

Teitelbaum's exceptional zero conjecture in the anticyclotomic setting

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Abstract

In [Tei], Teitelbaum formulates a conjecture relating first derivatives of the Mazur–Swinnerton-Dyer p -adic L -functions attached to a modular forms of even weight $k \geq 2$ to certain \mathcal{L} -invariants arising from Shimura curve parametrisations. This article formulates an analogue of Teitelbaum’s conjecture in which the cyclotomic \mathbb{Z}_p extension of \mathbb{Q} is replaced by the anticyclotomic \mathbb{Z}_p -extension of an imaginary quadratic field. This analogue is then proved by using the Cerednik-Drinfeld theory of p -adic uniformisation of Shimura curves.

Introduction

Let $\phi = \sum a_n q^n$ be an eigenform of even weight $k \geq 2$ on $\Gamma_0(N)$. The classical L -function $L(\phi, s)$ admits an analytic continuation to the entire complex plane, and a functional equation which relates its values at s and $k - s$. Of special arithmetic interest for the present work is the central value $L(\phi, k/2)$.

For example, when $k = 2$, the Birch and Swinnerton-Dyer conjecture relates the behaviour of $L(\phi, s)$ at $s = 1$ to the arithmetic of the abelian variety A_ϕ associated to ϕ by the Eichler-Shimura construction. In [MTT], a p -adic variant of the Birch and Swinnerton-Dyer conjecture is formulated with $L(\phi, s)$ replaced by a p -adic analogue $L_p(\phi, s)$ attached to the cyclotomic \mathbb{Z}_p -extension of \mathbb{Q} .

When p divides N exactly and $a_p = 1$ (which implies that A_ϕ has split multiplicative reduction at p), the function $L_p(\phi, s)$ vanishes at $s = 1$. In this case the conjectures of [MTT] imply the following relationship between the first derivative $L'_p(\phi, 1)$ and the special value $L(\phi, 1)$:

$$L'_p(\phi, 1) = \mathcal{L}(\phi) \cdot L(\phi, 1)/\Omega, \quad (1)$$

where Ω is an appropriate real period and $\mathcal{L}(\phi)$, the so-called \mathcal{L} -*invariant*, is an isogeny invariant of A_{ϕ/\mathbb{Q}_p} . It is defined by using the Tate-Morikawa theory of p -adic uniformisation of abelian varieties with multiplicative reduction at p . For example, when ϕ has rational Fourier coefficients, so that A_ϕ is an elliptic curve, then

$$\mathcal{L}(\phi) = \frac{\log_p(q)}{\text{ord}_p(q)},$$

where $q \in \mathbb{Q}_p^\times$ is the Tate period associated to A_ϕ over \mathbb{Q}_p , and \log_p is the branch of the p -adic logarithm such that $\log p = 0$. The conjectured relation (1) was proved by Greenberg and Stevens [GS] using Hida's theory of p -adic families of ordinary eigenforms and the two-variable p -adic L -functions attached to them.

Subsequently, much work has gone into formulating and proving similar conjectures for modular forms of higher (even) weight. The following generalisation of (1) was conjectured in this setting,

$$L'_p(\phi, k/2) = \mathcal{L}(\phi) \cdot L(\phi, k/2)/\Omega, \quad (2)$$

with several possible definitions for the \mathcal{L} -invariant.

1. The first candidate for $\mathcal{L}(\phi)$, denoted $\mathcal{L}_T(\phi)$, was proposed by Teitelbaum [Tei], relying on the Jacquet-Langlands correspondence between forms on $\Gamma_0(N)$ and on certain indefinite quaternion algebras, and on the Cerednik-Drinfeld theory of p -adic uniformisation of Shimura curves. In particular, $\mathcal{L}_T(\phi)$ is only defined when ϕ can be associated via the Jacquet-Langlands correspondence to a modular form on such a Shimura curve.
2. A second invariant $\mathcal{L}_C(\phi)$ was proposed by Coleman [Co], based on his theory of p -adic integration on the modular curve $X_0(N)$.
3. Finally, a third \mathcal{L} -invariant $\mathcal{L}_{FM}(\phi)$ was introduced by Fontaine and Mazur [Mz1] in terms of the semistable Dieudonné module of the local p -adic Galois representation attached to ϕ .

Proofs of equation (2) have been announced by Stevens and by Kato, Kurihara and Tsuji, with $\mathcal{L}(\phi)$ replaced by $\mathcal{L}_C(\phi)$ and $\mathcal{L}_{FM}(\phi)$ respectively.

Parallel to these developments, a p -adic conjecture of Birch and Swinnerton-Dyer type (for weight two modular forms) is formulated in [BD1], with the cyclotomic \mathbb{Z}_p -extension of \mathbb{Q} replaced by the anticyclotomic \mathbb{Z}_p -extension of a quadratic imaginary field K . In the anticyclotomic setting, the p -adic L -function admits a construction which appeals to the p -adic analytic uniformization of Shimura curves, unlike the cyclotomic setting, where the complex uniformisation is required in the definition of modular symbols. Thus the anticyclotomic setting is more amenable to the purely p -adic approach initially proposed by [Sch] for the cyclotomic setting. Furthermore, new exceptional zero phenomena emerge which have no counterpart

in the situation explored by Mazur, Tate and Teitelbaum, and which can be approached through other methods, most notably the theory of complex multiplication. (See for example the formulae of [BD2] and [BD3].)

When the prime p splits in K , the article [BD4] gives a proof of formula (1) in the anticyclotomic context. This proof differs from the one given by Greenberg and Stevens in the cyclotomic case, making no use of Hida families or two-variable p -adic L -functions, but relying on p -adic integration on Shimura curves in an essential way. Since this ingredient is precisely the one that enters both into the definition of Teitelbaum's \mathcal{L} -invariant and of the anticyclotomic p -adic L -function, it is natural to build on the methods of [BD4] to prove the anticyclotomic analogue of Teitelbaum's conjecture. This is one task carried out in this paper. (Cf. theorem 3.4 of section 3.2.)

The case where p is inert in K (which for weight 2 is treated in [BD3]) is different: both the p -adic and classical L -functions vanish at the central point, and the discrepancy between them cannot be accounted for by a simple \mathcal{L} -invariant. This case is discussed in section 3.3; theorem 3.5 expresses $L'_p(\phi, k/2)$ in terms of a p -adic Coleman integral between two CM points on the p -adic upper half plane, and can be viewed as a generalisation of one of the main results of [BD3] to weight $k > 2$. The arithmetic interpretation of this integral will be explored in a future work.

Note that by combining the above mentioned results of Stevens with those of Kato-Kurihara-Tsuji implies the equality of the (cyclotomic) Coleman and Fontaine-Mazur \mathcal{L} -invariants. In general, a direct, local comparison of these \mathcal{L} -invariants is investigated in [CI] where it is shown that they are equal when they are defined. This applies to the present work, so that the anticyclotomic analogue of $\mathcal{L}_T(\phi)$ could be replaced by $\mathcal{L}_C(\phi)$ or $\mathcal{L}_{FM}(\phi)$ in the statement of theorem 3.4.

The present work has its roots in a graduate course taught by one of the authors (Iovita) at McGill University in the Spring of 1998, in which the connection between the p -adic L -function of [BD1] and Schneider's rigid analytic L -transforms was emphasized. The first three authors were then led to recast the proofs of the main results of [BD3] and [BD4] in this framework, while a similar approach was being developed independently by the fourth author. What emerged were proofs of the main results of [BD3] and [BD4] which, while not fundamentally different from the originals, are more elegant and conceptual, and clarify the role of the underlying machinery of p -adic in-

tegration. In particular, the use of Schneider's p -adic boundary distributions and Teitelbaum's p -adic Poisson transform, implicit in [BD3] and [BD4], is made explicit. This point of view has been influential in later work, notably [Da].

Because of the more conceptual point of view taken in the present work, even the reader interested only in the weight two results of [BD3] and [BD4] may find it helpful to study this paper after setting $k = 2$. The authors also felt it would be worthwhile to generalize the results of [BD3] and [BD4] to higher weight, a context in which the original approach had seemed unduly burdensome to carry through.

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1 Preliminaries

1.1 Review

In this section we review the main ingredients which enter our constructions and fix the notations.

The p -adic upper half plane. Let \mathbb{C}_p be the completion of the algebraic closure $\bar{\mathbb{Q}}_p$ of \mathbb{Q}_p , and let

$$\mathcal{H}_p := \mathbb{P}_1(\mathbb{C}_p) - \mathbb{P}_1(\mathbb{Q}_p)$$

be Drinfeld's p -adic upper half plane. It has a natural structure of a rigid analytic space (see [SS] where a more general situation is treated or [BD5] §3.1 for details on what is need here and where the notations are consistent with the ones in the current paper.) The group $\mathbf{PGL}_2(\mathbb{Q}_p)$ acts on \mathcal{H}_p by

fractional linear transformations. Fix once and for all an embedding of $\bar{\mathbb{Q}}$ into $\bar{\mathbb{Q}}_p$, and hence \mathbb{C}_p .

Write $\mathcal{T} = \mathcal{T}_p$ for the Bruhat-Tits tree of $\mathbf{PGL}_2(\mathbb{Q}_p)$ and denote by $\vec{\mathcal{E}}(\mathcal{T})$ the set of its oriented edges. If $e \in \vec{\mathcal{E}}(\mathcal{T})$ we denote by $V(e)$ the inverse image under reduction of e . It is a wide open annulus in \mathcal{H}_p .

Quaternion algebras. Let \mathcal{B} be an *indefinite* quaternion algebra over \mathbb{Q} , i.e., a central simple algebra of rank 4 satisfying

$$\mathcal{B} \otimes_{\mathbb{Q}} \mathbb{R} \simeq M_2(\mathbb{R}).$$

An *order* in \mathcal{B} is a subring of \mathcal{B} which is of rank 4 as a \mathbb{Z} -module. A *maximal order* is an order which is contained in no larger order, and an *Eichler order* is the intersection of two maximal orders. (For the definition of the *level* of an Eichler order, see [Vi], ch. I, §4.)

Let B be a *definite* quaternion algebra, i.e., a quaternion algebra over \mathbb{Q} satisfying

$$B \otimes_{\mathbb{Q}} \mathbb{R} \simeq \mathbb{H},$$

where $\mathbb{H} = \mathbb{R} + \mathbb{R}i + \mathbb{R}j + \mathbb{R}k$ is Hamilton's skew field of real quaternions. The algebra B does not satisfy the Eichler condition, and in general contains several distinct conjugacy classes of maximal orders. (The number of such classes is called the *type number* of B , cf. [Vi], ch. V.)

Fix a prime p for which B splits. A $\mathbb{Z}[1/p]$ -order in B is a subring of B which is stable under multiplication by $\mathbb{Z}[1/p]$ and is of rank 4 as a $\mathbb{Z}[1/p]$ -module. A *maximal $\mathbb{Z}[1/p]$ -order* of B is a $\mathbb{Z}[1/p]$ -order which is contained in no larger $\mathbb{Z}[1/p]$ -order, and an Eichler $\mathbb{Z}[1/p]$ -order is the intersection of two maximal $\mathbb{Z}[1/p]$ -orders. For more details on quaternion algebras see [BD5] §3.2.

Shimura curves. Let S be a finite set of places of \mathbb{Q} of odd cardinality containing the place ∞ , and let N^+ be an integer which is not divisible by any prime in S . Then a *Shimura curve* X over \mathbb{Q} can be associated to the data (S, N^+) and described as follows. The presentation of the material is inspired by [Gr1], ch.IV.

Let \mathcal{B} be the indefinite quaternion algebra ramified exactly at the places in $S - \{\infty\}$, let \mathcal{R} be an Eichler order in \mathcal{B} of level N^+ , and let \mathcal{R}^{\max} be a maximal order containing \mathcal{R} . Let \mathcal{R}_1^\times be the group of elements of \mathcal{R} of

reduced norm 1, and let $\Gamma_\infty := \iota_\infty(\mathcal{R}_1^\times) \subset \mathbf{SL}_2(\mathbb{R})$. Then the Riemann surface $X(\mathbb{C})$ can be described as the quotient $\mathcal{H}/\Gamma_\infty$. See [BD 5] for more details.

Assume that $S - \{\infty\}$ is non-empty and let $p \in S$ be a rational prime. Let B be the (definite) quaternion algebra ramified precisely at the places in $S - \{p\}$, and let R be an Eichler $\mathbb{Z}[1/p]$ -order in B of level N^+ . Let us fix an isomorphism $\iota: B \otimes \mathbb{Q}_p \longrightarrow M_2(\mathbb{Q}_p)$. It induces a group homomorphism, also denoted ι by abuse of notation, $\iota: B^\times \longrightarrow \mathbf{PGL}_2(\mathbb{Q}_p)$. Let $\Gamma = \iota(R_1^\times) \subset \mathbf{PSL}_2(\mathbb{Q}_p)$ be the image under ι of the elements of norm 1 in R . Then the rigid analytic curve $X(\mathbb{C}_p)$ can be described as the quotient \mathcal{H}_p/Γ .

This description of the Shimura curve X follows from a theorem of Cerednik-Drinfeld, see [Ce] and [Dr]. Detailed proofs of these results can be found in [JL1] and [BC].

p -adic modular forms. For the following definition recall the quotient $X(\mathbb{C}_p) = \mathcal{H}_p/\Gamma$ from the paragraph on Shimura curves above. For all $M = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \mathbf{GL}_2(\mathbb{C}_p)$, write

$$(f|_k M)(z) := \frac{\det(M)^{k/2}}{(cz + d)^k} f(Mz).$$

Definition 1.1 A p -adic modular form of weight k on Γ (or on X) is a global rigid analytic function f on \mathcal{H}_p satisfying

$$f(\gamma z) = (cz + d)^k f(z), \quad (\text{i.e., } f|_k \gamma = f), \quad \text{for all } \gamma = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \Gamma.$$

We denote by $S_k^{rig}(\Gamma)$ the space of p -adic modular forms of weight k for the group Γ .

Hecke operators. Let N be a positive integer, and fix a prime p which divides N exactly. The space $S_k(\Gamma_0(N))$ of cusp forms of weight k on $\Gamma_0(N)$, and the space $S_k^{new}(\Gamma_0(N))$ of newforms on this group, are endowed with an action of the commuting Hecke operators T_n for each $n \geq 1$, defined in the standard way. (See for example [MTT], ch. I, §4.) Note that, following the conventions of [MTT], when ℓ is a prime dividing N the symbol T_ℓ is used to denote the Hecke operator sometimes written U_ℓ in other articles.

The space $S_k(\Gamma_0(N))$ is also acted on by the Atkin-Lehner involutions W_ℓ for each prime $\ell|N$. (In [MTT], ch. I, § 5, the involution W_ℓ is called w_{ℓ^a} , where ℓ^a is the maximal power of ℓ dividing N .) The normalized newforms in $S_k(\Gamma_0(N))$ are also eigenvectors for these involutions. Let $w = \pm 1$ denote the *negative* of the eigenvalue of W_p acting on ϕ ,

$$W_p(\phi) = -w\phi, \quad \text{so that} \quad T_p(\phi) = wp^{\frac{k-2}{2}}\phi.$$

The form ϕ is said to be of *split multiplicative type* if $w = 1$, and of *non-split multiplicative type* if $w = -1$.

Let S be a set of places of \mathbb{Q} of odd cardinality containing $\{\infty\}$, and suppose that

$$N = N^+ \prod_{\ell \in S - \infty} \ell,$$

with N^+ not divisible by any prime in S . Let X be the Shimura curve attached to the data (S, N^+) . By abuse of notation, let T_n denote the n -th Hecke correspondence on X , defined for example as in [JL2]. When $\ell \notin S$ is a prime which does not divide N^+ , (resp. divides N^+), the correspondence T_ℓ is of bidegree $\ell+1$ (resp. ℓ), just like its $X_0(N)$ -counterpart. When ℓ belongs to S , the operator T_ℓ arises from an involution on X . (Cf. for example [BD1], sec. 1.5, where T_p is denoted W_p^- .)

The Jacquet-Langlands correspondence. Crucial to the constructions of this paper is the Jacquet-Langlands correspondence which allows the normalised newform $\phi = \sum a_n q^n$ on $\Gamma_0(N)$ to be replaced by a modular form on a Shimura curve associated to the appropriate quaternion algebra. Let X be the Shimura curve defined in the previous section.

Theorem 1.2 (Jacquet-Langlands) *There exists a p -adic modular form f of weight k for the group Γ satisfying*

$$T_\ell(f) = a_\ell f, \quad \forall \ell \notin S.$$

This function is unique, up to scaling by a non-zero scalar in \mathbb{C}_p .

The group Γ arising in the p -adic uniformisation of X is contained in $\tilde{\Gamma} := \iota(R^\times) \subset \mathbf{PGL}_2(\mathbb{Q}_p)$ with index two. Choose any element $\tilde{\gamma} \in \tilde{\Gamma} - \Gamma$. Then

$$f|_k \tilde{\gamma} = w f,$$

where the reader is reminded that w is the *negative* of the sign of the Atkin-Lehner involution W_p acting on ϕ . Thus f is $\tilde{\Gamma}$ -invariant if and only if ϕ is of *split* multiplicative type at p .

1.2 Harmonic cocycles

Harmonic cocycles are treated in both [Sch] and [Tei] but as our point of view is slightly different we prefer to restate the definitions and main properties of these objects here. Let Γ be the subgroup of $\mathbf{PSL}_2(\mathbb{Q}_p)$ defined above and let M be a $\mathbb{C}_p[\Gamma]$ -module, where the action of Γ is written on the left.

Definition 1.3 *An M -valued harmonic cocycle on \mathcal{T} is an M -valued function on $\vec{\mathcal{E}}(\mathcal{T})$ satisfying*

$$c(e) = -c(\bar{e}), \quad \sum_{\text{source}(e)=v} c(e) = 0, \quad \forall v \in \mathcal{T}.$$

Write $C_{har}(M)$ for the \mathbb{C}_p -vector space of M -valued harmonic cocycles, and $C_{har}(M)^\Gamma$ for the space of Γ -equivariant harmonic cocycles, i.e., harmonic cocycles c satisfying

$$c(\gamma e) = \gamma \cdot c(e), \quad \text{for all } \gamma \in \Gamma.$$

Let \mathcal{P}_{k-2} be the $(k-1)$ -dimensional \mathbb{C}_p -vector space of polynomials of degree $\leq k-2$ with coefficients in \mathbb{C}_p . It is endowed with a right action of $\mathbf{GL}_2(\mathbb{Q}_p)$ (and actually of $\mathbf{PGL}_2(\mathbb{Q}_p)$) by the rule

$$P(x) \cdot \beta := \frac{(cx+d)^{k-2}}{(\det(\beta))^{\frac{k-2}{2}}} P\left(\frac{ax+b}{cx+d}\right), \quad \beta = \begin{pmatrix} a & b \\ c & d \end{pmatrix}, \quad P \in \mathcal{P}_{k-2}.$$

In this way $\mathcal{P}_{k-2}^\vee := \hom(\mathcal{P}_{k-2}, \mathbb{C}_p)$ inherits a left $\mathbf{PGL}_2(\mathbb{Q}_p)$ -action by

$$\beta \cdot R(P) := R(P \cdot \beta), \quad \forall P \in \mathcal{P}_{k-2}.$$

Let $U := \text{ad}^0(B)$ be the representation of B^\times consisting of the elements of B of reduced trace zero on which B^\times acts on the right by the rule

$$u \cdot b := (b^{-1}ub).$$

The function which to every $u \in U$ associates the polynomial $P_u(x) \in \mathcal{P}_2$ (with coefficients in \mathbb{Q}_p) given by

$$P_u(x) = \text{trace} \left(\iota(u) \begin{pmatrix} x & -x^2 \\ 1 & -x \end{pmatrix} \right) = \text{trace} \left(\iota(u) \begin{pmatrix} x \\ 1 \end{pmatrix} \begin{pmatrix} 1 & -x \end{pmatrix} \right) \quad (3)$$

satisfies $P_{u \cdot b}(x) = P_u(x) \cdot \iota(b)$, and hence induces a linear map from $U \otimes \mathbb{C}_p$ to \mathcal{P}_2 which intertwines the B^\times and $\mathbf{PGL}_2(\mathbb{Q}_p)$ actions.

The symmetric bilinear \mathbb{Q} -valued pairing on U arising from the Cartan-Killing form on $\text{ad}^0(B)$,

$$\langle u_1, u_2 \rangle_1 := \frac{1}{2} \text{trace}(u_1 \bar{u}_2)$$

satisfies $\langle u_1 \cdot b, u_2 \rangle_1 = \langle u_1, u_2 \cdot \bar{b} \rangle_1$, so that $\langle u_1 \cdot b, u_2 \cdot b \rangle_1 = \langle u_1, u_2 \rangle_1$. This pairing gives rise to a perfect symmetric pairing \langle , \rangle_j on $\text{Sym}^j(U)$ by the rule

$$\langle u_1 \cdots u_j, v_1 \cdots v_j \rangle_j = \frac{1}{j!} \sum_{\sigma \in S_j} \langle u_1, v_{\sigma 1} \rangle_1 \cdots \langle u_j, v_{\sigma j} \rangle_1. \quad (4)$$

Thus the space $\text{Sym}^{\frac{k-2}{2}}(U)$ is identified with its own dual via the pairing $\langle , \rangle_{\frac{k-2}{2}}$. Dualizing the natural surjection

$$\text{Sym}^{\frac{k-2}{2}}(U) \otimes \mathbb{C}_p \longrightarrow \mathcal{P}_{k-2} \quad (5)$$

induced from the map $U \longrightarrow \mathcal{P}_2$ of equation (3) yields an inclusion

$$\mathcal{P}_{k-2}^\vee \longrightarrow \text{Sym}^{\frac{k-2}{2}}(U) \otimes \mathbb{C}_p. \quad (6)$$

In this way \mathcal{P}_{k-2}^\vee inherits a perfect bilinear pairing arising from $\langle , \rangle_{\frac{k-2}{2}}$, denoted simply by \langle , \rangle .

If L is any subfield of \mathbb{C}_p , write $\mathcal{P}_{k-2}(L)$ for the natural image of the map $\text{Sym}^{\frac{k-2}{2}}(U) \otimes L \longrightarrow \mathcal{P}_{k-2}$ arising from equation (5), and let $\mathcal{P}_{k-2}^\vee(L) := \text{hom}(\mathcal{P}_{k-2}(L), L)$. This definition yields a rational structure on \mathcal{P}_{k-2} and \mathcal{P}_{k-2}^\vee . Note that in general $\mathcal{P}_{k-2}(\mathbb{Q})$ is not the space of polynomials with rational coefficients.

Definition 1.4 A harmonic cocycle of weight k on \mathcal{T} is a \mathcal{P}_{k-2}^\vee -valued harmonic cocycle.

Set $C_{har}(k) := C_{har}(\mathcal{P}_{k-2}^\vee)$, and $C_{har}(k)^\Gamma := C_{har}(\mathcal{P}_{k-2}^\vee)^\Gamma$.

Following Schneider [Sch], [Tei], associate to a rigid analytic modular form f of weight k on Γ a harmonic cocycle $c_f \in C_{har}(k)$ by the rule

$$c_f(e)(r) = \text{res}_e(f(z)r(z)dz), \quad r(z) \in \mathcal{P}_{k-2}, \quad (7)$$

where res_e is the p -adic annular residue along the oriented wide open annulus $V(e)$ in $\mathbb{P}_1(\mathbb{C}_p)$, defined by

$$\text{res}_e(\omega) := \text{res}_{V(e)}(\omega|_{V(e)}).$$

The fact that c_f is harmonic follows from the p -adic residue formula. (Cf. [Sch].)

Definition 1.5 For $\gamma \in \mathbf{PGL}_2(\mathbb{Q}_p)$ denote by $|\gamma| := \text{ord}_p(\det(\bar{\gamma})) (\text{mod } 2)$, where $\bar{\gamma}$ is any lift of γ in $\mathbf{GL}_2(\mathbb{Q}_p)$.

Lemma 1.6 The cocycle c_f satisfies the following transformation with respect to $\tilde{\Gamma}$

$$c_f(\gamma e) = w^{|\gamma|} \gamma \cdot c_f(e), \quad \forall \gamma \in \tilde{\Gamma}.$$

Proof. For all $r \in \mathcal{P}_{k-2}$, and $\gamma = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$,

$$\begin{aligned} c_f(\gamma e)(r) &= \text{res}_{\gamma e}(f(z)r(z)dz) = \text{res}_e(f(\gamma z)r(\gamma z)d(\gamma z)) \\ &= \text{res}_e(w^{|\gamma|} \frac{(cz+d)^k}{(\det \gamma)^{\frac{k}{2}}} f(z) \frac{(cz+d)^{2-k}}{(\det \gamma)^{\frac{2-k}{2}}} (r(z) \cdot \gamma) \frac{\det \gamma}{(cz+d)^2} dz) \\ &= w^{|\gamma|} \text{res}_e(f(z)(r(z) \cdot \gamma)dz) = w^{|\gamma|} c_f(e)(r(z) \cdot \gamma) \\ &= w^{|\gamma|} \gamma \cdot c_f(e)(r). \end{aligned}$$

Define a pairing on $C_{har}(k)^\Gamma$ by the rule

$$\langle c_1, c_2 \rangle = \sum_{e \in \overrightarrow{\mathcal{E}}(\mathcal{T})/\Gamma} w_e \langle c_1(e), c_2(e) \rangle,$$

where the sum is taken over a set of representatives for the Γ -orbits in $\vec{\mathcal{E}}(\mathcal{T})$, the integer w_e is the cardinality of the stabiliser of e in Γ , and the pairing on \mathcal{P}_{k-2}^\vee is the one defined by equation (6) and the sentence after it. The pairing on $C_{har}(k)^\Gamma$ is non-degenerate, because of the non-degeneracy of the pairing on \mathcal{P}_{k-2}^\vee used to define it. It can be checked directly that the Hecke operators T_ℓ for $\ell \nmid N$ are self-adjoint with respect to \langle , \rangle .

Let now ϕ be a normalized newform of weight $k \geq 2$ on $\Gamma_0(N)$ and $f \in S_k^{rig}(\Gamma)$ be the rigid analytic modular form on Γ attached to it by section 1.1. The associated cocycle $c_f \in C_{har}(k)^\Gamma$ belongs to a one-dimensional simultaneous eigenspace for the Hecke algebra, by theorem 1.2. Hence the non-degeneracy of the pairing \langle , \rangle on $C_{har}(k)^\Gamma$ implies that

$$\langle c_f, c_f \rangle \neq 0.$$

Note that the form f is only well-defined up to multiplication by a non-zero scalar; the following definition is introduced to remove this ambiguity.

Definition 1.7 An eigenform $f \in S_k^{rig}(\Gamma)$ is said to be *normalised* if its associated cocycle $c_f \in C_{har}(k)$ satisfies

$$\langle c_f, c_f \rangle = 1.$$

Note that the normalised eigenform $f \in S_k^{rig}(\Gamma)$ attached to ϕ is well defined, up to a sign. Suppose from now on that f is normalised in this way.

Recall that $K_\phi \subset \mathbb{C}_p$ is the finite extension of \mathbb{Q} generated by the Fourier coefficients of ϕ . The normalised eigenform f satisfies the following rationality property.

Lemma 1.8 The \mathcal{P}_{k-2}^\vee -valued cocycle c_f takes values in $\mathcal{P}_{k-2}^\vee(K_f)$, where K_f is an extension of K_ϕ of degree ≤ 2 .

Proof. The space of Γ -equivariant $\mathcal{P}_{k-2}^\vee(\mathbb{Q})$ -valued cocycles gives a rational structure $C_{har}(k)_\mathbb{Q}^\Gamma$ on $C_{har}(k)^\Gamma$ which is preserved by the Hecke operators, and on which the pairing \langle , \rangle takes values in \mathbb{Q} . Hence the one-dimensional eigenspace of $C_{har}(k)^\Gamma$ attached to ϕ contains a K_ϕ -rational vector $\tilde{c}_f \in C_{har}(k)_\mathbb{Q}^\Gamma \otimes K_\phi$. Since $\langle \tilde{c}_f, \tilde{c}_f \rangle$ belongs to K_ϕ , the lemma follows, with $K_f = K_\phi(\sqrt{\langle \tilde{c}_f, \tilde{c}_f \rangle})$.

1.3 Schneider's distribution

This section reviews a construction of Schneider which associates to a rigid analytic modular form f of weight k on Γ a “boundary distribution” μ_f .

An *end* of \mathcal{T} is an equivalence class of sequences $(e_n)_{n=1}^\infty$ of elements $e_n \in \vec{\mathcal{E}}(\mathcal{T})$ satisfying $\text{target}(e_n) = \text{source}(e_{n+1})$, and $\text{target}(e_{n+1}) \neq \text{source}(e_n)$, two such sequences (e_n) and (e'_n) being identified if there exist N and N' with $e_{N+j} = e'_{N'+j}$ for all $j \geq 0$. Let $\mathcal{E}_\infty(\mathcal{T})$ be the space of ends on \mathcal{T} . It is identified with $\mathbb{P}_1(\mathbb{Q}_p)$ by the rule

$$(e_n) \mapsto \lim_n b_{e_n}(\infty),$$

where b_{e_n} is the coset in $\mathbf{PGL}_2(\mathbb{Q}_p)$ associated to e_n . (See [BD 5] §3.1.) The space $\mathcal{E}_\infty(\mathcal{T})$ thus inherits a natural topology coming from the p -adic topology on $\mathbb{P}_1(\mathbb{Q}_p)$. Each edge $e \in \vec{\mathcal{E}}(\mathcal{T})$ corresponds to a compact open subset $U(e)$ of $\mathcal{E}_\infty(\mathcal{T})$ consisting of all ends having a representative which contains e .

Locally analytic distributions. We would like now to recall a few facts on p -adic distributions. We will adopt the point of view and notations from [St].

Suppose that $X \subset \mathbb{P}_1(\mathbb{C}_p)$ is a compact subset. Let us recall the following well known definition. Let $\alpha, \beta \in \mathbb{P}_1(\mathbb{C}_p)$ be represented by $\alpha = (a_1, a_2)$ and $\beta = (b_1, b_2)$ with $a_1, a_2, b_1, b_2 \in \mathcal{O}_{\mathbb{C}_p}$ such that $\text{g.c.d.}(a_1, a_2) = \text{g.c.d.}(b_1, b_2) = 1$ and set $d(\alpha, \beta) := p^{-\text{ord}_p(a_1 b_2 - a_2 b_1)}$. Then d is a well defined non-archimedean metric on $\mathbb{P}_1(\mathbb{C}_p)$. For every integer $n \geq 1$ denote by

$$X[n] := \{x \in \mathbb{P}_1(\mathbb{C}_p) \mid \text{there is } y \in X \text{ with } d(x, y) \leq p^{-n}\}.$$

Then $X[n]$ is an affinoid subdomain of $\mathbb{P}_1(\mathbb{C}_p)$, in fact it is a finite disjoint union of affinoid balls. Let $A(X[n])$ be the \mathbb{Q}_p -algebra of rigid analytic functions on $X[n]$, and denote by $\rho^{(n)}: A(X[n]) \longrightarrow A(X[n+1])$ the restriction map. Then for each $n \geq 1$, $A(X[n])$ is a Banach algebra over \mathbb{Q}_p for the spectral norm $\|\bullet\|_n$ and $\rho^{(n)}$ is a continuous homomorphism of Banach algebras. Let us denote

$$A(X) := \varinjlim A(X[n])$$

and call it the \mathbb{Q}_p -algebra of locally analytic functions on X . We endow this algebra with the Morita topology.

Let us now fix $X = \mathbb{P}_1(\mathbb{Q}_p)$ and write simply \mathcal{A} for $A(X)$. Let us go back to the notations at the beginning of this section. The cocycle c_f associated to f by equation (7) gives rise to a continuous linear functional μ_f on the space of locally analytic functions on \mathbb{Q}_p with compact support, defined by the basic relation

$$\mu_f(r \cdot \chi_{U(e)}) := \int_{U(e)} r(x) d\mu_f(x) = c_f(e)(r), \quad (8)$$

for all $r \in \mathcal{P}_{k-2}$ and e edge of \mathcal{T} such that $U(e) \subset \mathbb{Q}_p$. (See [Sch].) Here $\chi_{U(e)}$ denotes the characteristic function of $U(e)$ in \mathbb{Q}_p . Then μ_f extends uniquely to the space, denoted \mathcal{A}_k , of locally analytic \mathbb{C}_p -valued functions on $\mathbb{P}_1(\mathbb{Q}_p)$ having a pole of order at most $k-2$ at ∞ . (See [Tei], proposition 9.) This linear functional will be also denoted by μ_f .

Lemma 1.9 *If r is any polynomial of degree $\leq k-2$, then*

$$\int_{\mathbb{P}_1(\mathbb{Q}_p)} r(x) d\mu_f(x) = 0.$$

Proof. Let v be any vertex of \mathcal{T} . By the finite additivity of μ_f ,

$$\int_{\mathbb{P}_1(\mathbb{Q}_p)} r(x) d\mu_f(x) = \sum_{e, \text{source}(e)=v} \int_{U(e)} r(x) d\mu_f(x).$$

The lemma follows from (8) combined with the harmonicity of c_f .

Now define a weight $k-2$ action of $\mathbf{PGL}_2(\mathbb{Q}_p)$ on \mathcal{A}_k by the rule

$$(\varphi * \gamma)(x) := \frac{(cx+d)^{k-2}}{(\det \gamma)^{\frac{k-2}{2}}} \varphi(\gamma * x) \quad \text{for } \varphi \in \mathcal{A}_k, \quad \gamma \in \mathbf{PGL}_2(\mathbb{Q}_p).$$

We have

Lemma 1.10 *The boundary distribution μ_f satisfies the following transformation property with respect to $\tilde{\Gamma}$*

$$\mu_f(\varphi * \gamma) = w^{|\gamma|} \mu_f(\varphi) \quad \text{for all } \gamma \in \tilde{\Gamma} \quad \text{and } \varphi \in \mathcal{A}.$$

Proof. It is enough to check the formula for functions of the form $r \cdot \chi_{U(e)}$, where r is any polynomial of degree $\leq k - 2$, e any edge of \mathcal{T} and now $\chi_{U(e)}$ is the characteristic function of $U(e)$ in $\mathbb{P}_1(\mathbb{Q}_p)$. For this apply lemma 1.6 and the definition of μ_f .

Remark: Let $P \in \mathcal{P}_{k-2}$ having coefficients in \mathbb{Q}_p . Let us consider the free \mathcal{A} -module of rank one $M_P := P \cdot \mathcal{A} \subset \mathcal{A}_k$ and endow it with the topology induced from the Morita topology of \mathcal{A} . Then the restriction of μ_f to M_P is a continuous linear functional i.e. a distribution. (See [Tei] proposition 9.)

The p -adic Poisson transform. The following result can be viewed as a p -adic analogue of the Poisson inversion formula: it allows a rigid analytic modular form to be recovered from its associated boundary distribution.

Proposition 1.11 (Teitelbaum) *Let f be a rigid analytic modular form of weight k on Γ and let μ_f be the associated distribution on $\mathbb{P}_1(\mathbb{Q}_p)$. Then*

$$f(z) = \int_{\mathbb{P}_1(\mathbb{Q}_p)} \frac{1}{z-t} d\mu_f(t).$$

Proof. See [Tei], theorem 3. Note that the integrand $\frac{1}{z-t}$ is a bounded analytic function of t so that the integral in the theorem converges.

2 The p -adic L -function

Let K be an imaginary quadratic field. The goal of this chapter is to define the anticyclotomic p -adic L -function attached to ϕ and K . As explained in section 2.2, its construction is inspired by Schneider's "rigid analytic" definition [Sch] of p -adic L -functions in the cyclotomic case. For simplicity, the following assumption is made throughout.

Assumption 2.1 *The discriminant of K is relatively prime to the level N of ϕ .*

Thus one may write

$$N = pN^+N^-,$$

where N^+ (resp. N^-) is divisible only by primes which are split (resp. inert) in K . The following assumption is also made for simplicity:

Assumption 2.2 *The integer N^- is square-free.*

2.1 Complex L -functions

The classical L -function attached to ϕ is defined by

$$L(\phi, s) = \prod_{\ell|N} (1 - a_\ell \ell^{-s})^{-1} \prod_{\ell \nmid N} (1 - a_\ell \ell^{-s} + \ell^{k-1-2s})^{-1} = \sum_{n=1}^{\infty} a_n n^{-s},$$

where the Euler product is taken over the rational primes. It has an analytic continuation and a functional equation relating its values at s and $k-s$. More precisely, the function

$$\Lambda(\phi, s) := N^{s/2} (2\pi)^{-s} \Gamma(s) L(\phi, s)$$

extends holomorphically to the entire complex plane and satisfies

$$\Lambda(\phi, s) = (-1)^{k/2} w_N \Lambda(\phi, k-s),$$

where $w_N = \pm 1$ is the eigenvalue of the Atkin-Lehner involution W_N acting on ϕ .

More germane to the present article is the L -function of ϕ over K , defined by

$$L(\phi/K, s) = L(\phi, s) L(\phi, \varepsilon, s),$$

where ε is the quadratic Dirichlet character attached to K and $L(\phi, \varepsilon, s) = \sum a_n \varepsilon(n) n^{-s}$ is the twisted L -function. For each prime $\ell \nmid N$ write $(x - \alpha_\ell)(x - \beta_\ell) := x^2 - a_\ell x + \ell^{k-1}$, and set $a(\ell^t) = \alpha_\ell^t + \beta_\ell^t$. The function $L(\phi/K, s)$ factors into an Euler product,

$$L(\phi/K, s) = \prod_{v|N} (1 - a_{\mathbb{N}v} \mathbb{N}v^{-s})^{-1} \prod_{v \nmid N} (1 - a(\mathbb{N}v) \mathbb{N}v^{-s} + \mathbb{N}v^{k-1-2s})^{-1}, \quad (9)$$

the product being taken this time over all the finite places v of K . The function $L(\phi/K, s)$ can also be expressed as a sum of *partial L -functions*,

$$L(\phi/K, s) = \sum_{\mathfrak{a}} L(\phi/K, \mathfrak{a}, s).$$

Here the \mathfrak{a} 's range over the ideal classes of K , and

$$L(\phi/K, \mathfrak{a}, s) = \left(\sum_{\substack{n=1 \\ (n,N)=1}}^{\infty} \frac{\varepsilon(n)}{n^{2s-k+1}} \right) \left(\sum_{n=1}^{\infty} \frac{a_n r_{\mathfrak{a}}(n)}{n^s} \right), \quad (10)$$

where $r_{\mathfrak{a}}(n)$ is the number of integral ideals of norm n in the class of \mathfrak{a} .

More generally, let \mathcal{O}_c be the order of K of conductor c , and let $G_c = \text{Pic}(\mathcal{O}_c)$ be the Picard group of rank one projective \mathcal{O}_c -modules. If \mathfrak{a} is a class in G_c , define $L(\phi/K, \mathfrak{a}, s)$ as in equation (10). The group G_c is identified with the Galois group of an abelian extension K_c of K , the so-called *ring class field* of conductor c . If $\chi : G_c \rightarrow \mathbb{C}^\times$ is any character, set

$$L(\phi/K, \chi, s) := \sum \chi(\mathfrak{a}) L(\phi/K, \mathfrak{a}, s),$$

the sum being taken over all elements of G_c . Like $L(\phi/K, s)$, and unlike the partial L -functions $L(\phi/K, \mathfrak{a}, s)$, the functions $L(\phi/K, \chi, s)$ admit an Euler product decomposition analogous to (9), and a simple functional equation.

Proposition 2.3 *Suppose that χ is a ring class character of conductor p^n where $n > 0$. The L -function $L(\phi/K, \chi, s)$ satisfies the functional equation*

$$\Lambda(\phi/K, \chi, s) := (2\pi)^{-2s} \Gamma(s)^2 (ND)^s L(\phi/K, \chi, s) = -\varepsilon(N^-) \Lambda(\phi/K, \chi, k-s)$$

relating its values at s and $k-s$.

For a discussion in weight 2, see [PR], sec. 5.2.

The anticyclotomic p -adic L -function studied in this work interpolates the special values of $L(\phi/K, \chi, s)$ at the central point $s = k/2$, where χ ranges over ring class characters of p -power conductor. Proposition 2.3 shows that $L(\phi/K, \chi, k/2)$ vanishes identically for all such χ , if the number of primes dividing N^- is even. This justifies the following basic assumption which is made throughout the paper:

Assumption 2.4 *The sign $\epsilon_K := -\varepsilon(N^-)$ in the functional equation of proposition 2.3 is equal to 1, i.e., in light of assumptions 2.1 and 2.2, N^- is a product of an odd number of primes.*

2.2 The basic strategy

The construction of the p -adic L -function attached to ϕ and K proceeds as follows.

1. Write $N^- = \ell_1 \cdots \ell_r$ for the prime factorisation of N^- , and set $S = \{p, \infty, \ell_1, \dots, \ell_r\}$. Note that S is a set of places of odd cardinality, by assumption 2.4. Let X be the Shimura curve associated to the data (S, N^+) as in section 1.1, and let ω be the modular form of weight k on X attached to ϕ by the Jacquet-Langlands theorem (theorem 1.2).
2. Applying the Cerednik-Drinfeld theorem, see 1.1 let $f \in S_k^{rig}(\Gamma)$ be the rigid analytic modular form on \mathcal{H}_p associated to ω . It satisfies invariance properties under a p -adic discrete group Γ arising from the definite quaternion algebra B ramified at the primes in $S - \{p\}$. More precisely, $\Gamma = \iota(R_1^\times)$, where R is an Eichler $\mathbb{Z}[1/p]$ -order of level N^+ in B and R_1^\times is the group of elements of reduced norm 1 in R^\times . Assume that f is normalised as in definition 1.7.
3. The p -adic L -function $L_p(f, K, s)$ is defined as a p -adic Mellin transform of Schneider's distribution μ_f attached to f as in section 1.3, along the p -adic points of a (suitably chosen) maximal torus in $B^\times/\mathbb{Q}^\times$, isomorphic to $K^\times/\mathbb{Q}^\times$.

It is the last step of this construction which remains to be explained. In sections 2.3 and 2.4, a distribution on $(K \otimes \mathbb{Q}_p)^\times/\mathbb{Q}_p^\times$ is defined using Schneider's distribution. Section 2.5 explains how this distribution interpolates special values of classical L -functions of ϕ over K . The construction of the p -adic L -function is then carried out in section 2.6

2.3 Embeddings of K into B

Let \mathcal{O}_K be the ring of integers of K , and $\mathcal{O} = \mathcal{O}_K[1/p]$ its ring of p -integers. An *orientation* of the Eichler order R is a surjective ring homomorphism

$$\mathfrak{o} : R \longrightarrow (\mathbb{Z}/N^+\mathbb{Z}) \times \prod_{\ell|N^-} \mathbb{F}_{\ell^2}.$$

The pair (R, \mathfrak{o}) is called an *oriented Eichler order*. Likewise, an orientation on \mathcal{O} is a surjective homomorphism $\mathcal{O} \longrightarrow (\mathbb{Z}/N^+\mathbb{Z}) \times \prod_{\ell|N^-} \mathbb{F}_{\ell^2}$. This amounts to choosing, for each prime ℓ dividing N^+ , a prime ideal of K above ℓ , and, for each prime ℓ dividing N^- , an identification of the residue field of K at ℓ with \mathbb{F}_{ℓ^2} . Fix orientations on R and \mathcal{O} once and for all.

An embedding $\Psi : K \longrightarrow B$ is called an *oriented optimal embedding* of \mathcal{O} into R if

1. $\Psi(K) \cap R = \Psi(\mathcal{O})$, so that Ψ induces an embedding of \mathcal{O} into R .
2. Ψ is compatible with the chosen orientations on \mathcal{O} and R in the sense that the following diagram commutes

$$\begin{array}{ccc} \mathcal{O} & \xrightarrow{\Psi} & R \\ & \searrow & \swarrow \mathfrak{o} \\ (\mathbb{Z}/N^+\mathbb{Z}) \times \prod_{\ell|N^-} \mathbb{F}_{\ell^2}. & & \end{array}$$

The group R^\times acts naturally by conjugation on the set of oriented optimal embeddings. Write $\text{emb}(\mathcal{O}, R)$ for the set of all oriented optimal embeddings of \mathcal{O} into R , taken modulo conjugation by R_1^\times .

Denote by $\Delta = \text{Pic}(\mathcal{O})$ the Picard group of projective modules of rank one over \mathcal{O} . (In classical language, it is the quotient of the class group of K , by the subgroup generated by the classes of the prime ideals above p .) The group Δ acts naturally on $\text{emb}(\mathcal{O}, R)$ as follows (see also [Gr2], sec. 1 and 3). Let $\mathfrak{a} \subset \mathcal{O}$ be a projective \mathcal{O} -module representing a class $\alpha \in \Delta$, chosen in such a way that $\mathfrak{a} \otimes \mathbb{Z}_\ell = \mathcal{O} \otimes \mathbb{Z}_\ell$ for all $\ell|N^+N^-$, and let $\Psi : K \longrightarrow B$ be an oriented optimal embedding of \mathcal{O} into R . The right order of the left R -ideal $R\Psi(\mathfrak{a})$, denoted $R_\mathfrak{a}$, is an Eichler order of level N^+ in B , which inherits an orientation from the one on R , since $R_\mathfrak{a} \otimes \mathbb{Z}_\ell = R_\ell$ for all $\ell|N^+N^-$. The right action of $\Psi(\mathcal{O})$ on $R\Psi(\mathfrak{a})$ yields an embedding $\tilde{\Psi}_\mathfrak{a} : \mathcal{O} \longrightarrow R_\mathfrak{a}$, which is compatible with the orientations on \mathcal{O} and $R_\mathfrak{a}$. Since all Eichler $\mathbb{Z}[1/p]$ -orders of level N^+ in B are conjugate, there exists $a \in B^\times$ such that

$$\text{ord}_p(a\bar{a}) \equiv 0 \pmod{2}, \quad a \in R_\ell^\times \text{ for all } \ell|N^+N^-, \quad R = aR_\mathfrak{a}a^{-1}. \quad (11)$$

Set

$$\alpha \cdot \Psi := a\tilde{\Psi}_\mathfrak{a}a^{-1}. \quad (12)$$

It can be checked that $\alpha \cdot \Psi$ is an oriented optimal embedding of K into B , and that its image in $\text{emb}(\mathcal{O}, R)$ depends only on the image of \mathfrak{a} in $\text{Pic}(\mathcal{O})$ and of Ψ in $\text{emb}(\mathcal{O}, R)$, so that (12) gives a well-defined action of Δ on $\text{emb}(\mathcal{O}, R)$. The following result is proved as in [Gr2], sec. 3.

Proposition 2.5 *The group $\Delta = \text{Pic}(\mathcal{O})$ acts freely on $\text{emb}(\mathcal{O}, R)$. The set $\text{emb}(\mathcal{O}, R)$ is the disjoint union of two Δ -orbits under this action.*

In particular, $\text{emb}(\mathcal{O}, R)$ is finite and has cardinality $2h$, where $h = \#\Delta$.

2.4 The p -adic distribution attached to an embedding

Let $\Psi : K \longrightarrow B$ be an oriented optimal embedding of \mathcal{O} into R . It induces an embedding

$$\Psi : K_p \longrightarrow B_p, \quad \text{where } K_p := K \otimes \mathbb{Q}_p.$$

Let us recall that we have fixed an isomorphism $\iota : B_p \longrightarrow M_2(\mathbb{Q}_p)$. The map $\iota\Psi : K_p \longrightarrow M_2(\mathbb{Q}_p)$ induces an embedding of $K_p^\times/\mathbb{Q}_p^\times$ into $\mathbf{PGL}_2(\mathbb{Q}_p)$, which will also be denoted by $\iota\Psi$ by abuse of notation. Thus Ψ gives rise to an action of $K_p^\times/\mathbb{Q}_p^\times$ on the boundary $\mathbb{P}_1(\mathbb{Q}_p)$ of \mathcal{H}_p by the rule

$$\alpha * x := \iota\Psi(\alpha)(x), \quad \alpha \in K_p^\times/\mathbb{Q}_p^\times, \quad x \in \mathbb{P}_1(\mathbb{Q}_p). \quad (13)$$

Choose a base point $\star \in \mathbb{P}_1(\mathbb{Q}_p)$. The element $b_\Psi := \Psi(\sqrt{-D})$ is an element of B of reduced trace 0. Let P_Ψ be the polynomial P_{b_Ψ} in \mathcal{P}_2 defined as in equation (3) of section 1.2. Note that

$$P_\Psi \cdot (\iota\Psi(\alpha)) = P_\Psi, \quad (14)$$

for all $\alpha \in K_p^\times$.

Case 1: p is inert in K . The (compact) torus $\iota\Psi(K_p^\times/\mathbb{Q}_p^\times) \subset \mathbf{PGL}_2(\mathbb{Q}_p)$ acts simply transitively on the boundary $\mathbb{P}_1(\mathbb{Q}_p)$. The choice of \star determines an isomorphism $\eta_{\Psi,\star} : K_p^\times/\mathbb{Q}_p^\times \longrightarrow \mathbb{P}_1(\mathbb{Q}_p)$. The torus $\iota\Psi(K_p^\times)$ has two fixed points in \mathcal{H}_p , denoted a and \bar{a} , which belong to K_p and are interchanged by $\text{Gal}(K_p/\mathbb{Q}_p)$. Let us now fix the base point $\star = \infty$. We have a natural homeomorphism $K_p^\times/\mathbb{Q}_p^\times \cong K_{p,1}^\times$ sending $x \pmod{\mathbb{Q}_p^\times}$ to $x/\bar{x} \in K_{p,1}^\times$. Here $K_{p,1}^\times$ denotes the compact subgroup of K_p^\times of elements of norm 1. Let us denote by η_Ψ the composition

$$\eta_\Psi : G := K_{p,1}^\times \longrightarrow K_p^\times/\mathbb{Q}_p^\times \longrightarrow \mathbb{P}_1(\mathbb{Q}_p).$$

We then have the following formulas

$$\eta_\Psi(\alpha) = \frac{(a\alpha - \bar{a})}{\alpha - 1}, \quad \eta_\Psi^{-1}(x) = \frac{x - \bar{a}}{x - a}. \quad (15)$$

Pullback by η_Ψ and η_Ψ^{-1} on functions preserve local analyticity and so we get a natural, continuous isomorphism

$$\eta_{\Psi*} = (\eta_\Psi^{-1})^* : A(G) \longrightarrow \mathcal{A},$$

where the notations of section 1.3 are used. Recall that we have defined a natural, continuous linear functional μ_f on the free \mathcal{A} -module of rank one $P_{\Psi}^{\frac{k-2}{2}} \cdot \mathcal{A} \subset \mathcal{A}_k$ in section 1.3. We will use it to define the locally analytic distribution $\mu_{f,\Psi,\star}$ on $G = K_{p,1}^{\times}$, which will be simply denoted by μ_{Ψ} whenever f and the basepoint \star are fixed, by

$$\mu_{f,\Psi,\star}(\varphi) = \mu_{\Psi}(\varphi) := \mu_f(P_{\Psi}^{\frac{k-2}{2}} \times (\eta_{\Psi}^{-1})^*(\varphi)), \quad \text{for } \varphi \in A(G).$$

Case 2: p is split. The torus $\iota\Psi(K_p^{\times}/\mathbb{Q}_p^{\times}) \subset \mathbf{PGL}_2(\mathbb{Q}_p)$ has precisely two fixed points $a, b \in \mathbb{P}_1(\mathbb{Q}_p)$, and it acts simply transitively on the complement $\mathbb{P}_1(\mathbb{Q}_p) - \{a, b\}$. The choice of a base point \star in this complement determines an identification

$$\eta_{\Psi,\star}: K_p^{\times}/\mathbb{Q}_p^{\times} \longrightarrow \mathbb{P}_1(\mathbb{Q}_p) - \{a, b\}$$

by the rule

$$\eta_{\Psi,\star}(\alpha) := \alpha * \star. \tag{16}$$

Choose \star so that the Möbius transformation sending (a, b, \star) to $(0, 1, \infty)$ has the property that its determinant has even p -adic valuation. Base points satisfying this property will be called Ψ -normalised. Let $w(\alpha)$ be the locally constant function of $\alpha \in \mathbb{Q}_p^{\times}$ defined by

$$w(\alpha) := w^{ord_p(\alpha)}, \tag{17}$$

where $w = \pm 1$ is the sign defined in section 1.1.

Now choose a prime \mathfrak{p} of K above p . This choice defines identifications $K_p^{\times}/\mathbb{Q}_p^{\times} = (K \otimes \mathbb{Q}_p)^{\times}/\mathbb{Q}_p^{\times} = (K_{\mathfrak{p}}^{\times} \times K_{\bar{\mathfrak{p}}}^{\times})/\mathbb{Q}_p^{\times}$ and a group isomorphism

$$\epsilon: K_p^{\times}/\mathbb{Q}_p^{\times} \longrightarrow K_{\mathfrak{p}}^{\times} = \mathbb{Q}_p^{\times},$$

which has the basic property that if $x \in K^{\times}$, then

$$\epsilon([x]) = \frac{x}{\bar{x}} \in K_{\mathfrak{p}}^{\times} = \mathbb{Q}_p^{\times}.$$

Here $[x]$ denotes the image of x in $K_p^{\times}/\mathbb{Q}_p^{\times}$. Let u_0 be a generator of the rank one group $\mathcal{O}^{\times}/\mathbb{Z}[1/p]^{\times}$ and let $u \in \mathbb{Q}_p^{\times}$ be the image of u_0/\bar{u}_0 in $K_{\mathfrak{p}} = \mathbb{Q}_p$,

normalised so that $\text{ord}_p(u) > 0$. In other words $u = \epsilon([u_0])$. Let us consider the group generated by u , i.e. let $\sigma := \langle u \rangle \subset \mathbb{Q}_p^\times$. Our next goal is to define a locally analytic distribution on $G := \mathbb{Q}_p^\times / \sigma$.

Let us now fix a Ψ -normalized base point $\star \in \mathbb{P}_1(\mathbb{Q}_p) - \{a, b\}$, and denote by η_Ψ the following composition

$$\eta_\Psi: \mathbb{Q}_p^\times \xrightarrow{\epsilon^{-1}} K_p^\times / \mathbb{Q}_p^\times \xrightarrow{\eta_{\Psi,\star}} \mathbb{P}_1(\mathbb{Q}_p) - \{a, b\}.$$

The map η_Ψ satisfies the basic property that if $x, y \in \mathbb{Q}_p^\times$ then

$$\eta_\Psi(xy) = \Psi(\epsilon^{-1}(x)) * \eta_\Psi(y).$$

η_Ψ extends to a fractional linear transformation $\eta_\Psi: \mathbb{P}_1(\mathbb{Q}_p) \longrightarrow \mathbb{P}_1(\mathbb{Q}_p)$ sending 0 to a , ∞ to b and 1 to \star . Obviously, η_Ψ extends uniquely to a fractional linear transformation denoted by the same symbol $\mathbb{P}_1(\mathbb{C}_p) \longrightarrow \mathbb{P}_1(\mathbb{C}_p)$.

In order to define the distribution $\mu_{f,\Pi,\star}$ when p is split, we need to introduce locally analytic functions and distributions on \mathbb{Q}_p^\times with compact support. Let X be a compact open subset of \mathbb{Q}_p^\times and n an integer. Let us recall the \mathbb{Q}_p -topological algebras $A(X[n])$ and $A(X)$ from section 1.3. If m, n are integers such that $m \geq n$ and X, Y are compact open subsets of \mathbb{Q}_p^\times , with $X \subset Y$ then the restriction map $A(Y[n]) \longrightarrow A(X[m])$ is continuous and we denote

$$\mathcal{A}_c := \lim_{\rightarrow} A(X[n]),$$

where the inductive limit is over pairs (n, X) with n integer and X compact open subset of \mathbb{Q}_p^\times . Then \mathcal{A}_c is the \mathbb{Q}_p -algebra of locally analytic functions on \mathbb{Q}_p^\times with compact support. We will endow it with the Morita topology. Let us now fix a parameter z on $\mathbb{P}_1(\mathbb{Q}_p)$. This determines an embedding $\mathbb{Q}_p^\times \subset \mathbb{P}_1(\mathbb{Q}_p)$ and a continuous \mathbb{Q}_p -algebra homomorphism

$$\psi_!: \mathcal{A}_c \longrightarrow \mathcal{A},$$

which is extension by zero. Let us recall from section 1.3 that we have defined a natural, continuous linear functional μ_f on the \mathcal{A} -module $P_\Psi^{\frac{k-2}{2}} \cdot \mathcal{A} \subset \mathcal{A}_k$. We'll use μ_f to define a continuous linear functional $\mu_{f,\Psi,\star}$ on \mathcal{A}_c , which will be simply denoted by μ_Ψ whenever f and \star are fixed. Let $\varphi \in \mathcal{A}_c$ then

$$\mu_{f,\Psi,\star}(\varphi) = \mu_\Psi(\varphi) := \mu_f(P_\Psi^{\frac{k-2}{2}} (\eta_\Psi^{-1})^*(\psi_!(\varphi w))),$$

where w is the function defined in equation (17). We will sometimes denote

$$\mu_{f,\Psi,\star}(\varphi) = \int_{\mathbb{Q}_p^\times} \varphi d\mu_{f,\Psi,\star}.$$

We'll now use $\mu_{f,\Psi,\star}$ in order to define a locally analytic distribution on G . Let us denote by \mathcal{F} a fundamental domain in \mathbb{Q}_p^\times for the action of σ , for example:

$$\mathcal{F} := \{x \in \mathbb{Q}_p^\times \mid p|u| \leq |x| \leq 1\}.$$

Then \mathcal{F} is a compact open subset of \mathbb{Q}_p^\times and $\mathbb{Q}_p^\times = \cup_{i \in \mathbb{Z}} u^i \mathcal{F}$. To give a function $\varphi: G \rightarrow \mathbb{C}_p$ is equivalent to giving a function $\varphi: \mathbb{Q}_p^\times \rightarrow \mathbb{C}_p$ such that $\varphi(ux) = \varphi(x)$ for all $x \in \mathbb{Q}_p^\times$. Such a function is determined by its restriction to \mathcal{F} . We say that a function φ on G is locally analytic if $\varphi \chi_{\mathcal{F}}$ is the restriction to \mathbb{Q}_p^\times of an element of \mathcal{A}_c , where $\chi_{\mathcal{F}}$ is the characteristic function of the set $\mathcal{F} \subset \mathbb{Q}_p^\times$.

Definition 2.6 Let $A(G)$ denote the \mathbb{Q}_p -algebra of locally analytic functions on G .

Let us remark that the map “restriction to \mathcal{F} ” defines an isomorphism between $A(\mathcal{F})$ and $A(G)$. We will identify these two algebras whenever convenient and endow $A(G)$ with the natural topology on $A(\mathcal{F})$.

We define an action of the discrete subgroup $\sigma \subset \mathbb{Q}_p^\times$ on \mathcal{A}_c by $(\varphi \times u)(x) := \varphi(ux)$ for all $x \in \mathbb{Q}_p^\times$ and $\varphi \in \mathcal{A}_c$. Obviously this action is continuous and it defines an action of σ on linear functionals on \mathcal{A}_c .

Proposition 2.7 $u \times \mu_{f,\Psi,\star} = \mu_{f,\Psi,\star}$, i.e. $\mu_{f,\Psi,\star}$ is σ -invariant.

Proof. First of all, we know that $\Psi(\epsilon^{-1}(u)) = \Psi(u_0) \in \tilde{\Gamma}$ and $w \times u = w^{ord_p(u)}w$. Let $\varphi \in \mathcal{A}_c$ and let us write η instead of η_Ψ and μ_Ψ instead of $\mu_{f,\Psi,\star}$ for this proof. Then $(u \times \mu_\Psi)(\varphi) = \mu_\Psi(\varphi \times u) = \mu_f(P_\Psi^{\frac{k-2}{2}}(\eta^{-1})^*(\psi_!((\varphi \times u)w)))$.

Let us denote $g := \psi_!(\varphi w)$. Then

$$\begin{aligned} P_\Psi^{\frac{k-2}{2}}(\eta^{-1})^*(\psi_!((\varphi \times u)w))(x) &= w^{ord_p(u)}(P_\Psi^{\frac{k-2}{2}}(\eta^{-1})^*(g \times u))(x) \\ &= w^{ord_p(u)}P_\Psi^{\frac{k-2}{2}}(x)g(u\eta^{-1}(x)) = w^{ord_p(u)}P_\Psi^{\frac{k-2}{2}}(x)g(\eta^{-1}(\Psi(u_0) * x)) \end{aligned}$$

$$\begin{aligned}
&= w^{\text{ord}_p(u)} P_{\Psi}^{\frac{k-2}{2}}(x)((\eta^{-1})^*(g))(\Psi(u_0) * x) \\
&= w^{\text{ord}_p(u)} [(P_{\Psi}^{\frac{k-2}{2}}(\eta^{-1})^*(g)) * \Psi(u_0)](x).
\end{aligned}$$

Therefore $P_{\Psi}^{\frac{k-2}{2}}(\eta^{-1})^*(g \times u) = w^{\text{ord}_p(u)}(P_{\Psi}^{\frac{k-2}{2}}(\eta^{-1})^*(g)) * \Psi(u_0)$. But $\text{ord}_p(u) + |\Psi(u_0)| = 0 \pmod{2}$ and the result follows upon applying lemma 1.10.

Lemma 2.8 *Let V be a compact open subset of \mathbb{Q}_p^\times and $\varphi \in \mathcal{A}_c$. Then*

$$\int_{u^i V} \varphi d\mu_\Psi = \int_V (\varphi \times u^i) d\mu_\Psi,$$

for all $i \in \mathbb{Z}$.

Proof. We have

$$\begin{aligned}
\int_{u^i V} \varphi d\mu_\Psi &= \mu_\Psi(\varphi \chi_{u^i V}) = \mu_\Psi(\varphi(\chi_V \times u^{-i})) = \\
&= \mu_\Psi(((\varphi \times u^i)\chi_V) \times u^{-i}) = \mu_\Psi((\varphi \times u^i)\chi_V) = \int_V (\varphi \times u^i) d\mu_\Psi.
\end{aligned}$$

Corollary 2.9 *If $\varphi \in A(G)$ then*

$$\int_{u^i \mathcal{F}} \varphi d\mu_\Psi = \int_{\mathcal{F}} \varphi d\mu_\Psi,$$

for all $i \in \mathbb{Z}$.

Definition 2.10 *The distribution $\mu_{f,\Psi,\star}$ on \mathcal{A}_c induces a distribution, denoted also by $\mu_{f,\Psi,\star}$ (and by μ_Ψ whenever f and \star are fixed) on G i.e. a continuous linear functional on $A(G)$ by*

$$\mu_{f,\Psi,\star}(\varphi) = \int_G \varphi d\mu_{f,\Psi,\star} := \int_{\mathcal{F}} \varphi d\mu_{f,\Psi,\star}, \quad \text{for all } \varphi \in A(G).$$

For the rest of this section, the assumption that $w = 1$ will be made. First of all

Remark: If $\varphi \in \mathcal{A}$ then $P_{\Psi}^{\frac{k-2}{2}}(\eta_{\Psi}^{-1})^*(\varphi)$ is an element of the free \mathcal{A} -module of rank one $P_{\Psi}^{\frac{k-2}{2}} \cdot \mathcal{A}$. Therefore $\mu_{f,\Psi,\star}$ extends to a continuous linear functional on \mathcal{A} denoted by the same symbol and given by the formula:

$$\mu_{f,\Psi,\star}(\varphi) = \mu_{\Psi}(\varphi) := \mu_f(P_{\Psi}^{\frac{k-2}{2}}(\eta_{\Psi}^{-1})^*(\varphi)).$$

We end this section with a few properties of the above defined distribution on G , which will be used in chapter 3.

Lemma 2.11 *Let $\varphi \in A[n_0]$ for some $n_0 \in \mathbb{N}$ and suppose that $i_0 \in \mathbb{N}$ is such that $\text{ord}_p(u^{i_0}) \geq n_0$. Moreover suppose that $\varphi(0) = \varphi(\infty) = 0$. Then there is a constant C_{φ} such that for all $i \geq i_0$, if V denotes the closed ball around 0 or around ∞ of radius $|u^i|$ then*

$$|\int_V \varphi d\mu_{\Psi}| \leq C_{\varphi} |u^i|.$$

Proof. It is enough to treat the case $V = B[0, |u^i|]$. Let $D := B[0, |u^{i_0}|]$, then $V = u^{i-i_0}D$. Using the results above,

$$\int_{u^{i-i_0}D} \varphi d\mu_{\Psi} = \int_D (\varphi \times u^{i-i_0}) d\mu_{\Psi}.$$

Because $\varphi(0) = 0$, it follows that $\varphi(z) = \sum_{j \geq 1} a_j z^j$ for $z \in D$, and

$$(\varphi \times u^{i-i_0})(z) = u^{i-i_0} \left(\sum_{j \geq 1} a_j u^{(j-1)(i-i_0)} z^j \right).$$

Therefore

$$|\int_V \varphi d\mu_{\Psi}| \leq |u^i| (|u^{-i_0}| \sup_{j \geq 1} (|a_j| u^{(j-1)(i-i_0)} \int_D z^j d\mu_{\Psi}(z))) \leq |u^i| C_{\varphi},$$

where

$$C_{\varphi} := |u^{-i_0}| \sup_{j \geq 1} |a_j| \int_D z^j d\mu_{\Psi}(z).$$

Note that the supremum is finite by the continuity of μ_{Ψ} .

Finally we have the following

Proposition 2.12 Let $\varphi \in \mathcal{A}$ be such that $\varphi(0) = \varphi(\infty) = 0$. Then

- a) $\sum_{i \in \mathbb{Z}} (\varphi|_{\mathbb{Q}_p^\times} \times u^i) \in A(G)$.
- b) We have

$$\int_{\mathbb{P}_1(\mathbb{Q}_p)} \varphi d\mu_\Psi := \mu_\Psi(\varphi) = \int_G (\sum_{i \in \mathbb{Z}} \varphi|_{\mathbb{Q}_p^\times} \times u^i) d\mu_\Psi.$$

Proof. a) Let us denote by $\psi(x) := \sum_{i \in \mathbb{Z}} \varphi(u^i x)$, for all $x \in \mathbb{Q}_p^\times$. Then the convergence of the series and the invariance of ψ with respect to the action of σ is clear. Moreover if denote by $\psi_N := \sum_{i=-N}^N (\varphi|_{\mathbb{Q}_p^\times} \times u^i)$ for every $N \in \mathbb{N}$, then one sees that $\psi = \lim_{N \rightarrow \infty} \psi_N$ where the limit is uniform on compact open subsets of \mathbb{Q}_p^\times . We leave it to reader to show that $\psi|_{\mathcal{F}}, \psi_N|_{\mathcal{F}} \in A(G)$ for all N and that the limit above is a limit in $A(G)$. In the application of this proposition to the proof of theorem 3.3 these facts will be obvious.

- b) We have

$$\begin{aligned} \int_{\mathbb{P}_1(\mathbb{Q}_p)} \varphi d\mu_\Psi - \int_{\mathcal{F}} \psi_N d\mu_\Psi &= \int_{\mathbb{P}(\mathbb{Q}_p)} \varphi d\mu_\Psi - \int_{\cup_{i=-N}^N u^i \mathcal{F}} \varphi d\mu_\Psi = \\ &= \int_{B[0, |u^{N+1}|]} \varphi d\mu_\Psi + \int_{B[\infty, |u^N|]} \varphi d\mu_\Psi. \end{aligned}$$

For N big enough, $\varphi \in A[\text{ord}_p(u^N)]$ and from lemma 1.6 we have

$$|\int_{\mathbb{P}(\mathbb{Q}_p)} \varphi d\mu_\Psi - \int_{\mathcal{F}} \psi_N d\mu_\Psi| \leq |u^N| C'_\varphi \xrightarrow{N \rightarrow \infty} 0.$$

The fact that μ_Ψ is a continuous linear functional on \mathcal{A}_c imply that

$$\lim_{N \rightarrow \infty} \int_{\mathcal{F}} \psi_N d\mu_\Psi = \int_{\mathcal{F}} \psi d\mu_\Psi = \int_G \psi d\mu_\Psi.$$

2.5 Interpolation of classical special values

This section describes how the distributions $\mu_{f,\Psi}$ interpolate special values of the classical L -function $L(\phi/K, s)$ at $s = k/2$, justifying for the definition of the p -adic L -function that is given in section 2.6, definitions 2.19 and 2.20. The interpolation properties of $\mu_{f,\Psi}$ are summarized in formula

(18) and proposition 2.18. Strictly speaking, these are not required for the construction of the p -adic L -function given in section 2.6, but merely provide motivation and justification for it. Therefore, in a first reading, the reader may wish to skip directly to section 2.6 after looking at (18) and the statement of proposition 2.18, postponing the proofs for later.

Galois-theoretic preliminaries. An abelian extension L/K is called *anticyclotomic* if it is Galois over \mathbb{Q} and if the involution in $\text{Gal}(K/\mathbb{Q})$ acts as -1 on $\text{Gal}(L/K)$ by conjugation. Let K_∞ denote the maximal anticyclotomic extension of K which is unramified outside p . Let H denote the Hilbert class field of K , and let H_p be the field fixed by a Frobenius element at p in $\text{Gal}(H/K)$ (i.e., the maximal unramified abelian extension of K in which p splits completely). Let K_n denote the ring class field of K of conductor p^n , so that $K_0 = H$. The fields K_n form a tower of extensions containing the *anticyclotomic \mathbb{Z}_p -extension*:

$$\mathbb{Q} \subset K \subset H_p \subset H \subset K_1 \subset \cdots \subset K_n \subset \cdots.$$

Class field theory provides a Galois theoretic interpretation of the p -adic group G defined in section 2.4. More precisely,

$$G = \text{Gal}(K_\infty/H_p)$$

if $\mathcal{O}_K^\times = \{\pm 1\}$. For simplicity assume from now on that $\mathcal{O}_K^\times = \{\pm 1\}$, a condition which is satisfied as soon as $\text{Disc}(\mathcal{O}_K) < -4$. We denote

$$G^0 = \text{Gal}(K_\infty/H), \quad G^n = \text{Gal}(K_\infty/K_n), \quad \Delta = \text{Gal}(H_p/K),$$

(where Δ is the group defined in section 2.3). Write

$$\tilde{G} := \text{Gal}(K_\infty/K).$$

This group fits into the exact sequence

$$1 \longrightarrow G \longrightarrow \tilde{G} \longrightarrow \Delta \longrightarrow 1,$$

and it can be identified with the Picard group of rank one projective \mathcal{O} -modules \mathfrak{a} equipped with a *trivialisation* at p , i.e., a fixed isomorphism $K_p \longrightarrow \mathfrak{a} \otimes \mathbb{Q}_p$. More precisely, let \hat{K} denotes the finite adèles of K , and write

$$\hat{\mathcal{O}} = \prod_{\ell} \mathcal{O}_\ell, \quad \hat{\mathcal{O}}_\# = \prod_{\ell \neq p} \mathcal{O}_\ell.$$

Class field theory identifies \tilde{G} with $\hat{K}^\times / K^\times \hat{\mathbb{Q}}^\times \hat{\mathcal{O}}_\#^\times$. An element in this coset space with representative (g_ℓ) corresponds to the projective module $\mathfrak{a} = K \cap \prod_{\ell \neq p} \mathcal{O}_\ell g_\ell$, with trivialisation at p sending 1 to g_p . Let Λ be the set of pairs (Ψ, \star) taken modulo conjugation by R_1^\times , where Ψ is an oriented optimal embedding of \mathcal{O} into R , and c is a Ψ -normalised base point (if p is inert in K , every base point is defined to be Ψ -normalised.)

Lemma 2.13 *The action of Δ on $\text{emb}(\mathcal{O}, R)$ lifts to a free action of \tilde{G} on Λ , which is the union of two orbits under this action.*

The description of this action proceeds along the same lines as in section 2.3. Let $\mathfrak{a} \subset \mathcal{O}$ be a representative for a class $\alpha \in \tilde{G}$, i.e., a projective rank one \mathcal{O} -module together with a K_p -generator t for $\mathfrak{a} \otimes \mathbb{Q}_p$. Let $R_\mathfrak{a}$ denote the right order of the left R -ideal $R\Psi(\mathfrak{a})$ of section 2.3, and let $g = \Psi(t) \in R_\mathfrak{a} \otimes \mathbb{Q}_p$. Choosing an element $a \in B^\times$ satisfying the conditions of equation (11) of section 2.3, and letting $\tilde{\Psi}_\mathfrak{a}$ be the embedding defined there, set

$$\alpha \cdot (\Psi, \star) = (\Psi', \star'), \quad \text{where } \Psi' = a\tilde{\Psi}_\mathfrak{a}a^{-1}, \quad c' = \iota(aga^{-1})(c).$$

By fixing a representative Ψ for an element of $\text{emb}(\mathcal{O}, R)$, and a Ψ -normalised base point c , a locally analytic distribution $\mu_{f,K}$ on \tilde{G} will be defined as follows. First, for all $\delta \in \Delta$ choose and fix a lift $\alpha_\delta \in \tilde{G}$. This allows us to view \tilde{G} as the disjoint union of the orbits $\alpha_\delta G$ for $\delta \in \Delta$. Let $\varphi: \tilde{G} \longrightarrow \mathbb{C}_p$ be a function. We say that φ is locally analytic if

$$(\varphi|_{\alpha_\delta G}) \times \alpha_\delta \in A(G) \quad \text{for all } \delta \in \Delta,$$

where let us recall $(\varphi \times \alpha_\delta)(x) := \varphi(\alpha_\delta x)$ for all $x \in G$. The set of locally analytic functions on \tilde{G} will be denoted $A(\tilde{G})$. To define $\mu_{f,K}$, let $\varphi \in A(\tilde{G})$. Then set

$$\mu_{f,K}(\varphi) = \int_{\tilde{G}} \varphi d\mu_{f,K} := \sum_{\delta \in \Delta} \mu_{f,\Psi_\delta, \star_\delta}(\varphi|_{\alpha_\delta G} \times \alpha_\delta),$$

where, if $\delta \in \Delta$ then $(\Psi_\delta, \star_\delta) = \alpha_\delta(\Psi, \star)$.

For further applications, we want to calculate the values of $\mu_{f,K}$ on locally constant functions on \tilde{G} .

Lemma 2.14 *For all $\alpha \in \tilde{G}$,*

$$\mu_{f,K}(\alpha G^n) = \mu_{f,\Psi', \star'}(G^n), \quad \text{where } (\Psi', \star') = \alpha \cdot (\Psi, \star).$$

Proof. Let δ be the projection of α on Δ . Then, by the definition above,

$$\mu_{f,K}(\alpha G^n) := \mu_{f,K}(\chi_{\alpha G^n}) = \mu_{f,\Psi_\delta, \star_\delta}(a G^n) := \mu_{f,\Psi_\delta, \star_\delta}(\chi_{a G^n}),$$

where $a = \alpha_\delta^{-1} \alpha \in G$. We have

$$(\Psi', \star') = \alpha(\Psi, \star) = a(\alpha_\delta(\Psi, \star)) = a(\Psi_\delta, \star_\delta) = (\Psi_\delta, \Psi_\delta(a) * c_\delta).$$

A calculation, using the fact that both c' and c_δ are $\Psi' = \Psi_\delta$ normalised based points, shows that

$$\mu_{f,\Psi_\delta, \star_\delta}(a G^n) = \mu_{f,\Psi_\delta, \Psi_\delta(a) * \star_\delta}(G^n) = \mu_{f,\Psi', \star'}(G^n).$$

The lemma shows that the restriction of $\mu_{f,K}$ to locally constant functions is independent of the choices of the α_δ 's. Moreover

Lemma 2.15 *The distribution $\mu_{f,K}$ depends on the choice of (Ψ, \star) , only up to translation by an element of \tilde{G} , and up to multiplication by w . Its restriction to G is equal to $\mu_{f,\Psi, \star}$.*

Proof. If (Ψ, \star) is replaced by $(\gamma\Psi\gamma^{-1}, \gamma\star)$ with $\gamma \in R_1^\times$, the associated distribution is unchanged, by lemma 1.10. If (Ψ, \star) and $(\Psi', \star') = \alpha \cdot (\Psi, \star)$ are in the same \tilde{G} -orbit, the associated distributions differ by translation by α . Finally, if (Ψ, \star) and (Ψ', \star') belong to different \tilde{G} -orbits, the associated distributions differ by translation by an element of \tilde{G} , and multiplication by w .

Choose a complex embedding of the field K_f introduced in lemma 1.8. Since the cocycle c_f takes values in $\mathcal{P}_{k-2}^\vee(K_f)$ (lemma 1.8), the p -adic distribution $\mu_{f,K}$ can also be viewed as a complex-valued distribution, against which locally constant \mathbb{C} -valued functions on \tilde{G} can be integrated.

In particular, let $\chi : \tilde{G} \longrightarrow \mathbb{C}^\times$ be a continuous character of finite order. By lemma 2.15, the integral $\int_{\tilde{G}} \chi(\alpha) d\mu_{f,K}(\alpha)$ depends on the choice of (Ψ, \star) only up to multiplication by a root of unity, so that its complex norm is well defined.

Define the multiplier $M(\chi)$ by

$$M(\chi) = \begin{cases} 1 & \text{if } \chi \text{ is ramified;} \\ (1 - w\chi(\text{frob}_\mathfrak{p}))(1 - w\bar{\chi}(\text{frob}_\mathfrak{p})) & \text{otherwise.} \end{cases}$$

Write $u_K = \#\mathcal{O}_K^\times/2$, let D_K be the discriminant of K , and denote by (ϕ, ϕ) the Petersson scalar product of ϕ with itself.

The distribution $\mu_{f,K}$ is expected to satisfy the following interpolation property with respect to special values of the L -function of ϕ over K .

$$\left| \int_{\tilde{G}} \chi(x) d\mu_{f,K}(x) \right|^2 = M(\chi) u_K^2 D_K^{\frac{k-1}{2}} \frac{L(\phi/K, \chi, k/2)}{(\phi, \phi)}. \quad (18)$$

The remainder of this section elucidates the relation between (18) and the calculations explained in [Gr2] for weight $k = 2$ and in [Ha] for general even weight. These articles treat the case—too restrictive for the application to (18)—of a modular form ϕ of prime level N , and an unramified character χ . Specializing temporarily to the setting considered by [Gr2] and [Ha], take $N^- = N$ to be prime and set $N^+ = 1$. Let B denote the definite quaternion algebra ramified at N^- and choose a maximal \mathbb{Z} -order \underline{R} of B . Let B_0 be the space of elements of B of trace 0, and set

$$\hat{\underline{R}} := \prod_{\ell} (\underline{R} \otimes \mathbb{Z}_{\ell}), \quad \hat{B} := \hat{\underline{R}} \otimes \mathbb{Q}.$$

The article [Ha] introduces a vector bundle V by the rule

$$V = (\hat{\underline{R}}^\times \backslash \hat{B}^\times \times \text{Sym}^{\frac{k-2}{2}}(B_0)) / B^\times,$$

where the action of B^\times on $\text{Sym}^{\frac{k-2}{2}}(B_0)$ is as described in page 543 of [Ha]. Thanks to equation (3) of section 1.2, the Picard group of V maps to the space of \mathcal{P}_{k-2} -valued functions on $\hat{\underline{R}}^\times \backslash \hat{B}^\times$ which are invariant under the action of B^\times . Let $P(V)$ denote this image, i.e.,

$$P(V) := \{c : \hat{\underline{R}}^\times \backslash \hat{B}^\times \longrightarrow \mathcal{P}_{k-2} \mid c(xb) = c(x) \cdot \iota(b), \text{ for all } b \in B^\times\}.$$

It is a finite-dimensional \mathbb{C}_p -vector space since an element c is entirely determined by its values on a system of representatives for the finite double coset space $\hat{\underline{R}}^\times \backslash \hat{B}^\times / B^\times$ (cf. [Vi], ch. V). The space $P(V)$ is also endowed with a linear action of the Hecke operators T_n defined as in [Ha], sec. 4, and is isomorphic, as a Hecke module, to the space of modular forms of weight k on $\Gamma_0(N^-)$ with \mathbb{C}_p -coefficients.

In the paragraph before ch. 4 of [Ha], the author defines *Heegner elements* in V . These elements are described by pairs $(\Psi, \underline{R}_{\Psi})$ modulo conjugation by B^\times , where

1. \underline{R}_Ψ is a maximal \mathbb{Z} -order of B . (By strong approximation there are only finitely many such orders, up to conjugation in B^\times .)
2. $\Psi : K \longrightarrow B$ is an *oriented* embedding which is optimal relative to \mathcal{O}_K and \underline{R}_Ψ , in the sense that $\Psi(K) \cap \underline{R}_\Psi = \Psi(\mathcal{O}_K)$.

Such a pair yields an element in V represented by $((b_\ell)_\ell; \Psi(\sqrt{-D})^{\frac{k-2}{2}})$, where (b_ℓ) represents the coset describing \underline{R}_Ψ as in [Ha]. The group $\text{Pic}(\mathcal{O}_K)$ acts transitively on the set of Heegner elements in a manner similar to proposition 2.5. (Cf. also [Ha].)

Fix a Heegner element $(\Psi, \underline{R}_\Psi)$, and, for $\mathfrak{a} \in \text{Pic}(\mathcal{O}_K)$ let

$$v_{\mathfrak{a}} = \mathfrak{a} \cdot (\Psi, \underline{R}_\Psi),$$

viewed as an element of $P(V)$. If χ is any character of $\text{Pic}(\mathcal{O}_K)$, write $v_\chi = \sum_{\mathfrak{a}} \chi(\mathfrak{a}) v_{\mathfrak{a}}$, and let $v_{f,\chi} \in P(V) \otimes \mathbb{C}$ be the projection of this vector to the f -isotypic component for the action of the Hecke algebra. Recall the natural pairing $\langle \cdot, \cdot \rangle$ of equation (6) of section 1.2. It naturally induces a symmetric pairing on the spaces $C_{\text{har}}(k)$ and $C_{\text{har}}(\mathcal{P}_{k-2})^\Gamma$ of Γ -equivariant harmonic cocycles, as well as on the space $P(V)$. Use the same symbol $\langle \cdot, \cdot \rangle$ to denote these pairings, by abuse of notation. The following formula is proved by Gross in weight 2 [Gr2], and by Hatcher for even weight $k \geq 2$ (cf. proposition 8.2. of [Ha]):

Proposition 2.16 (Gross, Hatcher)

$$\langle v_{f,\chi}, v_{f,\bar{\chi}} \rangle = u_K^2 D_K^{\frac{k-1}{2}} \frac{L(\phi/K, \chi, k/2)}{(\phi, \phi)}.$$

Remark: Note that the factor $(\frac{k-2}{2})!^2$ which is present in prop. 8.2 of [Ha] does not appear in proposition 2.16, because of the different normalisation that is used for the inner product on \mathcal{P}_{k-2}^\vee . (Compare equation (4) of section 1.2 with equation (3.1) of [Ha].)

To establish equation (18), it is first necessary to capture the modular forms on $\Gamma_0(N^+ N^- p)$. In order to do this, introduce an auxiliary $\Gamma_0(N^+ p)$ -structure and replace the maximal order \underline{R} by an Eichler order of level $N^+ p$ in the definite quaternion algebra of discriminant N^- , to be denoted by the same letter, \underline{R} , from now on. By strong approximation, the double coset

space $\hat{R}^\times \backslash \hat{B}^\times / B^\times$ is identified with $\underline{R}_p^\times \backslash \underline{B}_p^\times / R^\times$, where $R := \underline{R}[1/p]$. Note that R is an Eichler $\mathbb{Z}[1/p]$ -order of level N^+ in B , as in the previous sections. Under ι the space $\underline{R}_p^\times \backslash \underline{B}_p^\times$ is identified with the space $\vec{\mathcal{E}}(\mathcal{T})$ of edges of \mathcal{T} . Thus $P(V)$ is identified with the space of functions $\tilde{c} : \vec{\mathcal{E}}(\mathcal{T}) \longrightarrow \mathcal{P}_{k-2}$ satisfying

$$\tilde{c}(\gamma^{-1}e) = \tilde{c}(e) \cdot \gamma, \quad \text{for all } \gamma \in \tilde{\Gamma} = \iota(R^\times).$$

Suppose that \tilde{c} is an eigenfunction for the Hecke correspondences satisfying $T_n \tilde{c} = a_n \tilde{c}$ for all n . The fact that ϕ is new at p implies that

$$\sum_{\text{source}(e)=v} \tilde{c}(e) = 0, \quad \sum_{\text{target}(e)=v} \tilde{c}(e) = 0, \quad \text{for all } v \in \mathcal{V}(\mathcal{T}).$$

(Cf. [BD3], prop. 1.4.) However, \tilde{c} does not satisfy the rule $\tilde{c}(\bar{e}) = -\tilde{c}(e)$ in general, but merely the formula

$$\tilde{c}(\bar{e}) = -w\tilde{c}(e),$$

where $-w$ is the eigenvalue of the Atkin-Lehner involution at p acting on ϕ , which is equal to -1 when ϕ is of split multiplicative type, and 1 otherwise.

To turn \tilde{c} into a harmonic cocycle when ϕ is of non-split multiplicative type, recall the base vertex v° on \mathcal{T} and call a vertex even (resp. odd) if its distance from v° is even (resp. odd). An edge is then said to be *positively oriented* if its source is even and its target is odd, and negatively oriented otherwise. Note that this orientation is reversed by elements in $\tilde{\Gamma} - \Gamma$, but is preserved by the index two subgroup Γ . Now given \tilde{c} , define similarly as in the proof of prop. 1.4 of [BD3],

$$c(e) = \begin{cases} \tilde{c}(e) & \text{if } e \text{ is positively oriented,} \\ -\tilde{c}(\bar{e}) & \text{if } e \text{ is negatively oriented.} \end{cases}$$

The assignment $\tilde{c} \mapsto c$ defines a Hecke equivariant isomorphism between the space of p -new vectors in $P(V)$ and the space $C_{\text{har}}(\mathcal{P}_{k-2})^\Gamma$ of Γ -equivariant harmonic cocycles on \mathcal{T} with values in \mathcal{P}_{k-2} .

For the purposes of equation (18), it is also necessary to generalize the notion of Heegner elements. A Heegner element of level p^n is now described by a pair $(\Psi, \underline{R}_\Psi)$, taken modulo conjugation by B^\times , satisfying

1. \underline{R}_Ψ is an Eichler order of level N^+p in B ; by strong approximation it can be assumed without loss of generality that $\underline{R}_\Psi[1/p] = R$, and this is done from now on.
2. Ψ is an optimal embedding of \mathcal{O}_n , the order in \mathcal{O}_K of conductor p^n , i.e., $\Psi(K) \cap \underline{R}_\Psi = \Psi(\mathcal{O}_n)$. Note that when $n \geq 1$, such optimal embeddings always exist, whereas an optimal embedding of \mathcal{O}_K into an Eichler order of level N^+p only exists if p is split in K/\mathbb{Q} .

To give a pair $(\Psi, \underline{R}_\Psi)$ as above is equivalent to giving a pair (Ψ, e) satisfying

1. $\Psi(K) \cap R = \Psi(\mathcal{O})$,
2. e is an edge of \mathcal{T} which is at distance n from the vertices fixed by $\iota\Psi(G^0)$.

For example, the Eichler order \underline{R}_Ψ is equal to $R \cap \iota^{-1}(M_e)$, where $M_e \subset M_2(\mathbb{Z}_p)$ is the local Eichler order of level p attached to the edge e . The group $\tilde{G}_n = \text{Pic}(\mathcal{O}_n)$ acts naturally on the set of Heegner elements of level p^n , and the action of $G = K_p^\times/\mathbb{Q}_p^\times$ is given by

$$\alpha \cdot (\Psi, e) = (\Psi, \iota\Psi(\alpha)e).$$

Fix a Heegner element $(\Psi, \underline{R}_\Psi)$ of level p^n and write as before $v_\alpha := \alpha \cdot (\Psi, \underline{R}_\Psi)$, with $\alpha \in \tilde{G}_n$. Since it is only the images of the elements v_α in $P(V)$ which matter in the calculations, the v_α are viewed as elements of $P(V)$ from now on. The projection of v_α onto the f -isotypic component of $C_{\text{har}}(\mathcal{P}_{k-2})^\Gamma$, denoted $v_{\alpha,f}$, can be viewed as elements of $C_{\text{har}}(\mathcal{P}_{k-2})^\Gamma$ by the identification of the previous paragraph, and can be written as

$$v_{\alpha,f} = \langle v_\alpha, c_f \rangle c_f^\vee,$$

where c_f^\vee is the vector attached to f in the basis for $C_{\text{har}}(\mathcal{P}_{k-2})^\Gamma$ dual to the basis of normalised eigenforms in $C_{\text{har}}(k)^\Gamma$. It follows from the definition of $\mu_{f,K}$ that, after a suitable choice of base point (Ψ, \star) of Λ , compatible with the choice $(\Psi, \underline{R}_\Psi)$ made to define v_α , that

$$\langle v_{\alpha,f}, c_f \rangle = \mu_{f,K}(\tilde{\alpha} \cdot G^n),$$

where $\tilde{\alpha}$ is any lift of $\alpha \in \tilde{G}_n$ to \tilde{G} . Hence if χ factors through \tilde{G}_n ,

$$\langle v_{f,\chi}, c_f \rangle = \pm \int_{\tilde{G}} \chi(x) d\mu_{f,K}(x), \quad \text{where } v_{f,\chi} = \sum \chi(\alpha) v_{\alpha,f}. \quad (19)$$

The following generalization of the proposition 2.16 is expected to hold

Conjecture 2.17 : In the notations above,

$$\langle v_{f,\chi}, v_{f,\bar{\chi}} \rangle = M(\chi) u_K^2 D_K^{\frac{k-1}{2}} \frac{L(\phi/K, \chi, k/2)}{(\phi, \phi)}, \text{ if } n = 0; \quad (20)$$

$$\langle v_{f,\chi}, v_{f,\bar{\chi}} \rangle = u_K^2 D_K^{\frac{k-1}{2}} \frac{L(\phi/K, \chi, k/2)}{(\phi, \phi)} \text{ if } n > 0. \quad (21)$$

Remark The identities of conjecture 2.17 should follow from a direct generalization of the calculations carried on in [Gr2] and [Ha], but these calculations are not present in the literature. See also [Dag] and [Va] for a discussion of related topics.

Granting conjecture 2.17 and combining it with formula (19) yields

$$\left| \int_{\tilde{G}} \chi(x) d\mu_{f,K}(x) \right|^2 = M(\chi) u_K^2 D_K^{\frac{k-1}{2}} \frac{L(\phi/K, \chi, k/2)}{(\phi, \phi)},$$

and (18) follows.

Suppose now that p is split and that Ψ_j ($1 \leq j \leq h$) is one of the oriented optimal embeddings of \mathcal{O} into R . Choose an even vertex v_j of \mathcal{T} whose stabiliser under the action of K_p^\times induced by Ψ_j is $(\mathcal{O}_K \otimes \mathbb{Z}_p)^\times$. Let us now recall the notations of section 1.3 i.e. u_0 be a generator of the rank one group $\mathcal{O}^\times / \mathbb{Z}[1/p]^\times$ such that the image u of $\frac{u_0}{\bar{u}_0}$ in \mathbb{Q}_p^\times has $\text{ord}_p(u) > 0$. Denote by $\delta_j = \iota\Psi_j(u_0)$. Given an ordered edge e , let $sgn(e)$ be equal to 0 if e is positively oriented, and 1 if it is negatively oriented.

Proposition 2.18 Assume conjecture 2.17. Then

$$\left(\sum_{j=1}^h \sum_{v_j \rightarrow \delta_j v_j} w^{sgn(e)} c_f(e) (P_{\Psi_j}^{\frac{k-2}{2}}) \right)^2 = u_K^2 D_K^{\frac{k-1}{2}} \frac{L(\phi/K, k/2)}{(\phi, \phi)},$$

where the inner sum on the left is taken over all edges in the path joining v_j to $\delta_j v_j$.

Proof. We will apply formula (18) to the trivial character χ_{triv} . Let us fix a j as above and calculate

$$\int_G \chi_{triv} d\mu_{f,\Psi_j, \star_j} = \int_{\mathcal{F}} w(\alpha) d\mu_{f,\Psi_j, \star_j}(\alpha),$$

where c_j is the corresponding Ψ_j -normalised base point, and let us recall from section 1.3 that \mathcal{F} is a fundamental domain for the action of u on \mathbb{Q}_p^\times . Let now E_j denote the set of oriented edges of \mathcal{T} with same source as the edges on the path from v_j to $\delta_j v_j$, but not containing these edges. The geometry of the action of δ_j on \mathcal{T} , as explained in [BD4] §5, implies that the set

$$\mathcal{F}' := \cup_{e \in E_j} U(e)$$

is a fundamental domain for the action of δ_j on $\mathbb{P}_1(\mathbb{Q}_p) - \{a_j, b_j\}$. Therefore, $\eta_{\Psi_j}^{-1}(\mathcal{F}')$ is a fundamental domain for the action of u on \mathbb{Q}_p^\times . Using the fact that the base point c_j is Ψ_j -normalised we have

$$\begin{aligned} \int_{\mathcal{F}} w(\alpha) d\mu_{f, \Psi_j, \star_j}(\alpha) &= \int_{\mathcal{F}'} w(\alpha) P_{\Psi_j}^{\frac{k-2}{2}} d\mu_f(\alpha) = \\ &= \sum_{e \in E_j} \int_{U(e)} w(\alpha) P_{\Psi_j}^{\frac{k-2}{2}} d\mu_f(\alpha) = \sum_{e \in E_j} w^{sgn(e)} c_f(e) (P_{\Psi_j}^{\frac{k-2}{2}}). \end{aligned}$$

Proposition 2.18 now follows using the harmonicity of c_f and the definition of E_j .

Remark: The path on \mathcal{T} joining v_j to $\delta_j v_j$ is a p -adic analogue of the geodesic paths on the classical upper half plane associated by Shintani to narrow ideal classes in real quadratic fields. The integrals of classical modular forms against such paths yield special values of their L -series over the associated real quadratic field. For this reason, the path in \mathcal{H}_p from z_0 to $\delta_j * z_0$, or the path in \mathcal{T} from v_j to $\delta_j v_j$, are sometimes called *p -adic Shintani cycles* attached to the optimal embeddings Ψ_j ($1 \leq j \leq h$). See for example [BD4].

2.6 The p -adic L -function

If p is split in K , let $\log : \mathbb{C}_p^\times \longrightarrow \mathbb{C}_p$ be a branch of the p -adic logarithm, normalised so that $\log(u) = 0$. If p is inert, let \log be the usual branch satisfying $\log(p) = 0$ (although any other choice would do equally well). The logarithm gives a homomorphism $\log : K_\mathfrak{p}^\times \longrightarrow K_\mathfrak{p}$ which is 0 on \mathcal{O}_1^\times , and hence, by passing to the quotient, a homomorphism from G to $K_\mathfrak{p}$ which extends uniquely to a homomorphism

$$\log : \tilde{G} \longrightarrow K_\mathfrak{p} \subset \mathbb{C}_p.$$

For $\alpha \in \tilde{G}$ and $s \in \mathbb{Z}_p$, define

$$\alpha^s := \exp(s \log(\alpha)),$$

where \exp is the usual p -adic exponential. Note that $s \mapsto \alpha^s$ is an analytic function of $s \in \mathbb{Z}_p$.

Definition 2.19 *The p -adic L -function attached to the distribution $\mu_{f,K}$ is the function of the p -adic variable $s \in \mathbb{Z}_p$ defined by*

$$L_p(f, K, s) = \int_{\tilde{G}} \alpha^{s-k/2} d\mu_{f,K}(\alpha).$$

Definition 2.20 *Let Ψ be a representative for a class in $\text{emb}(\mathcal{O}, R)$ and let c be a base point. The partial p -adic L -function attached to the datum (Ψ, \star) is the function of the p -adic variable $s \in \mathbb{Z}_p$ defined by*

$$L_p(f, \Psi, \star, s) = \int_G \alpha^{s-k/2} d\mu_{f,\Psi,\star}(\alpha).$$

Remark: If $c' = \iota\Psi(\alpha_0)c$, then

$$L_p(f, \Psi, \star', s) = (\alpha_0)^{s-\frac{k}{2}} L_p(f, \Psi, \star, s).$$

In particular,

Lemma 2.21 *The order of vanishing of $L_p(f, \Psi, \star, s)$ at $s = k/2$, and the value of the first non-vanishing derivative of $L_p(f, \Psi, \star, s)$, do not depend on the choice of c .*

Because of this lemma, it is customary to drop the c from the notations and write $L_p(f, \Psi, s)$ instead of $L_p(f, \Psi, \star, s)$.

If Ψ_1, \dots, Ψ_h are representatives for the distinct classes of oriented optimal embeddings in $\text{emb}(\mathcal{O}, R)$, note that

$$L_p(f, K, k/2) = L_p(f, \Psi_1, k/2) + \dots + L_p(f, \Psi_h, k/2). \quad (22)$$

The following proposition describes the value of $L_p(f, K, s)$ and $L_p(f, \Psi, s)$ at the central critical point $s = k/2$.

Proposition 2.22 *Suppose p is split in K .*

1. If $w = -1$, then

$$L_p(f, K, k/2)^2 = 4u_K^2 D^{\frac{k-1}{2}} \frac{L(\phi/K, k/2)}{(\phi, \phi)}.$$

2. If $w = 1$, then $L_p(f, K, k/2) = 0$, and in fact $L_p(f, \Psi, k/2) = 0$ for all $\Psi \in \text{emb}(\mathcal{O}, R)$.

Proof. Choose a vertex v of \mathcal{T} which is even and fixed under the action of $\iota\Psi(\mathcal{O}_K \otimes \mathbb{Z}_p)^\times$, and let $\delta = \iota\Psi(u)$. A direct evaluation shows that

$$L_p(f, \Psi, k/2) = \pm(1-w) \sum_{v \rightarrow \delta v} w^{sgn(e)} c_f(e) (P_\Psi^{\frac{k-2}{2}}).$$

The result follows directly, using (22) and proposition 2.18.

Proposition 2.23 Suppose p is inert in K . Then $L_p(f, K, k/2) = 0$, and in fact $L_p(f, \Psi, k/2) = 0$ for all $\Psi \in \text{emb}(\mathcal{O}, R)$.

Proof. This is apparent from the harmonicity of c_f and the geometry of the action of $K_p^\times/\mathbb{Q}_p^\times$ on \mathcal{T} induced by Ψ .

Let Ψ_1, \dots, Ψ_h be representatives for the distinct classes in $\text{emb}(\mathcal{O}, R)$. In the cases where p is inert in K or where p is split and $w = 1$, so that $L_p(f, \Psi_i, k/2) = 0$ for all i , the first derivative of the p -adic L -function $L_p(f, K, s)$ at $s = k/2$ is given by:

$$L'_p(f, K, k/2) = \int_{\tilde{G}} \log(\alpha) d\mu_{f, K}(\alpha) = \sum_{i=1}^h L'_p(f, \Psi_i, k/2), \quad (23)$$

and

$$L'_p(f, \Psi_i, k/2) = \int_G \log(\alpha) d\mu_{f, \Psi_i}(\alpha). \quad (24)$$

These formulas are consequences of the continuity of μ_{f, Ψ_i} for all i . The goal of the next chapter is to derive a formula for these first derivatives.

3 Proof of the main identities

Sections 3.1 and 3.2 contain the proofs of the main identities in the case where p is split in K . In this situation an exceptional zero arises only if $w = 1$, which will be assumed from now on for those sections. Section 3.3 discusses the case when p is inert in K .

3.1 Teitelbaum's \mathcal{L} -invariant

The definition of Teitelbaum's \mathcal{L} -invariant attached to f is recalled in this section. It depends on the choice of p -adic logarithm that was made in section 2.6.

First let us point out that the modular form f is actually a modular form for the group $\tilde{\Gamma}$, as a consequence of the assumption $w = 1$. Choose a point $z_0 \in \mathcal{H}_p$, and a vertex $v \in \mathcal{T}$.

Proposition 3.1 (Teitelbaum) *There exists $\mathcal{L}_T(f) \in \mathbb{C}_p$ and $R \in \mathcal{P}_{k-2}^\vee$ (the latter, depending on the choice of z_0 and v) such that, for all $\alpha \in \tilde{\Gamma}$ and $r \in \mathcal{P}_{k-2}$,*

$$\int_{z_0}^{\alpha * z_0} f(z) r(z) dz = \mathcal{L}_T(f) \cdot \sum_{v \rightarrow \alpha v} c_f(e)(r) + (\alpha \cdot R(r) - R(r)).$$

Here the integral on the left is Coleman's integral associated to the choice of \log , and the sum on the right is taken over the edges in the path joining v to αv .

The proof is given in [Tei], sec. 1.

Definition 3.2 *The scalar $\mathcal{L}_T(f)$ (which depends only on the homothety class of f) is called the Teitelbaum \mathcal{L} -invariant associated to f .*

The coboundary term $(\alpha R(r) - R(r))$, which vanishes when $k = 2$, presents an extra difficulty in computing $\mathcal{L}_T(f)$ in the higher weight case. To avoid this extra term, let δ be any element of $\tilde{\Gamma}$, let $\psi = \delta - \frac{1}{2}\text{trace}(\delta) \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \in M_2(\mathbb{Q}_p)$, and write P_ψ for the polynomial $P_{\iota^{-1}(\psi)} \in \mathcal{P}_2$ defined by formula (3). Note that $P_\psi \cdot \delta = P_\psi$, and the same invariance property holds for

$P_\psi^{\frac{k-2}{2}} \in \mathcal{P}_{k-2}$. Replacing α by δ and r by $P_\psi^{\frac{k-2}{2}}$ yields a formula which does not involve any coboundary term and determines $\mathcal{L}_T(f)$ when the sum on the right is non-zero:

$$\int_{z_0}^{\delta z_0} f(z) P_\psi^{\frac{k-2}{2}}(z) dz = \mathcal{L}_T(f) \sum_{v \rightarrow \delta v} c_f(e)(P_\psi^{\frac{k-2}{2}}). \quad (25)$$

Note that both the integral and the sum in the above equation are independent of the choice of base points z_0 and v respectively.

3.2 The split case

In this section suppose that p is split in K , and let \mathfrak{p} be a prime of K above p . Let us recall the notations of section 1.3, i.e. let u_0 denote a generator of the rank one group $\mathcal{O}^\times/\mathbb{Z}[1/p]^\times$ such that the image u of $\frac{u_0}{u_0}$ in \mathbb{Q}_p^\times has the property $\text{ord}_p(u) > 0$. If Ψ is a representative for an element of $\text{emb}(\mathcal{O}, R)$, write $\delta := \iota\Psi(u_0) \in \tilde{\Gamma}$. (This gives rise to the p -adic Shintani cycle attached to Ψ , as in the discussion following proposition 2.18.) Let v be an even vertex of T which is fixed by $\iota\Psi(\mathcal{O}_K \otimes \mathbb{Z}_p)^\times$.

Theorem 3.3

$$L'_p(f, \Psi, k/2) = \mathcal{L}_T(f) \sum_{v \rightarrow \delta v} c_f(e)(P_\Psi^{\frac{k-2}{2}}),$$

where the sum on the right is taken over all edges e in the path joining v to δv .

Proof. Consider the left-hand side of (25) with this choice of δ , and note that the polynomial denoted there by P_ψ is a constant multiple of P_Ψ .

By Teitelbaum's p -adic Poisson inversion formula (proposition 1.11),

$$I := \int_{z_0}^{\delta z_0} f(z) P_\Psi^{\frac{k-2}{2}}(z) dz = \int_{z_0}^{\delta z_0} \left(\int_{\mathbb{P}_1(\mathbb{Q}_p)} \frac{1}{z-x} d\mu_f(x) \right) P_\Psi^{\frac{k-2}{2}}(z) dz. \quad (26)$$

Observe that

$$\frac{P_\Psi^{\frac{k-2}{2}}(z) - P_\Psi^{\frac{k-2}{2}}(x)}{z-x} = \text{polynomial in } x \text{ of degree } \leq k-2.$$

Hence, by lemma 1.9,

$$\int_{\mathbb{P}_1(\mathbb{Q}_p)} \frac{P_{\Psi}^{\frac{k-2}{2}}(z)}{z-x} d\mu_f(x) = \int_{\mathbb{P}_1(\mathbb{Q}_p)} \frac{P_{\Psi}^{\frac{k-2}{2}}(x)}{z-x} d\mu_f(x).$$

Therefore the polynomial $P_{\Psi}^{\frac{k-2}{2}}(z)$ can be replaced by $P_{\Psi}^{\frac{k-2}{2}}(x)$ in equation (26). Applying the reasoning in the proof of theorem 4 of [Tei], reverse the order of integration to obtain

$$I = \int_{\mathbb{P}_1(\mathbb{Q}_p)} \left(\int_{z_0}^{\delta * z_0} \frac{dz}{z-x} \right) P_{\Psi}^{\frac{k-2}{2}}(x) d\mu_f(x) \quad (27)$$

$$= \int_{\mathbb{P}_1(\mathbb{Q}_p)} \log \left(\frac{\delta * z_0 - x}{z_0 - x} \right) P_{\Psi}^{\frac{k-2}{2}}(x) d\mu_f(x), \quad (28)$$

where the last equality follows from the definition of the Coleman integral associated to this choice of log. Recall the Möbius transformation $\eta_{\Psi} : \mathbb{P}_1(\mathbb{C}_p) \longrightarrow \mathbb{P}_1(\mathbb{C}_p)$ of section 2.4. Define $\beta_0 \in \mathbb{P}_1(\mathbb{C}_p)$ by $\eta_{\Psi}(\beta_0) = z_0$. Performing the change of variables $x = \eta_{\Psi}(\alpha)$ and applying the definition of $\mu_{\Psi} = \mu_{f,\Psi,*}$,

$$I = \int_{\mathbb{P}_1(\mathbb{Q}_p)} \log \left(\frac{u\beta_0 - \alpha}{\beta_0 - \alpha} \right) d\mu_{\Psi}(\alpha). \quad (29)$$

Note that if $\alpha = 0$ or ∞ , the integrand vanishes, because of the choice of logarithm that was made in the definition of the Coleman integral. Since $\log(u) = 0$, observe that

$$\begin{aligned} \sum_{n=-\infty}^{\infty} \log \left(\frac{u\beta_0 - u^n \alpha}{\beta_0 - u^n \alpha} \right) &= \lim_{N \rightarrow \infty} \sum_{n=-N}^N \log \left(\frac{\beta_0 - u^{n-1} \alpha}{\beta_0 - u^n \alpha} \right) \\ &= \lim_{N \rightarrow \infty} \log \left(\frac{\beta_0 - u^{-N-1} \alpha}{\beta_0 - u^N \alpha} \right) = \lim_{N \rightarrow \infty} \log \left(\frac{u^{N+1} \beta_0 - \alpha}{\beta_0 - u^N \alpha} \right) = \log \alpha - \log \beta_0. \end{aligned}$$

Applying the proposition 2.12 it follows that

$$I = \int_G \left(\sum_{n=-\infty}^{\infty} \log \frac{u\beta_0 - u^n \alpha}{\beta_0 - u^n \alpha} \right) d\mu_{\Psi}(\alpha) = \int_G (\log \alpha - \log \beta_0) d\mu_{\Psi}(\alpha). \quad (30)$$

Note that

$$\int_G \log(\beta_0) d\mu_\Psi(\alpha) = \log(\beta_0) L_p(f, \Psi, k/2) = 0,$$

by proposition 2.22, (2). It follows that

$$I = \int_G \log(\alpha) d\mu_\Psi(\alpha) = L'_p(f, \Psi, k/2), \quad (31)$$

by (24). On the other hand, formula (25) for Teitelbaum's \mathcal{L} -invariant shows that

$$I = \mathcal{L}_T(f) \sum_{v \rightarrow \delta v} c_f(e)(P_\Psi^{\frac{k-2}{2}}). \quad (32)$$

Theorem 3.3 follows from (31) and (32).

The following theorem can be viewed as the analogue of Teitelbaum's conjecture in the anticyclotomic setting.

Theorem 3.4 *Assume conjecture 2.17. Then*

$$L'_p(f, K, k/2)^2 = \mathcal{L}_T(f)^2 u_K^2 D_K^{\frac{k-1}{2}} \frac{L(\phi/K, k/2)}{(\phi, \phi)}.$$

Proof. Let Ψ_1, \dots, Ψ_h be distinct representatives for the oriented optimal embeddings in $\text{emb}(\mathcal{O}, R)$. For $1 \leq j \leq h$, choose even vertices v_j of T which are fixed by $\iota\Psi_j((\mathcal{O}_K \otimes \mathbb{Z}_p)^\times)$ and write $\delta_j = \iota\Psi_j(u_0)$. By formula (23) combined with theorem 3.3,

$$L'_p(f, K, k/2) = \mathcal{L}_T(f) \sum_{j=1}^h \sum_{v_j \rightarrow \delta_j v_j} c_f(e)(P_{\Psi_j}^{\frac{k-2}{2}}).$$

Theorem 3.4 now follows from proposition 2.18.

Remark: In [Kl] Klingenberg claims to prove an “exceptional zero conjecture”, analogous to theorem 3.3, for Schneider's rigid analytic p -adic L -function. Klingenberg normalizes the Schneider L -function by choosing a special isomorphism $B_p \rightarrow M_2(\mathbb{Q}_p)$; this depends on the choice of a normalization datum ([Kl], definition 6.3.1) which need not exist in general. Indeed, assume such a datum to be given. Then there is a maximal \mathbb{Z} -order \mathcal{O}' in

B and an element $\delta_0 \in \mathcal{O}'[1/p]^\times$ such that for the zeros $\alpha, \beta \in \mathbb{Q}_p$ of the minimal polynomial of δ_0/\mathbb{Q} we have $\text{ord}(\alpha/\beta) = 1$. After multiplying δ_0 by a suitable power of p one can assume that δ_0 belongs to \mathcal{O}' and that its reduced norm is p . Set $K = \mathbb{Q}(\delta_0)$. It is an imaginary quadratic field and the minimal polynomial of δ_0 over \mathbb{Q} is of the form

$$f(X) = X^2 + aX + p \quad \text{with } a^2 < 4p.$$

There are only finitely many such fields and hence there exist quaternion algebras B which do not contain any of them and thus do not admit a normalization datum. (For example, choose B such that its discriminant has a prime factor q which splits in all the fields K as above.)

In addition, theorem 4.6.1 and 4.6.2 of [Kl] are not correct as stated. In fact the proofs of these theorems actually yield the following (adopting the notation from [Kl]):

Let $\gamma, \delta \in \Gamma$ be such that $[\gamma]$ and $[\delta] \in \Gamma^{ab}$ are non-zero and assume that $L_p(c_\gamma, 1) = 0$. Assume moreover that δ is hyperbolic. Then for every homomorphism $\chi \in \text{Hom}(\mathbb{Q}_p^\times, \mathbb{Q}_p)$ the following holds

$$\int_{\mathbb{Z}_p[\delta]} \chi(x) d\mu_\gamma(x) = \chi(\langle \gamma, \delta \rangle_\Gamma \text{mult}(\delta)^m) \tag{33}$$

Here m is the unique integer such that $\langle \gamma, \delta \rangle_\Gamma \text{mult}(\delta)^m \in \mathbb{Z}_p[\delta]$.

Consequently, theorems 6.4.2 and corollary 6.4.1 of [Kl] do not hold as stated. (A summand $\log_p(\text{mult}(\delta)^m)$ is missing on the right hand side of the equations there and it is usually non-zero.)

While it seems unlikely that there is any simple relation between Schneider's p -adic L -function (with respect to a suitable normalization) and the cyclotomic p -adic L -function of Mazur, Tate and Teitelbaum as is speculated in ([Kl], p.313), the arguments of [Kl] can be adapted to give a proof of theorem 3.3 in the case of weight 2. Together with the result on the interpolation of classical special values of section 2.5, this yields a proof of the exceptional zero conjecture for the anticyclotomic p -adic L -function when $k = 2$.

We sketch briefly now how one can deduce theorem 3.3 in the weight two case from (33). For simplicity assume that K has class number one. Then $\text{ord}_p(u) = 1$ and (33) gives

$$L'_p(f, K, 1) = \int_{\mathbb{Z}_p^\times} \log(x) d\mu_f(x) = \log(\langle \delta, c_f \rangle),$$

since $\text{mult}(\delta) = u^2$ and thus $\log(\text{mult}(\delta)) = 0$. Now ([Kl], 5.3 and proposition 3.3.1) imply that

$$L'_p(f, K, 1) = \log(\langle \delta, c_f \rangle) \sum_{v \rightarrow \delta v} c_f(e).$$

3.3 The inert case

Assume now that p is inert in K .

Theorem 3.5 *Let z_0 and $\bar{z}_0 \in \mathcal{H}_p$ be the two fixed points for $\iota\Psi(K_p^\times)$ acting on \mathcal{H}_p . Then*

$$L'_p(f, \Psi, k/2) = \pm \int_{\bar{z}_0}^{z_0} f(z) P_\Psi^{\frac{k-2}{2}}(z) dz.$$

Proof. Beginning with the right hand side of theorem 3.5,

$$I := \int_{\bar{z}_0}^{z_0} f(z) P_\Psi^{\frac{k-2}{2}}(z) dz = \int_{\bar{z}_0}^{z_0} \left(\int_{\mathbb{P}_1(\mathbb{Q}_p)} \frac{1}{z-x} d\mu_f(x) \right) P_\Psi^{\frac{k-2}{2}}(z) dz, \quad (34)$$

by Teitelbaum's p -adic Poisson inversion formula (proposition 1.11). Now observe that

$$\frac{P_\Psi^{\frac{k-2}{2}}(z) - P_\Psi^{\frac{k-2}{2}}(x)}{z-x} = \text{polynomial in } x \text{ of degree } \leq k-2.$$

Hence, by lemma 1.9,

$$\int_{\mathbb{P}_1(\mathbb{Q}_p)} \frac{P_\Psi^{\frac{k-2}{2}}(z)}{z-x} d\mu_f(x) = \int_{\mathbb{P}_1(\mathbb{Q}_p)} \frac{P_\Psi^{\frac{k-2}{2}}(x)}{z-x} d\mu_f(x).$$

Therefore the polynomial $P_\Psi^{\frac{k-2}{2}}(z)$ can be replaced by $P_\Psi^{\frac{k-2}{2}}(x)$ in equation (34). Reversing the order of integration as in (27),

$$I = \int_{\mathbb{P}_1(\mathbb{Q}_p)} \left(\int_{\bar{z}_0}^{z_0} \frac{dz}{z-x} \right) P_\Psi^{\frac{k-2}{2}}(x) d\mu_f(x) \quad (35)$$

$$= \int_{\mathbb{P}_1(\mathbb{Q}_p)} \log \left(\frac{x-z_0}{x-\bar{z}_0} \right) P_\Psi^{\frac{k-2}{2}}(x) d\mu_f(x) \quad (36)$$

$$= \int_G \log(\alpha) d\mu_\Psi(\alpha), \quad (37)$$

where the last identity follows by making the change of variables $x = \eta_\Psi(\alpha)$ (cf. equation (15)). Hence

$$I = L'_p(f, \Psi, k/2),$$

by (24). The result follows.

Remark: By the Cerednik-Drinfeld theorem, the rigid analytic curve \mathcal{H}_p/Γ has a model over \mathbb{Q} given by a Shimura curve X classifying abelian surfaces with quaternionic multiplication and auxiliary level structure. (Cf. [BD3], §4.) Using Drinfeld's moduli interpretation of \mathcal{H}_p , it is shown in section 5 of [BD3] that the points z_0 and \bar{z}_0 correspond to CM points on X defined over the Hilbert class field H of K . Assuming $k = 2$, the modular form f corresponds to a quotient A_f defined over \mathbb{Q} of the Jacobian J of X ; in this situation, the integral appearing on the right hand side of the identity of theorem 3.5 is related to the natural image of the divisor $(z_0) - (\bar{z}_0)$ in $A_f(H)$ via the p -adic Abel-Jacobi map. One recovers the main result of [BD3], which gives a construction, in terms the first derivative of the anticyclotomic p -adic L -function, of a Heegner point on $A_f(K)$ and implies that this point is of infinite order when $L'_p(f, K, 1) \neq 0$.

For general k , the integral appearing in theorem 3.5 can be interpreted as the image by a higher p -adic Abel-Jacobi map of certain CM cycles in the Chow groups of the Kuga-Sato variety attached to forms of weight k . This interpretation will be explained in a future work.

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