular symbol. C. Klingenberg [Kl] has proven an exceptional zero formula similar to theorem 1.1 for this rigid-analytic  $p$ -adic  $L$ -function. However, the relation (if any) between Schneider's  $p$ -adic  $L$ -function and the cyclotomic  $p$ -adic  $L$ -function considered in [MTT] is at present mysterious.

The reader is also referred to Teitelbaum's paper [T], where the theory of  $p$ -adic uniformization of Shimura curves is used to formulate analogues of the conjectures of [MTT] for cyclotomic  $p$ -adic  $L$ -functions attached to modular forms of higher weight.

The proof by Greenberg and Stevens [GS] of the cyclotomic "exceptional zero" formula of [MTT] follows a completely different strategy from the one of this paper, and is based on Hida's theory of  $p$ -adic families of modular forms.

Finally, let us mention that Kato, Kurihara and Tsuji [KKT] have recently announced more general results on the conjectures of [MTT], which make use of an Euler System constructed by Kato from modular units in towers of modular function fields.

## 2 Definite quaternion algebras and graphs

Keep the notations and assumptions of the introduction. In particular, recall that  $K$  is an imaginary quadratic field, and  $B$  is a definite quaternion algebra of discriminant  $N^-$ . Given a rational prime  $\ell$ , and orders  $O$  of  $K$  and  $S$  of  $B$ , set

$$K_\ell := K \otimes \mathbb{Z}_\ell, \quad B_\ell := B \otimes \mathbb{Z}_\ell, \quad O_\ell := O \otimes \mathbb{Z}_\ell, \quad S_\ell := S \otimes \mathbb{Z}_\ell.$$

Denote by  $\hat{\mathbb{Z}} = \prod \mathbb{Z}_\ell$  the profinite completion of  $\mathbb{Z}$ . Set

$$\hat{K} := K \otimes \hat{\mathbb{Z}}, \quad \hat{B} := B \otimes \hat{\mathbb{Z}}, \quad \hat{O} := O \otimes \hat{\mathbb{Z}} = \prod O_\ell, \quad \hat{S} := S \otimes \hat{\mathbb{Z}} = \prod S_\ell.$$

Fix an Eichler order  $R$  of  $B$  of level  $N^+p$ . Equip  $R$  with an *orientation*, i.e., a collection of algebra homomorphisms

$$\begin{aligned} \mathfrak{o}_\ell^+ : R &\rightarrow \mathbb{Z}/\ell^n\mathbb{Z}, & \ell^n \parallel N^+p, \\ \mathfrak{o}_\ell^- : R &\rightarrow \mathbb{F}_{\ell^2}, & \ell \mid N^-. \end{aligned}$$

The group  $\hat{B}^\times$  acts transitively (on the right) on the set of Eichler orders of level  $N^+p$  by the rule

$$S * \hat{b} := (\hat{b}^{-1} \hat{S} \hat{b}) \cap B.$$

The orientation on  $R$  induces an orientation on  $R * \hat{b}$ , and the stabilizer of the oriented order  $R$  is equal to  $\mathbb{Q}^\times \hat{R}^\times$ . This sets up a bijection between the set of oriented Eichler orders of level  $N^+p$  and the coset space  $\mathbb{Q}^\times \hat{R}^\times \backslash \hat{B}^\times$ . Likewise, there is a bijection between the set of oriented Eichler orders of level  $N^+p$  modulo conjugation by  $B^\times$  and the double coset space

$$\hat{R}^\times \backslash \hat{B}^\times / B^\times.$$

Set  $\Gamma_+ := \mathbb{Q}_p^\times \backslash R[\frac{1}{p}]^\times$  and, as in the introduction, let  $\Gamma$  be the image in  $\Gamma_+$  of the elements in  $R[\frac{1}{p}]^\times$  whose reduced norm has even  $p$ -adic valuation.

**Lemma 2.1**

$\Gamma$  has index 2 in  $\Gamma_+$ .

*Proof.* See [BD2], lemma 1.5.

Let  $\mathcal{T}$  be the *Bruhat-Tits tree* associated with the local algebra  $B_p$ . The set of vertices  $\mathcal{V}(\mathcal{T})$  of  $\mathcal{T}$  is equal to the set of maximal orders in  $B_p$ . The set  $\vec{\mathcal{E}}(\mathcal{T})$  of oriented edges of  $\mathcal{T}$  is equal to the set of oriented Eichler orders of level  $p$  in  $B_p$ . Thus,  $\vec{\mathcal{E}}(\mathcal{T})$  can be identified with the coset space  $\mathbb{Q}_p^\times R_p^\times \backslash B_p^\times$ , by mapping  $b_p \in B_p^\times$  to  $R_p * b_p = b_p^{-1} R_p b_p$ . Similarly, if  $\underline{R}_p$  is a maximal order in  $B_p$  containing  $R_p$ , we will identify  $\mathcal{V}(\mathcal{T})$  with the coset space  $\mathbb{Q}_p^\times \underline{R}_p^\times \backslash B_p^\times$ . Define the graphs

$$\mathcal{G} := \mathcal{T}/\Gamma, \quad \mathcal{G}_+ := \mathcal{T}/\Gamma_+.$$

By strong approximation ([Vi], p. 61), there is an identification

$$\vec{\mathcal{E}}(\mathcal{G}_+) = \hat{R}^\times \backslash \hat{B}^\times / B^\times$$

of the set of oriented edges of  $\mathcal{G}_+$  with the set of conjugacy classes of oriented Eichler orders of level  $N^+p$ .

Fixing a vertex  $v_0$  of  $\mathcal{T}$  gives rise to an orientation of  $\mathcal{T}$  in the following way. A vertex of  $\mathcal{T}$  is called *even*, resp. *odd* if it has even, resp. odd distance from  $v_0$ . The direction of an edge is said to be positive if it goes from the even to the odd vertex. Since  $\Gamma$  sends even vertices to even ones, and odd vertices to odd ones, the orientation of  $\mathcal{T}$  induces an orientation of  $\mathcal{G}$ . Define a map

$$\kappa : \mathcal{E}(\mathcal{G}) \rightarrow \vec{\mathcal{E}}(\mathcal{G}_+)$$

from the set of edges of  $\mathcal{G}$  to the set of oriented edges of  $\mathcal{G}_+$ , by mapping an edge  $\{v, v'\} \pmod{\Gamma}$  of  $\mathcal{G}$ , where  $v$  and  $v'$  are vertices of  $\mathcal{T}$  and we assume that  $v$  is even, to the oriented edge  $(v, v') \pmod{\Gamma_+}$  of  $\mathcal{G}_+$ .

**Lemma 2.2**

*The map  $\kappa$  is a bijection.*

*Proof.* Suppose that  $(v, v') \pmod{\Gamma_+} = (u, u') \pmod{\Gamma_+}$ . Thus, there is  $\gamma \in \Gamma_+$  such that  $\gamma v = u$  and  $\gamma v' = u'$ . If  $v$  and  $u$  are both even,  $\gamma$  must belong to  $\Gamma$ , and this proves the injectivity of  $\kappa$ . As for surjectivity,  $(v, v') \pmod{\Gamma_+}$  is the image by  $\kappa$  of  $\{v, v'\} \pmod{\Gamma}$  if  $v$  is even, and of  $\{wv, wv'\} \pmod{\Gamma}$ , where  $w$  is any element of  $\Gamma_+ - \Gamma$ , if  $v$  is odd.

Given two vertices  $v$  and  $v'$  of  $\mathcal{T}$ , write  $\text{path}(v, v')$  for the natural image in  $\mathbb{Z}[\mathcal{E}(\mathcal{G})]$  of the unique geodesic on  $\mathcal{T}$  joining  $v$  with  $v'$ . For example, if  $v$  and  $v'$  are even vertices joined by 4 consecutive edges  $e_1, e_2, e_3, e_4$ , by our convention for orienting the edges of  $\mathcal{T}$ ,  $\text{path}(v, v')$  is the image in  $\mathbb{Z}[\mathcal{E}(\mathcal{G})]$  of  $e_1 - e_2 + e_3 - e_4$ .

There is a coboundary map

$$\partial^* : \mathbb{Z}[\mathcal{V}(\mathcal{G})] \rightarrow \mathbb{Z}[\mathcal{E}(\mathcal{G})],$$

which maps the image in  $\mathcal{V}(\mathcal{G})$  of an odd, resp. even vertex  $v$  of  $\mathcal{T}$  to the image in  $\mathbb{Z}[\mathcal{E}(\mathcal{G})]$  of the formal sum of the edges of  $\mathcal{T}$  emanating from  $v$ , resp. the opposite of this sum. There is also a boundary map

$$\partial_* : \mathbb{Z}[\mathcal{E}(\mathcal{G})] \rightarrow \mathbb{Z}[\mathcal{V}(\mathcal{G})],$$

which maps an edge  $e$  to the difference  $v' - v$  of its vertices, where  $v$  is the even vertex and  $v'$  is the odd vertex of  $e$ . The integral homology, resp. the integral cohomology of the graph  $\mathcal{G}$  is defined by  $H_1(\mathcal{G}, \mathbb{Z}) = \ker(\partial_*)$ , resp.  $H^1(\mathcal{G}, \mathbb{Z}) = \text{coker}(\partial^*)$ .

Let

$$\langle \ , \ \rangle : \mathbb{Z}[\mathcal{E}(\mathcal{G})] \times \mathbb{Z}[\mathcal{E}(\mathcal{G})] \rightarrow \mathbb{Z}$$

be the pairing on  $\mathbb{Z}[\mathcal{E}(\mathcal{G})]$  defined by the rule  $\langle e_i, e_j \rangle := \omega_{e_i} \delta_{ij}$ , where the  $e_i$  are the elements of the standard basis of  $\mathbb{Z}[\mathcal{E}(\mathcal{G})]$  and  $\omega_{e_i}$  is the order of the stabilizer in  $\Gamma$  of a lift of  $e_i$  to  $\mathcal{T}$ . Likewise, let

$$\langle\langle \ , \ \rangle\rangle : \mathbb{Z}[\mathcal{V}(\mathcal{G})] \times \mathbb{Z}[\mathcal{V}(\mathcal{G})] \rightarrow \mathbb{Z}$$

be the pairing on  $\mathbb{Z}[\mathcal{V}(\mathcal{G})]$  defined by  $\langle\langle v_i, v_j \rangle\rangle := \omega_{v_i} \delta_{ij}$ , where the  $v_i$  are the elements of the standard basis of  $\mathbb{Z}[\mathcal{V}(\mathcal{G})]$  and  $\omega_{v_i}$  is the order of the stabilizer in  $\Gamma$  of a lift of  $v_i$  to  $\mathcal{T}$ .

We use the notation  $\mathcal{M}$  to indicate the module  $H^1(\mathcal{G}, \mathbb{Z})$ . Let  $\bar{\Gamma}$  be the maximal torsion-free abelian quotient of  $\Gamma$ . As in the introduction, write  $\mathcal{N}$  for  $\text{Hom}(\bar{\Gamma}, \mathbb{Z})$ . Given an element  $\gamma \in \Gamma$ , denote by  $\bar{\gamma}$  the natural image of  $\gamma$  in  $\bar{\Gamma}$ .

**Lemma 2.3**

(i) *The map from  $\bar{\Gamma}$  to  $H_1(\mathcal{G}, \mathbb{Z})$  which sends  $\bar{\gamma} \in \bar{\Gamma}$  to the cycle path  $(v_0, \gamma v_0)$ , where  $v_0$  is any vertex of  $\mathcal{G}$  and  $\gamma$  is any lift of  $\bar{\gamma}$  to  $\Gamma$ , is an isomorphism.*

(ii) The map from  $\mathcal{M}$  to  $\mathcal{N}$  which sends  $m \in \mathcal{M}$  to the homomorphism

$$\bar{\gamma} \mapsto \langle \text{path}(v_0, \gamma v_0), m \rangle$$

is injective and has finite cokernel.

*Proof (sketch).* Part (i) is proved in [Se]. Part (ii) follows from part (i), and from the fact that the maps  $\partial^*$  and  $\partial_*$  are adjoint with respect to the pairings defined above.

Write  $\mathcal{M}_{\text{sp}}$  for the maximal torsion-free quotient of  $\mathcal{M}/(w+1)\mathcal{M}$ , with  $w \in \Gamma_+ - \Gamma$ . By part (i) of lemma 2.3, the action of  $w \in \Gamma_+ - \Gamma$  on  $H_1(\mathcal{G}, \mathbb{Z})$  induces an action of  $w$  on  $\mathcal{N}$ . Write  $\mathcal{N}_{\text{sp}}$  for the maximal torsion-free quotient of  $\mathcal{N}/(w+1)\mathcal{N}$ . We have an induced map from  $\mathcal{M}_{\text{sp}}$  to  $\mathcal{N}_{\text{sp}}$ , which is injective and has finite cokernel.

The module  $\mathbb{Z}[\mathcal{E}(\mathcal{G})]$  is equipped with the natural action of an algebra  $\tilde{\mathbb{T}}$  generated over  $\mathbb{Z}$  by the Hecke correspondences  $T_\ell$  for  $\ell \nmid N$  and  $U_\ell$  for  $\ell \mid N$ , coming from its double coset description: see [BD1], sec. 1.5. The module  $H_1(\mathcal{G}, \mathbb{Z})$  is stable under the action of  $\tilde{\mathbb{T}}$ . Hence, by part (i) of lemma 2.3, the algebra  $\tilde{\mathbb{T}}$  also acts on the modules  $\mathcal{N}$  and  $\mathcal{N}_{\text{sp}}$ . Let  $\mathbb{T}$  and  $\mathbb{T}_{\text{sp}}$  denote the image of  $\tilde{\mathbb{T}}$  in  $\text{End}(\mathcal{N})$  and  $\text{End}(\mathcal{N}_{\text{sp}})$ , respectively. Thus, there are natural surjections  $\tilde{\mathbb{T}} \rightarrow \mathbb{T} \rightarrow \mathbb{T}_{\text{sp}}$ . By an abuse of notation, we will denote by  $T_\ell$  and  $U_\ell$  also the natural images in  $\mathbb{T}$  and  $\mathbb{T}_{\text{sp}}$  of  $T_\ell$  and  $U_\ell$ .

The next proposition clarifies the relation between the modules  $\mathcal{N}$  and  $\mathcal{N}_{\text{sp}}$  and the theory of modular forms.

### Proposition 2.4

Let  $\phi$  be an algebra homomorphism from  $\mathbb{T}$ , resp.  $\mathbb{T}_{\text{sp}}$  to  $\mathbb{C}$ , and let  $a_n := \phi(T_n)$ . Then, the  $a_n$  are the Fourier coefficients of a normalized eigenform of level  $N$ , which is new at  $N^-p$ , resp. is new at  $N^-p$  and is split multiplicative at  $p$ . Conversely, any such modular form arises as above from a character of  $\mathbb{T}$ , resp.  $\mathbb{T}_{\text{sp}}$ .

*Proof.* Eichler's trace formula identifies the Hecke-module  $\mathbb{Z}[\mathcal{E}(\mathcal{G})]$  with a space of modular forms of level  $N$  which are new at  $N^-$ . Moreover, the algebra  $\mathbb{T}$  can also be viewed as the Hecke algebra of the module  $\mathcal{M}$  defined above, and proposition 1.4 of [BD2] shows that  $\mathcal{M}$  is equal to the “ $p$ -new” quotient of  $\mathbb{Z}[\mathcal{E}(\mathcal{G})]$ . This proves the statement of proposition 2.4 concerning characters of  $\mathbb{T}$ . The abelian variety associated to a  $p$ -new modular form  $f$  is split multiplicative at  $p$  if and only if  $U_p f = f$ . Moreover, the Atkin-Lehner involution at  $p$  acts on a  $p$ -new modular form as  $-U_p$ , and acts on  $\mathcal{M}$  as  $\Gamma_+/\Gamma$ . This concludes the proof of proposition 2.4.

## Modular parametrizations, I

We now make a specific choice of the operator  $\eta_f$  (where  $f$  is the newform of level  $N$  attached to  $E$ ) considered in the introduction, that will be used in formulating the results in the sequel of the paper.

As stated in lemma 2.3,  $\bar{\Gamma}$  can be identified with the homology group  $H_1(\mathcal{G}, \mathbb{Z}) \subset \mathbb{Z}[\mathcal{E}(\mathcal{G})]$ . Thus, when convenient, we will tacitly view elements of  $\bar{\Gamma}$  as contained

in  $\mathbb{Z}[\mathcal{E}(\mathcal{G})]$ . The restriction of the pairing on  $\mathbb{Z}[\mathcal{E}(\mathcal{G})]$  defined above to  $\bar{\Gamma}$  yields the *monodromy pairing* (denoted in the same way by an abuse of notation)

$$\langle \cdot, \cdot \rangle : \bar{\Gamma} \times \bar{\Gamma} \rightarrow \mathbb{Z}.$$

Let  $\mathbb{Z}[\mathcal{E}(\mathcal{G})]^f$ , resp.  $\bar{\Gamma}^f$  be the submodule of  $\mathbb{Z}[\mathcal{E}(\mathcal{G})]$ , resp.  $\bar{\Gamma}$  on which  $\tilde{\mathbb{T}}$ , resp.  $\mathbb{T}$  acts via the character associated with  $f$ . Note that the quotient of  $\mathbb{Z}[\mathcal{E}(\mathcal{G})]$  by  $\bar{\Gamma}$  is torsion-free, and thus there is a canonical identification  $\mathbb{Z}[\mathcal{E}(\mathcal{G})]^f = \bar{\Gamma}^f$ . Let  $e^f$  be a generator of  $\bar{\Gamma}^f \simeq \mathbb{Z}$ .

Define the “modular parametrizations”

$$\pi_* : \bar{\Gamma} \rightarrow \bar{\Gamma}^f, \quad \pi^* : \bar{\Gamma}^f \rightarrow \bar{\Gamma}$$

by  $\pi_*(e) := \langle e, e^f \rangle e^f$  and  $\pi^*(e^f) := e^f$ . Since

$$(\pi^* \circ \pi_*)^2 = \langle e^f, e^f \rangle (\pi^* \circ \pi_*),$$

we obtain that  $\pi^* \circ \pi_*$  is equal to  $\langle e^f, e^f \rangle \pi_f$ , where  $\pi_f$  is the idempotent of  $\mathbb{T} \otimes \mathbb{Q}$  associated with  $f$ . From now on, we will assume that the operator  $\eta_f$  is defined by

$$\eta_f := \pi^* \circ \pi_*,$$

so that the integer  $n_f$  is equal to  $\langle e^f, e^f \rangle$ .

As observed in the introduction, the operator  $\eta_f$  induces a map  $\mathcal{N} \rightarrow \mathbb{Z}$ , which is well-defined up to sign. Since  $f$  has split multiplicative reduction at  $p$ , this map factors through a map  $\mathcal{N}_{\text{sp}} \rightarrow \mathbb{Z}$ . By an abuse of notation, we will indicate by  $\eta_f$  both the above maps.

### Remark 2.5

The module  $\bar{\Gamma}$  can be identified with the character group associated with the reduction modulo  $p$  of  $\text{Pic}^0(X)$ , where  $X$  is the Shimura curve considered in the introduction. As will be explained in section 4, the map  $\pi^* \circ \pi_*$  on  $\bar{\Gamma}$  is induced by functoriality from a modular parametrization  $\text{Pic}^0(X) \rightarrow E$ .

## 3 The p-adic L-function

Let  $\mathcal{O}_n$  denote the order of  $K$  of conductor  $cp^n$ ,  $n \geq 0$ . (We will usually write  $\mathcal{O}$  instead of  $\mathcal{O}_0$ .) Equip the orders  $\mathcal{O}_n$  with compatible orientations, i.e., with compatible algebra homomorphisms

$$\mathfrak{d}_\ell^+ : \mathcal{O}_n \rightarrow \mathbb{Z}/\ell^m \mathbb{Z}, \quad \ell^m \parallel N^+ p,$$

$$\mathfrak{d}_\ell^- : \mathcal{O}_n \rightarrow \mathbb{F}_{\ell^2}, \quad \ell \mid N^-.$$

An algebra homomorphism of  $\mathcal{O}_n$  into an oriented Eichler order  $S$  of level  $N^+ p$  is called an *oriented optimal embedding* if it respects the orientation on  $\mathcal{O}_n$  and on  $S$ , and does not extend to an embedding of a larger order into  $S$ . Consider pairs  $(R_\xi, \xi)$ , where  $R_\xi$  is an oriented Eichler order of level  $N^+ p$  and  $\xi$  is an element of  $\text{Hom}(K, B)$  which restricts to an oriented optimal embedding of  $\mathcal{O}_n$  into  $R_\xi$ . A



Gross point of conductor  $cp^n$  ( $n \geq 0$ ) is a pair as above, taken modulo the action of  $B^\times$ .

By our previous remarks, a Gross point can be viewed naturally as an element of the double coset space

$$W := (\hat{R}^\times \backslash \hat{B}^\times \times \text{Hom}(K, B)) / B^\times.$$

(See [Gr], sec. 3 for more details.) Strong approximation gives the identification

$$W = (\vec{\mathcal{E}}(\mathcal{T}) \times \text{Hom}(K, B)) / \Gamma_+.$$

By lemma 2.2, there is a natural map of  $\mathbb{Z}$ -modules  $\mathbb{Z}[W] \rightarrow \mathbb{Z}[\mathcal{E}(\mathcal{G})]$ , where  $\mathbb{Z}[W]$  is the module of finite formal  $\mathbb{Z}$ -linear combinations of elements of  $W$ . The Hecke algebra  $\tilde{\mathbb{T}}$  of  $\mathbb{Z}[\mathcal{E}(\mathcal{G})]$  acts naturally also on  $\mathbb{Z}[W]$  (see [BD1], sec. 1.5), in such a way that the above map is  $\tilde{\mathbb{T}}$ -equivariant.

The group  $\mathbf{G}_n = \text{Pic}(\mathcal{O}_n) = \hat{\mathcal{O}}_n^\times \backslash \hat{K}^\times / K^\times$  acts simply transitively on the Gross points of conductor  $cp^n$  by the rule

$$\sigma(R_\xi, \xi) := (R_\xi * \hat{\xi}(\sigma)^{-1}, \xi),$$

where  $\hat{\xi}$  denotes the extension of  $\xi$  to a map from  $\hat{K}$  to  $\hat{B}$ .

Now, fix a Gross point  $P_0 = (R_0, \xi_0) \pmod{B^\times}$  of conductor  $c$ . By the above identification,  $P_0$  corresponds to a pair  $(\vec{e}_0, \xi_0) \in \vec{\mathcal{E}}(\mathcal{T}) \times \text{Hom}(K, B)$ , modulo the action of  $\Gamma_+$ . As above, the origin  $v_0$  of  $\vec{e}_0$  determines an orientation of  $\mathcal{T}$ . Let  $\vec{e}$  be one of the  $p$  oriented edges of  $\mathcal{T}$  originating from  $\vec{e}_0$ . All the Gross points corresponding to pairs  $(\vec{e}, \xi_0)$  as above have conductor  $cp$ , except for one, which has conductor  $c$ . Fix an end

$$(\vec{e}_0, \vec{e}_1, \dots, \vec{e}_n, \dots),$$

such that  $(\vec{e}_1, \xi_0)$  defines a Gross point of conductor  $cp$ . Then,  $(\vec{e}_n, \xi_0)$  defines a Gross point  $P_n$  of conductor  $cp^n$ , for all  $n \geq 0$ .

Denote by  $\text{Norm}_{H_{n+1}/H_n}$  the norm operator  $\sum_{g \in \text{Gal}(H_{n+1}/H_n)} g$ .

### Lemma 3.1

1) Let  $u = \frac{1}{2} \# \mathcal{O}^\times$ . The equality

$$U_p P_0 = u \text{Norm}_{H_1/H_0} P_1 + \sigma_{\mathfrak{p}} P_0$$

holds in  $\mathbb{Z}[W]$  for a prime  $\mathfrak{p}$  above  $p$ , where  $\sigma_{\mathfrak{p}} \in \text{Gal}(H_0/K)$  denotes the image of  $\mathfrak{p}$  by the Artin map.

2) For  $n \geq 1$ ,

$$U_p P_n = \text{Norm}_{H_{n+1}/H_n} P_{n+1}.$$

*Proof.* It follows from the definition of the operator  $U_p$  (see [BD1], sec. 1.5) and the action of  $\text{Pic}(\mathcal{O}_n)$  on the Gross points.

The picture below, drawn in the case  $p = 2$ , illustrates geometrically the relation between the Galois action and the action of the Hecke correspondence  $U_p$ .

By lemma 2.3, the natural map from  $\mathbb{Z}[W]$  to  $\mathbb{Z}[\mathcal{E}(\mathcal{G})]$  induces maps from  $\mathbb{Z}[W]$  to the modules  $\mathcal{N}$  and  $\mathcal{N}_{\text{sp}}$ . These maps are Hecke-equivariant.

The Gross points  $P_n$  give rise to a  $p$ -adic distribution on  $\mathbf{G}_\infty$  with values in the module  $\mathcal{N}_{\text{sp}}$  as follows. Given  $g \in \mathbf{G}_n$ , denote by  $e_n^g$  the natural image of  $P_n^g$  in  $\mathcal{N}_{\text{sp}}$ . For  $n \geq 0$ , define the truncated  $p$ -adic  $L$ -function

$$\mathcal{L}_{p,n}(\mathcal{N}_{\text{sp}}/K) := \sum_{g \in \mathbf{G}_n} e_n^g \cdot g^{-1} \in \mathcal{N}_{\text{sp}} \otimes \mathbb{Z}[\mathbf{G}_n].$$

Note that  $\mathcal{L}_{p,n}(\mathcal{N}_{\text{sp}}/K)$  is well-defined up to multiplication by elements of  $\mathbf{G}_n$ .

For  $n \geq 1$ , let  $\nu_n : \mathbb{Z}[\mathbf{G}_n] \rightarrow \mathbb{Z}[\mathbf{G}_{n-1}]$  be the natural projection of groups rings.

**Lemma 3.2**

1) *The equality*

$$\nu_1(\mathcal{L}_{p,1}(\mathcal{N}_{\text{sp}}/K)) = u^{-1}(1 - \sigma_p)\mathcal{L}_{p,0}(\mathcal{N}_{\text{sp}}/K)$$

holds in  $\mathcal{N}_{\text{sp}} \otimes \mathbb{Z}[\mathbf{G}_0]$ .

2) *For  $n \geq 2$ , the equality*

$$\nu_n(\mathcal{L}_{p,n}(\mathcal{N}_{\text{sp}}/K)) = \mathcal{L}_{p,n-1}(\mathcal{N}_{\text{sp}}/K)$$

holds in  $\mathcal{N}_{\text{sp}} \otimes \mathbb{Z}[\mathbf{G}_{n-1}]$ .

*Proof.* By proposition 2.4, the operator  $U_p$  acts as  $+1$  on  $\mathcal{N}_{\text{sp}}$ . The claim follows from lemma 3.1 and the fact that  $\mathcal{N}_{\text{sp}}$  is torsion-free.

Define the  $p$ -adic  $L$ -function attached to  $\mathcal{N}_{\text{sp}}$  to be

$$\mathcal{L}_p(\mathcal{N}_{\text{sp}}/K) := \lim_{\overline{n}} \mathcal{L}_{p,n}(\mathcal{N}_{\text{sp}}/K) \in \mathcal{N}_{\text{sp}} \otimes \mathbb{Z}[\mathbf{G}_\infty].$$

We now define the  $p$ -adic  $L$ -function attached to  $E$ . Observe that the maximal quotient  $\bar{\Gamma}_f$  of  $\bar{\Gamma}$  on which  $\mathbb{T}$  acts via the character associated with  $f$  is isomorphic to  $\mathbb{Z}$ . Let  $e_f$  be a generator of  $\bar{\Gamma}_f$ . The monodromy pairing on  $\bar{\Gamma}$  induces a  $\mathbb{Z}$ -valued pairing on  $\bar{\Gamma}^f \times \bar{\Gamma}_f$ . Write  $\hat{c}_p$  for the positive integer  $|\langle e^f, e_f \rangle|$ .

**Lemma 3.3**

*The element  $(\eta_f \otimes \text{id})(\mathcal{L}_p(\mathcal{N}_{\text{sp}}/K)) \in \mathbb{Z}[\mathbf{G}_\infty]$  is divisible by  $\hat{c}_p$ .*

*Proof.* Consider the maps

$$\tilde{\pi}_* : \mathbb{Z}[\mathcal{E}(\mathcal{G})] \rightarrow \mathbb{Z}[\mathcal{E}(\mathcal{G})]^f, \quad \tilde{\pi}^* : \mathbb{Z}[\mathcal{E}(\mathcal{G})]^f \rightarrow \mathbb{Z}[\mathcal{E}(\mathcal{G})]$$

defined by  $\tilde{\pi}_*(e) := \langle e, e^f \rangle e^f$  and  $\tilde{\pi}^*(e^f) := e^f$ . (The modular parametrizations  $\pi_*$  and  $\pi^*$  introduced in section 2 are obtained from these maps by restriction.) Hence,  $\tilde{\eta}_f := \tilde{\pi}^* \circ \tilde{\pi}_*$  is an element of  $\tilde{\mathbb{T}}$ , equal to  $\langle e^f, e^f \rangle \tilde{\pi}_f$ , where  $\tilde{\pi}_f$  is the idempotent in  $\tilde{\mathbb{T}} \otimes \mathbb{Q}$  associated with  $f$ . We have a commutative diagram

$$\begin{array}{ccc} \mathbb{Z}[\mathcal{E}(\mathcal{G})] & \longrightarrow & \mathcal{N} \\ \tilde{\eta}_f \downarrow & & \eta_f \downarrow \\ \mathbb{Z}[\mathcal{E}(\mathcal{G})]^f & \longrightarrow & \mathcal{N}^f, \end{array}$$

where the upper horizontal map is defined in lemma 2.3, and the lower horizontal map is the restriction of the upper one. Note that  $\mathcal{N}^f$  is equal to  $\text{Hom}(\bar{\Gamma}_f, \mathbb{Z})$ , and therefore is generated by the homomorphism  $e_f \mapsto 1$ . With our choices of generators for  $\mathbb{Z}[\mathcal{E}(\mathcal{G})]^f$  and  $\mathcal{N}^f$ , the lower map of the above diagram is described as multiplication by the integer  $\langle e^f, e_f \rangle$ . The proof of lemma 3.2 also shows that mapping the Gross points of conductor  $cp^n$  to  $\mathbb{Z}[\mathcal{E}(\mathcal{G})]^f$  by the map  $\tilde{\eta}_f$  yields a  $p$ -adic distribution in  $\mathbb{Z}[\mathcal{E}(\mathcal{G})]^f \otimes \mathbb{Z}[\mathbf{G}_\infty]$ . By the above diagram, the image of this distribution in  $\mathcal{N}^f \otimes \mathbb{Z}[\mathbf{G}_\infty]$  is equal to  $(\eta_f \otimes \text{id})(\mathcal{L}_p(\mathcal{N}_{\text{sp}}/K))$ . This proves the lemma.

**Remark 3.4** In section 4, we will show that the integers  $\hat{c}_p$  and  $c_p$  are equal.

Define the  $p$ -adic  $L$ -function attached to  $E$  to be

$$\mathcal{L}_p(E/K) = \hat{c}_p^{-1}(\eta_f \otimes \text{id})(\mathcal{L}_p(\mathcal{N}_{\text{sp}}/K)) \in \mathbb{Z}[\mathbf{G}_\infty].$$

Observe that  $\mathcal{L}_p(\mathcal{N}_{\text{sp}}/K)$  and  $\mathcal{L}_p(E/K)$  are well-defined up to multiplication by elements of  $\mathbf{G}_\infty$ .

Recall the quantities  $\Omega_f$  and  $d$  defined in the introduction.

**Theorem 3.5**

Let  $\chi : \mathbf{G}_\infty \rightarrow \mathbb{C}^\times$  be a finite order character of conductor  $cp^n$ , with  $n \geq 1$ . Then the equality

$$|\chi(\mathcal{L}_p(E/K))|^2 = \frac{L(E/K, \chi, 1)}{\Omega_f} \sqrt{d} \cdot (n_f u)^2$$

holds.

*Proof.* See [Gr], [Dag], and [BD1], section 2.10.

**Remark 3.6**

1) Theorem 3.5 suggests that  $\mathcal{L}_p(E/K)$  should really be viewed as the square root of a  $p$ -adic  $L$ -function, and hence we should define the anticyclotomic  $p$ -adic  $L$ -function of  $E$  to be  $\mathcal{L}_p(E/K) \otimes \mathcal{L}_p(E/K)^*$ , where  $*$  denotes the involution of  $\mathbb{Z}[\mathbf{G}_\infty]$  given on group-like elements by  $g \mapsto g^{-1}$ . See section 2.7 of [BD1] for more details.

2) More generally, the  $p$ -adic  $L$ -function  $\mathcal{L}_p(\mathcal{N}_{\text{sp}}/K)$  interpolates special values of the complex  $L$ -series attached to the modular forms on  $\mathbb{T}_{\text{sp}}$  (described in proposition 2.4).

Let  $\sigma_p$  be as in lemma 3.1. Denote by  $H$  the subextension of  $H_0$  which is fixed by  $\sigma_p$ , and set

$$\begin{aligned} G_n &:= \text{Gal}(H_n/H), & G_\infty &:= \text{Gal}(H_\infty/H), \\ \Sigma &:= \text{Gal}(H_0/H) = G_0, & \Delta &:= \text{Gal}(H/K). \end{aligned}$$

Note the exact sequences of Galois groups

$$0 \rightarrow G_n \rightarrow \mathbf{G}_n \rightarrow \Delta \rightarrow 0,$$

$$0 \rightarrow G_\infty \rightarrow \mathbf{G}_\infty \rightarrow \Delta \rightarrow 0.$$

The group  $\Delta$  is naturally identified with the Picard group  $\text{Pic}(\mathcal{O}[\frac{1}{p}])$ , and  $G_\infty$  is equal to the image of the reciprocity map  $\text{rec}_p : \mathbb{Q}_p^\times \rightarrow \mathbf{G}_\infty$  (where we have identified  $\mathbb{Q}_p^\times$  with  $K_p^\times$ ). Let  $I$  be the kernel of the augmentation map  $\mathbb{Z}[\mathbf{G}_\infty] \rightarrow \mathbb{Z}$ , and let  $I_\Delta$  be the kernel of the augmentation map  $\mathbb{Z}[\mathbf{G}_\infty] \rightarrow \mathbb{Z}[\Delta]$ .

**Lemma 3.7**

- i)  $\mathcal{L}_p(\mathcal{N}_{\text{sp}}/K)$  belongs to  $\mathcal{N}_{\text{sp}} \otimes I_\Delta$ .
- ii)  $\mathcal{L}_p(E/K)$  belongs to  $I_\Delta$ .

*Proof.* There are canonical isomorphisms

$$\mathbb{Z}[\mathbf{G}_\infty]/I_\Delta = \mathbb{Z}[\mathbf{G}_n]/I_{\Delta,n} = \mathbb{Z}[\Delta],$$

where  $I_{\Delta,n}$  is the natural image of  $I_\Delta$  in  $\mathbb{Z}[\mathbf{G}_n]$ . By lemma 3.2, the image of  $\mathcal{L}_p(\mathcal{N}_{\text{sp}}/K)$  in  $\mathcal{N}_{\text{sp}} \otimes (\mathbb{Z}[\mathbf{G}_\infty]/I_\Delta)$  is equal to the image of  $\mathcal{L}_{p,1}(\mathcal{N}_{\text{sp}}/K)$  in  $\mathcal{N}_{\text{sp}} \otimes (\mathbb{Z}[\mathbf{G}_1]/I_{\Delta,1}) = \mathcal{N}_{\text{sp}} \otimes \mathbb{Z}[\Delta]$ . The first part of the lemma now follows from lemma 3.2, 1). The second part follows directly from the first.

Since  $I_\Delta$  is contained in  $I$ , the element  $\mathcal{L}_p(\mathcal{N}_{\text{sp}}/K)$  belongs to  $\mathcal{N}_{\text{sp}} \otimes I$  and  $\mathcal{L}_p(E/K)$  belongs to  $I$ . Denote by

$$\mathcal{L}'_p(\mathcal{N}_{\text{sp}}/K), \quad \mathcal{L}'_p(\mathcal{N}_{\text{sp}}/H)$$

the natural image of  $\mathcal{L}_p(\mathcal{N}_{\text{sp}}/K)$  in  $\mathcal{N}_{\text{sp}} \otimes I/I^2 = \mathcal{N}_{\text{sp}} \otimes \mathbf{G}_\infty$  and  $\mathcal{N}_{\text{sp}} \otimes I_\Delta/I_\Delta^2 = \mathcal{N}_{\text{sp}} \otimes \mathbb{Z}[\Delta] \otimes G_\infty$ , respectively. Likewise, let

$$\mathcal{L}'_p(E/K), \quad \mathcal{L}'_p(E/H)$$

be the natural image of  $\mathcal{L}_p(E/K)$  in  $I/I^2 = \mathbf{G}_\infty$  and  $I_\Delta/I_\Delta^2 = \mathbb{Z}[\Delta] \otimes G_\infty$ , respectively. The above elements should be viewed as derivatives of  $p$ -adic  $L$ -functions at the central point.

In order to carry out the calculations of the next sections, it is useful to observe that the derivatives  $\mathcal{L}'_p(\mathcal{N}_{\text{sp}}/K)$  and  $\mathcal{L}'_p(\mathcal{N}_{\text{sp}}/H)$  can be expressed in terms of the derivatives of certain partial  $p$ -adic  $L$ -functions. Set  $h := \#(\Delta)$ . Fix Gross points of conductor  $c$

$$P_0 = P_0^1, \dots, P_0^h,$$

corresponding to pairs  $(R_0^i, \xi_0^i)$ ,  $i = 1, \dots, h$ , which are representatives for the  $\Sigma$ -orbits of the Gross points of conductor  $c$ . Writing  $[P_0^i]$  for the  $\Sigma$ -orbit of  $P_0^i$ , let  $\delta_i$  be the element of  $\Delta$  such that

$$[\delta_i P_0^1] = [P_0^i].$$

Suppose that  $P_0^i$  corresponds to a pair  $(\vec{e}_0(i), \xi_0^i) \in \vec{\mathcal{E}}(\mathcal{T}) \times \text{Hom}(K, B)$ , modulo the action of  $\Gamma_+$ . Fix ends

$$(\vec{e}_0(i), \vec{e}_1(i), \dots, \vec{e}_n(i), \dots)$$

such that  $(\vec{e}_1(i), \xi_0^i)$  defines a Gross point of conductor  $cp$ . Thus,  $(\vec{e}_n(i), \xi_0^i)$  defines a Gross point  $P_n^i$  of conductor  $cp^n$ , for all  $n \geq 0$ . For  $g \in G_n$ , let  $e_n(i)^g$  denote the natural image of  $(P_n^i)^g$  in  $\mathcal{N}_{\text{sp}}$ . Let

$$\mathcal{L}_{p,n}(\mathcal{N}_{\text{sp}}/H, P_0^i) := \sum_{g \in G_n} e_n(i)^g \cdot g^{-1} \in \mathcal{N}_{\text{sp}} \otimes \mathbb{Z}[G_n].$$

The proof of lemma 3.2 also shows that the elements  $\mathcal{L}_{p,n}(\mathcal{N}_{\text{sp}}/H, P_0^i)$  are compatible under the maps induced by the natural projections of group rings. Thus, we may define the partial  $p$ -adic  $L$ -function attached to  $\mathcal{N}_{\text{sp}}$  and  $P_0^i$  to be

$$\mathcal{L}_p(\mathcal{N}_{\text{sp}}/H, P_0^i) := \lim_{\substack{\leftarrow \\ n}} \mathcal{L}_{p,n}(\mathcal{N}_{\text{sp}}/H, P_0^i) \in \mathcal{N}_{\text{sp}} \otimes \mathbb{Z}[[G_\infty]].$$

Observe that  $\mathcal{L}_p(\mathcal{N}_{\text{sp}}/H, P_0^i)$  depends only on the  $\Sigma$ -orbit of  $P_0^i$ , up to multiplication by elements of  $G_\infty$ .

Let  $I_H$  be the kernel of the augmentation map  $\mathbb{Z}[[G_\infty]] \rightarrow \mathbb{Z}$ . Like in the proof of lemma 3.7, one checks that  $\mathcal{L}_p(\mathcal{N}_{\text{sp}}/H, P_0^i)$  belongs to  $I_H$ . Write  $\mathcal{L}'_p(\mathcal{N}_{\text{sp}}/H, P_0^i)$  for the natural image of  $\mathcal{L}_p(\mathcal{N}_{\text{sp}}/H, P_0^i)$  in  $\mathcal{N}_{\text{sp}} \otimes I_H/I_H^2 = \mathcal{N}_{\text{sp}} \otimes G_\infty$ . Thus,

$$\mathcal{L}'_p(\mathcal{N}_{\text{sp}}/H, P_0^i) = \lim_{\substack{\leftarrow \\ n}} \mathcal{L}'_{p,n}(\mathcal{N}_{\text{sp}}/H, P_0^i),$$

where

$$(3) \quad \mathcal{L}'_{p,n}(\mathcal{N}_{\text{sp}}/H, P_0^i) = \sum_{g \in G_n} e_n(i)^g \otimes g^{-1}.$$

We obtain directly:

**Lemma 3.8**

i)

$$\mathcal{L}'_p(\mathcal{N}_{\text{sp}}/K) = \sum_{i=1}^h \mathcal{L}'_p(\mathcal{N}_{\text{sp}}/H, P_0^i).$$

ii)

$$\mathcal{L}'_p(\mathcal{N}_{\text{sp}}/H) = \sum_{i=1}^h \mathcal{L}'_p(\mathcal{N}_{\text{sp}}/H, P_0^i) \cdot \delta_i^{-1}.$$

## 4 The theory of $p$ -adic uniformization of Shimura curves

For more details on the results stated in this section, the reader is referred to [BC], [Cer], [Dr], [GVdP] and [BD2].

Let  $\mathcal{B}$  be the indefinite quaternion algebra over  $\mathbb{Q}$  of discriminant  $N^-p$ , and let  $\mathcal{R}$  be an Eichler order of  $\mathcal{B}$  of level  $N^+$ . Denote by  $X$  the Shimura curve over  $\mathbb{Q}$  associated with the order  $\mathcal{R}$ . We refer the reader to [BC] and [BD2], section 4 for the definition of  $X$  via moduli. Here we content ourselves with recalling Cerednik's theorem, which describes a rigid-analytic uniformization of  $X$ . Write

$$\mathcal{H}_p := \mathbb{C}_p - \mathbb{Q}_p$$

for the  $p$ -adic upper half plane. The group  $\text{GL}_2(\mathbb{Q}_p)$  acts (on the left) on  $\mathcal{H}_p$  by linear fractional transformations. Thus, fixing an isomorphism

$$\psi : B_p \rightarrow M_2(\mathbb{Q}_p)$$

induces an action of  $\Gamma$  on  $\mathcal{H}_p$ . This action is discontinuous, and the rigid-analytic quotient  $\Gamma \backslash \mathcal{H}_p$  defines the  $\mathbb{C}_p$ -points of a non-singular curve  $\mathcal{X}$  over  $\mathbb{Q}_p$ . The curves  $X$  and  $\mathcal{X}$  are equipped with the action of Hecke algebras  $\mathbb{T}_X$  and  $\mathbb{T}_{\mathcal{X}}$ , respectively ([BC], [BD1]).

By lemma 2.1, the action of  $\Gamma_+/\Gamma$  induces an involution  $W$  of  $\mathcal{X}$ . Let  $\mathbb{Q}_{p^2}$  be the unique unramified quadratic extension of  $\mathbb{Q}_p$  contained in  $\mathbb{C}_p$ , and let  $\tau$  be the generator of  $\text{Gal}(\mathbb{Q}_{p^2}/\mathbb{Q}_p)$ . Denote by  $\mathfrak{q} \in H^1(\langle \tau \rangle, \text{Aut}(\mathcal{X}))$  the class of the cocycle mapping  $\tau$  to  $W$ , and write  $\mathcal{X}^{\mathfrak{q}}$  for the curve over  $\mathbb{Q}_p$  obtained by twisting  $\mathcal{X}$  by  $\mathfrak{q}$ .

**Theorem 4.1 (Cerednik)**

*There is a Hecke-equivariant isomorphism  $X \simeq \mathcal{X}^{\mathfrak{q}}$  of curves over  $\mathbb{Q}_p$ . In particular,  $X$  and  $\mathcal{X}$  are isomorphic over  $\mathbb{Q}_{p^2}$ .*

*Proof.* See [Cer], [Dr], [BC].

Building on theorem 4.1, the results in [GVdP] yield a rigid-analytic description of the jacobian of  $X$ . If  $D = P_1 + \cdots + P_r - Q_1 - \cdots - Q_r \in \text{Div}^0(\mathcal{H}_p)$  is a divisor of degree zero on  $\mathcal{H}_p$ , define the theta function

$$\vartheta(z; D) = \prod_{\epsilon \in \Gamma} \frac{(z - \epsilon P_1) \cdots (z - \epsilon P_r)}{(z - \epsilon Q_1) \cdots (z - \epsilon Q_r)}.$$

Write  $\bar{\delta}$  for the natural image in  $\bar{\Gamma}$  of an element  $\delta$  of  $\Gamma$ . For all  $\delta$  in  $\Gamma$ , the above theta function satisfies the functional equation

$$\vartheta(\delta z; D) = \phi_D(\bar{\delta}) \vartheta(z; D),$$

where  $\phi_D$  is an element of  $\text{Hom}(\bar{\Gamma}, \mathbb{C}_p^\times) = \mathcal{N} \otimes \mathbb{C}_p^\times$  which does not depend on  $z$ . For  $\gamma \in \Gamma$ , the number  $\phi_{(\gamma z) - (z)}(\bar{\delta})$  does not depend on the choice of  $z \in \mathcal{H}_p$ , and depends only on the image of  $\gamma$  in  $\bar{\Gamma}$ . This gives rise to a pairing

$$[\ , \ ] : \bar{\Gamma} \times \bar{\Gamma} \rightarrow \mathbb{Q}_p^\times.$$

The pairing  $[\ , \ ]$  is bilinear and symmetric. The next proposition explains the relation between  $[\ , \ ]$  and the monodromy pairing  $\langle \ , \ \rangle : \bar{\Gamma} \times \bar{\Gamma} \rightarrow \mathbb{Z}$  defined in section 2.

**Proposition 4.2**

*The pairings  $\langle \ , \ \rangle$  and  $\text{ord}_p \circ [\ , \ ]$  are equal.*

*Proof.* See [M], th. 7.6.

It follows that  $\text{ord}_p \circ [\ , \ ]$  is positive definite, so that themap

$$j : \bar{\Gamma} \rightarrow \mathcal{N} \otimes \mathbb{Q}_p^\times$$

induced by  $[\ , \ ]$  is injective and has discrete image. Set  $\Lambda := j(\bar{\Gamma})$ . Given a divisor  $D$  of degree zero on  $\mathcal{X}(\mathbb{C}_p) = \Gamma \backslash \mathcal{H}_p$ , let  $\tilde{D}$  denote an arbitrary lift to a degree zero divisor on  $\mathcal{H}_p$ . The automorphy factor  $\phi_{\tilde{D}}$  depends on the choice of the lift  $\tilde{D}$ , but

its image in  $(\mathcal{N} \otimes \mathbb{C}_p^\times)/\Lambda$  depends only on  $D$ . Thus, the assignment  $D \mapsto \phi_{\bar{D}}$  gives a well-defined map from  $\text{Div}^0(\mathcal{X}(\mathbb{C}_p))$  to  $(\mathcal{N} \otimes \mathbb{C}_p^\times)/\Lambda$ .

**Proposition 4.3**

The map  $\text{Div}^0(\mathcal{X}(\mathbb{C}_p)) \rightarrow (\mathcal{N} \otimes \mathbb{C}_p^\times)/\Lambda$  defined above is trivial on the group of principal divisors, and induces a Hecke-equivariant isomorphism from the  $\mathbb{C}_p$ -points of the jacobian  $\mathcal{J}$  of  $\mathcal{X}$  to  $(\mathcal{N} \otimes \mathbb{C}_p^\times)/\Lambda$ .

*Proof.* See [GVdP], VI.2 and VIII.4, and also [BC], ch. III.

Let

$$\Phi : \mathcal{N} \otimes \mathbb{C}_p^\times \rightarrow \mathcal{J}(\mathbb{C}_p)$$

stand for the map induced by (the inverse of) the isomorphism defined in proposition 4.3.

**Modular parametrizations, II**

The map  $\eta_f : \mathcal{N} \rightarrow \mathbb{Z}$  defined in section 2 induces a map

$$\eta_f \otimes \text{id} : \mathcal{N} \otimes \mathbb{C}_p^\times \rightarrow \mathbb{C}_p^\times.$$

The Jacquet-Langlands correspondence [JL] implies that the quotient abelian variety  $\eta_f J$  is an elliptic curve  $\mathbb{Q}$ -isogenous to  $E$ . From now on, we assume that  $E = \eta_f J$  is the *strong Weil curve* for the parametrization by the Shimura curve  $X$ . By an abuse of notation, we denote by  $\eta_f$  also the surjective map

$$J(\mathbb{C}_p) \rightarrow E(\mathbb{C}_p)$$

induced by  $\eta_f$ .

Let  $\Lambda^f$  be the submodule of  $\Lambda$  on which  $\mathbb{T}$  acts via the character  $\phi_f$ .

**Proposition 4.4**

The kernel  $q^{\mathbb{Z}}$  of  $\Phi_{\text{Tate}}$  is canonically equal to the module  $\Lambda^f$ , and the following diagram

$$\begin{array}{ccccccccc} 0 & \longrightarrow & \Lambda & \longrightarrow & \mathcal{N} \otimes \mathbb{C}_p^\times & \xrightarrow{\Phi} & \mathcal{J}(\mathbb{C}_p) & \longrightarrow & 0 \\ & & \eta_f \downarrow & & \eta_f \otimes \text{id} \downarrow & & \eta_f \downarrow & & \\ 0 & \longrightarrow & \Lambda^f & \longrightarrow & \mathbb{C}_p^\times & \xrightarrow{\Phi_{\text{Tate}}} & E(\mathbb{C}_p) & \longrightarrow & 0 \end{array}$$

is Hecke-equivariant and commutes up to sign.

*Proof.* The right-most square in the above diagram is a consequence of proposition 4.3, combined with theorem 4.1 and the fact that  $f$  is split-multiplicative at  $p$ . In order to obtain the left-most square, it is enough to prove that the kernel of  $\Phi_{\text{Tate}}$  is equal to  $\Lambda^f$ . Note that the target  $\mathbb{C}_p^\times = \mathcal{N}^f \otimes \mathbb{C}_p^\times$  of the map  $\eta_f \otimes \text{id}$  is naturally a submodule of  $\mathcal{N} \otimes \mathbb{C}_p^\times$ , since the quotient of  $\mathcal{N}$  by  $\mathcal{N}^f$  is torsion-free. By definition,  $E(\mathbb{C}_p)$  may similarly be viewed as an abelian subvariety of  $\mathcal{J}(\mathbb{C}_p)$ . It follows that  $\Phi_{\text{Tate}}$  can be described as the restriction of  $\Phi$  to  $\mathbb{C}_p^\times$ . In particular,  $\ker(\Phi_{\text{Tate}})$  is equal to  $\Lambda \cap \mathbb{C}_p^\times$ . In turn, this last module is equal to  $\Lambda^f$ .

### Corollary 4.5

The integer  $\hat{c}_p = |\langle e^f, e_f \rangle|$  (introduced in lemma 3.3) is equal to  $c_p$ .

*Proof.* Working through the definition of the maps in the diagram of proposition 4.4 shows that  $[e^f, e_f]$  is equal to  $q^{\pm 1}$ . The claim follows from proposition 4.2.

## 5 $p$ -adic Shintani cycles and special values of complex L-functions

Let  $P_0 = (R_0, \xi_0) \pmod{B^\times}$  be a Gross point of conductor  $c$ . The point  $P_0$  determines a  $p$ -adic cycle  $\mathfrak{c}(P_0) \in \bar{\Gamma}$  in the following way. By strong approximation, we may assume that the representative  $(R_0, \xi_0)$  for  $P_0$  is such that the oriented orders  $R_0[\frac{1}{p}]$  and  $R[\frac{1}{p}]$  are equal. Thus,  $\xi_0$  induces an embedding of  $\mathcal{O}[\frac{1}{p}]$  into  $R[\frac{1}{p}]$ , which we still denote by  $\xi_0$ . The image by  $\xi_0$  of a fundamental  $p$ -unit in  $\mathcal{O}[\frac{1}{p}]$  having norm of even  $p$ -adic valuation determines an element  $\gamma = \gamma(P_0)$  of  $\Gamma$ . This element is well-defined up to conjugation and up to inversion, and up to multiplication by the image of torsion elements of  $\mathcal{O}^\times$ .

More explicitly, write  $k$  for the order of  $\sigma_p$  in  $\text{Pic}(\mathcal{O})$  (where  $\sigma_p$  is as in lemma 3.1), and set  $\mathfrak{p}^k = (v)$  with  $v \in \mathcal{O}$ . Let  $\iota$  be 1, resp. 2 if  $k$  is even, resp. odd. Then  $\gamma$  is the image of  $\xi_0(v)^\iota$  in  $\Gamma$ .

*Definition.* The  $p$ -adic Shintani cycle  $\mathfrak{c} = \mathfrak{c}(P_0)$  attached to  $P_0$  is the natural image of  $\gamma$  in  $\bar{\Gamma}$ .

This terminology is justified in the remark 5.4 below. Observe that  $\mathfrak{c}$  is well-defined up to sign.

Denote by  $\mathbb{Z}[\mathcal{E}(\mathcal{G})]_{\text{sp}}$  the maximal torsion-free quotient of  $\mathbb{Z}[\mathcal{E}(\mathcal{G})]/(w+1)\mathbb{Z}[\mathcal{E}(\mathcal{G})]$ , where  $w$  is any element of  $\Gamma_+ - \Gamma$ . Recall the element  $\tilde{\eta}_f \in \tilde{\mathbb{T}}$  defined in the proof of lemma 3.3, mapping to  $\eta_f$  by the natural projection  $\tilde{\mathbb{T}} \rightarrow \mathbb{T}$ . The next lemma relates the  $p$ -adic cycle  $\mathfrak{c}$  to the image in  $\mathcal{N}_{\text{sp}}$  of the Gross point  $P_0$ .

### Lemma 5.1

The natural images in  $\mathbb{Z}[\mathcal{E}(\mathcal{G})]_{\text{sp}}$  of  $\mathfrak{c}$  and  $\sum_{\sigma \in \Sigma} \iota P_0^\sigma$  are equal. In particular,  $\eta_f \mathfrak{c}$  is equal to the image of  $\sum_{\sigma \in \Sigma} \iota(\tilde{\eta}_f P_0^\sigma)$  in  $\mathbb{Z}[\mathcal{E}(\mathcal{G})]$ .

*Proof.* (In order to visualize the geometric content of this proof, the reader may find it helpful to refer to the picture in section 3.) Set  $P_i := \sigma_p^i P_0$ , for  $i = 0, \dots, k-1$ . By part 1 of lemma 3.1 and the definition of the action of  $U_p$  on the Bruhat-Tits tree, we can fix representatives  $(\vec{e}_i, \xi_0)$  for the Gross points  $P_i$  so that the  $\vec{e}_i$  are consecutive oriented edges of  $\mathcal{T}$ . With notations as at the beginning of this section, let  $\gamma_+ \in \Gamma_+$  be the image of  $\xi_0(v)$ . Thus,  $\gamma = \gamma_+^\iota$ . Call  $v_0$  the origin of  $\vec{e}_0$ . If  $\iota = 1$ ,



the even vertex of the edge  $\vec{e}_{k-1}$  is equal to  $\gamma v_0$ . If  $\iota = 2$ , i.e.,  $\gamma_+$  belongs to  $\Gamma_+ - \Gamma$ , then

$$\vec{e}_0, \dots, \vec{e}_{k-1}, \gamma_+ \vec{e}_0, \dots, \gamma_+ \vec{e}_{k-1}$$

is a sequence of consecutive oriented edges, and the even vertex of  $\gamma_+ \vec{e}_{k-1}$  is equal to  $\gamma v_0$ . Note that  $\sum_{\sigma \in \Sigma} \iota P_0^\sigma$  is equal in  $\mathbb{Z}[\vec{\mathcal{E}}(\mathcal{G}_+)]$  to  $\vec{e}_0 + \vec{e}_1 + \dots + \vec{e}_{k-1}$  if  $\iota = 1$ , and to

$$\vec{e}_0 + \vec{e}_1 + \dots + \vec{e}_{k-1} + \gamma_+ \vec{e}_0 + \gamma_+ \vec{e}_1 + \dots + \gamma_+ \vec{e}_{k-1}$$

if  $\iota = 2$ . Denote by  $e_i$  the unoriented edge of  $\mathcal{T}$  corresponding to  $\vec{e}_i$ , and let  $w$  be any element of  $\Gamma_+ - \Gamma$ . By definition of the bijection  $\kappa$  of lemma 2.2, the following equalities hold in  $\mathbb{Z}[\mathcal{E}(\mathcal{G})]$ :

$$\kappa^{-1}(\vec{e}_0 + \dots + \vec{e}_{k-1}) = e_0 + w e_1 + \dots + e_{k-2} + w e_{k-1} \quad \text{if } \iota = 1,$$

$$\begin{aligned} \kappa^{-1}(\vec{e}_0 + \dots + \vec{e}_{k-1} + \gamma_+ \vec{e}_0 + \gamma_+ \vec{e}_1 + \dots + \gamma_+ \vec{e}_{k-1}) &= e_0 + w e_1 + \dots \\ &+ e_{k-1} + w(\gamma_+ e_0) + (\gamma_+ e_1) + \dots + w(\gamma_+ e_{k-1}) \quad \text{if } \iota = 2. \end{aligned}$$

Projecting the right hand sides of the above equalities to  $\mathbb{Z}[\mathcal{E}(\mathcal{G})]_{\text{sp}}$ , and keeping into account that  $w$  acts as  $-1$  on this module, gives in both cases  $\text{path}(v_0, \gamma v_0)$ .

The next proposition elucidates the relation between the  $p$ -adic Shintani cycle defined above and the special values of the complex  $L$ -function of  $E/K$ . Following the notations of section 3, fix Gross points  $P_0 = P_0^1, \dots, P_0^h$  which are representatives for the  $\Sigma$ -orbits of the Gross points of conductor  $c$ , and list the elements of  $\Delta$  so that  $[\delta_i P_0^1] = [P_0^i]$ , where  $[P_0^i]$  denotes the  $\Sigma$ -orbit of  $P_0^i$ . As above, the Gross point  $P_0^i$  determines a  $p$ -adic Shintani cycle  $\mathbf{c}_i \in \bar{\Gamma}$ , with  $\mathbf{c}_1 = \mathbf{c}$ . Given a complex character  $\chi : \Delta \rightarrow \mathbb{C}^\times$  of  $\Delta$ , set

$$\mathbf{c}_H := \sum_{i=1}^h \mathbf{c}_i \otimes \delta_i^{-1} \in \bar{\Gamma} \otimes \mathbb{Z}[\Delta],$$

$$\mathbf{c}_{K,\chi} := \chi(\mathbf{c}_H) = \sum_{i=1}^h \mathbf{c}_i \otimes \chi(\delta_i)^{-1} \in \bar{\Gamma} \otimes \mathbb{Z}[\chi].$$

If  $\chi$  is the trivial character, we will also write  $\mathbf{c}_K$  as a shorthand for  $\mathbf{c}_{K,\chi}$ . Extend the pairing  $\langle \cdot, \cdot \rangle$  on  $\bar{\Gamma}$  to a hermitian pairing on  $\bar{\Gamma} \otimes \mathbb{Z}[\chi]$ .

### Proposition 5.2

Suppose that  $\chi$  is primitive. The following equality holds:

$$\langle \eta_f \mathbf{c}_{K,\chi}, \mathbf{c}_{K,\chi} \rangle = \frac{L(E/K, \chi, 1)}{\Omega_f} \sqrt{d} \cdot (\iota u)^2 \cdot n_f.$$

*Proof.* In view of lemma 5.1, this is simply a restatement of the results of [Gr] and [Dag].

Recall the maps  $j : \bar{\Gamma} \rightarrow \mathcal{N} \otimes \mathbb{Q}_p^\times$  and  $\eta_f \otimes \text{id} : \mathcal{N} \otimes \mathbb{C}_p^\times \rightarrow \mathbb{C}_p^\times$  defined in section 4. By an abuse of notation, we denote in the same way the maps obtained by extending scalars to  $\mathbb{Z}[\chi]$ .

### Corollary 5.3

*The equality*

$$(\eta_f \otimes \text{id})(j(\mathbf{c}_{K,\chi})) = q \otimes \rho$$

holds in  $\mathbb{Q}_p^\times \otimes \mathbb{Z}[\chi]$ , where  $\rho \in \mathbb{Z}[\chi]$  satisfies

$$|\rho|^2 = \frac{L(E/K, \chi, 1)}{\Omega_f} \sqrt{d} \cdot (\iota u)^2 \cdot n_f.$$

*Proof.* By proposition 4.4 combined with the definition of  $\eta_f$  given in section 2,  $\rho$  is equal to  $\langle \mathbf{c}_{K,\chi}, e^f \rangle \in \mathbb{Z}[\chi]$ . Hence

$$\begin{aligned} |\rho|^2 &= \langle \mathbf{c}_{K,\chi}, e^f \rangle \langle e^f, \mathbf{c}_{K,\chi} \rangle \\ &= \langle \eta_f \mathbf{c}_{K,\chi}, \mathbf{c}_{K,\chi} \rangle. \end{aligned}$$

The claim follows from proposition 5.2.

### Remark 5.4

Let  $F$  be a real quadratic field and let  $\psi : F \rightarrow M_2(\mathbb{Q})$  be an embedding. Assume that  $\psi$  maps the ring of integers  $\mathcal{O}_F$  to the Eichler order  $M_0(N)$  of integral matrices with lower left entry divisible by  $N$ . Since the homology group  $H_1(X_0(N), \mathbb{Z})$  can be identified with the maximal torsion-free abelian quotient of  $\Gamma_0(N)$ , the image by  $\psi$  of a fundamental unit in  $\mathcal{O}_F$  of norm 1 determines an integral homology cycle  $\mathfrak{s} \in H_1(X_0(N), \mathbb{Z})$ . Shintani [Sh] proved that the cycle  $\mathfrak{s}$  encodes the special values of the classical  $L$ -series over  $F$  attached to newforms on  $X_0(N)$ . In light of proposition 5.2, the element  $\mathbf{c}$  can be viewed as a  $p$ -adic analogue of the cycle  $\mathfrak{s}$ .

## 6 $p$ -adic Shintani cycles and derivatives of $p$ -adic $L$ -functions

Let  $P_0$  be a Gross point of conductor  $c$ . In section 5, we attached to  $P_0$  a  $p$ -adic cycle  $\mathbf{c} \in \bar{\Gamma}$ , and proved in proposition 5.2 that  $\mathbf{c}$  is related to the special values of the complex  $L$ -function of  $E/K$ . Our main result (theorem 6.1 below) shows that  $\mathbf{c}$  is also related to the first derivative of the  $p$ -adic  $L$ -function defined in section 3. By combining these results we will obtain theorem 1.1.

Write  $\underline{j}$  for the composite map

$$\bar{\Gamma} \xrightarrow{j} \mathcal{N} \otimes \mathbb{Q}_p^\times \rightarrow \mathcal{N}_{\text{sp}} \otimes \mathbb{Q}_p^\times \rightarrow \mathcal{N}_{\text{sp}} \otimes G_\infty,$$

where the second map is induced by the natural projection of  $\mathcal{N}$  onto  $\mathcal{N}_{\text{sp}}$ , and the third map is induced by  $\text{rec}_p : \mathbb{Q}_p^\times \rightarrow G_\infty$ . Our main result is the following.

### Theorem 6.1

*The following equality holds up to sign in  $\mathcal{N}_{\text{sp}} \otimes G_\infty$ :*

$$\mathcal{L}'_p(\mathcal{N}_{\text{sp}}/H, P_0)^\iota = \underline{j}(\mathbf{c}).$$

Recall the definition of the elements  $\mathfrak{c}_H$  and  $\mathfrak{c}_K$  given in section 5. By lemma 3.8, we obtain directly:

**Corollary 6.2**

(i) The following equality holds up to sign in  $\mathcal{N}_{\text{sp}} \otimes \mathbb{Z}[\Delta] \otimes G_\infty$ :

$$\mathcal{L}'_p(\mathcal{N}_{\text{sp}}/H)^t = \underline{j}(\mathfrak{c}_H).$$

(ii) The following equality holds up to sign in  $\mathcal{N}_{\text{sp}} \otimes G_\infty$ :

$$\mathcal{L}'_p(\mathcal{N}_{\text{sp}}/K)^t = \underline{j}(\mathfrak{c}_K).$$

By applying the operator  $\eta_f$  to both sides of the equalities of corollary 6.2, and using corollary 4.5 and the definitions of the  $p$ -adic  $L$ -functions attached to  $\mathcal{N}_{\text{sp}}$  and  $E$ , we find:

**Corollary 6.3**

(i) The following equality holds up to sign in  $\mathbb{Z}[\Delta] \otimes G_\infty$ :

$$c_p \mathcal{L}'_p(E/H)^t = \underline{j}(\eta_f \mathfrak{c}_H).$$

(ii) The following equality holds up to sign in  $G_\infty$ :

$$c_p \mathcal{L}'_p(E/K)^t = \underline{j}(\eta_f \mathfrak{c}_K).$$

*Proof of theorem 1.1*

Combine corollary 6.3 with corollary 5.3.

By combining corollary 6.3 with corollary 5.3, we also obtain the following generalization of theorem 1.1. Let  $\mathcal{L}'_p(E/K, \chi)$  stand for the element  $\chi(\mathcal{L}'_p(E/H))$  of  $G_\infty \otimes \mathbb{Z}[\chi]$ .

**Theorem 6.4**

Suppose that  $\chi$  is primitive. The following equalities hold up to sign:

$$c_p \mathcal{L}'_p(E/K, \chi) = \text{rec}_p(q) \otimes \rho \quad \text{in } G_\infty \otimes \mathbb{Z}[\frac{1}{2}][\chi]$$

and

$$\mathcal{L}'_p(E/K, \chi) = \frac{\text{rec}_p(q)}{\text{ord}_p(q)} \otimes \rho \quad \text{in } G_\infty \otimes \mathbb{Q}[\chi],$$

where

$$|\rho|^2 = \frac{L(E/K, \chi, 1)}{\Omega_f} \cdot d^{\frac{1}{2}} u^2 n_f.$$

### Corollary 6.5

The derivative  $\mathcal{L}'_p(E/K, \chi)$  is non-zero in  $G_\infty \otimes \mathbb{Q}[\chi]$  if and only if the classical special value  $L(E/K, \chi, 1)$  is non-zero.

*Proof.* By theorem 6.4, one is reduced to showing that  $\text{rec}_p(q)$  is a non-torsion element of  $G_\infty$ , i.e.,  $q^{p-1}$  does not belong to the kernel of the reciprocity map. But elements in this kernel are algebraic over  $\mathbb{Q}$ , and  $q$  is known to be transcendental by a result of Barré-Sirieix, Diaz, Gramain and Philibert [BSDGP].

### Remark 6.6

Theorem 1.1 was conjectured in [BD1], section 5.1 in a slightly different form. We conclude this section by studying the compatibility of theorem 1.1 (and its generalization theorem 6.4) with the conjectures of [BD1]. For simplicity, assume throughout this remark that the elliptic curve  $E$  is semistable, so that  $N$  is square-free, and that  $E$  is isolated in its isogeny class, so that the action of  $\text{Gal}(\bar{\mathbb{Q}}/\mathbb{Q})$  on the  $\ell$ -torsion points of  $E$  is irreducible for all primes  $\ell$ .

Let  $p_1 \cdots p_n q_1 \cdots q_n$  be a prime factorization of the squarefree integer  $pN^-$ , with  $p_1 = p$ . Denote by  $X_1$  the Shimura curve  $X$ , and by  $X_{n+1}$  the classical modular curve  $X_0(N)$ . For  $i = 2, \dots, n$ , denote by  $X_i$  the Shimura curve associated with an Eichler order of level  $N^+ p_1 \cdots p_{i-1} q_1 \cdots q_{i-1}$  in the indefinite quaternion algebra of discriminant  $p_i \cdots p_n q_i \cdots q_n$ . Since  $E$  is modular, the Jacquet-Langlands correspondence [JL] implies that  $E$  is parametrized by the jacobian  $J_i$  of the curve  $X_i$ ,  $i = 1, \dots, n+1$ . Let

$$\phi_i : J_i \rightarrow E$$

be the *strong* Weil parametrization of  $E$  by  $J_i$ . Thus, the morphism  $\phi_i$  has connected kernel, and its dual  $\phi_i^\vee : E \rightarrow J_i$  is injective. The endomorphism  $\phi_i \circ \phi_i^\vee$  of  $E$  is multiplication by an integer  $d_{X_i}$ , called the *degree* of the modular parametrization of  $E$  by the Shimura curve  $X_i$ .

If  $\ell \mid N$ , denote by  $c_\ell$  the order of the group of connected components of  $E$  at  $\ell$ .

### Theorem 6.7 (Ribet-Takahashi)

Under our assumptions:

i)

$$\frac{d_{X_0(N)}}{d_X} = c_{p_1} \cdots c_{p_n} c_{q_1} \cdots c_{q_n};$$

ii)

$$\langle e^f, e^f \rangle = d_X c_p .$$

*Proof.* Part i) follows from theorem 1 of [RT]. Part ii) follows from section 2 of [RT]. The results of [RT] exclude the case where  $N^+$  is prime, but a forthcoming paper of S. Takahashi will deal with this case as well.

By combining theorem 6.7 with the relation  $\Omega_f = d_{X_0(N)} \cdot \Omega_E$ , where  $\Omega_E$  is the complex period of  $E$ , we find that the formula of theorem 1.1 (and likewise for theorem 6.4) becomes

$$\mathcal{L}'_p(E/K) = \frac{\text{rec}_p(q)}{\text{ord}_p(q)} \sqrt{L(E/K, 1) \Omega_E^{-1} \cdot d^{\frac{1}{2}} u^2 \prod_{\ell \mid N^-} c_\ell^{-1}},$$

which is the same as conjecture 5.3 of [BD1].

## 7 Proof of theorem 6.1

First, we give an explicit description of certain group actions on the  $p$ -adic upper half plane and on the Bruhat-Tits tree depending on our choice of a Gross point  $P_0$  of conductor  $c$ . Then, we compute the value  $\underline{j}(\mathfrak{c})$ , for  $\mathfrak{c}$  as in sections 5 and 6.

### I Group actions on $\mathcal{H}_p$ and $\mathcal{T}$

Let  $K_p := K \otimes \mathbb{Q}_p$ . Our choice of a prime  $\mathfrak{p}$  above  $p$  determines an identification of  $K_p = K_{\mathfrak{p}} \times K_{\bar{\mathfrak{p}}}$  with  $\mathbb{Q}_p \times \mathbb{Q}_p$ .

As in section 5, choose a representative  $(R_0, \xi_0)$  for the Gross point  $P_0$  such that  $R_0[\frac{1}{p}]$  and  $R[\frac{1}{p}]$  are equal. Let  $(\vec{e}_0, \xi_0)$  be a pair corresponding to  $P_0$ , and denote by  $v_0$  the origin of  $\vec{e}_0$ . Set  $R_{0,p} := R_0 \otimes \mathbb{Z}_p$ , and let  $\underline{R}_{0,p}$  be the maximal order of  $B_p$  corresponding to  $v_0$ . Recall the isomorphism

$$\psi : B_p \rightarrow M_2(\mathbb{Q}_p)$$

fixed in section 4. We may, and will from now on, choose  $\psi$  so that:

i)  $\psi$  maps  $\underline{R}_{0,p}$  onto  $M_2(\mathbb{Z}_p)$ ;

ii)  $\psi \circ \xi_0$  maps  $(x, y) \in K_p = \mathbb{Q}_p \times \mathbb{Q}_p$  to the diagonal matrix  $\begin{pmatrix} x & 0 \\ 0 & y \end{pmatrix}$ .

Condition i) allows us to identify  $\mathcal{T} = \mathbb{Q}_p^\times \underline{R}_{0,p}^\times \backslash B_p^\times$  with  $\mathrm{PGL}_2(\mathbb{Z}_p) \backslash \mathrm{PGL}_2(\mathbb{Q}_p)$ . Viewing  $K_p^\times$  as a subgroup of  $\mathrm{GL}_2(\mathbb{Q}_p)$  thanks to the embedding  $\psi \circ \xi_0$  yields actions of  $K_p^\times$  on  $\mathcal{H}_p$  and on  $\mathcal{T} = \mathrm{PGL}_2(\mathbb{Z}_p) \backslash \mathrm{PGL}_2(\mathbb{Q}_p)$ , factoring through  $K_p^\times / \mathbb{Q}_p^\times$ . Identify this last group with  $\mathbb{Q}_p^\times$  by mapping a pair  $(x, y)$  modulo  $\mathbb{Q}_p^\times$  to  $xy^{-1}$ . Under this identification, an element  $x$  of  $\mathbb{Q}_p^\times$  acts on  $\mathcal{H}_p$  as multiplication by  $x$ , and on  $\mathcal{T}$  as conjugation by the matrix  $\begin{pmatrix} x & 0 \\ 0 & 1 \end{pmatrix}$ .

Recall the element  $v \in \mathcal{O} \subset K_p^\times$  defined in section 5 by  $\mathfrak{p}^k = (v)$ . Identify as above  $v$  with an element  $\underline{w}$  of  $\mathbb{Q}_p^\times$ . Note that  $\underline{w}$  is equal to  $p^k$  times a  $p$ -adic unit. Set  $\tilde{G}_\infty := \mathbb{Q}_p^\times = p^\mathbb{Z} \times \mathbb{Z}_p^\times$ . Define the quotients of  $\tilde{G}_\infty$

$$\tilde{\Sigma} := \mathbb{Q}_p^\times / \mathbb{Z}_p^\times = p^\mathbb{Z}, \quad \tilde{G}_n := p^\mathbb{Z} \times (\mathbb{Z}_p / p^n \mathbb{Z}_p)^\times, \quad n \geq 1.$$

To simplify slightly the computation, assume from now on that  $\mathcal{O}^\times = \{\pm 1\}$ . (If  $\mathcal{O}^\times \neq \{\pm 1\}$ , then  $K$  has discriminant  $-3$  or  $-4$ , and the exact sequences below have to be modified to account for the non-trivial units of  $\mathcal{O}$ . The computations in this case follow closely those presented in the paper.) Class field theory yields the exact sequence

$$0 \rightarrow \langle \underline{w} \rangle \rightarrow \tilde{G}_\infty \xrightarrow{\mathrm{rec}_p} G_\infty \rightarrow 0,$$

and the induced sequences

$$0 \rightarrow \langle \underline{w} \rangle \rightarrow \tilde{\Sigma} \rightarrow \Sigma \rightarrow 0, \quad 0 \rightarrow \langle \underline{w} \rangle \rightarrow \tilde{G}_n \rightarrow G_n \rightarrow 0.$$

For  $n \geq 0$ , denote by  $\mathbb{Z}_p^{(n)} \subset \tilde{G}_\infty$  the subgroup of elements of  $\mathbb{Z}_p^\times$  which are congruent to 1 modulo  $p^n$ .

*Definition.* We say that a vertex  $v$  of  $\mathcal{T}$  has *level*  $n$ , and write  $\ell(v) = n$ , if the stabilizer of  $v$  for the action of  $\tilde{G}_\infty$  is equal to  $\mathbb{Z}_p^{(n)}$ . Likewise, we say that an edge  $e$  of  $\mathcal{T}$  has *level*  $n$ , and write  $\ell(e) = n$ , if the stabilizer of  $e$  for the action of  $\tilde{G}_\infty$  is  $\mathbb{Z}_p^{(n)}$ .

Note that the group  $\tilde{G}_n$  ( $\tilde{\Sigma}$  if  $n = 0$ ) acts simply transitively on the vertices and edges of level  $n$ . By definition of the action of  $\tilde{G}_\infty$  on  $\mathcal{T}$ ,  $v_0$  is a vertex of level 0. Thus, the set of vertices of level 0 is equal to the  $\tilde{\Sigma}$ -orbit of  $v_0$ . More generally, the set of vertices of level  $n$  can be described as the  $\tilde{G}_n$ -orbit of a vertex  $v_n$ , whose distance from  $v_0$  is  $n$  and whose distance from all the other vertices in the orbit  $\tilde{\Delta}v_0$  is  $> n$ .

By using the standard coordinate, identify  $\mathbb{P}^1(\mathbb{C}_p)$  with  $\mathbb{C}_p \cup \{\infty\}$  and  $\mathcal{H}_p$  with  $\mathbb{P}^1(\mathbb{C}_p) - \mathbb{P}^1(\mathbb{Q}_p)$ . In particular, view 0 and  $\infty$  as elements of  $\mathbb{P}^1(\mathbb{Q}_p)$ . Recall the element  $\gamma = \gamma(P_0)$  of  $\Gamma$  defined in section 5. Since the reduced norm of  $\gamma$  has positive valuation, our choice of the isomorphism  $\psi$  yields

$$(4) \quad \lim_{n \rightarrow +\infty} \gamma^n z = 0, \quad \lim_{n \rightarrow -\infty} \gamma^n z = \infty$$

for all  $z \in \mathcal{H}_p$ . Note also that 0 and  $\infty$  are the fixed points for the action of  $\tilde{G}_\infty$  on  $\mathbb{P}^1(\mathbb{C}_p)$ .

Let  $\mathcal{H}_p(\mathbb{Q}_{p^2}) = \mathbb{Q}_{p^2} - \mathbb{Q}_p$  be the  $\mathbb{Q}_{p^2}$ -points of the  $p$ -adic upper half plane. Define the *reduction map*

$$r : \mathcal{H}_p(\mathbb{Q}_{p^2}) \rightarrow \mathcal{V}(\mathcal{T})$$

as follows. Given  $z \in \mathcal{H}_p(\mathbb{Q}_{p^2})$ , let  $\mathcal{Q}_z$  denote the stabilizer of  $z$  in  $\mathrm{GL}_2(\mathbb{Q}_p)$ , together with the zero matrix. Then  $\mathcal{Q}_z$  is a field isomorphic to  $\mathbb{Q}_{p^2}$ , and this gives rise to an embedding of  $\mathbb{Q}_{p^2}$  in  $M_2(\mathbb{Q}_p)$  (well-defined up to an isomorphism of  $\mathbb{Q}_{p^2}$ ). Write  $\mathbb{Z}_{p^2}$  for the ring of integers of  $\mathbb{Q}_{p^2}$ , and let  $S$  be the unique maximal order of  $M_2(\mathbb{Q}_p)$  containing the image of  $\mathbb{Z}_{p^2}$  by the above embedding. We have  $r(z) = S$ . (See also [BD2], section 1.)

### Lemma 7.1

- 1) The reduction map  $r$  is  $\mathrm{GL}_2(\mathbb{Q}_p)$ -equivariant. In particular,  $r$  is equivariant for the group actions defined above.
- 2) Write  $\mathbb{Z}_{p^2} = \mathbb{Z}_p\alpha + \mathbb{Z}_p$ . We have  $r^{-1}(v_0) = \mathbb{Z}_p^\times\alpha + \mathbb{Z}_p$ .
- 3) If  $z_1$  and  $z_2$  are mapped by  $r$  to adjacent vertices of respective levels  $n$  and  $n+1$ , then  $z_1 z_2^{-1} \equiv 1 \pmod{p^n}$ .

*Proof.*

1) Let  $z$  be an element of  $\mathcal{H}_p(\mathbb{Q}_{p^2})$ , and let  $B$  be a matrix in  $\mathrm{GL}_2(\mathbb{Q}_p)$ . If  $f : \mathbb{Q}_{p^2} \rightarrow M_2(\mathbb{Q}_p)$  is an embedding fixing  $z$ , then  $BfB^{-1}$  is an embedding fixing  $Bz$ . Suppose that  $S$  is the maximal ideal containing  $f(\mathbb{Z}_{p^2})$ . Then  $BSB^{-1} = S * B^{-1}$  is the maximal ideal containing the image of  $\mathbb{Z}_{p^2}$  by  $BfB^{-1}$ . Thus,  $r(Bz) = S * B^{-1}$ , as was to be shown.

2) Suppose to fix ideas that  $p > 2$ . Then, we may assume that  $\alpha = \sqrt{\nu}$ , where the integer  $\nu$  is not a square modulo  $p$ . (The case  $p = 2$  can be dealt with in a similar way, for instance by taking  $\alpha = (1 + \sqrt{-3})/2$ .) A direct computation shows that

$$\mathcal{Q}_{\sqrt{\nu}} = \left\{ \begin{pmatrix} b & a\nu \\ a & b \end{pmatrix} : a, b \in \mathbb{Q}_p \right\}.$$

Mapping the above matrix to  $a\sqrt{\nu} + b$  yields an isomorphism of  $\mathcal{Q}_{\sqrt{\nu}}$  onto  $\mathcal{Q}_{p^2}$ . Thus,  $r(\sqrt{\nu})$  is equal to  $v_0 = M_2(\mathbb{Z}_p)$ . Given  $z = a\sqrt{\nu} + b \in \mathcal{H}_p(\mathcal{Q}_{p^2})$ , we have  $z = B\sqrt{\nu}$ , where  $B$  is the matrix  $\begin{pmatrix} a & b \\ 0 & 1 \end{pmatrix}$ . By part 1,

$$r(z) = BM_2(\mathbb{Z}_p)B^{-1}.$$

But  $BM_2(\mathbb{Z}_p)B^{-1} = M_2(\mathbb{Z}_p)$  if and only if  $B$  belongs to  $\text{GL}_2(\mathbb{Z}_p)$ , i.e.,  $a$  belongs to  $\mathbb{Z}_p^\times$ .

3) Set  $r(z_1) = v_1$  and  $r(z_2) = v_2$ . The edge joining  $v_1$  to  $v_2$  has level  $n + 1$ . Since  $\tilde{G}_\infty = \mathbb{Q}_p^\times$  acts transitively on the edges of level  $n + 1$ , there is  $g \in \mathbb{Q}_p^\times$  such that  $gv_1$  and  $gv_2$  have distance from  $v_0$  equal to  $n$  and  $n + 1$ , respectively. With notations as in the proof of part 2 of this proposition, write  $gz_i = a_i\sqrt{\nu} + b_i$ ,  $i = 1, 2$ , where  $a_i, b_i \in \mathbb{Z}_p$ ,  $\gcd(a_i, b_i) = 1$ , and  $p^n \parallel a_1$ ,  $p^{n+1} \parallel a_2$ . Thus, the vertex  $gv_i$  is represented by the matrix

$$A_i = \begin{pmatrix} a_i & b_i \\ 0 & 1 \end{pmatrix}.$$

Our assumption on  $gv_1$  and  $gv_2$  implies that the column  $\begin{pmatrix} b_2 \\ 1 \end{pmatrix}$  of  $A_2$  is a  $\mathbb{Z}_p$ -linear combination of the columns of  $A_1$ . It follows that  $b_1 \equiv b_2 \pmod{p^n}$ , and hence

$$z_1 z_2^{-1} = gz_1 (gz_2)^{-1} \equiv 1 \pmod{p^n}.$$

## II The calculation

Given  $\delta \in \Gamma$ , write as usual  $\bar{\delta}$  for the natural image of  $\delta$  in  $\bar{\Gamma}$ . We now compute explicitly the value of  $j(\mathbf{c})(\bar{\delta}) = [\mathbf{c}, \bar{\delta}]$ , for  $\delta \in \Gamma$ . We begin with the following lemma.

### Lemma 7.2

Given  $\delta \in \Gamma$ , we have

$$j(\mathbf{c})(\bar{\delta}) = \prod_{\epsilon \in \mathcal{S}} \frac{\epsilon \delta z_0}{\epsilon z_0},$$

where  $z_0$  is any element in  $\mathcal{H}_p$ , and  $\mathcal{S}$  is any set of representatives for  $\langle \gamma \rangle \backslash \Gamma$ .

*Proof.* (Cf. [M], theorem 2.8.)

Let  $\mathcal{S}'$  be any set of representatives for  $\Gamma / \langle \gamma \rangle$ . In view of the formulae (4), for any  $z_0$  and  $a$  in  $\mathcal{H}_p$  we have the chain of equalities

$$\begin{aligned} j(\mathbf{c})(\bar{\delta}) &= \prod_{\epsilon \in \Gamma} \frac{z_0 - \epsilon a}{z_0 - \epsilon \gamma a} \cdot \frac{\delta z_0 - \epsilon \gamma a}{\delta z_0 - \epsilon a} \\ &= \prod_{\epsilon \in \mathcal{S}'} \prod_{n=-\infty}^{+\infty} \frac{z_0 - \epsilon \gamma^n a}{z_0 - \epsilon \gamma^{n+1} a} \cdot \frac{\delta z_0 - \epsilon \gamma^{n+1} a}{\delta z_0 - \epsilon \gamma^n a} \\ &= \prod_{\epsilon \in \mathcal{S}'} \lim_{N \rightarrow +\infty} \frac{z_0 - \epsilon \gamma^{-N} a}{z_0 - \epsilon \gamma^{N+1} a} \cdot \frac{\delta z_0 - \epsilon \gamma^{N+1} a}{\delta z_0 - \epsilon \gamma^{-N} a} \\ &= \prod_{\epsilon \in \mathcal{S}'} \frac{z_0 - \epsilon \infty}{z_0 - \epsilon 0} \cdot \frac{\delta z_0 - \epsilon 0}{\delta z_0 - \epsilon \infty} \\ &= \prod_{\epsilon \in \mathcal{S}'} \frac{\epsilon^{-1} \delta z_0}{\epsilon^{-1} z_0}. \end{aligned}$$

Note that  $(\mathcal{S}')^{-1}$  is a set of representatives for  $\langle \gamma \rangle \backslash \Gamma$ , and any set of representatives for  $\langle \gamma \rangle \backslash \Gamma$  can be obtained in this way. The claim follows.

**Lemma 7.3**

Let  $d$  be an edge of  $\mathcal{T}$ , let  $n$  be a positive integer, and let  $\mathcal{S}$  be a set of representatives for  $\langle \gamma \rangle \backslash \Gamma$ . Then the set  $\{\epsilon \in \mathcal{S} : \ell(\epsilon d) \leq n\}$  is finite.

*Proof.* If  $\{\epsilon_i\}$  is a sequence of distinct elements of  $\mathcal{S}$  such that  $\ell(\epsilon_i d) \leq n$ , we can find integers  $k_i$  such that  $\gamma^{k_i} \epsilon_i d$  describes only finitely many edges. This contradicts the discreteness of  $\Gamma$ .

We say that two elements of  $\bar{\Gamma}$  are *linearly independent* if they generate a rank two free abelian subgroup of  $\bar{\Gamma}$ .

**Proposition 7.4**

1) Suppose that  $\mathfrak{c}$  and  $\bar{\delta}$  are linearly independent in  $\bar{\Gamma}$ . There exists a set  $\mathcal{S}$  of representatives for  $\langle \gamma \rangle \backslash \Gamma$  such that if  $\epsilon$  belongs to  $\mathcal{S}$ , then all the elements of the coset  $\epsilon \langle \delta \rangle$  belong to  $\mathcal{S}$ .

2) There exists a set  $\mathcal{S} = \mathcal{S}_0 \amalg \mathcal{S}_1$  of representatives for  $\langle \gamma \rangle \backslash \Gamma$  such that:

(i) the set  $\mathcal{S}_0$  contains a finite number of elements which are mapped by the isomorphism  $\psi$  to diagonal matrices of  $\mathrm{PGL}_2(\mathbb{Q}_p)$ ;

(ii) if  $\epsilon$  belongs to  $\mathcal{S}_1$ , then all the elements of the coset  $\epsilon \langle \gamma \rangle$  belong to  $\mathcal{S}_1$ .

*Proof.* (Cf. [M], lemma 2.7)

1) Consider a decomposition of  $\Gamma$  as disjoint union of double cosets

$$\Gamma = \coprod_{\bar{\epsilon} \in \bar{\mathcal{S}}} \langle \gamma \rangle \bar{\epsilon} \langle \delta \rangle.$$

We claim that we may take  $\mathcal{S}$  to be  $\{\bar{\epsilon} \delta^m : \bar{\epsilon} \in \bar{\mathcal{S}}, m \in \mathbb{Z}\}$ . For, if  $\bar{\epsilon} \delta^m = \gamma^r \bar{\epsilon} \delta^n$ , we find  $\delta^{m-n} = \bar{\epsilon}^{-1} \gamma^r \bar{\epsilon}$ . Projecting this relation to  $\bar{\Gamma}$  gives  $m = n$ .

2) Consider a decomposition of  $\Gamma$  as disjoint union of double cosets

$$\Gamma = \coprod_{\bar{\epsilon} \in \bar{\mathcal{S}}} \langle \gamma \rangle \bar{\epsilon} \langle \gamma \rangle.$$

Define  $\mathcal{S}_1$  to be the set of elements of  $\Gamma$  of the form  $\bar{\epsilon} \gamma^m$ ,  $m \in \mathbb{Z}$ , where  $\bar{\epsilon} \in \bar{\mathcal{S}}$  is such that  $\langle \gamma \rangle \bar{\epsilon} \gamma^n \neq \langle \gamma \rangle \bar{\epsilon} \gamma^m$  whenever  $m \neq n$ . As for  $\mathcal{S}_0$ , we claim that it can be taken to be the set of elements  $\bar{\epsilon} \in \bar{\mathcal{S}}$  which do not satisfy the above condition. In such a case, there is a relation  $\gamma^r \bar{\epsilon} \gamma^n = \bar{\epsilon} \gamma^m$  for integers  $r$  and  $m \neq n$ . Then,  $\gamma^r = \bar{\epsilon} \gamma^{m-n} \bar{\epsilon}^{-1}$ . By projecting this equality to  $\bar{\Gamma}$ , we see that  $m - n = r$ , and hence  $\bar{\epsilon}$  and  $\gamma^r$  commute. Since  $\gamma^r$  is mapped by  $\psi$  to the diagonal matrix  $\begin{pmatrix} \underline{w}^{r'} & 0 \\ 0 & 1 \end{pmatrix}$ , where  $\mathrm{ord}_p(\underline{w}) = k > 0$ , a direct computation shows that  $\bar{\epsilon}$  is also diagonal (and thus commutes with  $\gamma$ ). Now consider the group of all the diagonal matrices in  $\psi(\Gamma)$ . Since  $\Gamma$  is discrete, this group is the product of a finite group by a cyclic group containing the group generated by  $\gamma$ . In conclusion, the set  $\mathcal{S}_0$  is finite, and

$$\coprod_{\bar{\epsilon} \in \mathcal{S}_0} \langle \gamma \rangle \bar{\epsilon} \langle \gamma \rangle = \coprod_{\bar{\epsilon} \in \mathcal{S}_0} \langle \gamma \rangle \bar{\epsilon}.$$



The claim follows.

In the computation of  $\underline{j}(\mathbf{c})(\bar{\delta})$ , we can assume that either

- (I)  $\mathbf{c}$  and  $\bar{\delta}$  are linearly independent, or
- (II)  $\bar{\delta} = \mathbf{c}$ .

(In fact, if the rank of  $\bar{\Gamma}$  is  $> 1$ , it is enough to consider elements as in the first case, since the linear map  $j(\mathbf{c})$  is completely determined by the values  $j(\mathbf{c})(\bar{\delta})$ , for  $\mathbf{c}$  and  $\bar{\delta}$  linearly independent.) In the case (I), we use the notation  $\mathcal{S}_1 := \mathcal{S}$ , and the symbol  $\mathcal{S}_1$  will always refer to a choice of representatives for  $\langle \gamma \rangle \backslash \Gamma$  as in part 1 of proposition 7.4. In the case (II), the symbol  $\mathcal{S} = \mathcal{S}_0 \amalg \mathcal{S}_1$  will stand for a choice of representatives as in part 2 of proposition 7.4.

**Lemma 7.5**

Let  $\delta \in \Gamma$  be as in case (I) or (II) above. Then, the images in  $G_\infty$  by the reciprocity map of  $j(\mathbf{c})(\bar{\delta})$  and  $\prod_{\epsilon \in \mathcal{S}_1} \epsilon \delta z_0 / \epsilon z_0$  are equal.

*Proof.* In the case (I) there is nothing to prove. In the case (II), proposition 7.4 combined with a direct computation shows that

$$\prod_{\epsilon \in \mathcal{S}_0} \frac{\epsilon \gamma z_0}{\epsilon z_0} = \underline{w}^{\iota \#(\mathcal{S}_0)}.$$

Since  $\underline{w}$  is in the kernel of the reciprocity map, the claim follows.

By lemma 7.5, we are now reduced to compute the product  $\prod_{\epsilon \in \mathcal{S}_1} \epsilon \delta z_0 / \epsilon z_0$ , with  $\delta$  as in case (I) or (II).

We begin with some preliminary remarks. Fix an edge  $e$  of level equal to an odd integer  $n$ , having  $v$  as its vertex of level  $n$ . Moreover, assume that the distance of  $v$  from  $v_0$  is also equal to  $n$ . Note that the image in  $\mathcal{M}$  of  $e$  is equal to the image in  $\mathcal{M}$  of a Gross point of conductor  $cp^n$ .

Given  $\tilde{\sigma} \in \tilde{G}_n$ , define  $\mu_{\tilde{\sigma}}$  to be equal to 1, resp.  $-1$  if  $\tilde{\sigma}v$  has odd, resp. even distance from  $v_0$ . If  $\iota = 1$ , observe that  $\mu_{\tilde{\sigma}}$  depends only on the image  $\bar{\sigma}$  of  $\tilde{\sigma}$  in  $\Sigma$  under the projection induced by the reciprocity map; in this case, we write  $\mu_{\bar{\sigma}}$  instead of  $\mu_{\tilde{\sigma}}$ . If  $\iota = 2$ ,  $\mu_{\tilde{\sigma}}$  is constant on the elements  $\tilde{\sigma}$  which have the same image in  $\Sigma$  and  $p$ -adic valuation of the same parity; moreover, the values of  $\mu_{\tilde{\sigma}}$  corresponding to different parities are opposite. In this case, if  $\tilde{\sigma}$  projects in  $\Sigma$  to  $\bar{\sigma}$  and  $\text{ord}_p(\bar{\sigma})$  is even, we let  $\mu_{\bar{\sigma}}$  stand for  $\mu_{\tilde{\sigma}}$ .

Given an edge  $d$  of  $\mathcal{T}$ , and  $\tilde{\sigma} \in \tilde{G}_n$ , write  $\tilde{\sigma}e \equiv d$  if the edge  $\tilde{\sigma}e$  is  $\mathcal{S}_1$ -equivalent to  $d$ , and  $\sigma e \approx d$  if the element  $\sigma e$  of  $\mathcal{M}$  is  $\Gamma$ -equivalent to  $d$ . If  $\iota = 1$ , the relation  $\tilde{\sigma}e \equiv d$  implies that  $\sigma e \approx d$ . If  $\iota = 2$ ,  $\tilde{\sigma}e \equiv d$  yields  $\sigma e \approx d$  when  $\text{ord}_p(\bar{\sigma})$  is even, and  $\sigma e \approx wd$ , with  $w \in \Gamma_+ - \Gamma$ , when  $\text{ord}_p(\bar{\sigma})$  is odd.

Recall that  $\omega_d$  denotes the order of the stabilizer in  $\Gamma$  of  $d$ .

**Lemma 7.6**

1) Suppose that  $\iota = 1$ . If the odd integer  $n$  is sufficiently large, the projection  $\tilde{G}_n \rightarrow G_n$  induces a  $\omega_d$ -to-1 map

$$\{\tilde{\sigma} \in \tilde{G}_n : \tilde{\sigma}e \equiv d\} \rightarrow \{\sigma \in G_n : \sigma e \approx d\}.$$

2) Suppose that  $\iota = 2$ . If the odd integer  $n$  is sufficiently large, the projection  $\tilde{G}_n \rightarrow G_n$  induces  $\omega_d$ -to-1 maps

$$\{\tilde{\sigma} \in \tilde{G}_n : \tilde{\sigma}e \equiv d, \text{ord}_p(\tilde{\sigma}) \text{ even}\} \rightarrow \{\sigma \in G_n : \sigma e \approx d\}$$

and

$$\{\tilde{\sigma} \in \tilde{G}_n : \tilde{\sigma}e \equiv d, \text{ord}_p(\tilde{\sigma}) \text{ odd}\} \rightarrow \{\sigma \in G_n : \sigma e \approx wd\}.$$

*Proof.*

1) Suppose that  $\tilde{\sigma}_1 e \equiv d$  and  $\tilde{\sigma}_2 e \equiv d$ , i.e.,  $\tilde{\sigma}_1 e = \epsilon_1 d$  and  $\tilde{\sigma}_2 e = \epsilon_2 d$ , for  $\epsilon_1$  and  $\epsilon_2$  in  $\mathcal{S}_1$ . If  $\tilde{\sigma}_1$  and  $\tilde{\sigma}_2$  have the same image in  $G_n$ , then  $\tilde{\sigma}_1 = \underline{w}^r \tilde{\sigma}_2$  for  $r \in \mathbb{Z}$ , and hence  $\gamma^r \epsilon_2 d = \epsilon_1 d$ . If  $r \neq 0$ , i.e.,  $\tilde{\sigma}_1 \neq \tilde{\sigma}_2$  and  $\epsilon_1 \neq \epsilon_2$ , then  $\gamma^r \epsilon_2 \epsilon_1^{-1}$  is a non-trivial element of the stabilizer in  $\Gamma$  of  $\epsilon_1 d$ , which is a group of cardinality  $\omega_d$ . Conversely, if  $\tilde{\sigma}_1 e = \epsilon_1 d$  for  $\epsilon_1 \in \mathcal{S}_1$  and if  $\beta$  is a non-trivial element of the stabilizer of  $\epsilon_1 d$ , we have  $\tilde{\sigma}_1 e = \beta \epsilon_1 d$ . Write  $\beta \epsilon_1 = \gamma^r \epsilon_2$ ,  $r \in \mathbb{Z}$ ,  $\epsilon_2 \in \mathcal{S}$ . Then  $\epsilon_1 \neq \epsilon_2$ . Note that if  $n$  is large, then  $\epsilon_2$  belongs to  $\mathcal{S}_1$ . We obtain  $\underline{w}^{-r} \tilde{\sigma}_1 e = \epsilon_2 d$ . This concludes the proof of part 1.

2) The proof is exactly the same as that of part 1.

Let

$$\text{path}(v_0, \delta v_0) = d_1 - d_2 + \cdots + d_{s-1} - d_s \in \mathbb{Z}[\mathcal{E}(\mathcal{T})].$$

(Note that  $s$  is even, since  $\delta$  belongs to  $\Gamma$ .) Write  $d_j = \{v_j^e, v_j^o\}$ , where  $v_j^e$  is the even vertex of  $d_j$ , and  $v_j^o$  is the odd vertex of  $d_j$ . Note that we have

$$\begin{aligned} v_j^o &= v_{j+1}^o & \text{for } j = 1, 3, \dots, s-1, \\ v_j^e &= v_{j+1}^e & \text{for } j = 2, 4, \dots, s-2, \\ v_s^e &= \delta v_1^e. \end{aligned}$$

Fix  $z_0 \in \mathcal{H}_p(\mathbb{Q}_{p^2})$  such that  $r(z_0) = v_0$ . We may choose elements  $z_j^o$  and  $z_j^e$  in  $\mathcal{H}_p(\mathbb{Q}_{p^2})$  such that  $r(z_j^o) = v_j^o$ ,  $r(z_j^e) = v_j^e$ , and

$$\begin{aligned} z_j^o &= z_{j+1}^o & \text{for } j = 1, 3, \dots, s-1, \\ z_j^e &= z_{j+1}^e & \text{for } j = 2, 4, \dots, s-2, \\ z_1^e &= z_0, & z_s^e = \delta z_0. \end{aligned}$$

Hence

$$(\epsilon z_1^o)(\epsilon z_2^o)^{-1} \cdots (\epsilon z_{s-1}^o)(\epsilon z_s^o)^{-1} = 1, \quad (\epsilon z_2^e)(\epsilon z_3^e)^{-1} \cdots (\epsilon z_{s-2}^e)(\epsilon z_{s-1}^e)^{-1} = 1,$$

so that

$$\prod_{\epsilon \in \mathcal{S}_1} \frac{\epsilon \delta z_0}{\epsilon z_0} = \prod_{\epsilon \in \mathcal{S}_1} \left( \frac{\epsilon z_1^o}{\epsilon z_1^e} \right) \left( \frac{\epsilon z_2^o}{\epsilon z_2^e} \right)^{-1} \cdots \left( \frac{\epsilon z_s^o}{\epsilon z_s^e} \right)^{-1}.$$

Fix a large odd integer  $n$ . For each  $1 \leq j \leq s$ , let  $\mathcal{S}(j)$  be the set of elements  $\epsilon$  in  $\mathcal{S}_1$  such that  $\epsilon d_j$  has level  $\leq n$ . Lemma 7.3 shows that the sets  $\mathcal{S}(j)$  are finite. By lemma 7.1, we have the congruence

$$(5) \quad \prod_{\epsilon \in \mathcal{S}_1} \frac{\epsilon \delta z_0}{\epsilon z_0} \equiv \prod_{\epsilon \in \mathcal{S}(1)} \left( \frac{\epsilon z_1^o}{\epsilon z_1^e} \right) \prod_{\epsilon \in \mathcal{S}(2)} \left( \frac{\epsilon z_2^o}{\epsilon z_2^e} \right)^{-1} \cdots \prod_{\epsilon \in \mathcal{S}(s)} \left( \frac{\epsilon z_s^o}{\epsilon z_s^e} \right)^{-1} \pmod{p^n}.$$

Each of the factors in the right hand side of equation (5) can be broken up into three contributions:

$$\prod_{S(j)} \frac{\epsilon z_j^o}{\epsilon z_j^e} = \prod_{\ell(\epsilon v_j^o) < n} \epsilon z_j^o \cdot \prod_{\ell(\epsilon v_j^e) < n} (\epsilon z_j^e)^{-1} \cdot \prod_{\ell(\epsilon d_j) = n} (\epsilon z_j^{\pi_j})^{\mu_j},$$

where  $\pi_j = o$ , resp.  $\pi_j = e$  if the distance of the furthest vertex of  $\epsilon d_j$  from  $v_0$  is odd, resp. even, and where we set  $\mu_j = 1$  in the first case and  $\mu_j = -1$  in the second case. By our choice of the set  $\mathcal{S}_1$  as in proposition 7.4, the first two factors in this last expression cancel out in the formula (5). Hence we obtain

$$\prod_{\epsilon \in \mathcal{S}_1} \frac{\epsilon \delta z_0}{\epsilon z_0} \equiv \prod_{\ell(\epsilon d_1) = n} (\epsilon z_1^{\pi_1})^{\mu_1} \cdot \prod_{\ell(\epsilon d_2) = n} (\epsilon z_2^{\pi_2})^{-\mu_2} \dots \prod_{\ell(\epsilon d_s) = n} (\epsilon z_s^{\pi_s})^{-\mu_s} \pmod{p^n}.$$

As in the remarks before lemma 7.6, let  $e$  be an edge of level  $n$ , such that its vertex  $v$  of level  $n$  has distance from  $v_0$  also equal to  $n$ . Choose any  $z \in \mathcal{H}_p(\mathbb{Q}_{p^2})$  with  $r(z) = v$ . Since  $\tilde{G}_n$  acts simply transitively on the set of edges of level  $n$ , lemma 7.1 gives

$$\prod_{\epsilon \in \mathcal{S}_1} \frac{\epsilon \delta z_0}{\epsilon z_0} \equiv \prod_{\tilde{\sigma} e \equiv d_1} (\tilde{\sigma} z)^{\mu_{\tilde{\sigma}}} \cdot \prod_{\tilde{\sigma} e \equiv d_2} (\tilde{\sigma} z)^{-\mu_{\tilde{\sigma}}} \dots \prod_{\tilde{\sigma} e \equiv d_s} (\tilde{\sigma} z)^{-\mu_{\tilde{\sigma}}} \pmod{p^n}.$$

By lemma 7.6, we obtain

$$\prod_{\epsilon \in \mathcal{S}_1} \frac{\epsilon \delta z_0}{\epsilon z_0} \equiv \prod_{\tilde{\sigma} e \equiv d_1} \tilde{\sigma}^{\mu_{\tilde{\sigma}}} \cdot \prod_{\tilde{\sigma} e \equiv d_2} \tilde{\sigma}^{-\mu_{\tilde{\sigma}}} \dots \prod_{\tilde{\sigma} e \equiv d_s} \tilde{\sigma}^{-\mu_{\tilde{\sigma}}} \cdot (z^M) \pmod{p^n},$$

where

$$M = \begin{cases} \langle \text{path}(v_0, \delta v_0), \sum_{\sigma \in G_n} \mu_{\tilde{\sigma}} \sigma e \rangle & \text{if } \iota = 1 \\ \langle \text{path}(v_0, \delta v_0), \sum_{\sigma \in G_n} (\mu_{\tilde{\sigma}} - \mu_{\tilde{\sigma}} w) \sigma e \rangle & \text{if } \iota = 2. \end{cases}$$

By lemma 2.3, the duality  $\langle \cdot, \cdot \rangle$  induces a pairing on  $H_1(\mathcal{G}, \mathbb{Z}) \times \mathcal{M}$ . In the case  $\iota = 1$ , one sees directly that  $\sum_{\sigma \in G_n} \mu_{\tilde{\sigma}} \sigma e$  has trivial image in  $\mathcal{M}$ , so that  $M$  is zero. Consider now the case  $\iota = 2$ . Since we are interested in computing  $\underline{j}(\mathbf{c})(\bar{\delta})$ , we need only consider the image of the homomorphism  $j(\mathbf{c})$  in  $\mathcal{N}_{\text{sp}} \otimes \mathbb{Q}_p^\times$ . Thus, we may view the above pairing as being defined on  $H_1(\mathcal{G}, \mathbb{Z})^- \times \mathcal{M}_{\text{sp}}$ , where  $H_1(\mathcal{G}, \mathbb{Z})^-$  indicates the “minus” eigenspace for the action of  $w$  on  $H_1(\mathcal{G}, \mathbb{Z})$ , and we may assume from now on that  $\text{path}(v_0, \delta v_0)$  belongs to  $H_1(\mathcal{G}, \mathbb{Z})^-$ . One checks that the image  $\iota \sum_{\sigma \in G_n} \mu_{\tilde{\sigma}} \sigma e$  in  $\mathcal{M}_{\text{sp}}$  of the element  $\sum_{\sigma \in G_n} (\mu_{\tilde{\sigma}} - w \mu_{\tilde{\sigma}}) \sigma e$  is trivial, so that also in this case  $M$  is zero. Hence, in all cases

$$\prod_{\epsilon \in \mathcal{S}_1} \frac{\epsilon \delta z_0}{\epsilon z_0} \equiv \prod_{\tilde{\sigma} e \equiv d_1} \tilde{\sigma}^{\mu_{\tilde{\sigma}}} \cdot \prod_{\tilde{\sigma} e \equiv d_2} \tilde{\sigma}^{-\mu_{\tilde{\sigma}}} \dots \prod_{\tilde{\sigma} e \equiv d_s} \tilde{\sigma}^{-\mu_{\tilde{\sigma}}} \pmod{p^n}.$$

Let  $\text{rec}_{p,n} : \tilde{G}_\infty \rightarrow G_n$  be the composite of the reciprocity map with the natural projection of  $G_\infty$  onto  $G_n$ . Suppose that  $\iota = 1$ . By lemma 7.6, the above relation yields the equality in  $G_n$ :

$$\text{rec}_{p,n} \left( \prod_{\epsilon \in \mathcal{S}_1} \frac{\epsilon \delta z_0}{\epsilon z_0} \right) = \prod_{\sigma e \approx d_1} \sigma^{\omega_{d_1} \mu_{\tilde{\sigma}}} \cdot \prod_{\sigma e \approx d_2} \sigma^{-\omega_{d_2} \mu_{\tilde{\sigma}}} \dots \prod_{\sigma e \approx d_s} \sigma^{-\omega_{d_s} \mu_{\tilde{\sigma}}}.$$

Recall the derivative  $\mathcal{L}'_{p,n}(\mathcal{N}_{\text{sp}}/H, P_0) \in \mathcal{N}_{\text{sp}} \otimes G_n$  defined in the formula (3) at the end of section 3. By the definition of the bijection  $\kappa$  of lemma 2.2, the right hand side of the above equality can be written as

$$\mathcal{L}'_{p,n}(\mathcal{N}_{\text{sp}}/H, P_0)(\bar{\delta}) = \langle \text{path}(v_0, \delta v_0), \sum_{g \in G_n} e_n(i)^g \otimes g^{-1} \rangle,$$

where, by an abuse of notation,  $\sum_{g \in G_n} e_n(i)^g \otimes g^{-1}$  is viewed as an element of  $\mathcal{M}_{\text{sp}} \otimes G_n$ . When  $\iota = 2$ , a similar computation shows that

$$\iota \mathcal{L}'_{p,n}(\mathcal{N}_{\text{sp}}/H, P_0)(\bar{\delta}) = \text{rec}_{p,n} \left( \prod_{\epsilon \in \mathcal{S}_1} \frac{\epsilon \delta z_0}{\epsilon z_0} \right).$$

By passing to the limit, one obtains in all cases

$$\iota \mathcal{L}'_p(\mathcal{N}_{\text{sp}}/H, P_0)(\bar{\delta}) = \text{rec}_p \left( \prod_{\epsilon \in \mathcal{S}_1} \frac{\epsilon \delta z_0}{\epsilon z_0} \right).$$

In other words, by definition of the map  $\underline{j}$ ,

$$\mathcal{L}'_p(\mathcal{N}_{\text{sp}}/H, P_0)^\iota = \underline{j}(\mathfrak{c}),$$

as was to be shown.

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