

correction on p14 ; (insertions to p. 12 (12.1, 12.2) added)

Oct 25 / 012

Notes on the 'triple paper'

①

version 2 / Nov 03, 2012

Trivial extensions

1. Let $\Phi: P \rightarrow Q$ be a functor, $\mathbb{X}$ a cocomplete category. A diagram $B: Q \rightarrow \mathbb{X}$ is a trivial extension of $A: P \rightarrow \mathbb{X}$ (via Φ) if $A = B \circ \Phi$, and, for some colimit cocone γ_X :

$$Q \xrightarrow[\gamma_X]{\begin{array}{c} B \\ \downarrow \beta \end{array}} \mathbb{X}$$

(with vertex X), the restriction $\beta \circ \Phi$:

$$P \xrightarrow{\Phi} Q \xrightarrow[\gamma_X]{\begin{array}{c} B \\ \downarrow \beta \end{array}} \mathbb{X}$$

$$P \xrightarrow[\gamma_X \circ \Phi]{\begin{array}{c} A = B \circ \Phi \\ \downarrow \beta \circ \Phi \end{array}} \mathbb{X}$$

of β to A is a colimit cocone on A .

1.1 Note: Suppose B is a trivial extension of A ; use the above notation. Let α' be any colimit cocone on A with vertex some Y :

(2)

$$\begin{array}{ccc}
 & A & \\
 P & \xrightarrow{\quad} & X \\
 & \downarrow \alpha' & \\
 & \ulcorner Y \urcorner &
 \end{array}$$

Then there is a

unique cocone $\beta': B \rightarrow \ulcorner Y \urcorner$ extending α' :

$\alpha' = \beta' \varprojlim$; and this β' is a colimit cocone on B .

Proof Let $\beta: B \rightarrow \ulcorner X \urcorner$ be the colimit cocone mentioned in the definition of A being

a trivial extension of A . The restriction

$\alpha = \beta \varprojlim$ is a colimit cocone on A with vertex X .

Therefore, there is ^{by} unique arrow $f: X \rightarrow Y$, in fact an isomorphism, such that $\alpha' = \ulcorner f \urcorner \alpha$.

Let $\beta' = \ulcorner f \urcorner \beta: B \rightarrow \ulcorner Y \urcorner$; since f is an

isomorphism, β' is a colimit cocone. We have

$$\begin{aligned}
 \beta' \varprojlim &= (\ulcorner f \urcorner \beta) \varprojlim = (\ulcorner f \urcorner \varprojlim)(\beta \varprojlim) = \\
 &= \ulcorner f \urcorner \beta \varprojlim = \ulcorner f \urcorner \alpha = \alpha';
 \end{aligned}$$

β' extends α' .

(3)

(diagrams)

$$\begin{array}{c}
 \begin{array}{ccccc}
 & & B & & \\
 & & \xrightarrow{\quad} & & \\
 P & \xrightarrow{\Phi} & Q & \xrightarrow{\gamma_X} & \\
 & & \downarrow A & & \\
 & & \downarrow \gamma_Y & & \\
 & & \downarrow \beta' & & \\
 & & & & X
 \end{array}
 \end{array}$$

$$\begin{array}{c}
 \begin{array}{ccccc}
 & & A = B \Phi & & \\
 & & \xrightarrow{\quad} & & \\
 P & \xrightarrow{\gamma_X} & Q & \xrightarrow{\gamma_X} & \\
 & & \downarrow \alpha = \beta \Phi & & \\
 & & \downarrow \gamma_Y & & \\
 & & \downarrow \beta' \Phi & & \\
 & & & & X
 \end{array}
 \end{array}$$

Suppose β', β'' are both ω cones $B \rightarrow \gamma_Y$ extending $\alpha': A \rightarrow \gamma_Y$. They are both

ω limit ω cones; there is an automorphism

$$g: Y \xrightarrow{\cong} Y \text{ such that } \beta'' = \gamma_Y g \beta'.$$

Then $\alpha' = \gamma_Y g \alpha'$; by the uniqueness part of

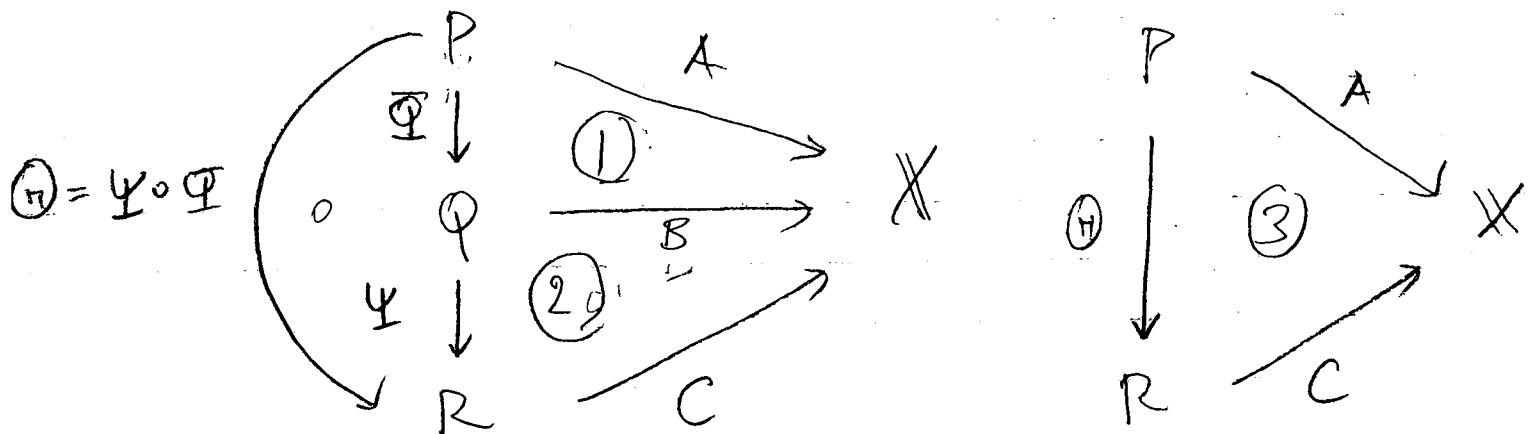
the universal property of the ω limit ω cone α'

(and $\alpha' = \gamma_Y \text{id} \alpha'$), we get that $g = \text{id}_Y$;

hence $\beta'' = \beta'$.

①.2 Even more obvious is this: if B is a trivial extension of A via $\underline{Q}$, then the restriction of any colimit cocone on $B \vdash A$ is a colimit cocone on A .

①.3 We have the following neat "2 out of 3" property: suppose we have:



Any two of the three extensions ①, ②, ③ being trivial ones imply that the third one is also trivial. For ① & ② $\Rightarrow$ ③: use ①.2.

For $\textcircled{2} \& \textcircled{3} \Rightarrow \textcircled{1}$: Take a colimit
cocone γ on C , and restrict to Q , to get β .

By $\textcircled{2}$, β is a colimit; its restriction α along

$\textcircled{4}$ is the same as the restriction of γ along

$\textcircled{4}$, hence by $\textcircled{3}$, α is colimit. We have

found a colimit on Q restricting to a

colimit on P : $\textcircled{1}$ is true.

For $\textcircled{1} \& \textcircled{3} \Rightarrow \textcircled{2}$: Let γ be a colimit

on C , β its restriction to B , α its

restriction to A ; α is also the restriction

of γ along $\textcircled{4}$. By $\textcircled{3}$, α is a colimit.

β restricts to α , which is a colimit.

Since B is a trivial extensions of A (i.e. the
assumption $\textcircled{1}$), by $\textcircled{1.1}$ β is colimit. And

this is what we wanted.

The one-point trivial extension

2. Let P be any category, and Σ any sieve in P , i.e., Σ a set of objects in P such that if $x \in \text{Ob}(P)$ is in Σ , and $y \xrightarrow{f} x$ is any arrow, then $y (= \text{dom}(f))$ is in Σ as well.

Let $P[\Sigma]$ be the following category, the category obtained by "freely adding a cone on Σ ".

Let me write Q for $P[\Sigma]$ for short. P is a full subcategory of Q . Q has exactly one object that is not in P ; call it $[\Sigma]$. The only arrow in Q with domain $[\Sigma]$ is $\text{id}_{[\Sigma]}$. If $x \in \text{Ob}(P)$, and $x \notin \Sigma$, then $\text{hom}_Q(x, [\Sigma]) = \emptyset$. If $x \in \Sigma$, then $\text{hom}_Q(x, [\Sigma])$ is a singleton,

(5)

$$\{x \xrightarrow{[x]} [\Sigma]\}.$$

For the composition in Q : if $y \xrightarrow{f} x$

then the composite of $y \xrightarrow{f} x \xrightarrow{[x]} [\Sigma]$

is defined to be $y \xrightarrow{[y]} [\Sigma]$; this is legitimate

since $y \in \Sigma$.

This describes $P[\Sigma]$ completely.

Let $A: P \rightarrow \mathbb{X}$ be any diagram Σ as before,
in a cocomplete category $\mathbb{X}$; We define
the diagram P

$$B = A[\Sigma] : Q = P[\Sigma] \rightarrow \mathbb{X}$$

as follows: $B \upharpoonright P = A$; $B([\Sigma])$ a

chosen colimit of the diagram

$A_i = A \upharpoonright \Sigma : \Sigma \longrightarrow \mathbb{X}$ ($i: \Sigma \rightarrow A$ inclusion)
with a chosen colimit cocone, say $\gamma: A \upharpoonright \Sigma \rightarrow B([\Sigma])$.
(where here Σ means the full subcategory

of P on the objects in the set Σ). The definition
of B is completed by requiring that
 $\langle B(x \xrightarrow{[x]} [\Sigma]) \rangle_{x \in \Sigma}$ be the chosen colimit cocone γ .

(6)

I claim that $B = A[\Sigma]$ is a trivial extension of A via the inclusion

$$\Phi: P \rightarrow Q (= P[\Sigma]).$$

Let $Y = \text{colim } A$, with $\alpha: A \rightarrow \ulcorner Y \urcorner$ the colimit cocone.

It suffices to construct a colimit cocone $\beta: B \rightarrow \ulcorner Y \urcorner$ extending α :

$$\beta \Phi = \alpha.$$

The requirement that β extend α means that we define $\beta_x: B(x) = A(x) \rightarrow Y$ to be α_x , for all $x \in P$.

We have the colimit cocone $\gamma: A_i \rightarrow \ulcorner X \urcorner$ and the cocone $\alpha_i: A_i \rightarrow \ulcorner Y \urcorner$. There is a unique arrow $f: X \rightarrow Y$ such that $\alpha_i = \ulcorner f \urcorner \gamma$.

(7)

We define

$$\beta_{[\Sigma]} : B([\Sigma]) = X \rightarrow Y$$

as this arrow f ; $\beta_{[\Sigma]} = f$.

We have to check the cocone property against all (non-identity) arrows in $\mathcal{Q}$. The fact

that the required property holds with respect

to arrows in $\mathcal{P}$ is true because α is a cocone

$\alpha : A \rightarrow \ulcorner Y \urcorner$. It remains to see that

$$\begin{array}{ccc} B(x) & \xrightarrow{B([x])} & B([\Sigma]) \\ & \searrow \beta_x & \swarrow f (= \beta_{[\Sigma]}) \\ & Y & \end{array}$$

commutes for $x \in \Sigma$. But this triangle

is the same as

$$\begin{array}{ccc} A(x) & \xrightarrow{\gamma_x} & X = \text{colim}(A_i) \\ & \searrow \alpha_x & \swarrow f \\ & Y & \end{array}$$

which commutativity is right because of the choice

⑧

of f : $\alpha_i = \overline{f}^\top g$.

Finally: $\beta: B \longrightarrow \overline{Y}^\top$ is in fact a colimit cocone. To verify this, let

δ be an arbitrary cocone $\delta: B \longrightarrow \overline{Z}^\top$.

The restriction $\delta \Phi: A \longrightarrow \overline{Z}^\top$, a cocone on A , factors through the colimit cocone

$\alpha: A \longrightarrow \overline{Y}^\top$ by a unique arrow

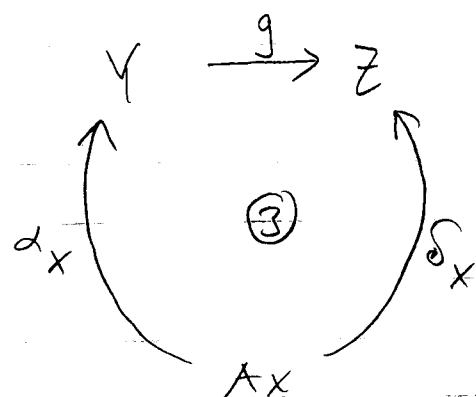
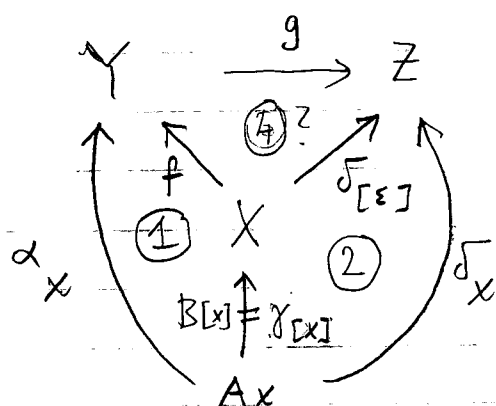
$g: Y \rightarrow Z$: $\delta \Phi = \overline{g}^\top \alpha$. We must show

that $\delta = \overline{g}^\top \beta$. The only thing missing

for this is at the components at $[\varepsilon] \in Q$:

$\delta_{[\varepsilon]}$ $\stackrel{?}{=} g \beta_{[\varepsilon]} = \underline{g f}$.

Let x be any object in Σ , and consider



(9)

① commutes by the choice of f :

$$\alpha_i = \overline{f}^T \gamma. \quad \text{② commutes since}$$

δ is a cocone $\delta: B \longrightarrow \overline{Z}^T$. ③

commutes because of the choice of g :

$$\delta \Phi = \overline{g}^T \alpha. \quad \text{Therefore, ④ commutes}$$

after pre-composition with $A_x \longrightarrow X$.
 $\gamma_{[x]}$

Since γ is a colimit cocone, the

arrows $\gamma_{[x]}$ for $x \in \Sigma$ are jointly

epimorphic. Therefore, ④ commutes as required.

③. Directed colimits of trivial extensions is a trivial extension

Let A be a diagram $A: P \longrightarrow X$

(X cocomplete), I a directed poset,

$$\exists \Phi_i: P \longrightarrow Q_i, \quad \Phi_{ij}: Q_i \longrightarrow Q_j$$

functors for $i \in I$, resp. $i \leq j$ in I ,

$$\text{compatibly: } \Phi_{jk} \circ \Phi_{ij} = \Phi_{ik} \quad (i < j < k).$$

(10)

Moreover, let, for each $i \in I$, $B_i: Q_i \rightarrow X$
 be a diagram such that $B_i \Phi_i = A$,

$$B_j \Phi_{ij} = B_i \quad (i < j)$$

In other words, we have a directed
 system of extensions of the diagram A .

$$\langle B_i \rangle_{i \in I}$$

We can consider the colimit of the system:

$$Q = \operatorname{colim}_{i \in I} Q_i \quad (\text{the colimit taken}$$

in the category of categories).

Let us adjoin a new least element $\perp$ to
 the poset I and get $I^\perp$; define $Q_\perp = P$, $B_\perp = A$,

$\Phi_{\perp i} = \Phi_i$; we have a diagram

$$Q^\perp: I^\perp \rightarrow \mathbf{Cat}$$

$$Q^\perp(i) = Q_i, \quad Q^\perp(ij) = \Phi_{ij}$$

and a cocone $B^\perp: Q^\perp \rightarrow X$

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$$\begin{array}{ccc}
 & Q^\perp & \\
 I^\perp & \xrightarrow{\quad} & \text{Cat} \\
 & \downarrow B^\perp & \\
 & \xrightarrow{\quad} & \\
 & \text{"X"} &
 \end{array}$$

$$(B^\perp(i) = B_i, \text{ for } i \in I^\perp).$$

Q is the colimit of $Q^\perp$; $Q = \text{colim } Q^\perp$

Therefore, I have $B: Q \rightarrow X$

such that $B \circ \Psi_i = B_i$ ($i \in I^\perp$);

with $\Psi_i: Q_i \rightarrow Q$ ($i \in I^\perp$) the

colimit is projective.

The claim is : if for each $i \in I$,

B_i is a trivial extension of A via Ψ_i ,

then B is also a trivial extension of A

via $\Psi_\perp: P \rightarrow Q$.

Note that by " $\textcircled{1} \& \textcircled{3} \Rightarrow \textcircled{2}$ "

part of the 'two out of three' property,

the assumption implies that for every $i < j$,

B_j is a trivial extension of B_i via Φ_{ij} .

The claim is a typical "rearrangement-of-colimits" fact (see §2 of "Rearranging colimits"), but I presume, it can be

checked directly. The proof should use the characterization of 'trivial extension' given in 1.1.

See: insertion on p. (12.1)

(4.) Let κ be a regular cardinal,

$A: P \rightarrow X$ a κ -good diagram

The claim is that there are:

a κ -good poset Q , $\Phi: P \rightarrow Q$

an initial inclusion of P in Q (P is

an initial segment of Q), such that

1) all elements in $Q - P$ are limit elements (x is a limit element if

$\{y: y < x\}$ has no top (largest) element)

2) Q is κ -directed;

(insert to p.12, line 8)

In fact, the proof is quite trivial, at least in the special case when each $\Phi_i: P \rightarrow Q_i$, $\Phi_{ij}: Q_i \rightarrow Q_j$ is an inclusion ($\Phi: P \rightarrow Q$, a map (functor) of posets is an inclusion if it acts as the identity on objects (elements), and full as a functor: for $x, y \in P$, $x \leq_P y \iff x \leq_Q y$). In this case (using that the poset I is directed), we have $Q = \bigcup_{i \in I} Q_i$

and $B: Q \rightarrow X$ uniquely defined by the condition that $Q_i \xrightarrow{\text{incl}} Q \xrightarrow{B} X$ equals B_i (we do not need to check that $Q = \bigcup_{i \in I} Q_i$ is in fact a colimit). We also have the

poset $I^\perp$ as on p.10; $A: P \rightarrow A$, and inclusions $\Phi_i: P \rightarrow Q_i$ such that B_i is a trivial extension of A via Φ_i .

We want to show: B is a trivial extension of A via the inclusion $\Psi_I: P \rightarrow Q$ [note: for posets P, Q , there is at most one inclusion $P \rightarrow Q$].

Take a colimit cone $P \xrightarrow[\ulcorner X \urcorner]{A} A$ ($\alpha: A \rightarrow X$) on A .

For each i , take the unique cone $Q_i \xrightarrow[\ulcorner X \urcorner]{B_i} A$ that extends α — which is a colimit [see 1.4]. For $i < j$, B_j extends B_i , since $B_j \upharpoonright Q_i: Q_i \rightarrow X$ extends α , hence $B_j \upharpoonright Q_i$ must equal B_i .

Define the cone $Q \xrightarrow[\ulcorner X \urcorner]{B} A$, $B: Q \rightarrow X$ by the condition that $B \upharpoonright Q_i: Q_i \rightarrow X$ extends B_i ; this is made possible by the fact that I is directed. To check that B is colimit, let

$Q \xrightarrow[\ulcorner Y \urcorner]{B} A$ be an arbitrary cone. It restricts to $P \xrightarrow[\ulcorner Y \urcorner]{A} A$

(12.2)

hence, there is unique $f: X \rightarrow Y$ s.t. $\gamma \upharpoonright P = \lceil f \rceil \circ \alpha$.

Since B_i is trivial over A , we must have $\gamma \upharpoonright Q_i = \lceil f \rceil \circ \rho_i$.

This being true for all i means that $\gamma = \lceil f \rceil \circ \beta$ — and the uniqueness of f was already done in the first line.

(13)

and there is a K -good diagram $B: Q \rightarrow X$

which is a trivial extension of A

via Φ .

Let us see what this gives us, before the proof.

By (1.1) above, $\langle A \rangle$, the composite

of A is also a composite of $\langle B \rangle$.

Remember that the links of a good

diagram $A: P \rightarrow X$ are the X -arrows

$A(x^-) \xrightarrow{A(x-x)} A(x)$ for the isolated

elements x in P . Therefore, by 1) the

links of the diagram B are exactly

the links of A . Now, assume that

$I \subseteq (X)_K^{\rightarrow}$, and the links

of A are in $Po(I)$, the class of

all pushouts of arrows in I . Then

the links of B are in $Po(I)$ too.

Moreover, by an easy induction one proves

that if a κ -good $B: Q \rightarrow X$ has all

its links in $P_0(X_\kappa \rightarrow)$ (as is the

case now), and if $B(\perp) \in X_\kappa$ (in particular, if $B(\perp) = \emptyset$, the initial object), then all objects in the diagram are in X_κ , $B(x) \in X_\kappa$ for all $x \in Q$,

hence $B: Q \rightarrow X$ factors through

$$X_\kappa \xrightarrow{\text{ind}} X.$$

The proof of the claim is a transfinite iteration of the one-point-trivial-extension

construction in § 2 (p. 4.2), the iteration

enabled by § 3 (directed colimits; p. 9)

Let $X_\kappa: P \rightarrow X$ be κ -good;

pick any subset S of P of cardinality $< \kappa$.

$$\text{Let } \Sigma = S \downarrow = \bigcup_{x \in S} x \downarrow = \bigcup_{x \in S} \{y : y \leq x\}.$$

Assume Σ (equivalently, S) has no maximum

(largest) element [Otherwise, there is nothing to do!] 15

Since P is κ -good, $\#(x \downarrow) < \kappa$;
 κ regular & $\#S < \kappa$ then give us
that $\# \Sigma < \kappa$.

Consider $Q = P[\Sigma]$ (see §2). It
is constructed as a category; but now it
is obviously a poset. Moreover, for
the single new element $[\Sigma]$ of Q ,
 $[\Sigma] \downarrow = \Sigma$, hence the ' κ -goodness'
condition is satisfied for $[\Sigma]$.

Q is well-founded, obviously, because P is.

Moreover, P is an initial segment of Q :

$[\Sigma]$ is a maximal element in Q .

We have $B = A[\Sigma] : Q \rightarrow X$

constructed in §2. It is good; the

condition for the limit element (!) $[\Sigma]$

is satisfied precisely by the construction
of $B([\Sigma])$ as the colimit of $A \upharpoonright \Sigma : \Sigma \rightarrow X$.

In short: we have proved the following:

for any K -good diagram $A: P \rightarrow \mathbb{X}$,

and any subset S of P of size $< K$,
such that S has no largest element,

① there is a K -good poset Q such that

P is an initial segment of Q , and there

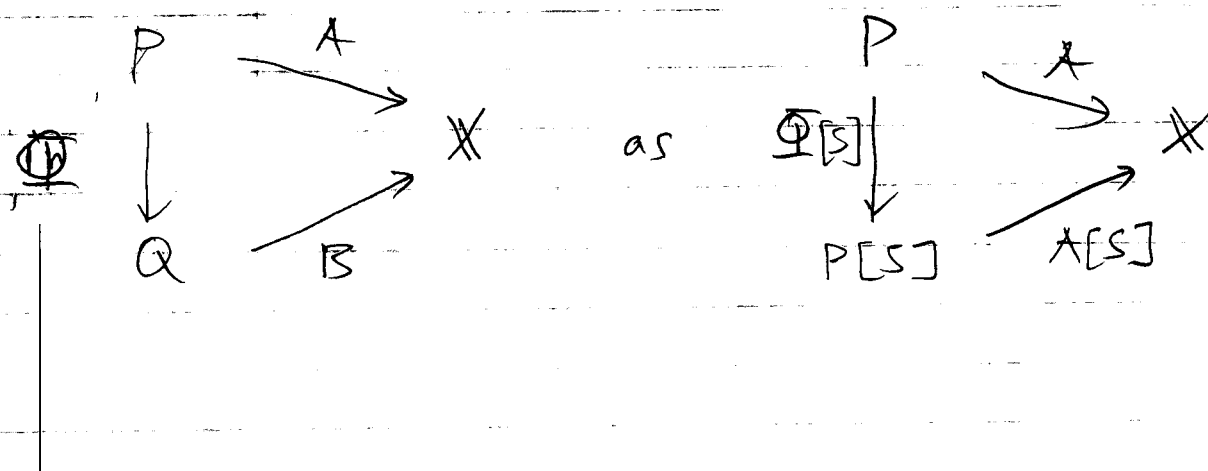
is no new isolated point in Q , but
there is an element y in Q such that $s < y$ for all $s \in S$

② and there is a trivial extension

$B: Q \rightarrow \mathbb{X}$ of A via the inclusion

$\Phi: P \rightarrow Q$, which is a good
diagram.

The construction is explicit; let us write



Let us start with a κ -good $A: P \rightarrow X$.

Let $\langle S_\gamma \rangle_{\gamma < \alpha}$ be a well ordered sequence of all subsets S of P of cardinality $< \kappa$, with no top element (α a limit ordinal).
Let us define

$$B_\beta: Q_\beta \longrightarrow X$$

for $\beta < \alpha$, as well as

$$\Phi_{\gamma\beta}: Q_\gamma \longrightarrow Q_\beta$$

such that, among others, $Q_0 = P$, $B_0 = A$,

$$\begin{array}{ccc} Q_\gamma & \xrightarrow{B_\gamma} & X \\ \downarrow & \nearrow & \\ Q_\beta & \xrightarrow{B_\beta} & \end{array}$$

and

$$\begin{array}{ccccc} & & Q_\gamma & & \\ & \nearrow \Phi_{\delta\gamma} & & \searrow \Phi_{\gamma\beta} & \\ Q_\delta & & & & Q_\beta \\ & \searrow \Phi_{\delta\beta} & & \nearrow & \end{array}$$

commute for all appropriate indices.

$$\alpha < \beta < \gamma < \delta < \epsilon < \dots < \alpha$$

For $\beta = \gamma + 1 < \alpha$, we put

$$Q_\beta = Q_\gamma [\underbrace{\Phi_{\gamma\beta}(S_\gamma)}_{\text{the image of}}]$$

the image of

the set $S_\gamma \subseteq P$ under
the map

$$\Phi_{\gamma\beta} : P \rightarrow Q_\gamma;$$

call it $\hat{S}_\gamma$

$$B_\beta = B_\gamma [\hat{S}_\gamma]$$

$$\Phi_\beta = \Phi[\hat{S}_\gamma] : Q_\gamma \rightarrow Q_\beta \text{ initial inclusion}$$

For limit $\beta < \alpha$,

$$Q_\beta = \bigcup_{\gamma < \beta} Q_\gamma$$

etc.

Notice that any union of κ -good posets

(directed)

is κ -good

$$\text{Put } A^+ \stackrel{\text{def}}{=} B_\alpha$$

The final extension $\mathbb{B} : Q \rightarrow \mathbb{K}$
 of $\mathbb{P}$ is a transfinite iteration of
 length κ of the $A \mapsto A^+$ construction.