

# A FAMILY OF $p$ -ADIC ANALYTIC TAME $p$ -CLASS TOWERS

JOHN LABUTE

ABSTRACT. Let  $p$  be an odd prime, let  $S$  be a finite set of primes  $q \equiv 1 \pmod p$  but  $q \not\equiv 1 \pmod{p^2}$  and let  $G_S$  be the Galois group of the maximal  $p$ -extension of  $\mathbb{Q}$  unramified outside of  $S$ . If  $|S| = 3$  and  $[G_S, G_S] \subseteq G_S^p$  we show that the linking algebra  $\mathfrak{L}_S$  is either  $sl_2(\mathbb{F}_p)$ , in which case  $G_S$  is  $p$ -adic analytic, or 0 in which case  $|G_S| \leq p^9$ .

Let  $p$  be an odd prime, let  $S = \{q_1, q_2, q_3\}$  be a finite set of primes  $q \equiv 1 \pmod p$  but  $q \not\equiv 1 \pmod{p^2}$  and let  $G_S$  be the Galois group of the maximal  $p$ -extension of  $\mathbb{Q}$  unramified outside of  $S$ . The pro- $p$ -group  $G_S$  has a presentation  $F(x_1, x_2, x_3)/(r_1, r_2, r_3)$  where  $x_i$  is a lifting of a generator of an inertia group at  $q_i$  and

$$r_i = x_i^{pc_i} \prod_{j \neq i} [x_i, x_j]^{\ell_{ij}} \pmod{F_3}$$

with  $c_i = (q_i - 1)/p \not\equiv 0 \pmod p$  and the linking number  $\ell_{ij}$  of  $(q_i, q_j)$  defined by  $q_i \equiv g_j^{-\ell_{ij}} \pmod{q_j}$  with  $g_j$  a primitive root mod  $q_j$  and where  $F_3$  the third term of the descending  $p$ -central series of the free pro- $p$ -group  $F = F(x_1, x_2, x_3)$ , cf. Koch [3], Example 11.11.

Let  $\mathfrak{g}_S$  be the finitely presented Lie algebra over  $\mathbb{F}_p[\pi]$  generated by  $\xi_1, \xi_2, \xi_3$  with relators  $\rho_1, \rho_2, \rho_3$  where

$$\rho_i = c_i \pi \xi_i + \sum_{j \neq i} \ell_{ij} [\xi_i, \xi_j].$$

The Lie algebra  $\mathfrak{g}_S$  has as a quotient  $\text{gr}(G_S)$ , the Lie algebra associated to the descending  $p$ -central series of  $G_S$ . A related Lie algebra is the finitely presented Lie algebra  $\mathfrak{L}_S$  over  $\mathbb{F}_p$  generated by  $\xi_1, \xi_2, \xi_3$  with relators  $\sigma_1, \sigma_2, \sigma_3$  where

$$\sigma_i = c_i \xi_i + \sum_{j \neq i} \ell_{ij} [\xi_i, \xi_j].$$

We call this Lie algebra the **linking algebra** of  $S$ .

The set  $S$  is said to be **powerful** if  $\ell_{12}\ell_{23}\ell_{31} \neq \ell_{13}\ell_{32}\ell_{21}$ . This is equivalent to

$$[\mathfrak{g}_S, \mathfrak{g}_S] \subseteq \pi \mathfrak{g}_S$$

which in turn is equivalent to  $[G_S, G_S] \subseteq G_S^p$ , i.e. that  $G_S$  is a powerful pro- $p$ -group.

The set  $S$  is said to be **uniform** if  $\ell_{ij} \neq 0$  for all  $i, j$  and

$$\ell_{13}/c_1 = -\ell_{23}/c_2, \quad \ell_{21}/c_2 = -\ell_{31}/c_3, \quad \ell_{12}/c_1 = -\ell_{32}/c_3.$$

Note that, since  $q_i \equiv g_j^{-\ell_{ij}} \pmod{q_j}$ , this is equivalent to

$$(q_1^{c_2} q_2^{c_1})^{c_3} \equiv 1 \pmod{q_3}, \quad (q_2^{c_3} q_3^{c_2})^{c_1} \equiv 1 \pmod{q_1}, \quad (q_1^{c_3} q_3^{c_1})^{c_2} \equiv 1 \pmod{q_2}.$$

---

*Date:* June 27, 2022.

*2020 Mathematics Subject Classification.* 11R34, 20E15, 12G10, 20F05, 20F14, 20F40.

For example, using PARI/GP, we found that  $S$  is uniform if  $p = 3$ ,  $S = \{7, 31, 229\}$  or if  $p = 5$  and  $S = \{11, 31, 1021\}$ . However, the number of such  $S$  is relatively small: if  $p = 7$  and the primes in  $S$  are at most 104707, the set  $S$  is uniform about .2% of the time and powerful approximately 80% of the time.

**Theorem 1.** *If  $S$  is powerful then either  $\mathfrak{l}_S = 0$  or  $\mathfrak{l}_S \cong \mathfrak{sl}_2(\mathbb{F}_p)$ . We have  $\mathfrak{l}_S = 0$  if and only if  $S$  is not uniform.*

**Lemma 2.** *If  $\mathfrak{l}$  is a three dimensional Lie algebra over  $\mathbb{F}_p$  ( $p \neq 2$ ) with  $\mathfrak{l} = [\mathfrak{l}, \mathfrak{l}]$  then  $\mathfrak{l} \cong \mathfrak{sl}_2(\mathbb{F}_p)$ .*

*Proof.* By [5], page 13, and the classification of quadratic forms over  $\mathbb{F}_p$  for  $p \neq 2$  there is a basis  $e_1, e_2, e_3$  for  $\mathfrak{l}$  such that

$$[e_2, e_3] = e_1, [e_3, e_1] = e_2, [e_1, e_2] = \delta e_3$$

with  $\delta \neq 0$ . If  $h = a_1 e_1 + a_2 e_2 + a_3 e_3$  the characteristic polynomial of  $\text{ad}(h)$  is

$$\lambda(\lambda^2 + a_1^2 + \delta^2 a_2^2 + a_3^2).$$

Since the equation  $a_1^2 + \delta^2 a_2^2 + a_3^2 = -1$  always has a solution we obtain a non-zero  $f \in \mathfrak{l}$  with  $[h, f] = f$  which is enough to show that  $\mathfrak{l} \cong \mathfrak{sl}_2(\mathbb{F}_p)$ ; cf. [5], page 14.  $\square$

*Proof of Theorem 1.* If  $S$  is powerful then either  $\mathfrak{l}_S = 0$  or  $\mathfrak{l}_S$  has dimension 3 in which it is isomorphic to  $\mathfrak{sl}_2(\mathbb{F}_p)$  by Lemma 2. If  $S$  is not uniform then by [6], Theorem 1.7, every 2-dimensional representation of  $\mathfrak{l}_S$  is trivial which shows that  $\mathfrak{l}_S = 0$ .  $\square$

**Theorem 3.** *If  $S$  is powerful but not uniform then  $|G_S| \leq p^9$ .*

*Proof.* If  $S$  is powerful but not uniform then, using the fact that

$$\mathfrak{g}_S \otimes_{\mathbb{F}_p[\pi]} \mathbb{F}_p(\pi) \cong \mathfrak{l}_S \otimes_{\mathbb{F}_p} \mathbb{F}_p(\pi),$$

we obtain the fact that  $\mathfrak{g}_S$  is a finitely generated torsion  $\mathbb{F}_p[\pi]$ -module which implies that  $\mathfrak{g}_S$  is finite. Hence  $\text{gr}(G_S)$ , which is a quotient of  $\mathfrak{g}_S$ , is finite which implies the finiteness of  $G_S$ .

Suppose that  $|G_S| > p^9$ . Then  $\pi^2 : \text{gr}_1(G_S) \rightarrow \text{gr}_3(G_S)$  is an isomorphism. Otherwise, there exists a basis  $\eta_1, \eta_2, \eta_3$  of  $\text{gr}_1(G_S)$  with  $\pi^2 \eta_1 = 0$  so that the dimension of  $\text{gr}_3(G_S)$  is less than 3. Then since

$$\pi : \text{gr}_1(G_S) \rightarrow \text{gr}_2(G_S)$$

is an isomorphism, the elements  $\zeta_1 = \pi^{-1}[\eta_1, \eta_2]$ ,  $\zeta_2 = \pi^{-1}[\eta_1, \eta_3]$  are linearly independent over  $\mathbb{F}_p$ . But then  $\pi^3 \zeta_1 = \pi^3 \zeta_2 = 0$  which shows that the dimension of  $\text{gr}_4(G_S)$  is less than 2. Completing  $\zeta_1, \zeta_2$  to a basis  $\zeta_1, \zeta_2, \zeta_4$  of  $\text{gr}_1(G)$ , the elements  $\tau_1, \tau_2, \tau_3$  defined by

$$\tau_1 = \pi^{-1}[\zeta_2, \eta_3], \tau_2 = \pi^{-1}[\zeta_1, \zeta_3], \tau_3 = \pi^{-1}[\zeta_1, \zeta_2]$$

form a basis of  $\text{gr}_1(G_S)$  and  $\pi^4 \tau_i = 0$  for all  $i$  which shows that  $\text{gr}_5(G_S) = 0$ . Hence the dimension of  $\text{gr}(G_S)$  is at most 9 which implies that  $|G_S| \leq p^9$ , a contradiction.

Since  $\pi^2 : \text{gr}_1(G_S) \rightarrow \text{gr}_3(G_S)$  is an isomorphism,  $\text{gr}_1(G_S)$  is a Lie algebra over  $\mathbb{F}_p$  under the bracket  $\langle \xi, \eta \rangle = \pi^{-1}[\xi, \eta]$ . But the relations for  $\mathfrak{g}_S$  then imply that, with this Lie algebra structure,  $\text{gr}_1(G_S)$  is a quotient of  $\mathfrak{l}_S$  which is zero since  $S$  is not uniform. But this contradicts the fact that  $\text{gr}_1(G_S) \neq 0$ .  $\square$

In [1] Andozskii and Cvetkov show that if  $G$  is a powerful pro- $p$ -group with 3 generators and 3 relations and with  $G/[G, G] \cong (\mathbb{Z}/p\mathbb{Z})^3$  then either  $G$  is finite or  $G$  is isomorphic to

$$\mathrm{SL}_2^{(1)}(\mathbb{Z}_p) = \{A \in \mathrm{SL}_2(\mathbb{Z}_p) \mid A \equiv 1 \pmod{p}\}.$$

The following gives another proof of the fact that  $G_S$  is  $p$ -adic analytic if  $G_S$  is infinite in the case that  $S$  is uniform.

**Theorem 4.** *If  $S$  is uniform then  $\mathfrak{g}_S$  is a free  $\mathbb{F}_p[\pi]$  module on  $\xi_1, \xi_2, \xi_3$ .*

*Proof.* Since  $[\xi_i, \xi_j]$  is a linear combination of  $\pi\xi_1, \pi\xi_2, \pi\xi_3$  in  $\mathfrak{g}_S$  it follows that, as an  $\mathbb{F}_p[\pi]$ -module,  $\mathfrak{g}_S$  is generated by  $\xi_1, \xi_2, \xi_3$ . They form a basis for  $\mathfrak{g}_S$  since their images in

$$\mathfrak{g}_S \otimes_{\mathbb{F}_p[\pi]} F_p(\pi) \cong \mathfrak{sl}_2(\mathbb{F}_p(\pi))$$

are linearly independent. □

**Theorem 5.** *If  $S$  is uniform and  $G_S$  is infinite the map  $\phi : \mathfrak{g}_S \rightarrow \mathrm{gr}(G_S)$  is an isomorphism.*

*Proof.* If  $S$  is uniform and  $G_S$  infinite the surjective map

$$\mathfrak{g}_S \otimes_{\mathbb{F}_p[\pi]} F_p(\pi) \rightarrow \mathrm{gr}(G_S) \otimes_{\mathbb{F}_p[\pi]} F_p(\pi)$$

is an isomorphism since  $\mathfrak{g}_S \otimes_{\mathbb{F}_p[\pi]} \mathbb{F}_p(\pi) \cong \mathfrak{sl}_2(F_p(\pi))$ , a simple Lie algebra, and  $\mathrm{gr}(G_S) \otimes_{\mathbb{F}_p[\pi]} F_p(\pi) \neq 0$ . But this implies that  $\phi$  is an isomorphism. □

Thus, if  $S$  is uniform and  $G_S$  infinite, the Lie algebra  $\mathrm{gr}(G_S)$  is a free  $\mathbb{F}_p[\pi]$ -module on  $\xi_1, \xi_2, \xi_3$ . But this implies that  $G_S$  is a uniform pro- $p$ -group and hence an analytic pro- $p$ -group. See [4] for the theory of uniform pro- $p$ -groups.

It is not known if  $G_S$  can be infinite when  $S$  is uniform. The Fontaine-Mazur Conjecture (cf. [2]) implies that it is finite. For example, if  $p = 3$  and  $S = \{7, 31, 229\}$  then  $G_S$  is  $p$ -adic analytic but it is not known whether  $G_S$  is finite or not.

## REFERENCES

- [1] I. Andozskii and V. Cvetkov, *On a series of finite  $p$ -closed  $p$ -groups*, Math. USSR Izv. 8 (1974), 285-297.
- [2] J.-M. Fontaine and B. Mazur, *Geometric Galois representations, elliptic curves, modular forms and Fermat's last theorem*. (Hong Kong 1993), 41-48, Ser. Number Theory I, Internat. Press, Cambridge, MA, 1995.
- [3] H. Koch, *Galois Theory of  $p$ -Extensions*, Springer Verlag, 2002.
- [4] J.D. Dixon, M.P.F. du Sautoy, A. Mann, D. Segal, *Analytic Pro- $p$ -Groups (2nd edition)*, Cambridge Studies in Advanced Mathematics 61, Cambridge University Press, 2003.
- [5] N. Jacobson, *Lie algebras*. Interscience Tracts in Pure and Applied Mathematics 10, Interscience Publishers, 1962.
- [6] J. Labute, *Linking Numbers and the Tame Fontaine-Mazur Conjecture*, Annales Math. du Quebec, (to appear).

DEPARTMENT OF MATHEMATICS AND STATISTICS, MCGILL UNIVERSITY, BURNSIDE HALL, 805  
SHERBROOKE STREET WEST, MONTREAL QC H3A 0B9, CANADA

*Email address:* labute@math.mcgill.ca