

# Quantum Ergodic Restriction Theorems

Steve Zelditch (Northwestern)

John Toth (McGill)

June 10, 2010

## Manifolds without boundary

$(M^n, g)$  a compact Riemannian manifold with ergodic geodesic flow  $G^t : T^*M - 0 \rightarrow T^*M - 0$ .

Laplacian:  $\Delta_g : C^\infty(M) \rightarrow C^\infty(M)$

Eigenfunctions:  $\Delta_g \phi_{\lambda_j} + \lambda_j^2 \phi_{\lambda_j} = 0, j = 0, 1, 2, \dots$

$$\langle \phi_{\lambda_j}, \phi_{\lambda_k} \rangle_{L^2(M)} = \delta_j^k.$$

### Quantum Ergodicity (Zelditch, Colin de Verdière)

Let  $(M^n, g)$  be ergodic and  $Op(a) \in Op_M(S_{cl}^0)$  be a zeroth-order pseudodifferential operator.

Then, there exists a density-one subset  $S \subset \mathbb{N}$  such that

$$\lim_{\lambda_j \rightarrow \infty; j \in S} \langle Op(a) \phi_{\lambda_j}, \phi_{\lambda_j} \rangle = \int_{S^*M} a(x, \xi) d\mu_L(x, \xi),$$

where  $d\mu_L$  is Liouville measure.

Special case:  $\Omega \subset M$  open,

$$\lim_{\lambda_j \rightarrow \infty; j \in S} \int_{\Omega} |\phi_{\lambda_j}|^2 dx = \text{vol}(\Omega).$$

For a full-density ergodic sequence of eigenfunctions, mass is equidistributed on  $n$ -dimensional submanifolds of  $M$ .

A more refined question about eigenfunction equidistribution is the following

**Basic Question:** Given  $(M, g)$  ergodic, does quantum ergodicity hold for restrictions of eigenfunctions to hypersurfaces,  $H \subset M$ ? In particular, is it true that with  $u_j := \phi_j|_H$ ,

$$\lim_{\lambda_j \rightarrow \infty; j \in S} \frac{1}{\text{vol}(H)} \int_H |u_j(s)|^2 d\sigma_H(s) = 1?$$

Our main result answers this question in the affirmative for generic hypersurfaces,  $H \subset M$

**Theorem 1** [Zelditch-T] Let  $(M, g)$  be a compact manifold with ergodic geodesic flow, and let  $H \subset M$  be a hypersurface. Let  $\phi_{\lambda_j}; j = 1, 2, \dots$  denote the  $L^2$ -normalized eigenfunctions in  $\Delta_g$ . Then, if  $H$  has a zero measure of microlocal symmetry, then there exists a density-one subset  $S$  of  $\mathbf{N}$  such that for  $\lambda_0 > 0$  and  $a(s, \tau) \in S_{cl}^0(T^*H)$

$$\lim_{\lambda_j \rightarrow \infty; j \in S} \langle Op_H(a) \phi_{\lambda_j}|_H, \phi_{\lambda_j}|_H \rangle_{L^2(H)} = \omega(a_0),$$

where

$$\omega(a_0) = \frac{4}{\text{vol}(S^*M)} \int_{B^*H} a_0(y, \eta) \rho_H(s, \tau) ds d\tau,$$

with  $\rho_H(s, \tau) := (1 - |\tau|^2)^{-1/2}$ .

The measure-zero microlocal reflection symmetry condition on  $H$  is generic. Specific examples include closed geodesics and geodesic

circles on compact hyperbolic surfaces,  $M = H^2/\Gamma$ .

**Microlocal reflection symmetry:**  $H \subset M$  orientable submanifold with two unit normal vector fields  $\nu_{\pm}$  to  $H$ . There is the corresponding decomposition

$$T_H^*M = T_{H,+}^*M \cup T_{H,-}^*M.$$

For  $(s, \tau) \in B^*H$  define

$$\xi_{\pm}(s, \tau) = \tau \pm \sqrt{1 - |\tau|^2} \nu_s \in T_{H,\pm}^*M.$$

Given  $\xi_+(s, \tau) \in T_{H,+}^*M$ , follow the geodesic arc  $G^t(\xi_{\pm}(s, \tau))$  emanating from the two sides of  $H$  until it hits  $H$  again at time  $t = t(s, \tau)$ . Assuming  $G^t(s, \tau) \in T_{H,+}^*M$  also, we tangentially project back to  $B^*H$ . There are corresponding return maps:

$$\mathcal{P}_{\pm,j} : B^*H \rightarrow B^*H, \quad j \in \mathbf{Z}$$

The indices  $j \in \mathbf{Z}$  label the intersection number of the geodesic with  $H$ .

**Definition:**  $H \subset M$  has zero measure of microlocal reflection symmetry if for all  $(j, k) \in \mathbf{Z} \times \mathbf{Z}$ ,

$$\left| \{(s, \tau) \in B^*H; \mathcal{P}_{\pm, j}(s, \tau) = \mathcal{P}_{\mp, k}(s, \tau)\} \right| = 0.$$

Here  $|\cdot|$  denotes symplectic measure on  $B^*H$ .

**Restriction bounds:** General restriction bounds of Burq-Gérard-Tzvetkov give

$$\int_H |\phi_\lambda|_H|^2 d\sigma_H = \mathcal{O}(\lambda^{1/2}); \quad (n = 2).$$

Bound is sharp in general: eg. when  $H = \{(x, y, z) \in \mathbf{S}^2; z = 0\}$  is equator on sphere, and  $\phi_k(x, y, z) = k^{1/4}(x + iy)^k$  highest weight spherical harmonic. Since  $|x + iy| = 1$  when  $z = 0$ ,  $|\phi_k|_H|^2 = k^{1/2}$  and so,

$$\int_H |\phi_k|_H|^2 d\sigma_H \sim_{k \rightarrow \infty} k^{1/2}.$$

Theorem 1 improves on these general bounds in the ergodic case (asymptotic result, not just upper bound).

Other recent results on eigenfunction restriction bounds (Hassell-Tacy, Sogge, T, ...)

**Sketch of Proof of Theorem 1:** Let  $\gamma_H : f \mapsto f|_H$  be restriction map.

$$\begin{aligned} & \langle Op_H(a)\phi_j|_H, \phi_j|_H \rangle_{L^2(H)} \\ &= \langle Op_H(a)\gamma_H\phi_j, \gamma_H\phi_j \rangle_{L^2(H)} \\ &= \langle \gamma_H^* Op_H(a)\gamma_H U(t)\phi_j, U(t)\phi_j \rangle_{L^2(M)} \\ &= \langle U(-t)\gamma_H^* Op(a)\gamma_H U(t)\phi_j, \phi_j \rangle_{L^2(M)} \\ &= \langle V(t, a)\phi_j, \phi_j \rangle_{L^2(M)} \end{aligned}$$

**Upshot:**

$$\langle Op_H(a)\phi_j|_H, \phi_j|_H \rangle_{L^2(H)} = \langle V(t, a)\phi_j, \phi_j \rangle_{L^2(M)} \quad (1)$$

with

$$V(t, a) := U(-t)\gamma_H^* Op(a)\gamma_H U(t).$$

Time-average the identity in (1) and get

$$\langle Op_H(a)\phi_j|_H, \phi_j|_H \rangle_{L^2(H)} = \langle V_T(a)\phi_j, \phi_j \rangle_{L^2(M)}, \quad (2)$$

with

$$V_T(a) := \frac{1}{T} \int_{-\infty}^{\infty} V(t; a) \chi(T^{-1}t) dt. \quad (3)$$

Here,  $\chi \in C_0^\infty(\mathbf{R})$  with  $\int_{-\infty}^{\infty} \chi(t) dt = 1$ ,



**Proposition (Generalized Egorov Theorem for  $V_T(a)$ )** There is a decomposition

$$V_T(a) = P_T(a) + F_T(a) + R_T(a).$$

- The operator  $P_T(a) \in Op(S_{cl}^0)$  with

$$\sigma(P_T(a)) = \frac{1}{T} \int_{-\infty}^{\infty} G_t^* a \chi(T^{-1}t) dt,$$

- $F_T(a)$  is a zeroth order FIO with canonical relation

$$\Gamma_T = \{ (x, \xi, x', \xi') \in T^*M \times T^*M : \exists t \in (-T, T) :$$

$$\exp_x t\xi = \exp_{x'} t\xi' = s \in H,$$

$$G^t(x', \xi') = r_H G^t(x, \xi), \quad |\xi| = |\xi'| \},$$

where,  $r_H : T_H^*M \rightarrow T_H^*M$  is normal reflection in  $H$ .

- $R_T(a)$  has tangential operator wavefront. It has no bearing on the QER results for eigenfunctions and we ignore it here.

## Variance Estimates: Proof of Theorem 1

To prove QER, one needs to show that

$$\frac{1}{N(\lambda)} \sum_{j:\lambda_j \leq \lambda} \left| \langle V_T(a)\phi_j, \phi_j \rangle_{L^2(M)} - \omega(a) \right|^2 = o(1), \quad (4)$$

as  $\lambda \rightarrow \infty$ . Ignoring  $R_T(a)$ , from the Egorov decomposition  $V_T(a) = P_T(a) + F_T(a)$ ,

$$\begin{aligned} & \frac{1}{N(\lambda)} \sum_{j:\lambda_j \leq \lambda} \left| \langle V_T(a)\phi_j, \phi_j \rangle_{L^2(M)} - \omega(a) \right|^2 \\ & \leq \frac{2}{N(\lambda)} \sum_{j:\lambda_j \leq \lambda} \left| \langle P_T(a)\phi_j, \phi_j \rangle_{L^2(M)} - \omega(a) \right|^2 \\ & \quad + \frac{2}{N(\lambda)} \sum_{j:\lambda_j \leq \lambda} \left| \langle F_T(a)\phi_j, \phi_j \rangle_{L^2(M)} \right|^2. \end{aligned}$$

By usual QE, the pseudodifferential variance term

$$\frac{1}{N(\lambda)} \sum_{j:\lambda_j \leq \lambda} \left| \langle P_T(a)\phi_j, \phi_j \rangle_{L^2(M)} - \omega(a) \right|^2 = o(1).$$

By Cauchy-Schwarz,

$$\begin{aligned} & \frac{1}{N(\lambda)} \sum_{\lambda_j \leq \lambda} \left| \langle F_T(a)\phi_j, \phi_j \rangle_{L^2(M)} \right|^2 \\ & \leq \frac{1}{N(\lambda)} \sum_{\lambda_j \leq \lambda} \langle F_T(a)^* F_T(a)\phi_j, \phi_j \rangle_{L^2(M)}. \end{aligned}$$

It suffices to prove that

$$\frac{1}{N(\lambda)} \sum_{\lambda_j \leq \lambda} \langle F_T(a)^* F_T(a)\phi_j, \phi_j \rangle_{L^2(M)} = o(1) \tag{5}$$

as  $\lambda \rightarrow \infty$ .

**FIO Weyl law:** Let  $F : C^\infty(M) \rightarrow C^\infty(M)$  be a homogeneous FIO of order zero with canonical relation  $\Gamma_F = \text{graph}(\kappa_F)$ ,  $\kappa_F : T^*M \rightarrow T^*M$

symplectic. An old result of Zelditch says that

$$\lim_{\lambda \rightarrow \infty} \frac{1}{N(\lambda)} \sum_{j: \lambda_j \leq \lambda} \langle F \phi_{\lambda_j}, \phi_{\lambda_j} \rangle = \int_{\Gamma_F \cap \Delta_{T^*M}} \sigma_{\Delta}(F) d\mu_L. \quad (6)$$

The integral on the RHS of (6) is over the intersection of the canonical relation  $\Gamma_F$  of  $F$  with the diagonal of  $T^*M \times T^*M$  and  $d\mu_L$  is Liouville Measure. The right side of (6) is zero unless the intersection has dimension  $m = \dim M$ , i.e. it sifts out the ‘pseudo-differential part’ of  $F$ .

Apply (6) with

$$F = F_T(a)^* F_T(a).$$

By wavefront calculus,

$$|\Gamma_F \cap \Delta_{T^*M \times T^*M}| = 0 \iff$$

$H$  satisfies zero measure microlocal reflection symmetry condition.

## Manifolds with boundary

**Theorem 2 [Zelditch-T]** Let  $M \subset \mathbf{R}^n$  be a piecewise-smooth billiard with totally ergodic billiard flow and let  $H \subset \text{int}(M)$  be a smooth interior hypersurface satisfying the measure zero microlocal reflection condition. Let  $\phi_{\lambda_j}; j = 1, 2, \dots$  denote the  $L^2$ -normalized Neumann eigenfunctions in  $\Omega$ . Then, there exists a density-one subset  $S$  of  $\mathbf{N}$  such that for  $a(s, \tau) \in S_{cl}^0(T^*H)$ ,

$$\lim_{\lambda_j \rightarrow \infty; j \in S} \langle Op_H(a) \phi_{\lambda_j}|_H, \phi_{\lambda_j}|_H \rangle_{L^2(H)} = \omega(a_0).$$

- Similar results for Dirichlet eigenfunctions with suitable limiting measures  $\omega(a_0)$ .
- Method of proof is similar to case  $\partial M = \emptyset$  but is more complicated. Since the wave operator  $U(t)$  is complicated when  $\partial M \neq \emptyset$

in [Zelditch-T] we use potential layers instead. Microlocal analysis is then semiclassical (inhomogeneous) and the corresponding semiclassical FIO Weyl law is more complicated than in the homogeneous case.

## Quantum ergodic restriction for Cauchy data

Instead of Dirichlet data  $DD_H := (\phi_\lambda|_H)$  consider (normalized) Cauchy data

$$CD_H(\phi_\lambda) : (\phi_\lambda|_H, \lambda^{-1} \partial_\nu \phi_\lambda|_H). \quad (7)$$

**Theorem 3 [Zelditch-T, Christianson-Hezari-Zelditch-T]** Let  $H \subset M$  be **any** interior hypersurface. Then, there exists a measure  $d\mu_\infty$  on  $B^*H$  so that along a subsequence of eigenvalues of density one we have,

$$\begin{aligned} & \langle Op_\lambda((1 - |\tau|^2)a(s, \tau))\phi_\lambda|_H, \phi_\lambda|_H \rangle \\ & + \lambda^{-2} \langle Op_\lambda(a(s, \tau))\partial_{\nu_H} \phi_\lambda|_H, \partial_{\nu_H} \phi_\lambda|_H \rangle \quad (8) \\ & = \int_{B^*H} 2(1 - |\tau|^2)ad\mu_\infty + o(1). \end{aligned}$$

## Remarks:

- Cauchy data result holds for all interior hypersurfaces  $H$ . No microlocal reflection symmetry assumption required.
- In the case of Cauchy data: Quantum Unique Ergodicity (QUE)  
 $\implies$  Quantum Ergodic Restriction (QER)  
in Theorem 3 for **all** eigenfunctions  $\phi_{\lambda_j}; j = 1, 2, \dots$