

Estimates from below: spectral function, remainder in Weyl's law and resonances

General
Results

Negative
Curvature

Resonances

Proof:
Arithmetic
case

Proof: Weyl's
Law

Proof:
Spectral
Function

Subtracting
heat kernel
terms

D. Jakobson (McGill), jakobson@math.mcgill.ca
Joint work with F. Naud (Avignon), I. Polterovich (Univ.
de Montreal), J. Toth (McGill)

- [JP]: GAFA, 17 (2007), 806-838. Announced: ERA-AMS 11 (2005), 71-77. math.SP/0505400
- [JPT]: IMRN Volume 2007: article ID rnm142. math.SP/0612250
- [JN]: <http://www.math.mcgill.ca/jakobson/papers/resonance-lowbd.pdf>

17th May 2009

- $X^n, n \geq 2$ - compact. Δ - Laplacian. Spectrum:

$$\Delta \phi_j + \lambda_j \phi_j = 0, \quad 0 = \lambda_0 < \lambda_1 \leq \lambda_2 \leq \dots$$

Eigenvalue counting function:

$$N(\lambda) = \#\{\sqrt{\lambda_j} \leq \lambda\}.$$

Weyl's law: $N(\lambda) = C_n V \lambda^n + R(\lambda), \quad R(\lambda) = O(\lambda^{n-1}).$

$R(\lambda)$ - remainder.

- **Spectral function:** Let $x, y \in X$.

$$N_{x,y}(\lambda) = \sum_{\sqrt{\lambda_j} \leq \lambda} \phi_j(x) \phi_j(y).$$

If $x = y$, let $N_{x,y}(\lambda) := N_x(\lambda)$.

Local Weyl's law:

$$N_{x,y}(\lambda) = O(\lambda^{n-1}), \quad x \neq y;$$

$$N_x(\lambda) = C_n \lambda^n + R_x(\lambda), \quad R_x(\lambda) = O(\lambda^{n-1}); \quad R_x(\lambda) -$$

local remainder.

- We study **lower** bounds for $R(\lambda), R_x(\lambda)$ and $N_{x,y}(\lambda)$.

- $X^n, n \geq 2$ - compact. Δ - Laplacian. Spectrum:

$$\Delta \phi_j + \lambda_j \phi_j = 0, \quad 0 = \lambda_0 < \lambda_1 \leq \lambda_2 \leq \dots$$

Eigenvalue counting function:

$$N(\lambda) = \#\{\sqrt{\lambda_j} \leq \lambda\}.$$

Weyl's law: $N(\lambda) = C_n V \lambda^n + R(\lambda), \quad R(\lambda) = O(\lambda^{n-1}).$

$R(\lambda)$ - remainder.

- **Spectral function:** Let $x, y \in X$.

$$N_{x,y}(\lambda) = \sum_{\sqrt{\lambda_j} \leq \lambda} \phi_j(x) \phi_j(y).$$

If $x = y$, let $N_{x,y}(\lambda) := N_x(\lambda)$.

Local Weyl's law:

$$N_{x,y}(\lambda) = O(\lambda^{n-1}), \quad x \neq y;$$

$$N_x(\lambda) = C_n \lambda^n + R_x(\lambda), \quad R_x(\lambda) = O(\lambda^{n-1}); \quad R_x(\lambda) -$$

local remainder.

- We study lower bounds for $R(\lambda), R_x(\lambda)$ and $N_{x,y}(\lambda)$.

- $X^n, n \geq 2$ - compact. Δ - Laplacian. Spectrum:

$$\Delta \phi_j + \lambda_j \phi_j = 0, \quad 0 = \lambda_0 < \lambda_1 \leq \lambda_2 \leq \dots$$

Eigenvalue counting function:

$$N(\lambda) = \#\{\sqrt{\lambda_j} \leq \lambda\}.$$

Weyl's law: $N(\lambda) = C_n V \lambda^n + R(\lambda), \quad R(\lambda) = O(\lambda^{n-1}).$

$R(\lambda)$ - remainder.

- **Spectral function:** Let $x, y \in X$.

$$N_{x,y}(\lambda) = \sum_{\sqrt{\lambda_j} \leq \lambda} \phi_j(x) \phi_j(y).$$

If $x = y$, let $N_{x,y}(\lambda) := N_x(\lambda)$.

Local Weyl's law:

$$N_{x,y}(\lambda) = O(\lambda^{n-1}), \quad x \neq y;$$

$$N_x(\lambda) = C_n \lambda^n + R_x(\lambda), \quad R_x(\lambda) = O(\lambda^{n-1}); \quad R_x(\lambda) -$$

local remainder.

- We study **lower** bounds for $R(\lambda), R_x(\lambda)$ and $N_{x,y}(\lambda)$.

- **Notation:** $f_1(\lambda) = \Omega(f_2(\lambda))$, $f_2 > 0$ iff $\limsup_{\lambda \rightarrow \infty} |f_1(\lambda)|/f_2(\lambda) > 0$. Equivalently, $f_1(\lambda) \neq o(f_2(\lambda))$.

- **Theorem 1**[JP] If $x, y \in X$ are not conjugate along any shortest geodesic joining them, then

$$N_{x,y}(\lambda) = \Omega\left(\lambda^{\frac{n-1}{2}}\right).$$

- **Theorem 2**[JP] If $x \in X$ is not conjugate to itself along any shortest geodesic loop, then

$$R_x(\lambda) = \Omega(\lambda^{\frac{n-1}{2}})$$

- Other results in dimension $n > 2$ involve heat invariants.

- Notation: $f_1(\lambda) = \Omega(f_2(\lambda))$, $f_2 > 0$ iff $\limsup_{\lambda \rightarrow \infty} |f_1(\lambda)|/f_2(\lambda) > 0$. Equivalently, $f_1(\lambda) \neq o(f_2(\lambda))$.
- **Theorem 1**[JP] If $x, y \in X$ are not conjugate along any shortest geodesic joining them, then

$$N_{x,y}(\lambda) = \Omega\left(\lambda^{\frac{n-1}{2}}\right).$$

- **Theorem 2**[JP] If $x \in X$ is not conjugate to itself along any shortest geodesic loop, then

$$R_x(\lambda) = \Omega\left(\lambda^{\frac{n-1}{2}}\right)$$

- Other results in dimension $n > 2$ involve heat invariants.

- Notation: $f_1(\lambda) = \Omega(f_2(\lambda))$, $f_2 > 0$ iff $\limsup_{\lambda \rightarrow \infty} |f_1(\lambda)|/f_2(\lambda) > 0$. Equivalently, $f_1(\lambda) \neq o(f_2(\lambda))$.
- **Theorem 1**[JP] If $x, y \in X$ are not conjugate along any shortest geodesic joining them, then

$$N_{x,y}(\lambda) = \Omega\left(\lambda^{\frac{n-1}{2}}\right).$$

- **Theorem 2**[JP] If $x \in X$ is not conjugate to itself along any shortest geodesic loop, then

$$R_x(\lambda) = \Omega(\lambda^{\frac{n-1}{2}})$$

- Other results in dimension $n > 2$ involve heat invariants.

- Notation: $f_1(\lambda) = \Omega(f_2(\lambda))$, $f_2 > 0$ iff $\limsup_{\lambda \rightarrow \infty} |f_1(\lambda)|/f_2(\lambda) > 0$. Equivalently, $f_1(\lambda) \neq o(f_2(\lambda))$.
- **Theorem 1**[JP] If $x, y \in X$ are not conjugate along any shortest geodesic joining them, then

$$N_{x,y}(\lambda) = \Omega\left(\lambda^{\frac{n-1}{2}}\right).$$

- **Theorem 2**[JP] If $x \in X$ is not conjugate to itself along any shortest geodesic loop, then

$$R_x(\lambda) = \Omega(\lambda^{\frac{n-1}{2}})$$

- Other results in dimension $n > 2$ involve heat invariants.

- Example: flat square 2-torus**

$$\lambda_j = 4\pi^2(n_1^2 + n_2^2), \quad n_1, n_2 \in \mathbf{Z}$$

$$\phi_j(\mathbf{x}) = e^{2\pi i(n_1 x_1 + n_2 x_2)}, \quad \mathbf{x} = (x_1, x_2)$$

$$|\phi_j(\mathbf{x})| = 1 \Rightarrow N(\lambda) \equiv N_x(\lambda)$$

Gauss circle problem: estimate $R(\lambda)$.

Theorem 2 \Rightarrow $R(\lambda) = \Omega(\sqrt{\lambda}) -$

Hardy–Landau bound. Theorem 2 generalizes that bound for the *local* remainder.

Soundararajan (2003):

$$R(\lambda) = \Omega\left(\frac{\sqrt{\lambda}(\log \lambda)^{\frac{1}{4}}(\log \log \lambda)^{\frac{3(2^{4/3}-1)}{4}}}{(\log \log \log \lambda)^{5/8}}\right).$$

- Hardy's conjecture:** $R(\lambda) \ll \lambda^{1/2+\epsilon} \forall \epsilon > 0$.

Huxley (2003): $R(\lambda) \ll \lambda^{\frac{131}{208}} (\log \lambda)^{2.26}$.

- Example: flat square 2-torus**

$$\lambda_j = 4\pi^2(n_1^2 + n_2^2), \quad n_1, n_2 \in \mathbf{Z}$$

$$\phi_j(\mathbf{x}) = e^{2\pi i(n_1 x_1 + n_2 x_2)}, \quad \mathbf{x} = (x_1, x_2)$$

$$|\phi_j(\mathbf{x})| = 1 \Rightarrow N(\lambda) \equiv N_x(\lambda)$$

Gauss circle problem: estimate $R(\lambda)$.

Theorem 2 \Rightarrow $R(\lambda) = \Omega(\sqrt{\lambda}) -$

Hardy–Landau bound. Theorem 2 generalizes that bound for the *local* remainder.

Soundararajan (2003):

$$R(\lambda) = \Omega\left(\frac{\sqrt{\lambda}(\log \lambda)^{\frac{1}{4}}(\log \log \lambda)^{\frac{3(2^{4/3}-1)}{4}}}{(\log \log \log \lambda)^{5/8}}\right).$$

- Hardy's conjecture:** $R(\lambda) \ll \lambda^{1/2+\epsilon} \forall \epsilon > 0$.

Huxley (2003): $R(\lambda) \ll \lambda^{\frac{131}{208}} (\log \lambda)^{2.26}$.

- **Negative curvature.** Suppose sectional curvature satisfies

$$-K_1^2 \leq K(\xi, \eta) \leq -K_2^2$$

Theorem (Berard): $R_x(\lambda) = O(\lambda^{n-1} / \log \lambda)$

Conjecture (Randol): On a negatively-curved surface, $R(\lambda) = O(\lambda^{\frac{1}{2}+\epsilon})$. Randol proved an integrated (in λ) version for $N_{x,y}(\lambda)$.

- **Theorem (Karnaukh)** On a negatively curved surface

$$R_x(\lambda) = \Omega(\sqrt{\lambda})$$

+ logarithmic improvements discussed below.

Karnaukh's results (unpublished 1996 Princeton Ph.D. thesis under the supervision of P. Sarnak) served as a starting point and a motivation for our work.

- **Negative curvature.** Suppose sectional curvature satisfies

$$-K_1^2 \leq K(\xi, \eta) \leq -K_2^2$$

Theorem (Berard): $R_x(\lambda) = O(\lambda^{n-1} / \log \lambda)$

Conjecture (Randol): On a negatively-curved surface, $R(\lambda) = O(\lambda^{\frac{1}{2} + \epsilon})$. Randol proved an integrated (in λ) version for $N_{x,y}(\lambda)$.

- **Theorem (Karnaukh)** On a negatively curved surface

$$R_x(\lambda) = \Omega(\sqrt{\lambda})$$

+ logarithmic improvements discussed below.

Karnaukh's results (unpublished 1996 Princeton Ph.D. thesis under the supervision of P. Sarnak) served as a starting point and a motivation for our work.

- **Thermodynamic formalism:** G^t - geodesic flow on SX , $\xi \in SX$, $T_\xi(SX) = E_\xi^s \oplus E_\xi^u \oplus E_\xi^o$,
 - $\dim E_\xi^s = n - 1$: stable subspace, exponentially contracting for G^t ;
 - $\dim E_\xi^u = n - 1$: unstable subspace, exponentially contracting for G^{-t} ;
 - $\dim E_\xi^o = 1$: tangent subspace to G^t .
- Sinai-Ruelle-Bowen potential $\mathcal{H} : SM \rightarrow \mathbf{R}$:**

$$\mathcal{H}(\xi) = \left. \frac{d}{dt} \right|_{t=0} \ln \det dG^t|_{E_\xi^u}$$

- **Topological pressure $P(f)$** of a Hölder function $f : SX \rightarrow \mathbf{R}$ satisfies (Parry, Pollicott)

$$\sum_{l(\gamma) \leq T} l(\gamma) \exp \left[\int_\gamma f(\gamma(s), \gamma'(s)) ds \right] \sim \frac{e^{P(f)T}}{P(f)}.$$

- **Thermodynamic formalism:** G^t - geodesic flow on SX , $\xi \in SX$, $T_\xi(SX) = E_\xi^s \oplus E_\xi^u \oplus E_\xi^o$,
 - $\dim E_\xi^s = n - 1$: stable subspace, exponentially contracting for G^t ;
 - $\dim E_\xi^u = n - 1$: unstable subspace, exponentially contracting for G^{-t} ;
 - $\dim E_\xi^o = 1$: tangent subspace to G^t .
- Sinai-Ruelle-Bowen potential $\mathcal{H} : SM \rightarrow \mathbf{R}$:**

$$\mathcal{H}(\xi) = \left. \frac{d}{dt} \right|_{t=0} \ln \det dG^t|_{E_\xi^u}$$

- **Topological pressure $P(f)$** of a Hölder function $f : SX \rightarrow \mathbf{R}$ satisfies (Parry, Pollicott)

$$\sum_{l(\gamma) \leq T} l(\gamma) \exp \left[\int_\gamma f(\gamma(s), \gamma'(s)) ds \right] \sim \frac{e^{P(f)T}}{P(f)}.$$

- γ - geodesic of length $l(\gamma)$. $P(f)$ is defined as

$$P(f) = \sup_{\mu} \left(h_{\mu} + \int f d\mu \right),$$

μ is G^t -invariant, h_{μ} - (measure-theoretic) entropy.

- Ex 1: $P(0) = h$ - **topological entropy** of G^t . Theorem (Margulis): $\#\{\gamma : l(\gamma) \leq T\} \sim e^{hT} / hT$.
- Ex. 2: $P(-\mathcal{H}) = 0$.
- **Theorem 3[JP]** If X is negatively-curved then for any $\delta > 0$ and $x \neq y$

$$N_{x,y}(\lambda) = \Omega \left(\lambda^{\frac{n-1}{2}} (\log \lambda)^{\frac{P(-\mathcal{H}/2)}{h} - \delta} \right)$$

Here $P(-\mathcal{H}/2)/h \geq K_2/(2K_1) > 0$.

- γ - geodesic of length $l(\gamma)$. $P(f)$ is defined as

$$P(f) = \sup_{\mu} \left(h_{\mu} + \int f d\mu \right),$$

μ is G^t -invariant, h_{μ} - (measure-theoretic) entropy.

- Ex 1: $P(0) = h$ - **topological entropy** of G^t . Theorem (Margulis): $\#\{\gamma : l(\gamma) \leq T\} \sim e^{hT}/hT$.
- Ex. 2: $P(-\mathcal{H}) = 0$.

- **Theorem 3**[JP] If X is negatively-curved then for any $\delta > 0$ and $x \neq y$

$$N_{x,y}(\lambda) = \Omega \left(\lambda^{\frac{n-1}{2}} (\log \lambda)^{\frac{P(-\mathcal{H}/2)}{h} - \delta} \right)$$

Here $P(-\mathcal{H}/2)/h \geq K_2/(2K_1) > 0$.

- γ - geodesic of length $l(\gamma)$. $P(f)$ is defined as

$$P(f) = \sup_{\mu} \left(h_{\mu} + \int f d\mu \right),$$

μ is G^t -invariant, h_{μ} - (measure-theoretic) entropy.

- Ex 1: $P(0) = h$ - **topological entropy** of G^t . Theorem (Margulis): $\#\{\gamma : l(\gamma) \leq T\} \sim e^{hT}/hT$.
- Ex. 2: $P(-\mathcal{H}) = 0$.
- **Theorem 3[JP]** If X is negatively-curved then for any $\delta > 0$ and $x \neq y$

$$N_{x,y}(\lambda) = \Omega \left(\lambda^{\frac{n-1}{2}} (\log \lambda)^{\frac{P(-\mathcal{H}/2)}{h} - \delta} \right)$$

Here $P(-\mathcal{H}/2)/h \geq K_2/(2K_1) > 0$.

Theorem 4a[JP] X - negatively-curved. For any $\delta > 0$

$$R_X(\lambda) = \Omega \left(\lambda^{\frac{n-1}{2}} (\log \lambda)^{\frac{P(-\mathcal{H}/2)}{h} - \delta} \right), \quad n = 2, 3.$$

Results for $n \geq 4$ involve heat invariants.

$$K = -1 \Rightarrow R_X(\lambda) = \Omega \left(\lambda^{\frac{n-1}{2}} (\log \lambda)^{\frac{1}{2} - \delta} \right)$$

Karnaukh, $n = 2$: estimate above + weaker estimates in variable negative curvature.

- **Global results:** $R(\lambda)$

Randol, $n = 2$:

$$K = -1 \Rightarrow R(\lambda) = \Omega \left((\log \lambda)^{\frac{1}{2} - \delta} \right), \quad \forall \delta > 0.$$

Theorem 4b[JPT] X - negatively-curved surface ($n = 2$). For any $\delta > 0$

$$R(\lambda) = \Omega \left((\log \lambda)^{\frac{P(-\mathcal{H}/2)}{h} - \delta} \right).$$

- **Conjecture (folklore).** On a **generic** negatively curved surface

$$R(\lambda) = O(\lambda^\epsilon) \quad \forall \epsilon > 0.$$

- **Global results:** $R(\lambda)$

Randol, $n = 2$:

$$K = -1 \Rightarrow R(\lambda) = \Omega \left((\log \lambda)^{\frac{1}{2} - \delta} \right), \quad \forall \delta > 0.$$

Theorem 4b[JPT] X - negatively-curved surface ($n = 2$). For any $\delta > 0$

$$R(\lambda) = \Omega \left((\log \lambda)^{\frac{P(-\mathcal{H}/2)}{h} - \delta} \right).$$

- **Conjecture (folklore).** On a **generic** negatively curved surface

$$R(\lambda) = O(\lambda^\epsilon) \quad \forall \epsilon > 0.$$

- **Selberg, Hejhal:** On general compact hyperbolic surfaces,

$$R(\lambda) = \Omega \left(\frac{(\log \lambda)^{\frac{1}{2}}}{\sqrt{\log \log \lambda}} \right).$$

- On compact arithmetic surfaces that correspond to quaternionic lattices $R(\lambda) = \Omega \left(\frac{\sqrt{\lambda}}{\log \lambda} \right)$. **Reason:** *exponentially high* multiplicities in the length spectrum; generically, X has *simple* length spectrum.
- In [JN], similar ideas are used to obtain lower bounds for resonances of infinite area hyperbolic surfaces.

- **Selberg, Hejhal:** On general compact hyperbolic surfaces,

$$R(\lambda) = \Omega \left(\frac{(\log \lambda)^{\frac{1}{2}}}{\sqrt{\log \log \lambda}} \right).$$

- On compact arithmetic surfaces that correspond to quaternionic lattices $R(\lambda) = \Omega \left(\frac{\sqrt{\lambda}}{\log \lambda} \right)$. **Reason:** *exponentially high* multiplicities in the length spectrum; generically, X has *simple* length spectrum.
- In [JN], similar ideas are used to obtain lower bounds for resonances of infinite area hyperbolic surfaces.

- **Selberg, Hejhal:** On general compact hyperbolic surfaces,

$$R(\lambda) = \Omega \left(\frac{(\log \lambda)^{\frac{1}{2}}}{\sqrt{\log \log \lambda}} \right).$$

- On compact arithmetic surfaces that correspond to quaternionic lattices $R(\lambda) = \Omega \left(\frac{\sqrt{\lambda}}{\log \lambda} \right)$. **Reason:** *exponentially high* multiplicities in the length spectrum; generically, X has *simple* length spectrum.
- In [JN], similar ideas are used to obtain lower bounds for resonances of infinite area hyperbolic surfaces.

General
Results

Negative
Curvature

Resonances

Proof:
Arithmetic
case

Proof: Weyl's
Law

Proof:
Spectral
Function

Subtracting
heat kernel
terms

We describe lower bounds for resonances obtained in [JN]. Let Γ be a *geometrically finite* subgroup of $\mathrm{PSL}(2, \mathbf{R})$ without elliptic elements. Fundamental domain $X = \Gamma \backslash \mathbf{H}^2$ has finitely many sides. Assume that X has *infinite* hyperbolic area: X decomposes into a finite area surface N (called *Nielsen region* or *convex core*) to which finitely many infinite area half-cylinders (*funnels*) are glued. If Γ has parabolic elements, then N has *cusps* (parabolic vertices); a surface without cusps is called *convex co-compact*; then Γ has no parabolic elements.

- The spectrum of $\Delta = y^2(\partial^2/\partial x^2 + \partial^2/\partial y^2)$ on X consists of the continuous spectrum $[1/4, +\infty]$ (no embedded eigenvalues) plus possibly a finite set of eigenvalues.
- The first nonzero eigenvalue $\lambda = \delta(1 - \delta)$, where δ is the Hausdorff dimension of the limit set $\Lambda(\Gamma) \subset S^1$ for the action of Γ , provided $\delta > 1/2$ (Patterson, Sullivan).
- The resolvent

$$R(\lambda) = \left(\Delta_X - \frac{1}{4} - \lambda^2 \right)^{-1} : L^2(X) \rightarrow L^2(X)$$

is well-defined and analytic in $\{\Im(\lambda) < 0\}$, except for finitely many poles corresponding to the finite point spectrum.

- The spectrum of $\Delta = y^2(\partial^2/\partial x^2 + \partial^2/\partial y^2)$ on X consists of the continuous spectrum $[1/4, +\infty]$ (no embedded eigenvalues) plus possibly a finite set of eigenvalues.
- The first nonzero eigenvalue $\lambda = \delta(1 - \delta)$, where δ is the Hausdorff dimension of the limit set $\Lambda(\Gamma) \subset S^1$ for the action of Γ , provided $\delta > 1/2$ (Patterson, Sullivan).
- The resolvent

$$R(\lambda) = \left(\Delta_X - \frac{1}{4} - \lambda^2 \right)^{-1} : L^2(X) \rightarrow L^2(X)$$

is well-defined and analytic in $\{\Im(\lambda) < 0\}$, except for finitely many poles corresponding to the finite point spectrum.

- The spectrum of $\Delta = y^2(\partial^2/\partial x^2 + \partial^2/\partial y^2)$ on X consists of the continuous spectrum $[1/4, +\infty]$ (no embedded eigenvalues) plus possibly a finite set of eigenvalues.
- The first nonzero eigenvalue $\lambda = \delta(1 - \delta)$, where δ is the Hausdorff dimension of the limit set $\Lambda(\Gamma) \subset S^1$ for the action of Γ , provided $\delta > 1/2$ (Patterson, Sullivan).
- The resolvent

$$R(\lambda) = \left(\Delta_X - \frac{1}{4} - \lambda^2 \right)^{-1} : L^2(X) \rightarrow L^2(X)$$

is well-defined and analytic in $\{\Im(\lambda) < 0\}$, except for finitely many poles corresponding to the finite point spectrum.

- *Resonances* are the poles of the resolvent $R(\lambda)$ in the whole \mathbf{C} . Their set is denoted by \mathcal{R}_X . Guillopé and Zworski showed that $\exists C > 0$ such that

$$1/C < \#\{z \in \mathcal{R}_X : |z| < R\}/R^2 < C, \quad R \rightarrow \infty.$$

- Finer asymptotics: let

$$N_C(T) = \#\{z \in \mathcal{R}_X : \Im(z) \leq C, |\Re(z)| \leq T\}.$$

- Zworski, Guillopé and Lin: “fractal” upper bound
Theorem 5. For convex co-compact X ,
 $N_C(T) = O(T^{1+\delta})$; where C is fixed, and $T \rightarrow \infty$.
 They conjectured the upper bound is sharp.

- *Resonances* are the poles of the resolvent $R(\lambda)$ in the whole \mathbf{C} . Their set is denoted by \mathcal{R}_X . Guillopé and Zworski showed that $\exists C > 0$ such that

$$1/C < \#\{z \in \mathcal{R}_X : |z| < R\}/R^2 < C, \quad R \rightarrow \infty.$$

- **Finer asymptotics:** let

$$N_C(T) = \#\{z \in \mathcal{R}_X : \Im(z) \leq C, |\Re(z)| \leq T\}.$$

- Zworski, Guillopé and Lin: “fractal” upper bound
Theorem 5. For convex co-compact X ,
 $N_C(T) = O(T^{1+\delta})$; where C is fixed, and $T \rightarrow \infty$.
They conjectured the upper bound is sharp.

- *Resonances* are the poles of the resolvent $R(\lambda)$ in the whole \mathbf{C} . Their set is denoted by \mathcal{R}_X . Guillopé and Zworski showed that $\exists C > 0$ such that

$$1/C < \#\{z \in \mathcal{R}_X : |z| < R\}/R^2 < C, \quad R \rightarrow \infty.$$

- Finer asymptotics: let

$$N_C(T) = \#\{z \in \mathcal{R}_X : \Im(z) \leq C, |\Re(z)| \leq T\}.$$

- Zworski, Guillopé and Lin: “fractal” upper bound
Theorem 5. For convex co-compact X ,
 $N_C(T) = O(T^{1+\delta})$; where C is fixed, and $T \rightarrow \infty$.
 They conjectured the upper bound is sharp.

- **Lower bounds:** Guillopé, Zworski: $\forall \epsilon > 0 \exists C_\epsilon > 0$, such that

$$N_{C_\epsilon}(T) = \Omega(T^{1-\epsilon}).$$

The proof uses a wave trace formula for resonances on X and takes into account contributions from a *single* closed geodesic on X .

- **Question:** Can one improve lower bounds taking into account contributions from *many* closed geodesics on X ?
- **Answer:** Yes, this is done in [JN].

- **Lower bounds:** Guillopé, Zworski: $\forall \epsilon > 0 \exists C_\epsilon > 0$, such that

$$N_{C_\epsilon}(T) = \Omega(T^{1-\epsilon}).$$

The proof uses a wave trace formula for resonances on X and takes into account contributions from a *single* closed geodesic on X .

- **Question:** Can one improve lower bounds taking into account contributions from *many* closed geodesics on X ?
- **Answer:** Yes, this is done in [JN].

- **Lower bounds:** Guillopé, Zworski: $\forall \epsilon > 0 \exists C_\epsilon > 0$, such that

$$N_{C_\epsilon}(T) = \Omega(T^{1-\epsilon}).$$

The proof uses a wave trace formula for resonances on X and takes into account contributions from a *single* closed geodesic on X .

- **Question:** Can one improve lower bounds taking into account contributions from *many* closed geodesics on X ?
- **Answer:** Yes, this is done in [JN].

- Guillopé, Lin, Zworski: let

$$\mathcal{D}(z) = \{\lambda \in \mathcal{R}_X : |\lambda - z| \leq 1\}$$

Then for all $z : \Im(z) \leq C$, we have $\mathcal{D}(z) = O(|\Re(z)|^\delta)$.

- Let $A > 0$, and let W_A denote the logarithmic neighborhood of the real axis:

$$W_A = \{\lambda \in \mathbf{C} : \Im \lambda \leq A \log(1 + |\Re \lambda|)\}$$

- **Theorem 6.** Let X be a geometrically finite hyperbolic surface of infinite area, and let $\delta > 1/2$. Then there exists a sequence $\{z_i\} \in W_A$, $\Re(z_i) \rightarrow \infty$ such that

$$\mathcal{D}(z_i) \geq (\log |\Re(z_i)|)^{\frac{\delta-1/2}{\delta}-\epsilon}.$$

- Guillopé, Lin, Zworski: let

$$\mathcal{D}(z) = \{\lambda \in \mathcal{R}_X : |\lambda - z| \leq 1\}$$

Then for all $z : \Im(z) \leq C$, we have $\mathcal{D}(z) = O(|\Re(z)|^\delta)$.

- Let $A > 0$, and let W_A denote the logarithmic neighborhood of the real axis:

$$W_A = \{\lambda \in \mathbf{C} : \Im \lambda \leq A \log(1 + |\Re \lambda|)\}$$

- **Theorem 6.** Let X be a geometrically finite hyperbolic surface of infinite area, and let $\delta > 1/2$. Then there exists a sequence $\{z_i\} \in W_A$, $\Re(z_i) \rightarrow \infty$ such that

$$\mathcal{D}(z_i) \geq (\log |\Re(z_i)|)^{\frac{\delta-1/2}{\delta}-\epsilon}.$$

- Guillopé, Lin, Zworski: let

$$\mathcal{D}(z) = \{\lambda \in \mathcal{R}_X : |\lambda - z| \leq 1\}$$

Then for all $z : \Im(z) \leq C$, we have $\mathcal{D}(z) = O(|\Re(z)|^\delta)$.

- Let $A > 0$, and let W_A denote the logarithmic neighborhood of the real axis:

$$W_A = \{\lambda \in \mathbf{C} : \Im \lambda \leq A \log(1 + |\Re \lambda|)\}$$

- **Theorem 6.** Let X be a geometrically finite hyperbolic surface of infinite area, and let $\delta > 1/2$. Then there exists a sequence $\{z_i\} \in W_A$, $\Re(z_i) \rightarrow \infty$ such that

$$\mathcal{D}(z_i) \geq (\log |\Re(z_i)|)^{\frac{\delta-1/2}{\delta}-\epsilon}.$$

- **Corollary:** If $\delta > 1/2$, then $W_A \cap \mathcal{R}_X$ is different from a lattice.
 - Examples of Γ such that $\delta(\Gamma) > 1/2$ are easy to construct. Pignataro, Sullivan: fix the topology of X . Denote by $l(X)$ the maximum length of the closed geodesics that form the boundary of N . Then $\lambda_0(X) \leq C(X)l(X)$, where $C = C(X)$ depends only on the topology of X . By Patterson-Sullivan, $\lambda_0 < 1/4 \Leftrightarrow \delta > 1/2$, so letting $l(X) \rightarrow 0$ gives many examples.
 - Proof of Theorem 6 uses (a version of Selberg) trace formula due to Guillopé and Zworski, and Dirichlet box principle.

- **Corollary:** If $\delta > 1/2$, then $W_A \cap \mathcal{R}_X$ is different from a lattice.
- Examples of Γ such that $\delta(\Gamma) > 1/2$ are easy to construct. Pignataro, Sullivan: fix the topology of X . Denote by $l(X)$ the maximum length of the closed geodesics that form the boundary of N . Then $\lambda_0(X) \leq C(X)l(X)$, where $C = C(X)$ depends only on the topology of X . By Patterson-Sullivan, $\lambda_0 < 1/4 \Leftrightarrow \delta > 1/2$, so letting $l(X) \rightarrow 0$ gives many examples.
- Proof of Theorem 6 uses (a version of Selberg) trace formula due to Guillopé and Zworski, and Dirichlet box principle.

- **Corollary:** If $\delta > 1/2$, then $W_A \cap \mathcal{R}_X$ is different from a lattice.
- Examples of Γ such that $\delta(\Gamma) > 1/2$ are easy to construct. Pignataro, Sullivan: fix the topology of X . Denote by $l(X)$ the maximum length of the closed geodesics that form the boundary of N . Then $\lambda_0(X) \leq C(X)l(X)$, where $C = C(X)$ depends only on the topology of X . By Patterson-Sullivan, $\lambda_0 < 1/4 \Leftrightarrow \delta > 1/2$, so letting $l(X) \rightarrow 0$ gives many examples.
- Proof of Theorem 6 uses (a version of Selberg) trace formula due to Guillopé and Zworski, and Dirichlet box principle.

- Theorem 6 gives a *logarithmic* lower bound $\mathcal{D}(z_i) \geq (\log |\Re(z_i)|)^{\frac{\delta-1/2}{\delta}-\epsilon}$ for an infinite sequence of disks $D(z_i, 1)$. Conjecture of Guillopé and Zworski would imply that $\forall \epsilon > 0 \exists \{z_i\}$ such that $\mathcal{D}(z_i) \geq |\Re(z_i)|^{\delta-\epsilon}$.
- **Question:** can one get *polynomial* lower bounds for some particular groups Γ ?
Answer: Yes. **Idea:** look at infinite index subgroups of arithmetic groups a la Selberg-Hejhal.
- **Theorem 7.** Let Γ be an infinite index geom. finite subgroup of an arithmetic group Γ_0 derived from a quaternion algebra. Let $\delta(\Gamma) > 3/4$. Then $\forall \epsilon > 0, \forall A > 0$, there exists $\{z_i\} \subset W_A, \Re(z_i) \rightarrow \infty$, such that

$$\mathcal{D}(z_i) \geq |\Re(z_i)|^{2\delta-3/2-\epsilon}.$$

- Theorem 6 gives a *logarithmic* lower bound $\mathcal{D}(z_i) \geq (\log |\Re(z_i)|)^{\frac{\delta-1/2}{\delta}-\epsilon}$ for an infinite sequence of disks $D(z_i, 1)$. Conjecture of Guillopé and Zworski would imply that $\forall \epsilon > 0 \exists \{z_i\}$ such that $\mathcal{D}(z_i) \geq |\Re(z_i)|^{\delta-\epsilon}$.
- **Question:** can one get *polynomial* lower bounds for some particular groups Γ ?
Answer: Yes. **Idea:** look at infinite index subgroups of arithmetic groups a la Selberg-Hejhal.
- **Theorem 7.** Let Γ be an infinite index geom. finite subgroup of an arithmetic group Γ_0 derived from a quaternion algebra. Let $\delta(\Gamma) > 3/4$. Then $\forall \epsilon > 0, \forall A > 0$, there exists $\{z_i\} \subset W_A, \Re(z_i) \rightarrow \infty$, such that

$$\mathcal{D}(z_i) \geq |\Re(z_i)|^{2\delta-3/2-\epsilon}.$$

- Theorem 6 gives a *logarithmic* lower bound $\mathcal{D}(z_i) \geq (\log |\Re(z_i)|)^{\frac{\delta-1/2}{\delta}-\epsilon}$ for an infinite sequence of disks $D(z_i, 1)$. Conjecture of Guillopé and Zworski would imply that $\forall \epsilon > 0 \exists \{z_i\}$ such that $\mathcal{D}(z_i) \geq |\Re(z_i)|^{\delta-\epsilon}$.
- **Question:** can one get *polynomial* lower bounds for some particular groups Γ ?
Answer: Yes. **Idea:** look at infinite index subgroups of arithmetic groups a la Selberg-Hejhal.
- **Theorem 7.** Let Γ be an infinite index geom. finite subgroup of an arithmetic group Γ_0 derived from a quaternion algebra. Let $\delta(\Gamma) > 3/4$. Then $\forall \epsilon > 0, \forall A > 0$, there exists $\{z_i\} \subset W_A, \Re(z_i) \rightarrow \infty$, such that

$$\mathcal{D}(z_i) \geq |\Re(z_i)|^{2\delta-3/2-\epsilon}.$$

Key ideas:

- Number of closed geodesics on X :

$$\#\{\gamma : l(\gamma) < T\} \sim \frac{e^{\delta T}}{\delta T}, \quad T \rightarrow \infty.$$

- Number of *distinct* closed geodesics in the arithmetic case: for Γ derived from a quaternion algebra, one has

$$\#\{L < T : L = l(\gamma)\} \ll e^{T/2}.$$

Accordingly, for $\delta > 1/2$, there exists *exponentially large* multiplicities in the length spectrum.

- Distinct lengths are well-separated in the arithmetic case: for $l_1 \neq l_2$, we have

$$|l_1 - l_2| \gg e^{-\max(l_1, l_2)/2}.$$

Ex: $M_1, M_2 \in \mathrm{SL}(2, \mathbf{Z})$, $\mathrm{tr}M_1 \neq \mathrm{tr}M_2$ then
 $|\mathrm{tr}M_1 - \mathrm{tr}M_2| = 2|\cosh(l_1/2) - \cosh(l_2/2)| \geq 1.$

Key ideas:

- Number of closed geodesics on X :

$$\#\{\gamma : l(\gamma) < T\} \sim \frac{e^{\delta T}}{\delta T}, \quad T \rightarrow \infty.$$

- Number of *distinct* closed geodesics in the arithmetic case: for Γ derived from a quaternion algebra, one has

$$\#\{L < T : L = l(\gamma)\} \ll e^{T/2}.$$

Accordingly, for $\delta > 1/2$, there exists *exponentially large* multiplicities in the length spectrum.

- Distinct lengths are well-separated in the arithmetic case: for $l_1 \neq l_2$, we have

$$|l_1 - l_2| \gg e^{-\max(l_1, l_2)/2}.$$

Ex: $M_1, M_2 \in \mathrm{SL}(2, \mathbf{Z})$, $\mathrm{tr}M_1 \neq \mathrm{tr}M_2$ then
 $|\mathrm{tr}M_1 - \mathrm{tr}M_2| = 2|\cosh(l_1/2) - \cosh(l_2/2)| \geq 1.$

Key ideas:

- Number of closed geodesics on X :

$$\#\{\gamma : l(\gamma) < T\} \sim \frac{e^{\delta T}}{\delta T}, \quad T \rightarrow \infty.$$

- Number of *distinct* closed geodesics in the arithmetic case: for Γ derived from a quaternion algebra, one has

$$\#\{L < T : L = l(\gamma)\} \ll e^{T/2}.$$

Accordingly, for $\delta > 1/2$, there exists *exponentially large* multiplicities in the length spectrum.

- Distinct lengths are well-separated in the arithmetic case: for $l_1 \neq l_2$, we have

$$|l_1 - l_2| \gg e^{-\max(l_1, l_2)/2}.$$

Ex: $M_1, M_2 \in \mathrm{SL}(2, \mathbf{Z})$, $\mathrm{tr}M_1 \neq \mathrm{tr}M_2$ then
 $|\mathrm{tr}M_1 - \mathrm{tr}M_2| = 2|\cosh(l_1/2) - \cosh(l_2/2)| \geq 1.$

Trace formula (Guillopé, Zworski): Let $\psi \in C_0^\infty((0, +\infty))$, and N - Nielsen region. Then (in case there are no cusps)

$$\sum_{\lambda \in \mathcal{R}_X} \widehat{\psi}(\lambda) = -\frac{V(N)}{4\pi} \int_0^{+\infty} \frac{\cosh(t/2)}{\sin^2(t/2)} \psi(t) dt$$

$$+ \sum_{\gamma \in \mathcal{P}} \sum_{k \geq 1} \frac{l(\gamma) \psi(kl(\gamma))}{2 \sinh(kl(\gamma)/2)},$$

where $\mathcal{P} = \{\text{primitive closed geodesics on } X\}$.

For $\alpha, t \gg 0$, we take

$$\psi_{t,\alpha}(x) = e^{-itx} \psi_0(x - \alpha),$$

where $\psi_0 \in C_0^\infty([-1, 1])$, $\psi \geq 0$, and $\psi_0 = 1$ on $[-1/2, 1/2]$.

- Geometric side (sum over closed geodesics):

$$S_{\alpha,t} = \sum_{\alpha-1 \leq kl(\gamma) \leq \alpha+1} \frac{l(\gamma)\psi_0(kl(\gamma) - \alpha)}{2 \sinh(kl(\gamma)/2)} e^{-itkl(\gamma)}.$$

- **Lemma 8:** $\exists A > 0$ s.t. $\forall T > 0$, if we let $\alpha = 2 \log T - A$,
and

$$J(T) = \int_T^{3T} \left(1 - \frac{|t - 2T|}{T}\right) |S_{\alpha,t}|^2 dt,$$

then

$$J(T) \geq \frac{C_2 T^{4\delta-2}}{(\log T)^2}.$$

- Geometric side (sum over closed geodesics):

$$S_{\alpha,t} = \sum_{\alpha-1 \leq kl(\gamma) \leq \alpha+1} \frac{l(\gamma)\psi_0(kl(\gamma) - \alpha)}{2 \sinh(kl(\gamma)/2)} e^{-itkl(\gamma)}.$$

- **Lemma 8:** $\exists A > 0$ s.t. $\forall T > 0$, if we let $\alpha = 2 \log T - A$, and

$$J(T) = \int_T^{3T} \left(1 - \frac{|t - 2T|}{T}\right) |S_{\alpha,t}|^2 dt,$$

then

$$J(T) \geq \frac{C_2 T^{4\delta-2}}{(\log T)^2}.$$

Lemma 8 \Rightarrow Theorem 7: Assume for contradiction that for all $z \in W_A$, $\Re(z) \geq R_0$ we have $\mathcal{D}(z) \leq |\Re(z)|^\beta$. Let $\alpha = 2 \log T - A$. We have

$$\frac{C_2 T^{1+4\delta-3}}{(\log T)^2} \leq J(T) \leq \int_T^{3T} |S_{\alpha, T}|^2 dt.$$

Assumption implies that

$$S_{\alpha, T} = O(1 + t^\beta + T^{2\delta-3}).$$

Integrating, we find that

$$J(T) = O(T^{2\beta+1}).$$

This leads to a contradiction if $2\beta + 1 < 1 + 4\delta - 3$, or $\beta < 2\delta - 3/2$, proving Theorem 7.

Proof of Lemma 8 uses the fact that geodesic lengths on X have exponentially high multiplicities and their lengths are well-separated.

After expanding $|\mathcal{S}_{\alpha, T}^2|$ and integrating, we write $J(T) = J_1(T) + J_2(T)$, where $J_1(T)$ is the *diagonal* term

$$J_1(T) = T \sum_{l \in \mathcal{L}(\Gamma)} \frac{(l^\# \mu(l))^2 \psi_0^2(l - \alpha)}{4 \sinh^2(l/2)},$$

where \mathcal{L}_Γ denotes set of distinct lengths of closed geodesics on X ; $\mu(l)$ is the multiplicity of l ; $l^\#$ the primitive length of a closed geodesic.

$J_1(T) \geq 0$, and $J_2(T)$ denotes the off-diagonal term. $J_2(T)$ involves integrals $\int_T^{3T} (1 - |t - 2T|/T) e^{i(l_1 - l_2)t} dt$, where $l_1 \leq l_2$. Since distinct l_j -s are well-separated, we get cancellation in $J_2(T)$. One can show that $|J_2(T)| \leq J_1(T)/2$ with α, T chosen as in Lemma.

General
Results

Negative
Curvature

Resonances

Proof:
Arithmetic
case

Proof: Weyl's
Law

Proof:
Spectral
Function

Subtracting
heat kernel
terms

- It remains to bound $J_1(T)$ from below. $\psi_0(l - \alpha)$ is supported on $[\alpha - 1, \alpha + 1]$. The denominator $4 \sinh^2(l/2)$ is of order e^α . We find that

$$J_1(T) \geq C_3 T e^{-\alpha} \sum_{l \in \mathcal{L}_\Gamma \cap [\alpha - 1/2, \alpha + 1/2]} (\mu(l))^2.$$

- Call the last sum S . Then

$$S \geq \frac{\left(\sum_{l \in \mathcal{L}_\Gamma \cap [\alpha - 1/2, \alpha + 1/2]} \mu(l) \right)^2}{\left(\sum_{l \in \mathcal{L}_\Gamma \cap [\alpha - 1/2, \alpha + 1/2]} 1 \right)}$$

The numerator is $\gg [e^{\delta\alpha}/\alpha]^2$ by the prime geodesic theorem. The denominator is $O(e^{\alpha/2})$ (since the lengths are well-separated). Hence $S \gg e^{(2\delta-1/2)\alpha}/\alpha^2$. Substituting $J(T) \gg S \cdot T/e^\alpha$, $\alpha = 2 \log T - A$, we get $J(T) \gg T^{4\delta-2}/(\log T)^2$, proving Lemma 8.

- It remains to bound $J_1(T)$ from below. $\psi_0(l - \alpha)$ is supported on $[\alpha - 1, \alpha + 1]$. The denominator $4 \sinh^2(l/2)$ is of order e^α . We find that

$$J_1(T) \geq C_3 T e^{-\alpha} \sum_{l \in \mathcal{L}_\Gamma \cap [\alpha - 1/2, \alpha + 1/2]} (\mu(l))^2.$$

- Call the last sum S . Then

$$S \geq \frac{\left(\sum_{l \in \mathcal{L}_\Gamma \cap [\alpha - 1/2, \alpha + 1/2]} \mu(l) \right)^2}{\left(\sum_{l \in \mathcal{L}_\Gamma \cap [\alpha - 1/2, \alpha + 1/2]} 1 \right)}$$

The numerator is $\gg [e^{\delta\alpha}/\alpha]^2$ by the prime geodesic theorem. The denominator is $O(e^{\alpha/2})$ (since the lengths are well-separated). Hence $S \gg e^{(2\delta-1/2)\alpha}/\alpha^2$. Substituting $J(T) \gg S \cdot T/e^\alpha$, $\alpha = 2 \log T - A$, we get $J(T) \gg T^{4\delta-2}/(\log T)^2$, proving Lemma 8.

General
Results

Negative
Curvature

Resonances

Proof:
Arithmetic
case

Proof: Weyl's
Law

Proof:
Spectral
Function

Subtracting
heat kernel
terms

Examples of an “arithmetic” groups Γ_N with $\delta > 3/4$ are subgroups of index 2 of the groups Λ_N constructed by A. Gamburd in 2002. Gamburd showed that $\delta(\Lambda_N) \rightarrow 1$ as $N \rightarrow \infty$, hence $\delta(\Gamma_N) > 3/4$ for large enough N .

Proof of Theorem 4b: (about $R(\lambda)$). X -compact, negatively-curved surface.

Wave trace on X (even part):

$$e(t) = \sum_{i=0}^{\infty} \cos(\sqrt{\lambda_i} t).$$

Cut-off: $\chi(t, T) = (1 - \psi(t))\hat{\rho}\left(\frac{t}{T}\right)$, where

- $\rho \in \mathcal{S}(\mathbf{R})$, $\text{supp } \hat{\rho} \subset [-1, +1]$, $\rho \geq 0$, even;
- $\psi(t) \in C_0^\infty(\mathbf{R})$, $\psi(t) \equiv 1$, $t \in [-T_0, T_0]$, and $\psi(t) \equiv 0$, $|t| \geq 2T_0$.

In the sequel, $T = T(\lambda) \rightarrow \infty$ as $\lambda \rightarrow \infty$. Let

$$\kappa(\lambda, T) = \frac{1}{T} \int_{-\infty}^{\infty} e(t)\chi(t, T) \cos(\lambda t) dt$$

- **Key microlocal result:**

Proposition 9. Let $T = T(\lambda) \leq \epsilon \log \lambda$. Then

$$\kappa(\lambda, T) = \sum_{l(\gamma) \leq T} \frac{l(\gamma)^{\#} \cos(\lambda l(\gamma)) \cdot \chi(l(\gamma), T)}{T \sqrt{|\det(I - \mathcal{P}_{\gamma})|}} + O(1)$$

where

γ - closed geodesic; $l(\gamma)$ - length; $l(\gamma)^{\#}$ -primitive period; \mathcal{P}_{γ} - Poincaré map.

- *Long-time* version of the “wave trace” formula of Duistermaat and Guillemin, microlocalized to shrinking neighborhoods of closed geodesics. Allows to isolate contribution from a **growing number** of closed geodesics with $l(\gamma) \leq T(\lambda)$ to $\kappa(\lambda, T)$ as $\lambda, T(\lambda) \rightarrow \infty$.

- **Key microlocal result:**

Proposition 9. Let $T = T(\lambda) \leq \epsilon \log \lambda$. Then

$$\kappa(\lambda, T) = \sum_{l(\gamma) \leq T} \frac{l(\gamma)^{\#} \cos(\lambda l(\gamma)) \cdot \chi(l(\gamma), T)}{T \sqrt{|\det(I - \mathcal{P}_{\gamma})|}} + O(1)$$

where

γ - closed geodesic; $l(\gamma)$ - length; $l(\gamma)^{\#}$ -primitive period; \mathcal{P}_{γ} - Poincaré map.

- *Long-time* version of the “wave trace” formula of Duistermaat and Guillemin, microlocalized to shrinking neighborhoods of closed geodesics. Allows to isolate contribution from a **growing number** of closed geodesics with $l(\gamma) \leq T(\lambda)$ to $\kappa(\lambda, T)$ as $\lambda, T(\lambda) \rightarrow \infty$.

General
Results

Negative
Curvature

Resonances

Proof:
Arithmetic
case

Proof: Weyl's
Law

Proof:
Spectral
Function

Subtracting
heat kernel
terms

- **Proof** - separation of closed geodesics in phase space + small-scale microlocalization near closed geodesics.
- **Dynamical lemma:** Let X - compact, negatively curved manifold. $\Omega(\gamma, r)$ - neighborhood of γ in S^*X of radius r (cylinder). There exist constants $B > 0, a > 0$ s.t. for all closed geodesics on X with $l(\gamma) \in [T - a, T]$, the neighborhoods $\Omega(\gamma, e^{-BT})$ are disjoint, provided $T > T_0$.
Radius $r = e^{-BT}$ is exponentially small in T , since the number of closed geodesic grows exponentially.

General
Results

Negative
Curvature

Resonances

Proof:
Arithmetic
case

Proof: Weyl's
Law

Proof:
Spectral
Function

Subtracting
heat kernel
terms

- **Proof** - separation of closed geodesics in phase space + small-scale microlocalization near closed geodesics.
- **Dynamical lemma:** Let X - compact, negatively curved manifold. $\Omega(\gamma, r)$ - neighborhood of γ in S^*X of radius r (cylinder). There exist constants $B > 0, a > 0$ s.t. for all closed geodesics on X with $l(\gamma) \in [T - a, T]$, the neighborhoods $\Omega(\gamma, e^{-BT})$ are disjoint, provided $T > T_0$.
Radius $r = e^{-BT}$ is exponentially small in T , since the number of closed geodesic grows exponentially.

- **Lemma 10.** If $R(\lambda) = o((\log \lambda)^b)$, $b > 0$ then

$$\kappa(\lambda, T) = o((\log \lambda)^b).$$

Goal: estimate $\kappa(\lambda, T)$ from below. Need to extract long exponential sums as the leading asymptotics of the long-time wave trace expansion.

- Consider the sum

$$S(T) = \sum_{l(\gamma) \leq T} \frac{l(\gamma)}{\sqrt{|\det(I - \mathcal{P}_\gamma)|}}$$

- \mathcal{P}_γ preserves stable and unstable subspaces.
Dimension 2: eigenvalues are $\exp \left[\pm \int_\gamma \mathcal{H}(\gamma(s), \gamma'(s)) ds \right]$.

- **Lemma 10.** If $R(\lambda) = o((\log \lambda)^b)$, $b > 0$ then

$$\kappa(\lambda, T) = o((\log \lambda)^b).$$

Goal: estimate $\kappa(\lambda, T)$ from below. Need to extract long exponential sums as the leading asymptotics of the long-time wave trace expansion.

- Consider the sum

$$S(T) = \sum_{l(\gamma) \leq T} \frac{l(\gamma)}{\sqrt{|\det(I - \mathcal{P}_\gamma)|}}$$

- \mathcal{P}_γ preserves stable and unstable subspaces.
Dimension 2: eigenvalues are $\exp \left[\pm \int_\gamma \mathcal{H}(\gamma(s), \gamma'(s)) ds \right]$.

- **Lemma 10.** If $R(\lambda) = o((\log \lambda)^b)$, $b > 0$ then

$$\kappa(\lambda, T) = o((\log \lambda)^b).$$

Goal: estimate $\kappa(\lambda, T)$ from below. Need to extract long exponential sums as the leading asymptotics of the long-time wave trace expansion.

- Consider the sum

$$S(T) = \sum_{l(\gamma) \leq T} \frac{l(\gamma)}{\sqrt{|\det(I - \mathcal{P}_\gamma)|}}$$

- \mathcal{P}_γ preserves stable and unstable subspaces. Dimension 2: eigenvalues are $\exp \left[\pm \int_\gamma \mathcal{H}(\gamma(s), \gamma'(s)) ds \right]$.

- $\mathcal{P}_\gamma - Id$ is conjugate to

$$\begin{pmatrix} \exp \left[\int_\gamma \mathcal{H} \right] - 1 & 0 \\ 0 & \exp \left[- \int_\gamma \mathcal{H} \right] - 1 \end{pmatrix}$$

Thus, $S(T)$ is asymptotic to

$$\sum_{l(\gamma) \leq T} l(\gamma) \exp \left[- \frac{1}{2} \int_\gamma \mathcal{H} \right].$$

Results of Parry and Pollicott \Rightarrow

- Theorem 11.** As $T \rightarrow \infty$,

$$S(T) \sim \frac{e^{P(-\frac{\mathcal{H}}{2}) \cdot T}}{P(-\mathcal{H}/2)}$$

Here $P(-\frac{\mathcal{H}}{2}) \geq (n-1)K_2/2$.

- $\mathcal{P}_\gamma - Id$ is conjugate to

$$\begin{pmatrix} \exp \left[\int_\gamma \mathcal{H} \right] - 1 & 0 \\ 0 & \exp \left[- \int_\gamma \mathcal{H} \right] - 1 \end{pmatrix}$$

Thus, $S(T)$ is asymptotic to

$$\sum_{l(\gamma) \leq T} l(\gamma) \exp \left[-\frac{1}{2} \int_\gamma \mathcal{H} \right].$$

Results of Parry and Pollicott \Rightarrow

- Theorem 11.** As $T \rightarrow \infty$,

$$S(T) \sim \frac{e^{P(-\frac{\mathcal{H}}{2}) \cdot T}}{P(-\mathcal{H}/2)}$$

Here $P(-\frac{\mathcal{H}}{2}) \geq (n-1)K_2/2$.

Dirichlet box principle \Rightarrow “straighten the phases:” $\exists \lambda$ s.t.

$$\cos(\lambda l(\gamma)) > \nu > 0, \forall \gamma : l(\gamma) \leq T.$$

$(\lambda l(\gamma))$ close to $2\pi\mathbf{Z}$. This combined with Theorem 11 shows that $\exists \lambda, T$ s.t.

$$\kappa(\lambda, T) \sim \frac{\exp[P(-\frac{\mathcal{H}}{2}) T(1 - \delta/2)]}{T}$$

This leads to contradiction with Lemma 10. Q.E.D.

For Dirichlet principle need $T \asymp \ln \ln \lambda$, So, get logarithmic lower bound in Theorem 4b.

Proof of Theorem 3: $N(x, y, \lambda)$

Wave kernel on X :

$$e(t, x, y) = \sum_{i=0}^{\infty} \cos(\sqrt{\lambda_i} t) \phi_i(x) \phi_i(y),$$

fundamental solution of the wave equation

$$\begin{aligned} (\partial^2 / \partial t^2 - \Delta) e(t, x, y) &= 0, \quad e(0, x, y) = \delta(x - y), \\ (\partial / \partial t) e(0, x, y) &= 0. \end{aligned}$$

$$k_{\lambda, T}(x, y) = \int_{-\infty}^{\infty} \frac{\psi(t/T)}{T} \cos(\lambda t) e(t, x, y) dt$$

where $\psi \in C_0^\infty([-1, 1])$, even, monotone decreasing on $[0, 1]$, $\psi \geq 0$, $\psi(0) = 1$.

General
Results

Negative
Curvature

Resonances

Proof:
Arithmetic
case

Proof: Weyl's
Law

Proof:
Spectral
Function

Subtracting
heat kernel
terms

Lemma 10a If $N_{x,y}(\lambda) = o(\lambda^a(\log \lambda)^b)$, where $a > 0, b > 0$
then

$$k_{\lambda,T}(x, y) = o(\lambda^a(\log \lambda)^b).$$

- **Pretrace formula.** M - universal cover of X , no conjugate points, $E(t, x, y)$ be the wave kernel on M . Then for $x, y \in X$, we have

$$e(t, x, y) = \sum_{\omega \in \pi_1(X)} E(t, x, \omega y)$$

- *Hadamard Parametrix* for $E(t, x, y) \Rightarrow$

$$K_{\lambda, T}(x, y) \sim_{\lambda \rightarrow \infty} Q_1 \lambda^{\frac{n-1}{2}} \times \sum_{\omega \in \pi_1(X): d(x, \omega y) \leq T}$$

$$\frac{\psi\left(\frac{d(x, \omega y)}{T}\right) \sin(\lambda d(x, \omega y) + \theta_n)}{\sqrt{Tg(x, \omega y) d(x, \omega y)^{n-1}}} + O\left[\lambda^{\frac{n-3}{2}} e^{O(T)}\right].$$

Here $g = \sqrt{\det g_{ij}}$ in normal coordinates, $\theta_n = (\pi/4)(3 - (n \bmod 8))$, and $Q_1 \neq 0$.

- **Pretrace formula.** M - universal cover of X , no conjugate points, $E(t, x, y)$ be the wave kernel on M . Then for $x, y \in X$, we have

$$e(t, x, y) = \sum_{\omega \in \pi_1(X)} E(t, x, \omega y)$$

- *Hadamard Parametrix* for $E(t, x, y) \Rightarrow$

$$K_{\lambda, T}(x, y) \sim_{\lambda \rightarrow \infty} Q_1 \lambda^{\frac{n-1}{2}} \times \sum_{\omega \in \pi_1(X): d(x, \omega y) \leq T}$$

$$\frac{\psi\left(\frac{d(x, \omega y)}{T}\right) \sin(\lambda d(x, \omega y) + \theta_n)}{\sqrt{Tg(x, \omega y) d(x, \omega y)^{n-1}}} + O\left[\lambda^{\frac{n-3}{2}} e^{O(T)}\right].$$

Here $g = \sqrt{\det g_{ij}}$ in normal coordinates, $\theta_n = (\pi/4)(3 - (n \bmod 8))$, and $Q_1 \neq 0$.

- Pointwise analog of the sum $S(T)$:

$$S_{x,y}(T) = \sum_{\omega: d(x,\omega y) \leq T} \frac{1}{\sqrt{g(x,\omega y) d(x,\omega y)^{n-1}}},$$

where $g = \sqrt{\det g_{ij}}$ in normal coordinates at x . $S_{x,y}(T)$ grows at the same rate as $S(T)$.

- Reason:** let $x, y \in M$, γ - geodesic from x to y , $\xi = (x, \gamma'(0))$, and $\text{dist}(x, y) = r$. Then $\sqrt{g(x, y) r^{n-1}} \ll \text{Jac}_{\text{Vert}(\xi)} G^r$. Here $\text{Vert}(\xi) \in T_\xi SM$ - vertical subspace; $E_\xi^u \in T_\xi SM$ - unstable subspace at ξ . By properties of Anosov flows, $\text{Dist}[DG^r(\text{Vert}(\xi)), DG^r(E_\xi^u)] \leq Ce^{-\alpha r}$. Therefore, $\text{Jac}_{\text{Vert}(\xi)} G^r \ll \text{Jac}_{E_\xi^u} G^r = \exp \left[\int_\gamma \mathcal{H} \right]$

- Pointwise analog of the sum $S(T)$:

$$S_{x,y}(T) = \sum_{\omega: d(x,\omega y) \leq T} \frac{1}{\sqrt{g(x,\omega y) d(x,\omega y)^{n-1}}},$$

where $g = \sqrt{\det g_{ij}}$ in normal coordinates at x . $S_{x,y}(T)$ grows at the same rate as $S(T)$.

- Reason:** let $x, y \in M$, γ - geodesic from x to y , $\xi = (x, \gamma'(0))$, and $\text{dist}(x, y) = r$. Then

$$\sqrt{g(x, y) r^{n-1}} \ll \text{Jac}_{\text{Vert}(\xi)} G^r.$$

Here $\text{Vert}(\xi) \in T_\xi SM$ - vertical subspace; $E_\xi^u \in T_\xi SM$ - unstable subspace at ξ .

By properties of Anosov flows,

$\text{Dist}[DG^r(\text{Vert}(\xi)), DG^r(E_\xi^u)] \leq Ce^{-\alpha r}$. Therefore,

$$\text{Jac}_{\text{Vert}(\xi)} G^r \ll \text{Jac}_{E_\xi^u} G^r = \exp \left[\int_\gamma \mathcal{H} \right]$$

General
Results

Negative
Curvature

Resonances

Proof:
Arithmetic
case

Proof: Weyl's
Law

Proof:
Spectral
Function

Subtracting
heat kernel
terms

Our **local** estimates are not uniform in x, y . Need Proposition 9 to prove **global** estimates.

Heat trace asymptotics:

$$\sum_i e^{-\lambda_i t} \sim \frac{1}{(4\pi)^{n/2}} \sum_{j=0}^{\infty} a_j t^{j-\frac{n}{2}}, \quad t \rightarrow 0^+$$

Local: $\mathcal{K}(t, x, x) = \sum_i e^{-\lambda_i t} \phi_i^2(x) \sim$

$$\frac{1}{(4\pi)^{n/2}} \sum_{j=0}^{\infty} a_j(x) t^{j-\frac{n}{2}},$$

$a_j(x)$ - **local heat invariants**, $a_j = \int_X a_j(x) dx$.

$a_0(x) = 1$, $a_0 = \text{vol}(X)$. $a_1(x) = \frac{\tau(x)}{6}$, $\tau(x)$ - **scalar curvature**.

“Heat kernel” estimates:**Theorem 2b**[JP] If the scalar curvature

$$\tau(x) \neq 0, \implies R_x(\lambda) = \Omega(\lambda^{n-2}).$$

Global:[JPT] If $\int_X \tau \neq 0, \implies R(\lambda) = \Omega(\lambda^{n-2}).$ **Remark:** if $\tau(x) = 0$, let $k = k(x)$ be the first positive number such that the k -th local heat invariant $a_k(x) \neq 0$. If $n - 2k(x) > 0$, then

$$R_x(\lambda) = \Omega(\lambda^{n-2k(x)}).$$

Similar result holds for $R(\lambda)$: if $\int a_k(x) dx \neq 0$ and $n - 2k > 0$, then

$$R(\lambda) = \Omega(\lambda^{n-2k}).$$

- **Oscillatory error term:** subtract $[(n-1)/2]$ terms coming from the heat trace:

$$N_X(\lambda) = \sum_{j=0}^{\lfloor \frac{n-1}{2} \rfloor} \frac{a_j(x)\lambda^{n-2j}}{(4\pi)^{\frac{n}{2}} \Gamma(\frac{n}{2}-j+1)} + R_X^{osc}(\lambda)$$

Warning: **not** an asymptotic expansion!

Physicists: subtract the “mean smooth part” of $N_X(\lambda)$.

- **Theorem 2c[JP]** If $x \in X$ is not conjugate to itself along any shortest geodesic loop, then

$$R_X^{osc}(\lambda) = O(\lambda^{\frac{n-1}{2}})$$

Theorem 4c[JP] X - negatively-curved. For any $\delta > 0$

$$R_X^{osc}(\lambda) = O\left(\lambda^{\frac{n-1}{2}} (\log \lambda)^{\frac{P(-\mathcal{H}/2)}{h} - \delta}\right), \text{ any } n.$$

If $n \geq 4$ then Theorem 2b, $R_X(\lambda) = O(\lambda^{n-2})$ gives a better bound for $R_X(\lambda)$.

- **Global Conjecture:** X - negatively-curved. For any $\delta > 0$
- $$R^{osc}(\lambda) = O\left((\log \lambda)^{\frac{P(-\mathcal{H}/2)}{h} - \delta}\right), \text{ any } n.$$

- **Oscillatory error term:** subtract $[(n-1)/2]$ terms coming from the heat trace:

$$N_X(\lambda) = \sum_{j=0}^{\lfloor \frac{n-1}{2} \rfloor} \frac{a_j(x) \lambda^{n-2j}}{(4\pi)^{\frac{n}{2}} \Gamma(\frac{n}{2}-j+1)} + R_X^{osc}(\lambda)$$

Warning: **not** an asymptotic expansion!

Physicists: subtract the “mean smooth part” of $N_X(\lambda)$.

- **Theorem 2c[JP]** If $x \in X$ is not conjugate to itself along any shortest geodesic loop, then

$$R_X^{osc}(\lambda) = \Omega(\lambda^{\frac{n-1}{2}})$$

Theorem 4c[JP] X - negatively-curved. For any $\delta > 0$

$$R_X^{osc}(\lambda) = \Omega\left(\lambda^{\frac{n-1}{2}} (\log \lambda)^{\frac{P(-\mathcal{H}/2)}{h} - \delta}\right), \text{ any } n.$$

If $n \geq 4$ then Theorem 2b, $R_X(\lambda) = \Omega(\lambda^{n-2})$ gives a better bound for $R_X(\lambda)$.

- **Global Conjecture:** X - negatively-curved. For any $\delta > 0$

$$R^{osc}(\lambda) = \Omega\left((\log \lambda)^{\frac{P(-\mathcal{H}/2)}{h} - \delta}\right), \text{ any } n.$$

- **Oscillatory error term:** subtract $[(n-1)/2]$ terms coming from the heat trace:

$$N_X(\lambda) = \sum_{j=0}^{\lfloor \frac{n-1}{2} \rfloor} \frac{a_j(x) \lambda^{n-2j}}{(4\pi)^{\frac{n}{2}} \Gamma(\frac{n}{2}-j+1)} + R_X^{osc}(\lambda)$$

Warning: **not** an asymptotic expansion!

Physicists: subtract the “mean smooth part” of $N_X(\lambda)$.

- **Theorem 2c[JP]** If $x \in X$ is not conjugate to itself along any shortest geodesic loop, then

$$R_X^{osc}(\lambda) = \Omega(\lambda^{\frac{n-1}{2}})$$

Theorem 4c[JP] X - negatively-curved. For any $\delta > 0$

$$R_X^{osc}(\lambda) = \Omega\left(\lambda^{\frac{n-1}{2}} (\log \lambda)^{\frac{P(-\mathcal{H}/2)}{h} - \delta}\right), \text{ any } n.$$

If $n \geq 4$ then Theorem 2b, $R_X(\lambda) = \Omega(\lambda^{n-2})$ gives a better bound for $R_X(\lambda)$.

- **Global Conjecture:** X - negatively-curved. For any $\delta > 0$

$$R^{osc}(\lambda) = \Omega\left((\log \lambda)^{\frac{P(-\mathcal{H}/2)}{h} - \delta}\right), \text{ any } n.$$

General
Results

Negative
Curvature

Resonances

Proof:
Arithmetic
case

Proof: Weyl's
Law

Proof:
Spectral
Function

Subtracting
heat kernel
terms

The behavior of $N(x, y, \lambda)/(\lambda^{(n-1)/2})$ was studied by Lapointe, Polterovich and Safarov.

[LPS] *Average growth of the spectral function on a Riemannian manifold.* arXiv:0803.4171, to appear in Comm. PDE.