

### Section 1.3 Ordered pairs and functions

The ordered pair  $(a, b)$  of two things  $a$  and  $b$  is another thing that contains the information of both  $a$  and  $b$ , together the information that " $a$  comes first,  $b$  second". Mathematically expressed, the essential property of the ordered-pair construction is

$$(a, b) = (c, d) \iff a = c \text{ and } b = d. \quad (1)$$

It is possible to construct the ordered pair set-theoretically; however, we will not do so here; all we ever use about ordered pairs is the fact expressed in (1). Let us note though that the pair-set  $\{a, b\}$  would *not* work as the ordered pair: we have

$$\{0, 1\} = \{1, 0\},$$

but we want

$$(0, 1) \neq (1, 0).$$

The use of the ordered pair is familiar in coordinate geometry; the points in the plane equipped with a Cartesian coordinate system are represented by ordered pairs of real numbers. Various geometric figures become sets of ordered pairs. Denoting the set of ordered pairs of real numbers by  $\mathbb{R}^2$ , the set

$$\{(x, y) \in \mathbb{R}^2 : x^2 + y^2 = 1\}$$

is the circle with center the origin, and radius the unit length; that is, the set of points on that circle. The set

$$\{(x, y) \in \mathbb{R}^2 : x^2 + y^2 < 1\}$$

is the open disc of radius 1 around the origin;

$$\{(x, y) \in \mathbb{R}^2 \mid x^2 + y^2 \leq 1\}$$

is the closed disc; the open disc does not, the closed one does, contain the circumference.

For sets  $A$  and  $B$ ,  $A \times B$ , the *Cartesian product of  $A$  and  $B$* , is the set of all ordered pairs  $(a, b)$  with first element  $a$  from  $A$ , second element  $b$  from  $B$ :

$$A \times B \stackrel{\text{def}}{=} \{(a, b) : a \in A \text{ and } b \in B\} .$$

Thus, what we wrote as  $\mathbb{R}^2$  above is the same as  $\mathbb{R} \times \mathbb{R}$ ; in general, we may write  $A^2$  for  $A \times A$ .

A *function  $f$*  from a set  $A$  to another set  $B$  is a rule that assigns, to every element  $a$  of  $A$ , a definite element of  $B$ ; this element is denoted by  $f(a)$ ; it is called the *value* of the function  $f$  at the *argument*  $a$ . We write

$$f : A \longrightarrow B$$

to indicate that  $f$  is a function from  $A$  to  $B$ ;  $A$  is the *domain* of  $f$ ,  $B$  is the *codomain* of  $f$ . The codomain of  $f$  has to be distinguished from the *range* of  $f$ ; the latter is the set  $\{f(a) : a \in A\}$  of all values of  $f$ :

$$\text{range}(f) \stackrel{\text{def}}{=} \{f(a) : a \in A\} .$$

The range of  $f$  is a subset of the codomain of  $f$ ; the range and the codomain may or may not be the same.

It is possible to construe functions as sets, in particular, as sets of ordered pairs: with  $f : A \longrightarrow B$ , we may consider the set of all pairs  $(a, f(a))$  with  $a \in A$ ; this set is called the *graph of the function  $f$* :

$$\text{graph}(f) \stackrel{\text{def}}{=} \{(a, f(a)) : a \in A\} .$$

This is exactly the representation of functions that we use in coordinate geometry and calculus.

For instance, with the exponential function  $\exp:\mathbb{R}\rightarrow\mathbb{R}$  assigning  $e^x$  to  $x$  for all  $x\in\mathbb{R}$ , we associate its graph which is the exponential curve in the Cartesian plane.

Note that the range of  $\exp:\mathbb{R}\rightarrow\mathbb{R}$  is the set of all positive real numbers,

$\mathbb{R}^+ = \{y\in\mathbb{R}: y>0\}$ . This is true since the values of the exponential function are all positive, and every positive real number is the value of  $\exp$  at a suitable argument  $x\in\mathbb{R}$ : if  $y>0$ , then there is  $x\in\mathbb{R}$  namely,  $x=\ln(y)$ , for which  $y=f(x)$ . The range of  $\exp:\mathbb{R}\rightarrow\mathbb{R}$  does not coincide with its codomain:  $\mathbb{R}^+ \subsetneq \mathbb{R}$ .

Usually, we do not distinguish between the function and its graph; the exponential function and the exponential curve are considered to be the same thing. There is one qualification to this rule though: two functions  $f:A\rightarrow B$  and  $g:A\rightarrow C$ , with the same domain but with different codomains, may have the same graph. E.g., the  $\sin$  function may be construed as  $\sin:\mathbb{R}\rightarrow\mathbb{R}$ , from  $\mathbb{R}$  to  $\mathbb{R}$ , or as  $\sin:\mathbb{R}\rightarrow[-1,1]$ , from  $\mathbb{R}$  to the closed interval  $[-1,1]$  (since all values of  $\sin$  are in the latter interval); these two functions have the same graph. For us, these two functions are technically different; the specification of a function includes the specification of its domain as well as its codomain.

When is a set, say  $A$ , is the graph of a function? There are two conditions that are necessary and sufficient for this to hold:

- (i) Every element  $a$  of  $A$  must be an ordered pair:  $a$  must equal to  $(x,y)$  for suitable (uniquely determined)  $x$  and  $y$ ;
- (ii) For all  $x,y,z$ ,  $(x,y)\in A$  and  $(x,z)\in A$  imply that  $y=z$  [note the same  $x$  as first component in the two ordered pairs].

The second condition expresses the fact that for a function  $f$ , the value  $y=f(x)$  is *uniquely determined* by the argument  $x$ . If (i) and (ii) hold true, then there is a function  $f:X\rightarrow Y$  for which  $\text{graph}(f)=A$ . Here,  $X$ , the domain of  $f$ , is the set of all  $x$  for which there is  $y$  such that  $(x,y)\in A$ ;  $Y$ , the codomain, is any set that *contains* as a subset the set  $R$  of all  $y$  for which there is  $x$  such that  $(x,y)\in A$  ( $R$  is the *range* of  $f$ ); and we have

$$y = f(x) \iff (x, y) \in A .$$

The usual notation for a function is to give its value at an indeterminate argument; thus,  $e^x$

denotes the exponential function. This notation is ambiguous, however; it may also mean the value of the function at a certain argument-value of  $x$ . A more explicit notation e.g. for the exponential function is

$$x \longmapsto e^x \quad (x \in \mathbb{R})$$

Note here the vertical line at the beginning of the arrow; this kind of arrow is to be distinguished from the arrow that connects the domain and codomain of the function. If we write  $\exp$  for the exponential function, a full notation and description of the function  $\exp$  is this:

$$\begin{array}{l} \exp : \mathbb{R} \longrightarrow \mathbb{R} \\ x \longmapsto e^x . \end{array}$$

If we have two functions  $f : A \rightarrow B$  and  $g : A \rightarrow B$  between the same two sets,  $f$  and  $g$  are the *same function*,  $f = g$ , just in case for all  $a \in A$ ,  $f(a) = g(a)$  :

$$f = g \iff \text{for all } a \in A, f(a) = g(a) .$$

This is in agreement with the construal of functions as sets of ordered pairs:  $f = g$  just in case  $\text{graph}(f) = \text{graph}(g)$  ; note that this is valid only if the two functions  $f$  and  $g$  are given already with the same domain and the same codomain.

Here is a notation for specifying a function when the domain of the function is a reasonably small finite set. I'll explain this on an example. For instance, the symbolic expression

$$\begin{array}{cccccc} (1 & 3 & 5 & 7 & 9 & 11) \\ 0 & 5 & 4 & 20 & 3 & 3 \end{array} \quad (2)$$

denotes the function whose domain is the set  $\{1, 3, 5, 7, 9, 11\}$ , the set which is listed in the upper row, and whose value for each argument in the domain is given in the second row underneath the particular argument; in the case of (2), if the function is called  $f$ , then  $f(1)=0$ ,  $f(3)=5$ ,  $f(5)=4$ , etc.

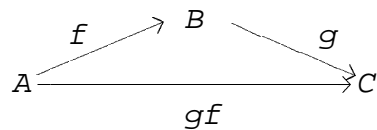
To be precise, we should note that this notation exhibits only the *graph* of the function. In the

example (2), the function  $f$  may have any codomain (which then has to be specified separately) that contains the set  $\{0, 5, 4, 20, 3\}$ , the range of the function  $f$ .

If we have two functions,  $f:A \rightarrow B$  and  $g:B \rightarrow C$ , such that the codomain of the first is the same as the domain of the second, we can form their *composite*  $g \circ f:A \rightarrow C$ ; the definition of  $g \circ f$  is:

$$(g \circ f)(a) \stackrel{\text{def}}{=} g(f(a)) \quad (a \in A).$$

We may omit the circle in the notation of composition, and write simply  $gf$ . To see the domain/codomain relationships of the functions involved, we may draw the three functions  $f$ ,  $g$ , and  $gf$  in the diagram



The composite of two functions is defined only if the codomain of one coincides with the domain of the other.

E.g., consider the functions

$$\begin{array}{ccc} f : \mathbb{N} & \longrightarrow & \mathcal{P}(\mathbb{N}) \\ n & \longmapsto & \{n\} \end{array} \quad \text{and} \quad \begin{array}{ccc} g : \mathcal{P}(\mathbb{N}) & \longrightarrow & \mathcal{P}(\mathbb{N}) \\ X & \longmapsto & \mathbb{N} - X \end{array}$$

Then,  $gf$  is the following function:

$$\begin{array}{ccc} gf : \mathbb{N} & \longrightarrow & \mathcal{P}(\mathbb{N}) \\ n & \longmapsto & \{x \in \mathbb{N} \mid x \neq n\} \end{array}$$

When, in the calculus, we talk about a function like  $\sin(e^x)$ , we have in mind a composite; in the case at hand, the composite  $\sin \circ \exp$ :

$$\begin{array}{ccccc}
\mathbb{R} & \xrightarrow{\exp} & \mathbb{R} & \xrightarrow{\sin} & \mathbb{R} \\
x & \longmapsto & e^x & & \\
& & y & \longmapsto & \sin(y) \\
x & \longmapsto & e^x & \longmapsto & \sin(e^x)
\end{array}$$

The operation of composition of functions satisfies the *associative law*: in the situation

$$A \xrightarrow{f} B \xrightarrow{g} C \xrightarrow{h} D,$$

we have that

$$h(gf) = (hg)f .$$

Indeed,  $h(gf)$  applied to any  $a \in A$  gives

$$[h(gf)](a) = h([gf](a)) = h(g(f(a))) ;$$

and,  $(hg)f$  applied to  $a$  gives

$$[(hg)f](a) = (hg)(f(a)) = h(g(f(a))) ,$$

which is the same value. Since the two functions, both from  $A$  to  $D$ , give the same value at each argument  $a \in A$ , they are equal.

With any set  $A$ , there is a particular function associated, namely the *identity function on  $A$* :

$$\begin{array}{ccc}
1_A : A & \longrightarrow & A \\
a & \longmapsto & a
\end{array}$$

( $1_A(a) = a$  for  $a \in A$ ). This has the property that its composite with any function, provided it is well-defined, is the function itself:

$$B \xrightarrow{f} A \xrightarrow{1_A} A \quad :: \quad 1_A \circ f = f ,$$

$$A \xrightarrow{1_A} A \xrightarrow{g} C \quad :: \quad g \circ 1_A = g .$$

Another operation on functions is *restriction*. Suppose  $f: A \rightarrow B$  and  $A' \subseteq A$ . Then the *restriction of  $f$  to  $A'$*  is the function denoted as  $f \upharpoonright A' : A' \rightarrow B$  for which  $(f \upharpoonright A')(a) = f(a)$  for all  $a \in A'$ . E.g., for the absolute-value function  $|-| : \mathbb{Z} \rightarrow \mathbb{N}$ , its restriction to the subset  $\mathbb{N}$  of its domain is  $|-| \upharpoonright \mathbb{N} = 1_{\mathbb{N}}$ , the identity function on  $\mathbb{N}$ ; the reason is that  $|n| = n$  for all  $n \in \mathbb{N}$ .

With any subset  $A'$  of any set  $A$ , one can associate the *inclusion function*  $\varphi: A' \rightarrow A$ , which acts like the identity:  $\varphi(a) = a$  ( $a \in A'$ ); what makes it different from the identity function is that its domain and codomain are not (necessarily) equal. Note that, with the notation of this and the previous paragraph,  $f \upharpoonright A' = f \circ \varphi$ .

A function  $f: A \rightarrow B$  is *injective*, or *one-to-one*, or  $f$  is an *injection*, if it maps distinct arguments to distinct values:

$$a \neq a' \implies f(a) \neq f(a') \quad \text{for any } a, a' \in A .$$

A more positive, but equivalent, way of putting the definition of injectivity is that

$$f(a) = f(a') \implies a = a' \quad \text{for any } a, a' \in A .$$

E.g., the exponential function  $\exp: \mathbb{R} \rightarrow \mathbb{R}$  is injective: if  $x$  and  $y$  are two distinct real numbers, then either  $x < y$ , or  $y < x$ ; in the first case  $e^x < e^y$  (the exponential function is strictly increasing), in the second case the other way around; thus, at any rate,  $e^x \neq e^y$ . But, the  $\sin$  function is not injective:  $0 \neq \pi$  but  $\sin(0) = \sin(\pi) = 0$ .

$f: A \rightarrow B$  is *surjective*, or *onto*, or  $f$  is a *surjection*, if for any  $b \in B$ , there is at least one  $a \in A$  such that  $f(a) = b$ .  $f$  is surjective just in case its range equals its codomain.

E.g., the range of  $\sin: \mathbb{R} \rightarrow \mathbb{R}$  is

$$[-1, 1] \stackrel{\text{def}}{=} \{y \in \mathbb{R} \mid -1 \leq y \leq 1\} ;$$

thus,  $\sin: \mathbb{R} \rightarrow \mathbb{R}$  is not surjective (e.g., for  $y = 2$ , there is no  $x$  such that  $\sin(x) = y = 2$ ). But, if we consider  $\sin$  to be a function from  $\mathbb{R}$  to the interval  $[-1, 1]$ ,  $\sin: \mathbb{R} \rightarrow [-1, 1]$ , then  $\sin$ , in this sense, is surjective. (Note that for us, the information of the codomain is part of the data defining the function. Thus,  $\sin: \mathbb{R} \rightarrow \mathbb{R}$  and  $\sin: \mathbb{R} \rightarrow [-1, 1]$  are, strictly speaking, not the same function.)

If  $A \xrightleftharpoons[f]{g} B$ , and  $gf = 1_A$ , we say that  $g$  is a *left inverse* of  $f$ , or that  $f$  is a *right inverse* of  $g$ . If  $gf = 1_A$  and  $fg = 1_B$  both hold,  $g$  is a *two-sided inverse*, or simply, an *inverse*, of  $f$  (and then, of course,  $f$  is an inverse of  $g$ ).

Consider

$$\begin{array}{ccc} [\frac{k}{2}] & \xleftarrow{g} & k \\ \mathbb{N} & \xleftarrow{g} & \mathbb{N} \\ n & \xrightarrow{f} & 2n \end{array}$$

(here,  $[\frac{k}{2}]$  denotes the largest integer not greater than  $\frac{k}{2}$ ). Then  $gf = 1_{\mathbb{N}}$ , since

$$(gf)(n) = g(2n) = [\frac{2n}{2}] = [n] = n = 1_{\mathbb{N}}(n).$$

However,  $fg \neq 1_{\mathbb{N}}$ ; e.g.,  $(fg)(1) = 2[\frac{1}{2}] = 2 \cdot 0 = 0 \neq 1$ . Thus, in this case,  $g$  is a left inverse of  $f$ , but it is not a right inverse of it.

We claim that in the situation:

$$A \xrightleftharpoons[f]{g} B, \text{ and } gf = 1_A,$$

$f$  is injective and  $g$  is surjective. Indeed, if  $a, a' \in A$ , and  $f(a) = f(a')$ , then

$$\begin{array}{c} a = g(f(a)) = g(f(a')) = a', \\ \uparrow \\ gf = 1_A \end{array}$$



which shows the injectivity of  $f$ . On the other hand, if  $a \in A$  is an arbitrary element of  $A$ , then for  $b = f(a)$ , we have  $g(b) = g(f(a)) = a$  (again since  $gf = 1_A$ ); this shows that  $g$  is surjective.

We have shown that

*if a function ( $f$  in the previous situation) has a left inverse, then it is injective, and if a function ( $g$  above) has a right inverse, it is surjective.*

The converses of the last two assertions are *almost* true. First,

*if  $f: A \rightarrow B$  is injective, and if  $A$  is not empty, then  $f$  has a left inverse:*

given any  $b \in B$ , define  $g(b)$  to be  $a \in A$  for which  $f(a) = b$  if there is (necessarily at most) one such  $a$ ; if however there is no such  $a$ , let  $g(b)$  be any element in  $A$  (since  $A$  is not empty, there is at least one such). Then  $(gf)(a) = g(f(a)) = a$  by the definition of  $g$  on  $b = f(a)$ ; thus  $gf = 1_A$ .

Secondly,

*if  $g: B \rightarrow A$  is surjective, then it has a right inverse.*

Namely, we define  $f: A \rightarrow B$  in the following way. Given any  $a \in A$ , we pick an arbitrary  $b \in B$  such that  $g(b) = a$ ; by the assumption of  $g$  being surjective, there is certainly at least one such  $b$ ; we make  $f(a)$  equal this  $b$ . Then, with  $f: A \rightarrow B$  so defined,  $(gf)(a) = g(b)$  for the  $b$  described above; but the choice of that  $b$  was such that  $g(b) = a$ ; this shows that  $(gf)(a) = a$  for any  $a \in A$ , which is to say that  $f$  is a right inverse of  $g$ . [In a foundational setting, this argument requires the so-called *Axiom of*

Choice.]

Returning to the previous assertion, the additional assumption of  $A$  being non-empty is necessary: any  $f: \emptyset \rightarrow B$  is injective, but there is a function  $g: B \rightarrow \emptyset$  at all only if  $B$  is also empty.

If a function is both injective and surjective, it is called *bijective*, or a *bijection*. Here are two examples for bijection:

$$\begin{array}{ccc} f : \mathbb{I} & \longrightarrow & \mathbb{I} \\ x & \longmapsto & x - 1 \end{array}$$

$$\begin{array}{ccc} g : \mathbb{Q} & \longrightarrow & \mathbb{Q} \\ x & \longmapsto & 2x \end{array}$$

The symbol  $\cong$  is used to indicate a bijection:  $f: A \xrightarrow{\cong} B$ .

*To say that a function is a bijection is the same as to say that it has an inverse.*

Indeed, if it has a (two-sided) inverse, then, by what we said above, it is both injective and surjective. On the other hand, if  $f: A \rightarrow B$  is bijective, and for a moment, we assume that  $A$  is non-empty, then  $f$  has a left inverse  $g: B \rightarrow A$  and a right inverse  $h: B \rightarrow A$ :  $gf = 1_A$ ,  $fh = 1_B$ . But then

$$h = 1_A \circ h = (gf)h = g(fh) = g \circ 1_B = g,$$

which shows that  $h = g$  is a two-sided inverse of  $f$ . If  $A$  happens to be empty, then, with  $f: A \rightarrow B$  bijective, in particular, surjective,  $B$  must also be empty; in this case,  $f = 1_{\emptyset}$ , the "empty function", is a two-sided inverse of itself.

The last argument also shows that

*the (two-sided) inverse, if exists, is uniquely determined:*

if  $g$  and  $h$  are both inverses of  $f$ , then  $g$  is a left inverse,  $h$  is a right inverse, of  $f$ , and the calculation above shows that  $g = h$ . Moreover, we have also shown that

*if  $f$  has a left inverse  $g$ , and also a right inverse  $h$ , then  $g=h$ , and thus  $f$  has a two-sided inverse, namely  $g = h$ .*

The inverse of  $f : A \rightarrow B$ , if exists, is denoted by  $f^{-1}$ . Thus, the defining properties of  $f^{-1} : B \rightarrow A$  are:

$$f \circ f^{-1} = 1_B \quad \text{and} \quad f^{-1} \circ f = 1_A .$$

*The composite of two injections (if well-defined) is an injection; the composite of two surjections is a surjection; the composite of two bijections is a bijection.*

We leave the easy proofs to the reader.

A *permutation* of a set  $A$  is any bijection from  $A$  to  $A$  itself. The following denotes a permutation of the set  $\{1, 2, 3, 4, 5\}$  :

$$\begin{pmatrix} 1 & 2 & 3 & 4 & 5 \\ 4 & 2 & 5 & 3 & 1 \end{pmatrix} ;$$

(Recall this notation for a function from above, at (2): if  $\sigma$  is the name of the permutation at hand, then  $\sigma(1)=4$ ,  $\sigma(2)=2$ ,  $\sigma(3)=5$ ,  $\sigma(4)=3$ ,  $\sigma(5)=1$  .)

The set of all functions  $A \rightarrow B$  is denoted by the exponential notation  $B^A$ .

*Sequences* are particular functions. E.g., the 5-term sequence  $\langle a_1, a_2, a_3, a_4, a_5 \rangle$  may be identified with the function whose domain is the set  $\{1, 2, 3, 4, 5\}$ , and whose value at  $i$  is  $a_i$ . The notation  $\langle a_i \rangle_{1 \leq i \leq n}$  means the  $n$ -term sequence whose  $i^{\text{th}}$  term is  $a_i$ .  $A^n$  denotes the set of all  $n$ -term sequences of members of  $A$ . Thus,

$$A^n = \{ \langle a_i \rangle_{1 \leq i \leq n} \mid a_i \in A \text{ for all } i < n \} .$$

Note that for  $n=0$ , for any set  $A$ , there is exactly one 0-term sequence of elements of  $A$ , the *empty sequence*  $\perp$ ;  $A^0 = \{ \perp \}$ .

If  $A$  is an *alphabet*, that is, a set of characters, then *strings* over  $A$  are essentially the same as finite sequences of elements of  $A$ ; strings of length  $n$  are the same as  $n$ -term sequences of elements of  $A$ .  $A^* \stackrel{\text{def}}{=} \bigcup_{n \in \mathbb{N}} A^n$  is the set of all strings over  $A$ . Note that  $A^*$  always contains  $\perp$ , the empty string, as an element.

If, for instance,  $A = \{a, b, c\}$ , then the strings  $aabccba$  and  $bccb$  are members of  $A^*$ ; the first belongs to  $A^7$ , the second to  $A^4$ .

*Infinite sequences* are the same as functions with domain  $\mathbb{N}$ ;  $\mathbb{R}^{\mathbb{N}}$  is the set of all infinite sequences  $\langle r_i \rangle_{i \in \mathbb{N}} = \langle r_0, r_1, \dots, r_n, \dots \rangle$  of reals. Infinite sequences of reals are important in the calculus.

Some more notation related to functions. Let  $f: A \rightarrow B$ . If  $X \subseteq A$ , the *image of  $X$  under  $f$* , denoted  $f[X]$ , is the set of all values of  $f$  while the argument of  $f$  ranges over  $X$ :

$$f[X] \stackrel{\text{def}}{=} \{ f(a) \mid a \in X \} .$$

E.g., when

$$\begin{aligned} f: \mathbb{N} &\longrightarrow \mathbb{N} \\ n &\longmapsto 2n \end{aligned}$$

and  $X_1 = \{n \in \mathbb{N} \mid n \text{ is even}\}$ ,  $X_2 = \{n \in \mathbb{N} \mid n \text{ is odd}\}$ , then

$$f[X_1] = \{n \in \mathbb{N} \mid n \text{ is divisible by } 4\},$$

$$f[X_2] = \{n \in \mathbb{N} \mid n \text{ is even, but not divisible by } 4\}.$$

If  $Y \subseteq B$ , the *inverse image of  $Y$  under  $f$* ,  $f^{-1}[Y]$  (warning: this notation does not imply that the inverse of  $f$ ,  $f^{-1}$ , exists!) is the set of all  $a \in A$  that are mapped into  $Y$  by  $f$ :

$$f^{-1}[Y] \stackrel{\text{def}}{=} \{a \in A : f(a) \in Y\}.$$

E.g., with continuing the previous example,  $f^{-1}[X_1] = \mathbb{N}$  and  $f^{-1}[X_2] = \emptyset$ .

In the general case  $f: A \longrightarrow B$ , let  $b \in B$ . Then  $f^{-1}[\{b\}]$  is the set of those  $a \in A$  whose  $f$ -image is  $b$ ,  $f(a) = b$ . Thus,  $f$  is injective iff for all  $b \in B$ ,  $f^{-1}[\{b\}]$  has at most one element;  $f$  is surjective iff for all  $b \in B$ ,  $f^{-1}[\{b\}]$  has at least one element; and  $f$  is bijective iff for all  $b \in B$ ,  $f^{-1}[\{b\}]$  has exactly one element.