

**Introduction to theta liftings
(following D. Prasad)**

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Highbrow motivation:

F : = number field

H, G : = reductive F groups

Suppose given

$$L_H \longrightarrow L_G.$$

Langlands functoriality:

There exists a corresponding **transfer** of automorphic forms.

Imply, e.g. relationship between L -functions.

Theta liftings give explicit examples.

The Weil representation:

$k :=$ field of characteristic $\neq 2$

$W :=$ finite dimensional k -vector space

Nondegenerate symplectic pairing

$$\langle \cdot, \cdot \rangle : W \times W \longrightarrow k.$$

Heisenberg group: k -group $H(W)$ such that
for k -algebras R

$$H(W)(R) := \{(w, t) : w \in W \otimes_k R, t \in R\}$$

where

$$(w_1, t_1) \cdot (w_2, t_2) = (w_1 + w_2, t_1 + t_2 + \frac{1}{2}\langle w_1, w_2 \rangle).$$

Have nonsplit central extension

$$0 \longrightarrow R \longrightarrow H(W)(R) \longrightarrow W \otimes_k R \longrightarrow 0$$

Let ρ be a representation of $H(W)(R)$.

$\rho|_R$ yields $\psi : R^\times \rightarrow \mathbb{C}^\times$.

Theorem (Stone-von Neumann):

For any nontrivial character $\psi : F_v^\times \rightarrow \mathbb{C}^\times$ there exists an irreducible representation

$$\rho_\psi : H(W)(F_v) \longrightarrow \text{Aut}(V_\psi)$$

(unique up to equivalence) on which F_v^\times acts via ψ .

Realization:

$$k \in \{F, F_v\}$$

$$R \in \{F_v, \mathbb{A}_F^S\}$$

$W = X \oplus Y$, X, Y isotropic.

Have smooth representation

$$\rho_\psi : H(W)(R) \longrightarrow V_\psi := \text{Aut}(\mathcal{S}(X(R)))$$

where

$$\rho_\psi(w_1)f(x) = f(x + w_1)$$

$$\rho_\psi(w_2)f(x) = \psi(\langle x, w_2 \rangle)f(x)$$

$$\rho_\psi(t)f(x) = \psi(t)f(x)$$

for $x, w_1 \in X(R)$, $w_2 \in Y(R)$ and $t \in R$.

Weil representation:

Have action

$$\begin{aligned} \mathrm{Sp}(W)(R) \times H(W)(R) &\longrightarrow H(W)(R) \\ (g, (w, t)) &\longmapsto (gw, t). \end{aligned}$$

By uniqueness of ρ_ψ , $\mathrm{Sp}(W)(R)$ acts on V_{ρ_ψ} : there exists $\omega_\psi(g)$ (unique up to scaling) s.t.

$$\rho_\psi(gw, t) = \omega_\psi(g) \rho_\psi(w, t) \omega_\psi(g)^{-1}$$

Obtain

$$\mathrm{Sp}(W)(R) \longrightarrow \mathrm{PGL}(V_{\rho_\psi})$$

and hence

$$\mathrm{Mp}(W) \longrightarrow \mathrm{GL}(V_{\rho_\psi}).$$

Note $\mathrm{Mp}(W)$ is **not** algebraic.

More details

Recall the equation

$$\rho_\psi(gw, t) = \omega_\psi(g)\rho_\psi(w, t)\omega_\psi(g)^{-1} \quad (1)$$

Define

$$\widehat{\text{Sp}}_\psi(W) := \{(g, \omega_\psi(g)) \in \text{Sp}(W) \times \mathbb{C}^\times : (1) \text{ holds}\}$$

Have exact sequence

$$1 \rightarrow \mathbb{C}^\times \rightarrow \widehat{\text{Sp}}_\psi(W) \rightarrow \text{Sp}(W) \rightarrow 1.$$

Theorem: Restriction to the commutator subgroup yields an exact sequence

$$0 \rightarrow \mathbb{Z}/2 \rightarrow [\widehat{\text{Sp}}_\psi(W), \widehat{\text{Sp}}_\psi(W)] \rightarrow \text{Sp}(W) \rightarrow 1$$

and

$$[\widehat{\text{Sp}}_\psi(W), \widehat{\text{Sp}}_\psi(W)] \cong \text{Mp}(W).$$

Realization:

$\mathrm{Sp}(W) \rightarrow \mathrm{PGL}(V_\psi)$ given by

$$\begin{pmatrix} A & 0 \\ 0 & A^{-t} \end{pmatrix} f(X) = |\det(A)|^{\frac{1}{2}} f(A^t X) \quad (2)$$

$$\begin{pmatrix} I & B \\ 0 & I \end{pmatrix} f(X) = \psi\left(\frac{X^t B X}{2}\right) f(X) \quad (3)$$

$$\begin{pmatrix} 0 & I \\ -I & 0 \end{pmatrix} f(X) = \gamma \hat{f}(X) \quad (4)$$

where γ is an 8th root of unity and $\hat{\cdot}$ denotes Fourier transform.

Dual reductive pairs:

Let $G_1, G_2 \leq \mathrm{Sp}(W)$ be reductive subgroups.

Definition:

(G_1, G_2) is a **dual reductive pair** if

$$Z_{\mathrm{Sp}(W)}(G_1) = G_2 \text{ and } Z_{\mathrm{Sp}(W)}(G_2) = G_1.$$

Global theta liftings

Let $\psi : F \backslash \mathbb{A}_F \rightarrow \mathbb{C}$ be nontrivial. Have a Weil representation

$$\mathrm{Sp}(W)(\mathbb{A}_F) \longrightarrow \mathcal{S}(X(\mathbb{A}_F))$$

Theorem (Weil): The cover

$$\mathrm{Mp}(W)(\mathbb{A}_F) \rightarrow \mathrm{Sp}(W)(\mathbb{A}_F)$$

splits over $\mathrm{Sp}(W)(F)$.

Thus $\mathrm{Sp}(W)(F)$ operates on $\mathcal{S}(X(\mathbb{A}_F))$.

Define a distribution

$$\begin{aligned}\theta : \mathcal{S}(X(\mathbb{A}_F)) &\longrightarrow \mathbb{C} \\ f &\longmapsto \sum_{x \in X(F)} f(x)\end{aligned}$$

and a function

$$\theta_f(x) := \theta(x \cdot f) : \mathrm{Sp}(W)(F) \backslash \mathrm{Mp}(W)(\mathbb{A}_F) \longrightarrow \mathbb{C}$$

This is the **theta function** attached to f .

$(G_1, G_2) \leq \mathrm{Sp}(W) :=$ dual reductive pair.

$\tilde{G}_i \leq \mathrm{Mp}(W) :=$ the inverse images under $\mathrm{Mp}(W) \rightarrow \mathrm{Sp}(W)(F)$.

Suppose that $\mathrm{Mp}(W)$ splits over $G_1 \times \tilde{G}_2$.

Have a map

$$\theta_f : \mathcal{A}(G_1(F) \backslash G_1(\mathbb{A}_F)) \longrightarrow \mathcal{A}(\tilde{G}_2(F) \backslash \tilde{G}_2(\mathbb{A}_F))$$

where

$$\theta_f(\phi)(g_2) = \int_{G_1(F) \backslash G_1(\mathbb{A}_F)} \theta_\phi(g_1, g_2) f(g_1) dg_1.$$

The function $\theta_f(\phi)$ is the **theta lift** of ϕ .

Of course, can switch roles of G_1 and G_2 to obtain inverse lifts.

Can restrict the domain to the π_1 -isotypic subspace, where π_1 is an automorphic representation of G_1 .

Basic question:

When is the space generated by $\theta_f(\phi)$ for $\phi \in \pi_1$ not identically zero?

Shintani's example

V/F :=orthogonal space W/F :=symplectic space

So $V \otimes W$ is a symplectic space

$$(O(V), \mathrm{Sp}(W)) \leq \mathrm{Sp}(W)$$

a dual reductive pair.

Assume $\dim(W) = 2$, isotropic basis e_1, e_2 .

$$X = V \otimes e_1, Y = V \otimes e_2$$

For $f \in \mathcal{S}(V)$ obtain θ -function

$$\theta_f(g) = \sum_{x \in X(F)} f(xg)$$

Since $\mathrm{Sp}(W) \cong \mathrm{SL}_2$, obtain a theta lifting

$$\theta_f : \mathcal{A}(O(F) \backslash O(\mathbb{A}_F)) \longrightarrow \mathcal{A}(\mathrm{SL}_2(F) \backslash H(\mathbb{A}_F))$$

where $H \in \{\mathrm{SL}_2, \widetilde{\mathrm{SL}}_2\}$.

Concrete examples:

Let $F = \mathbb{Q}$, $q = \text{quadratic form on } V$.

$$(1) \dim(V) = 1, q = x^2, O(V) = \{\pm 1\}.$$

Obtain classical theta functions.

$$(2) \dim(V) = 2, q = \text{norm form of } K/\mathbb{Q} \\ ([K : \mathbb{Q}] = 2), O(V) \cong \text{Res}_{K/\mathbb{Q}} \text{GL}_1/\text{GL}_1.$$

Lifting constructs automorphic induction of Hecke characters to “CM” or dihedral automorphic representations on SL_2 .

(3) $\dim(V) = 3$, $q = x^2 - yz$, $SO(V) \cong \mathrm{PGL}_2$

Inverse of Shimura correspondence

$\pi :=$ cuspidal representation of $\mathrm{PGL}_2(\mathbb{A}_{\mathbb{Q}})$

$\sigma :=$ cuspidal representation of $\widetilde{\mathrm{SL}}_2(\mathbb{A}_{\mathbb{Q}})$.

Theorem (Waldspurger):

(1) $\theta(\pi) \neq 0$ iff $L(\frac{1}{2}, \pi) \neq 0$.

(2) $\theta(\sigma) \neq 0$ iff σ has a ψ -Whittaker model.