Pregroup Grammars and Chomsky's Earliest Examples

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Abstract Pregroups are partially ordered monoids in which each element has two "adjoints". Pregroup grammars provide a computational approach to natural languages by assigning to each word in the mental dictionary a *type*, namely an element of the pregroup freely generated by a partially ordered set of basic types. In this expository article, the attempt is made to introduce linguists to a pregroup grammar of English by looking at Chomsky's earliest examples.

Keywords Computational linguistics · Categorial grammars · Pregroup grammars

1 Introduction

Pregroup grammar is a recent development of categorial grammar, which asserts that grammatical calculations are to be performed on the types which have been assigned to the words in the mental dictionary, but which live in an algebraic or logical system. I believe that this computational approach is compatible with the profound insights gained by Chomsky and his school in the last half century.

Ever since his pioneering Syntactic Structures (Chomsky 1957) revolutionized the science of linguistics, Chomsky's theories have undergone a number of mutations, from generative transformational grammar via Government and Binding to the more recent Minimalist Program. It is not clear to me how many of the theories underlying the intermediate stages are still relevant, hence I will concentrate here on some of the earliest examples, which were introduced in Chomsky (1957) to challenge the then prevailing linguistic orthodoxy and which still offer a challenge to anyone trying to construct a grammar of English.

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2 Pregroup Grammar

Without going too deeply into the mathematical background, let me describe briefly what a *pregroup grammar* of a natural language, say of English, looks like. We assume that each word in the mental dictionary has been assigned one or more types. A *type* is defined to be a string of *simple types*, each of the form

$$\ldots, x^{\ell\ell}, x^{\ell}, x, x^{r}, x^{rr}, \ldots$$

where *x* is a basic type. *Basic types* are assumed to be elements of a partially ordered set, the partial order being denoted by an arrow. There are only two rules of computation:

$$x^{\ell}x \to 1 \to xx^{\ell}, \quad xx^r \to 1 \to x^r x,$$
 (2.1)

when x is any simple type. We may think of the arrow in (2.1) as extending the partial order from basic types to types. In a terminology inspired by category theory, we call x^{ℓ} the *left adjoint* and x^{r} the *right adjoint* of x. Readers interested in the mathematical background are invited to consult Sect. 17.

Let me make just one historical remark. A similar grammar has been foreshadowed by Harris (1966, 1968), but without iterated adjoints. In fact, double adjoints have so far only been shown to serve a useful purpose in modern European languages to explain Chomskyan traces and to describe clitics in Romance languages. As to triple adjoints, the jury is still out. Harris used *contractions* $x^{\ell}x \rightarrow 1$ and $xx^{r} \rightarrow 1$, having no need for *expansions* $1 \rightarrow xx^{\ell}$ and $1 \rightarrow x^{r}x$. Why expansions are not needed for most linguistic purposes will be explained in Sect. 17. However, they are required for theoretical reasons, for example, to justify extending the partial order and the adjoint operations to simple types and strings of simple types. One may prove the following:

if
$$x \to y$$
 then $y^{\ell} \to x^{\ell}$ and $y^{r} \to x^{r}$,
hence $x^{\ell\ell} \to y^{\ell\ell}$ and $x^{rr} \to y^{rr}$; (2.2)

$$x^{\ell r} = x = x^{r\ell}; \tag{2.3}$$

$$(xy)^{\ell} = y^{\ell} x^{\ell}, \quad (xy)^{r} = y^{r} x^{r}.$$
 (2.4)

3 Some Simple Sentences

Let me begin by aiming at the much quoted sentence:

taken from Chomsky (1957). Any reader, unhappy with the semantics of (3.1), may substitute

pointless new ideas die rapidly. (3.2)

First take a look at the simpler

$$\begin{array}{l} \text{ideas sleep} \\ \mathbf{p} \left(\pi_{2}^{r} \mathbf{s}_{1} \right) \rightarrow \mathbf{s}_{1}. \end{array} \tag{3.3}$$

Here the following basic types make their first appearance:

 $\mathbf{p} = (\text{type of}) \text{ plural noun,}$

 π_2 = plural subject pronoun (including the second person singular, since the old *thou* has disappeared),

 \mathbf{s}_1 = declarative sentence in the present tense.

We postulate

 $\mathbf{p} \rightarrow \pi_2$

in the partially ordered set of basic types. The underlink in (3.3) indicates the generalized contraction

$$\mathbf{p}\pi_2^r \to \pi_2 \pi_2^r \to 1.$$

We look at some variations of (3.3):

$$\begin{array}{c} \text{ideas can sleep} \\ \mathbf{p} \left(\pi_{2}^{r} \mathbf{s}_{1} \mathbf{j}^{\ell} \right) \mathbf{i} \quad \rightarrow \quad \mathbf{s}_{1} \end{array}$$

$$(3.4)$$

ideas can have slept

$$\mathbf{p} (\pi_2^r \mathbf{s}_1 \mathbf{j}^\ell) (\mathbf{j} \mathbf{p}_2^\ell) \mathbf{p}_2 \to \mathbf{s}_1$$
(3.5)

$$\begin{array}{c} \text{ideas do sleep} \\ \mathbf{p} \left(\pi_{2}^{r} \mathbf{s}_{1} \mathbf{i}^{\ell} \right) \mathbf{i} \rightarrow \mathbf{s}_{1} \end{array} \tag{3.6}$$

but not

**ideas do have slept*

$$\mathbf{p} (\pi_2^r \mathbf{s}_1 \mathbf{i}^\ell) (\mathbf{j} \mathbf{p}_2^\ell) \mathbf{p}_2 \not\rightarrow \mathbf{s}_1$$
(3.7)

Here we have employed the following additional basic types:

 $\mathbf{j} =$ intransitive infinitive, $\mathbf{i} =$ infinitive of intransitive verbs,

 $\mathbf{p}_2 = \text{past participle of intransitive verbs.}$

We postulate

$$\mathbf{i} \rightarrow \mathbf{j} \text{ (but } \mathbf{j} \not\rightarrow \mathbf{i} \text{)}.$$

The distinction between **i** and **j** serves to explain the different behaviour of the modal verb *can* and the emphatic auxiliary verb *do*. Note that

$$\mathbf{j}^{\ell}\mathbf{i} \rightarrow \mathbf{j}^{\ell}\mathbf{j} \rightarrow 1$$
; but $\mathbf{i}^{\ell}\mathbf{j} \not\rightarrow 1$,

since $\mathbf{i}^{\ell}\mathbf{j} \rightarrow 1$ would imply

$$\mathbf{j} = 1\mathbf{j} \rightarrow \mathbf{i}\mathbf{i}^{\ell}\mathbf{j} \rightarrow \mathbf{i}\mathbf{1} = \mathbf{i}$$

4 Adverbs

Next, consider the simple sentence

$$\begin{array}{c} \text{ideas can sleep furiously} \\ \mathbf{p} \ (\pi_{2}^{r} \mathbf{s}_{1} \mathbf{j}^{\ell}) [\mathbf{i} \ (\mathbf{i}^{r} \mathbf{i}) \rightarrow \mathbf{s}_{1} \end{array}$$
(4.1)

Here we have assigned the type $\mathbf{i}^r \mathbf{i}$ to the adverb *furiously*, so that *sleep furiously* has type

$$\mathbf{i}\mathbf{i}^r\mathbf{i} \to 1\mathbf{i} = \mathbf{i}$$

The left square bracket [before the occurrence of **i** in (4.1) serves as a kind of punctuation mark, to indicate that the tempting contraction $\mathbf{j}^{\ell}\mathbf{i} \rightarrow \mathbf{j}^{\ell}\mathbf{j} \rightarrow 1$ is to be postponed. Actually, we may contrast the *surface structure* (apologies to Chomsky)

$$\mathbf{p}(\pi_2^r \mathbf{s}_1 \mathbf{j}^\ell) \mathbf{i}(\mathbf{i}^r \mathbf{i})$$

with the deep structure

$$[\mathbf{p}\pi_2^r]\mathbf{s}_1[\mathbf{j}^\ell[\mathbf{i}\mathbf{i}^r]\mathbf{i}].$$

Most of these square brackets have been replaced by the underlinks in (4.1), and only the single left bracket [between \mathbf{j}^{ℓ} and \mathbf{i} has been retained to indicate that the contraction is to be postponed.

5 Conjugation

Now let us leave out the modal verb can in (4.1) and consider

ideas sleep furiously

$$\mathbf{p} (\pi_2^r \mathbf{s}_1) (\mathbf{i}^r \mathbf{i}) \xrightarrow{?} \mathbf{s}_1$$
(5.1)

It seems convenient to analyze the finite verb form *sleep* occurring here as being the result of applying an *inflector* C_{12} of type $\pi_2^r \mathbf{s}_1 \mathbf{j}^\ell$ to the infinitive of *sleep* of type \mathbf{i} . Then (5.1) may be re-analyzed as follows:

$$\begin{array}{c} \textit{ideas } C_{12} \textit{ sleep furiously} \\ \mathbf{p} \left(\pi_2^r \mathbf{s}_1 \mathbf{j}^\ell \right) \begin{bmatrix} \mathbf{i} \ (\mathbf{i}^r \mathbf{i}) \\ & & \\ \end{array} \rightarrow \mathbf{s}_1. \end{array} \tag{5.2}$$

More generally, we may put

$$C_{jk} = j - \text{th tense, } k - \text{th person, so that}$$

$$C_{jk} \ sleep \ \rightarrow \left(\begin{array}{c} sleep \ sleeps \\ slept \ slept \ slept \end{array} \right)$$

with j = 1, 2 and k = 1, 2, 3.

Note that, in English, the finite verb forms may be displayed in a matrix with $2 \times 3 = 6$ entries, ignoring the almost obsolete subjunctive, whereas literary French requires $7 \times 6 = 42$ finite verb forms, and Latin even $3 \times 5 \times 6 = 90$. Anyway, for the present purpose, we write

$$C_{12} \, sleep \rightarrow sleep (\pi_2^r \mathbf{s}_1 \mathbf{j}^{\ell}) \mathbf{i} \rightarrow \pi_2^r \mathbf{s}_1$$
(5.3)

This is opposed to

$$C_{13} \ sleep \rightarrow sleeps (\pi_3^r \mathbf{s}_1 \mathbf{j}^{\ell}) \mathbf{i} \rightarrow \pi_3^r \mathbf{s}_1$$
(5.4)

and

$$C_{2k} sleep \rightarrow slept (\pi^{r} \mathbf{s}_{2} \mathbf{j}^{\ell}) \mathbf{i} \rightarrow \pi^{r} \mathbf{s}_{2}$$
(5.5)

where

 $\mathbf{s}_2 =$ declarative sentence in the past tense,

 π = subject pronoun when the person does not matter,

and we postulate

$$\pi_k \to \pi \qquad (k=1,2,3).$$

In (5.3) to (5.5), the upper arrow belongs to generative morphology and the lower arrow to pregroup grammar.

6 Nouns and Adjectives

The plural *ideas* (of type **p**) is the plural of the count noun *idea*, say of type **c**. It might be analyzed further by assigning the type $\mathbf{c}^r \mathbf{p}$ to the morpheme +**s**. However, I prefer introducing an *inflector* Plur of type \mathbf{pc}^{ℓ} , so that

Plur *idea*
$$\rightarrow$$
 ideas
 $(\mathbf{pc}^{\ell}) \mathbf{c} \rightarrow \mathbf{p}$
(6.1)

Concentrating on plurals has the advantage that they don't require determiners. (The same would be true for mass nouns, say of type **m**, which don't have plurals.)

Adjectives can play two different rôles as predicates and as attributes and must be given different types accordingly, say **a** and xx^{ℓ} , where $x = \mathbf{p}$, **c** or **m**, in particular $x = \mathbf{p}$ when modifying a plural. Thus

$$\begin{array}{c} \text{ideas are green} \\ \mathbf{p} \left(\pi_2^r \mathbf{s}_1 \mathbf{a}^\ell \right) \mathbf{a} & \to \mathbf{s}_1 \end{array}$$
(6.2)

green ideas sleep

$$(\mathbf{p}\mathbf{p}^{\ell}) \mathbf{p} (\pi_2^r \mathbf{s}_1) \to \mathbf{s}_1$$
(6.3)

It is easy to replace the predicate green by

$$\begin{array}{c} \text{very green} \\ (\mathbf{a}\mathbf{a}^{\ell}) \mathbf{a} \to \mathbf{a} \end{array} \tag{6.4}$$

but it is less obvious how *very* can modify the attribute *green*. One is tempted to handle this by postulating $\mathbf{a} \rightarrow \mathbf{p}\mathbf{p}^{\ell}$, so that *very green* has type

$$(aa^{\ell})a = a(a^{\ell}a) \rightarrow a \rightarrow pp^{\ell}$$

as befits an attribute. But adopting such a postulate would go counter to our strategy of allowing only postulates of the form $x \rightarrow y$, where x and y are basic types. This is in the interest of keeping the grammar context-free (Buszkowski 2001). Instead, I propose to assign to *green*, as well as to all adjectives of type **a**, the additional type

$$\mathbf{a}(\mathbf{a}^r \mathbf{p} \mathbf{p}^\ell) \to \mathbf{p} \mathbf{p}^\ell,$$

so that

$$(aa^{\ell})(aa^{r}pp^{\ell}) \rightarrow pp^{\ell}$$

$$(6.5)$$

when used as an attribute.

Instead of listing the additional type separately for each adjective in the dictionary, we may adopt the following *metarule* (apologies to Gazdar):

Metarule 6.6 All adjectives of type **a** may have an invisible ending of type $\mathbf{a}^r x x^\ell$, where $x = \mathbf{p}$, **c** or **m**.

Such an invisible ending may in fact be justified on historical grounds. In German, a language closely related to English, every attributive adjective must carry a *visible* ending

+e, +em, +en, +er, +es,

which encodes gender, number, case and definiteness. Of course, the invisible ending in English carries no such information; the same adjectival form can modify plurals, count nouns and mass nouns, whatever the case or gender.

7 Chomsky's First Example

At last, we are in a position to analyze (3.1) as follows:

colourless green ideas sleep furiously

$$(\underline{\mathbf{a}\mathbf{a}^{r}}\,\mathbf{p}\,\mathbf{p}^{\ell})(\underline{\mathbf{a}\mathbf{a}^{r}},\mathbf{p}\,\mathbf{p}^{\ell})\,\mathbf{p}\,(\pi_{2}^{r}\,\mathbf{s}_{1}\,\mathbf{j}^{\ell}[\mathbf{i})(\mathbf{i}^{r},\mathbf{i})\rightarrow\,\mathbf{s}_{1}$$
(7.1)

with the left square bracket to remind us that the sentence does not end after sleep.

In the interest of morphology, we might have analyzed furiously as

$$\begin{array}{l} \text{furious} + ly \rightarrow \text{furiously} \\ \mathbf{a} \left(\mathbf{a}^r \mathbf{i}^r \mathbf{i} \right) \rightarrow \mathbf{i}^r \mathbf{i} \end{array} \tag{7.2}$$

which would have allowed us to justify applying the modifier very to yield

$$\begin{array}{c} \text{very furiously} \\ (\mathbf{aa}^{\ell}) (\mathbf{aa}^{r} \mathbf{i}^{r} \mathbf{i}) \rightarrow \mathbf{i}^{r} \mathbf{i}. \end{array}$$
(7.3)

Unfortunately, this does not explain why there is no corresponding adverb *greenly. We might also have been tempted to analyze

but this would have wrongly predicted

*green colour + less
(
$$\mathbf{cc}^{\ell}$$
) \mathbf{c} ($\mathbf{c}^{r}\mathbf{a}$) $\rightarrow \mathbf{a}$

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So, it may be wiser to avoid this tempting excursion into morphology for the time being.

8 Participles

Still in Syntactic Structures (Chomsky 1957), Chomsky contrasts the grammatical

with the ungrammatical

He points out, of course, that *interesting* is an adjective and that *sleeping* is not. What is surprising about this example is this: usually present participles of transitive verbs do not behave like adjectives, but those of intransitive verbs do, the converse being true about past participles.

One way to approach participles is to replace the type **i** by \mathbf{p}_j , where the subscript j = 1 for the present tense and j = 2 for the past. Thus we have, for example,

sleeping :
$$\mathbf{p}_1$$
, dismissed : $\mathbf{p}_2 \mathbf{o}^\ell$ (8.2)

where

 $\mathbf{o} = (\text{type of}) \text{ direct object.}$

We then obtain

ideas are sleeping

$$\mathbf{p} (\pi_2^r \mathbf{s}_1 \mathbf{p}_1^\ell) \mathbf{p}_1 \rightarrow \mathbf{s}_1$$
(8.3)

and

people are dismissing them

$$\mathbf{p} \left(\pi_2^r \mathbf{s}_1 \mathbf{p}_1^\ell \right) \left(\mathbf{p}_1 \mathbf{o}^\ell \right) \mathbf{o}$$
(8.4a)

but not

Here *sleeping* looks like a predicative adjective and *dismissing* does not.

On the other hand, one may say

but not

So, *dismissed* looks like a predicative adjective and *slept* does not. Moreover, *sleeping* and *dismissed* can also be used attributively, as in

yet, they are not adjectives, as we cannot say

Present participles of intransitive verbs, with type \mathbf{p}_1 , and past participles of transitive verbs, with type $\mathbf{p}_2 \mathbf{o}^{\ell}$, resemble adjectives inasmuch as they may occur as complements of the copula *be*, just as do adjectives, with type **a**. Moreover, both may be used attributively with invisible endings of type

$$y^r x x^\ell$$
 ($x = \mathbf{p}, \mathbf{c} \text{ or } \mathbf{m}; y = \mathbf{a}, \mathbf{p}_1 \text{ or } \mathbf{p}_2 \mathbf{o}^\ell$).

Note that

$$(\mathbf{p}_2\mathbf{o}^\ell)^r = \mathbf{o}^{\ell r}\mathbf{p}_2^r = \mathbf{o}\mathbf{p}_2^\ell$$

according to (2.4) and (2.3). Consider, for example,

Corresponding endings in German are visible.

Yet, *interesting* is a genuine adjective, and so is *interested* (though this is not discussed in Chomsky (1957)). Thus, we have

ideas are very interesting

$$\mathbf{p} (\pi_2^r \mathbf{s}_1 \mathbf{a}^{\ell}) (\mathbf{a} \mathbf{a}^r) \mathbf{a} \to \mathbf{s}_1$$
(8.8a)

people are very interested

$$\mathbf{p} \left(\pi_{2}^{r} \mathbf{s}_{1} \mathbf{a}^{\ell} \right) \left(\mathbf{a} \mathbf{a}^{\ell} \right) \mathbf{a} \rightarrow \mathbf{s}_{1}$$
(8.8b)

Both *interesting* and *interested* are listed in the paperback Oxford English Dictionary as adjectives.

Chomsky (1957) takes (8.1a,b) as an opportunity to say "such examples suggest that any search for a semantically based definition of "grammaticalness" will be futile. Conceivably, the next section will challenge this statement.

9 Adjectival Participles

Are there any other verbs that behave like *interest* in allowing both participles to serve as adjectives? Searching the back of my own mind, I am able to come up with the following partial list:

amuse, annoy, charm, convince, depress, disappoint, discourage, distress, disturb, excite, fascinate, frighten, intimidate, intoxicate, please, satisfy, surprise, ... (9.1)

Buried in my subconscious is the knowledge that present and past participles of all these verbs are adjectives. Many, but not all of them, are so listed in the Oxford Dictionary. It seems implausible that all these verbs are listed separately in people's mental dictionary as having adjectival participles. More likely, there is some criterion they all satisfy. They are, of course, all transitive verbs requiring an animate direct object; but, more than that, they all describe *causation of an emotional or mental state*. For example,

$$X \text{ frightens } Y \Leftrightarrow X \text{ causes } Y \text{ to be afraid.}$$
(9.2)

This criterion is surely a semantic one.¹ Evidently, our criterion does not tell the whole story, e.g. it does not cover *challenge* and *touch*. The reader will easily come up with a number of verbs for which only one of the two participles is a genuine adjective, e.g. *forbid, heat, illuminate, promise,....*

Chomsky seems to concede that some semantics should be invoked in his example. He contrasts

with

He has recourse to "degrees of grammaticalness" and suggests that (9.2a) is less grammatical than (9.2b).

A reader might easily deceive herself by thinking that (9.2a) is ungrammatical because *sincerity cannot be frightened*. Yet, in saying this, she has inadvertently employed the passive of the supposedly ungrammatical (9.2a).

¹ It might be of interest to know whether sufferers from Asperger's syndrome, alleged to be unaware of other people's mental or emotional states, can come up with the same list (9.1).

10 Compound Tenses

Next, let us look at examples (41) and (42) in Chomsky (1957) as viewed in a pregroup grammar. I now find it convenient to introduce two intermediate types between **i** and **j**:

$$\mathbf{i} \rightarrow \mathbf{i}' \rightarrow \mathbf{j}' \rightarrow \mathbf{j}$$
.

I will adopt the inflectors

Part₁ :
$$\mathbf{p}_1 \mathbf{i}^{\ell}$$
, Part₂ : $\mathbf{p}_2 \mathbf{j}^{\ell}$

for present and past participles, and I will assign the following types to the auxiliary verbs for introducing the *progressive* tense, the *perfect* tense and the *passive* voice respectively:

$$be_{\text{prog}}: \mathbf{j}'\mathbf{p}_1^\ell, \ have_{\text{part}}: \mathbf{j}\mathbf{p}_2^\ell, \ be_{\text{pass}}: \mathbf{i}'\mathbf{o}^{\ell\ell}\mathbf{p}_2^\ell.$$

These are illustrated by the following examples:

they arrive

$$\pi_2(\pi_2^r \mathbf{s}_1 \mathbf{j}^\ell \mathbf{i}) \rightarrow \mathbf{s}_1$$
(10.1a)

they will arrive

$$\pi_{2} (\pi^{r} \mathbf{s}_{1} \mathbf{j}^{\ell}) \mathbf{i} \rightarrow \mathbf{s}_{1}$$
(10.1b)

they have arrived

$$\pi_{2} (\pi_{2}^{r} \mathbf{s}_{1} \mathbf{j}^{\ell} \mathbf{j} \mathbf{p}_{2}^{\ell}) (\mathbf{p}_{2} \mathbf{j}^{\prime \ell} \mathbf{i}) \rightarrow \mathbf{s}_{1}$$
(10.1c)

they are arriving

$$\pi_2 (\pi_2^r \mathbf{s}_1 \mathbf{j}^\ell \mathbf{j}' \mathbf{p}_1^\ell) (\mathbf{p}_1 \mathbf{j}'^\ell \mathbf{i}) \rightarrow \mathbf{s}_1$$
(10.1d)

they do arrive

$$\pi_2 (\pi_2^r \mathbf{s}_1 \mathbf{i}^{\ell}) \mathbf{i} \rightarrow \mathbf{s}_1$$
(10.1e)

$$\begin{array}{c} \text{they are seen} - \\ \pi_2 \left(\pi_2^r \mathbf{s}_1 \mathbf{j}^{\ell} \mathbf{i}' \mathbf{o}^{\ell\ell} \mathbf{p}_2^{\ell} \right) (\mathbf{p}_2 \mathbf{j}'^{\ell} \mathbf{i} \mathbf{o}^{\ell}) \to \mathbf{s}_1 \\ \hline \end{array}$$
(10.1f)

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Note that the modal auxiliaries like *will* and the emphatic *do* have no infinitive in English and that the passive can also be formed with

get :
$$io^{\ell\ell}p_2^\ell$$

The dash in (10.1f) indicates a Chomskyan *trace*, although this concept had not yet been introduced in Chomsky (1957). The type $\mathbf{o}^{\ell\ell}$ will ultimately be refined in Sect. 14.

If the sentences (10.1a to e) are to be followed by an adverb such as *today* of type $\mathbf{i}^r \mathbf{i}$, the last occurrence of \mathbf{i} should be blocked by a left bracket, e.g.

they arrive today

$$\pi_2 (\pi_2^r \mathbf{s_1 j}^\ell[\mathbf{i})(\mathbf{i}^r \mathbf{i}) \rightarrow \mathbf{s_1}$$
(10.2a)

they have arrived today

$$\pi_{2} (\pi_{2}^{r} \mathbf{s}_{1} \mathbf{j}^{\ell} [\mathbf{j} \mathbf{p}_{2}^{\ell}) (\mathbf{p}_{2} \mathbf{j}'^{\ell} \mathbf{i}) (\mathbf{i}^{r} \mathbf{i}) \rightarrow \mathbf{s}_{1} \qquad (10.2c)$$

Similarly, (10.2f) may be handled by placing a left square bracket before \mathbf{i}' ; but here we require an additional type $\mathbf{j}^r \mathbf{j}$ for *today*:

they are seen today

$$\pi_{2} (\pi_{2}^{r} \mathbf{s}_{1} \mathbf{j}^{\ell} [\mathbf{i}' \mathbf{o}^{\ell \ell} \mathbf{p}_{2}^{\ell}) (\mathbf{p}_{2} \mathbf{j}'^{\ell} \mathbf{i} \mathbf{o}^{\ell}) (\mathbf{j}^{r} \mathbf{j}) \rightarrow \mathbf{s}_{1} \qquad (10.2f)$$

11 Compounded Compound Tenses

Our type assignment also permits some multiply compound tenses. Varying person and tense, we obtain

$$I \text{ have been arriving} \\ \underline{\pi_1} (\pi_1^r \mathbf{s}_1 \mathbf{j}^{\ell} \mathbf{j} \mathbf{p}_2^{\ell}) (\mathbf{p}_2 \mathbf{j}^{\prime \ell} \mathbf{j}^{\prime} \mathbf{p}_1^{\ell}) (\mathbf{p}_1 \mathbf{j}^{\prime \ell} \mathbf{i}) \to \mathbf{s}_1$$
(11.1a)

you will have arrived

$$\pi_2 (\pi^r \mathbf{s}_1 \mathbf{j}^{\ell}) (\mathbf{j} \mathbf{p}_2^{\ell}) (\mathbf{p}_2 \mathbf{j}^{\ell} \mathbf{i}) \to \mathbf{s}_1$$
(11.1b)

she will be arriving

$$\frac{\pi_2 (\pi^r \mathbf{s}_1 \mathbf{j}^{\ell}) (\mathbf{j}'_{\mu} \mathbf{p}_1^{\ell}) (\mathbf{p}_1 \mathbf{j}'^{\ell} \mathbf{i})}{\mathbf{s}_1} \rightarrow \mathbf{s}_1 \qquad (11.1c)$$

we would have been arriving

$$\pi_{2} (\pi^{r} \mathbf{s}_{2} \mathbf{j}^{\ell}) (\mathbf{j} \mathbf{p}_{2}^{\ell}) (\mathbf{p}_{2} \mathbf{j}^{\prime \ell} \mathbf{j}^{\prime} \mathbf{p}_{1}^{\ell}) (\mathbf{p}_{1} \mathbf{j}^{\prime \ell} \mathbf{i}) \rightarrow \mathbf{s}_{2}$$
(11.1d)

you would be seen –

$$\pi_{2} (\pi^{r} \mathbf{s}_{2} \mathbf{j}^{\ell}) (\mathbf{i}^{\ell} \mathbf{o}^{\ell \ell} \mathbf{p}_{2}^{\ell}) (\mathbf{p}_{2} \mathbf{j}^{\prime \ell} \mathbf{i} \mathbf{o}^{\ell}) \rightarrow \mathbf{s}_{2}$$
(11.1e)

they would have been being seen –

$$\pi_{2} (\pi^{r} \mathbf{s}_{2} \mathbf{j}^{\ell}) (\mathbf{j} \mathbf{p}_{2}^{\ell}) (\mathbf{p}_{2} \mathbf{j}^{\prime \ell} \mathbf{j}^{\prime} \mathbf{p}_{1}^{\ell}) (\mathbf{p}_{1} \mathbf{j}^{\prime \ell} \mathbf{i}^{\prime} \mathbf{o}^{\ell \ell} \mathbf{p}_{2}^{\ell}) (\mathbf{p}_{2} \mathbf{j}^{\prime \ell} \mathbf{i} \mathbf{o}^{\ell}) \rightarrow \mathbf{s}_{2}$$
(11.1f)

although (11.1f) may only be marginally acceptable.

However, our type assignment will not allow

*they are having arrived

$$\pi_{2} (\pi_{2}^{r} \mathbf{s}_{1} \mathbf{j}^{\ell} \mathbf{j}' \mathbf{p}_{1}^{\ell}) (\mathbf{p}_{1} \mathbf{i}'^{\ell} \mathbf{j}' \mathbf{p}_{2}^{\ell}) (\mathbf{p}_{2} \mathbf{j}'^{\ell} \mathbf{i}) \not\rightarrow \mathbf{s}_{1}$$
(11.2a)

as long as $\mathbf{j}' \not\rightarrow \mathbf{i}'$,

*they have had arrived

$$\pi_2 (\pi_2^r \mathbf{s}_1 \mathbf{j}^\ell \mathbf{j} \mathbf{p}_2^\ell) (\mathbf{p}_2 \mathbf{j}^{\prime\ell} \mathbf{j} \mathbf{p}_2^\ell) (\mathbf{p}_2 \mathbf{j}^{\prime\ell} \mathbf{i}) \not\Rightarrow \mathbf{s}_1$$
(11.2b)

as long as $\mathbf{j} \not\rightarrow \mathbf{j}'$.

*they are being arriving

$$\pi_{2} (\pi_{2}^{r} \mathbf{s}_{1} \mathbf{j}^{\ell} \mathbf{j}' \mathbf{p}_{1}^{\ell}) (\mathbf{p}_{1} \mathbf{i}'^{\ell} \mathbf{j}' \mathbf{p}_{1}^{\ell}) (\mathbf{p}_{1} \mathbf{j}'^{\ell} \mathbf{i}) \not\rightarrow \mathbf{s}_{1}$$
(11.2c)

as long as $\mathbf{j}' \not\rightarrow \mathbf{i}'$.

12 Yes-or-no Questions

Chomsky (1957) accounts for yes-or-no questions with the help of transformations. We achieve the same result by assigning new types to modal and auxiliary verbs, as in the following examples, where \mathbf{q}_i = question in the *i*-th tense.

will they arrive ?

$$(\mathbf{q}_1 \mathbf{j}^{\ell} \pi^{\ell}) \pi_2 \mathbf{i} \rightarrow \mathbf{q}_1$$
(12.1a)

have they arrived ?

$$(\mathbf{q}_1 \mathbf{p}_2^{\ell} \pi_2^{\ell}) \pi_2 (\mathbf{p}_2 \mathbf{j}^{\ell} \mathbf{i}) \rightarrow \mathbf{q}_1$$
 (12.1b)

Deringer

are they arriving ?

$$(\mathbf{q}_1 \mathbf{p}_1^{\ell} \pi_2^{\ell}) \pi_2 (\mathbf{p}_1 \mathbf{j}^{\prime \ell} \mathbf{i}) \rightarrow \mathbf{q}_1$$
 (12.1c)

$$(\mathbf{q}_{1}\mathbf{o}^{\ell\ell}\mathbf{p}_{2}^{\ell}\pi_{2}^{\ell}) \pi_{2} (\mathbf{p}_{2}\mathbf{j}^{\prime\ell}\mathbf{i}\mathbf{o}^{\ell}) \rightarrow \mathbf{q}_{1}$$
(12.1d)

do they arrive ?

$$(\mathbf{q}_1 \mathbf{i}^{\ell} \pi_2^{\ell}) \pi_2 \mathbf{i} \rightarrow \mathbf{q}_1$$
 (12.1e)

We summarize the new types in the

Metarule 12.2 If the finite form of a *modal* or *auxiliary*² verb has the type $\pi_k^r \mathbf{s}_j x^\ell (x = \mathbf{j}, \mathbf{p}_2, \mathbf{p}_1, \mathbf{p}_2 \mathbf{0}^\ell, \mathbf{i}, \mathbf{a})$ in a statement, then it has type $\mathbf{q}_j x^\ell \pi_k^\ell$ in a question. (Similarly with π_k replaced by π .)

Note that, in German, a similar metarule will apply to all verbs; but, in modern English, the following is forbidden:

*arrive they?
$$(12.3)$$

However, in Sect. 14, we will admit (12.3) as a *pseudo-sentence*, which will serve to analyze such sentences as (14.3) below.

13 Negation

The easiest way to negate a sentence is to insert the word *not* of type xx^{ℓ} , where $x = \mathbf{i}, \mathbf{j}, \mathbf{p}_1, \mathbf{p}_2, \mathbf{a}, \dots$

they will not arrive

$$\pi_{2} (\pi^{r} \mathbf{s}_{1} \mathbf{j}^{\ell}) (\mathbf{j} \mathbf{j}^{\ell}) \mathbf{i} \rightarrow \mathbf{s}_{1}$$
(13.1a)

they have not arrived

$$\pi_2 (\pi_2^r \mathbf{s_1} \mathbf{j}^\ell \mathbf{j} \mathbf{p}_2^\ell) (\mathbf{p_2} \mathbf{p}_2^\ell) (\mathbf{p_2} \mathbf{j}^{\prime \ell} \mathbf{i}) \to \mathbf{s_1}$$
(13.1b)

they are not arriving

$$\pi_2 (\pi_2^r \mathbf{s_1} \mathbf{j}^\ell \mathbf{j}^\ell \mathbf{p}_1^\ell) (\mathbf{p_1} \mathbf{p}_1^\ell) (\mathbf{p_1} \mathbf{j}^{\ell} \mathbf{i}^\ell \mathbf{i}) \to \mathbf{s_1}$$
(13.1c)

² Here the copula *be* of type \mathbf{ja}^{ℓ} is also considered to be an auxiliary verb.

they are not green

$$\pi_2 (\pi_2^r \mathbf{s}_1 \mathbf{j}^\ell \mathbf{i} \mathbf{a}^\ell) (\mathbf{a} \mathbf{a}^\ell) \mathbf{a} \to \mathbf{s}_1$$
(13.1d)

they do not arrive

$$\pi_2 (\pi_2^r \mathbf{s_1} \mathbf{i}^{\ell}) (\mathbf{i} \mathbf{i}^{\ell}) \mathbf{i} \to \mathbf{s_1}$$
(13.1e)

but nowadays we do not say

*they arrive not

$$\pi_2 (\pi_2^r \mathbf{s}_1 \mathbf{j}^\ell \mathbf{i}) (xx^\ell) \not\rightarrow \mathbf{s}_1$$
(13.2)

although the corresponding sentence would be acceptable in German.

Negated modals and auxiliaries may be contracted:

will not \rightarrow won't, have not \rightarrow haven't, are not \rightarrow aren't, do not \rightarrow don't.

These contracted forms have the same type as the verbs before being negated, e.g.

$$\begin{array}{l} \text{will not} \to \text{won't} \\ (\pi^{r} \mathbf{s}_{1} \mathbf{j}^{\ell}) (\mathbf{j} \mathbf{j}^{\ell}) \to \pi^{r} \mathbf{s}_{1} \mathbf{j}^{\ell} \end{array}$$
(13.3)

and Metarule 12.2 applies to them, e.g.

won't they arrive ?

$$(\mathbf{q}_1 \mathbf{j}^{\ell} \pi^{\ell}) \pi_2 \mathbf{i} \rightarrow \mathbf{q}_1$$
(13.4)

14 Wh-questions

Recall that Metarule 12.2 was restricted to modal or auxiliary verbs, hence it does not admit (12.3) or

*arrives he

$$(\mathbf{q}_1 \hat{\pi}_3^{\ell} \mathbf{j}^{\ell} \mathbf{i}) \pi_3$$

$$(14.1)$$

which will however be admitted as a pseudo-sentence later to analyze "who arrives?" It is convenient to assign the new type $\mathbf{q}_1 \hat{\pi}_3^\ell \mathbf{j}^\ell \mathbf{i}$ to arrives as long as $\pi_3 \not\rightarrow \hat{\pi}_3$. Here

$$\hat{\pi}_3 = \text{type of } pseudo - subject,$$

and we postulate

$$\hat{\pi}_3 \rightarrow \pi_3 \not\rightarrow \hat{\pi}_3$$

forbidding the contraction $\hat{\pi}_3^{\ell} \pi_3 \rightarrow 1$, which would wrongly imply

$$\pi_3 \rightarrow \hat{\pi}_3 \hat{\pi}_3^\ell \pi_3 \rightarrow \hat{\pi}_3$$

The new type of arrives allows us to introduce the wh-question

who arrives today ?

$$(\overline{\mathbf{q}}\hat{\pi}_{3}^{\ell\ell}\mathbf{q}^{\ell}) (\mathbf{q}_{1}\hat{\pi}_{3}^{\ell}\mathbf{j}^{\ell}[\mathbf{i})(\mathbf{i}^{r}\mathbf{i}) \rightarrow \overline{\mathbf{q}}$$

$$(14.2)$$

where

 $\overline{\mathbf{q}}$ = question (including wh-question), \mathbf{q} = yes-or-no question when tense is irrelevant and

 $\mathbf{q}_j \rightarrow \mathbf{q} \rightarrow \overline{\mathbf{q}}.$

We may also put

 $\hat{\pi}_2 =$ plural pseudo-subject

and analyze

whose parents arrive today ?

$$(\overline{\mathbf{q}}\hat{\pi}_{2}^{\ell\ell}\mathbf{q}^{\ell}x^{\ell}) \mathbf{p} (\mathbf{q}_{1}\hat{\pi}_{2}^{\ell}\mathbf{j}^{\ell}[\mathbf{i})(\mathbf{i}^{r}\mathbf{i})$$

$$(14.3)$$

where $x = \mathbf{p}$, \mathbf{c} or \mathbf{m} .

However, to analyze

who has arrived ?

$$(\overline{\mathbf{q}}\hat{\pi}_{3}^{\ell\ell}\mathbf{q}^{\ell})(\mathbf{q}_{1}\hat{\pi}_{3}^{\ell}\mathbf{p}_{2}^{\ell})(\mathbf{p}_{2}\mathbf{j}^{\ell}\mathbf{i}) \rightarrow \overline{\mathbf{q}} \qquad (14.4)$$

we require yet another type $\mathbf{q}_1 \hat{\pi}_3^{\ell} \mathbf{p}_2^{\ell}$ for *has*. The new types for *arrives* in (14.2), *arrive* in (14.3) and *has* in (14.4) are all justified by the following:

Metarule 14.5 If the finite form of a verb has type $\pi_k^r \mathbf{s}_j x^{\ell}$ ($x = 1, \mathbf{j}, \mathbf{p}_2, \mathbf{p}_1, \mathbf{i}, \mathbf{o}, \ldots$) in a statement, then it may have type $\mathbf{q}_j \hat{\pi}_k^\ell x^\ell$ in a wh-question.

We will test the case $x = \mathbf{0}$ with the question

who ate the apple ?

$$(\overline{\mathbf{q}}\hat{\pi}_{3}^{\ell\ell}\mathbf{q}^{\ell})(\mathbf{q}_{2}\hat{\pi}_{3}^{\ell}\mathbf{o}^{\ell})(\overline{\mathbf{c}}\mathbf{c}^{\ell})\mathbf{c} \to \overline{\mathbf{q}}$$
(14.6)

where

 $\mathbf{o} = (\text{type of}) \text{ direct object}$ $\overline{\mathbf{c}} = \text{complete singular noun phrase}$

and we postulate

 $\overline{\mathbf{c}} \rightarrow \mathbf{0}, \ \hat{\pi}_3.$

Asking for the direct object instead, we are led to the example (Chomsky 1957, (58)):

what did John eat -?

$$(\overline{\mathbf{q}}\mathbf{o}^{\ell\ell}\mathbf{q}^{\ell})(\mathbf{q}_{2}\mathbf{i}^{\ell}\pi_{3}^{\ell}) \mathbf{n} (\mathbf{i}\mathbf{o}^{\ell}) \rightarrow \overline{\mathbf{q}}$$
(14.7)

where

$$\mathbf{n} = name$$

and we postulate

 $\mathbf{n} \rightarrow \pi_3, \mathbf{0}.$

When I first proposed pregroup grammars in 1998, Michael Moortgat asked: What if we add an adverb such as *today* to (14.1)? I now propose

Metarule 14.8 Every transitive verb of type io^{ℓ} may also be assigned the type $i\hat{o}^{\ell}i^{\ell}i$, where

$$\hat{\mathbf{0}} \rightarrow \mathbf{0} \not\rightarrow \hat{\mathbf{0}}.$$

We should also refine the type of the object question word as follows:

what, whom :
$$\overline{\mathbf{q}} \hat{\mathbf{o}}^{\ell \ell} \mathbf{q}^{\ell}$$
.

This is a refinement, since $\hat{o}^{\ell\ell} \to o^{\ell\ell}$ by (2.2). We then obtain

what did John eat today ?

$$(\overline{\mathbf{q}}\hat{\mathbf{o}}^{\ell\ell}\mathbf{q}^{\ell})(\mathbf{q}_{2}\mathbf{i}^{\ell}\pi^{\ell}) \mathbf{n} (\mathbf{i}\hat{\mathbf{o}}^{\ell}\mathbf{i}^{\ell}[\mathbf{i})(\mathbf{i}^{r}\mathbf{i})$$

$$(14.9)$$

with a left square bracket to prevent premature contraction.

15 Discontinuous Dependencies

Chomsky (1957, 82) compares

(a) the police brought the criminal in	
(b) <i>him</i>	
(c) the police brought in the criminal	
(d) * <i>him</i>	(15.1)

This raises the question why (d) is not allowed. We resolve this problem by assigning two different types to *bring in*:

bring
$$-in$$
, bring in
 $(\mathbf{i}\delta^{\ell}\mathbf{0}^{\ell}) \quad \delta \quad (\mathbf{i}\hat{\mathbf{0}}^{\ell}\delta^{\ell})\delta \to \mathbf{i}\hat{\mathbf{0}}^{\ell}$

yielding

bring in the criminal

$$(\mathbf{i}\hat{\mathbf{o}}^{\ell}\delta^{\ell}) \delta (\mathbf{\bar{c}}\mathbf{c}^{\ell}) \mathbf{c} \rightarrow \mathbf{i}$$
(15.2a)

*bring in him

$$(\mathbf{i}\hat{\mathbf{o}}^{\ell}\delta^{\ell}) \delta \mathbf{o} \not\rightarrow \mathbf{i}$$
 (15.2b)

provided we postulate

 $\overline{c} \rightarrow \hat{o} \rightarrow o \not\rightarrow \hat{o}$.

To justify the double type assignment to *bring* with a detachable suffix of type δ , we rely on the special case $x = \delta$ of the following general metarule: Maternal 15.3 If the infinitive of a verb has type $ie^{\ell} \delta^{\ell}$ where $x = \delta$ is a *ir* i *ir* i

Metarule 15.3 If the infinitive of a verb has type $ix^{\ell}o^{\ell}$, where $x=\delta$, **j**, **a**, $i^{r}i$, $j^{r}j$, ..., then it can also have type $i\hat{o}^{\ell}x^{\ell}$.

The case $x = \mathbf{j}$ is illustrated by the following examples:

let the girl go

$$(\mathbf{ij}^{\ell}\mathbf{o}^{\ell})(\mathbf{\bar{c}}\mathbf{c}^{\ell})\mathbf{c}\mathbf{i} \rightarrow \mathbf{i}$$
(15.4a)

$$\begin{array}{c} \text{let go the girl} \\ (\mathbf{i}\hat{\mathbf{o}}^{\ell}\mathbf{j}^{\ell}) \ \mathbf{i}(\mathbf{\bar{c}}\mathbf{c}^{\ell})\mathbf{c} \to \mathbf{i} \\ | & & | & | & | \\ \end{array}$$
(15.4c)

*let go her

$$(\mathbf{i}\hat{\mathbf{o}}^{\ell}\mathbf{j}^{\ell}\mathbf{i} \quad \mathbf{o} \not\rightarrow \mathbf{i}$$
 $(15.4d)$

For the case $x = \mathbf{a}$, consider

(a) make the promise good	
(b) make it good	
(c) make good the promise	
(d) *make good it	(15.5)

The case $x = \mathbf{i}^r \mathbf{i}$ allows us to re-analyze (10.2f) as

they were seen today

$$\pi_{2}(\pi_{2}^{r}\mathbf{s}_{2}\mathbf{j}^{\ell}\mathbf{i}'\hat{\mathbf{o}}^{\ell\ell}\mathbf{p}_{2}^{\ell})(\mathbf{p}_{2}\mathbf{j}'^{\ell}\mathbf{i}\hat{\mathbf{o}}^{\ell}\mathbf{i}^{\ell}[\mathbf{i})(\mathbf{i}'\mathbf{i}) \rightarrow \mathbf{s}_{2}$$
(15.6)

Since $(\mathbf{i}^r \mathbf{i})^{\ell} = \mathbf{i}^{\ell} \mathbf{i}^{r\ell} = \mathbf{i}^{\ell} \mathbf{i}$.

16 Concluding Remarks

The primary aim of this article was to provide an easy introduction to pregroup grammars at the hand of a few examples, which had been used by Chomsky (1957) to challenge the linguistic orthodoxy of the time.

I took the opportunity to make some small improvements on earlier treatments of pregroup grammar (Lambek 1999, 2001, 2004), mainly by incorporating some intermediate infinitival types between the type **i** of *sleep* and the type **j** of *have slept*. As a result, it is no longer necessary to stipulate that certain auxiliary verbs lack some conjugational forms.

In going over Chomsky's old material, my present objective was to see how questions of syntax and morphology can be handled formally in the framework of pregroup grammar by appropriate type assignments to words in the mental dictionary. However, I could not help making some informal observations concerning the syntax-semantics interface as well, admittedly ignoring the intense debate of this topic in linguistic circles during the last half century.

I must confess that the pregroup approach is not quite as simple as Chomsky's original generative-transformational one, only that it aims to complement the latter by providing a model for subconscious computations (see Lambek 2004). A comparison with Chomsky's more recent theories is left to the future.

17 Mathematical Background

Mathematically trained readers may be interested in the following material, but others are invited to skip it.

Definition 17.1 A pregroup is a partially ordered monoid in which each element has both a left adjoint x^{ℓ} and a right adjoint x^{r} such that

$$x^{\ell}x \to 1 \to xx^{\ell}, \quad xx^r \to 1 \to x^rx.$$

The terminology is borrowed from category theory. It can be shown that adjoints are unique and that

$$1^{\ell} = 1 = 1^{r}, \quad x^{\ell r} = x = x^{r\ell}, (xy)^{\ell} = y^{\ell} x^{\ell}, \quad (xy)^{r} = y^{r} x^{r};$$
(17.2)

if
$$x \to y$$
 then $y^{\ell} \to x^{\ell}$ and $y^{r} \to x^{r}$. (17.3)

Our types, i.e. strings of simple types, are easily seen to form a pregroup, with concatenation serving as the monoid operation. In fact, it is *the* pregroup *freely generated* by the partially ordered set of basic types. The following so-called Switching Lemma was established in Lambek (1999).

Lemma 17.4 When showing that $a \rightarrow b$ in the pregroup freely generated by the partially ordered set of basic types, one may assume, without loss of generality, that all contractions $x^{\ell}x \rightarrow 1$ and $xx^r \rightarrow 1$ precede all expansions $1 \rightarrow xx^{\ell}$ and $1 \rightarrow x^rx$.

For linguistic purposes, it usually suffices to calculate $a \rightarrow b$ when b is a simple or even basic type, e.g. that of a sentence. As a consequence of Lemma 17.4, expansions need not appear at all in such a calculation. Still, expansions are useful in proving that (17.2) and (17.3) hold in any pregroup. Not surprisingly, Harris (1966, 1968) had no need for expansions at all.

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