

# SPECTRAL SEQUENCES

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## 1. INTRODUCTION

**Definition 1.** Let  $a \geq 1$ . An  $a$ -th stage spectral (cohomological) sequence consists of the following data:

- bigraded objects  $E_r = \bigoplus_{p,q \in \mathbb{Z}} E_r^{p,q}$ ,  $r \geq a$
- differentials  $d_r : E_r \rightarrow E_r$  such that  $d_r(E_r^{p,q}) \subseteq E_r^{p+r, q-r+1}$

satisfying  $H(E_r) = E_{r+1}$ , i.e.,

$$E_{r+1}^{p,q} = \frac{\ker(E_r^{p,q} \rightarrow E_r^{p+r, q-r+1})}{\text{im}(E_r^{p-r, q+r-1} \rightarrow E_r^{p,q})}.$$

We usually draw the  $r$ -th stage of a spectral sequence in a tabular format with  $p$  increasing horizontally to the left and  $q$  increasing vertically to the right:

$$\begin{array}{cccccc}
 E_0^{0,1} & E_0^{1,1} & E_0^{2,1} & E_0^{3,1} & \cdots \\
 \uparrow & \uparrow & \uparrow & \uparrow & \\
 E_0^{0,0} & E_0^{1,0} & E_0^{2,0} & E_0^{3,0} & \cdots \\
 \\
 E_1^{0,1} & \longrightarrow & E_1^{1,1} & \longrightarrow & E_1^{2,1} & \longrightarrow & E_1^{3,1} & \longrightarrow & \cdots \\
 \\
 E_1^{0,0} & \longrightarrow & E_1^{1,0} & \longrightarrow & E_1^{2,0} & \longrightarrow & E_1^{3,0} & \longrightarrow & \cdots \\
 \\
 E_2^{0,1} & & E_2^{1,1} & & E_2^{2,1} & & E_2^{3,1} & & \cdots \\
 & \searrow & & \searrow & & \searrow & & \searrow & \\
 E_2^{0,0} & & E_2^{1,0} & & E_2^{2,0} & & E_2^{3,0} & & \cdots
 \end{array}$$

## 2. THE SPECTRAL SEQUENCE OF A FILTERED COMPLEX

Let  $K^\cdot = F^0 K^\cdot \supseteq F^1 K^\cdot \supseteq \cdots$  be a filtered complex (i.e., each object  $K^n$  in the complex  $K^\cdot$  is filtered and the differentials of the complex  $K^\cdot$  respect the filtration). We set  $\text{Gr}^p K^\cdot = F^p K^\cdot / F^{p+1} K^\cdot$ . Note that the filtration on  $K^\cdot$  induces a filtration  $H(K^\cdot) = F^0 H(K^\cdot) \supseteq F^1 H(K^\cdot) \supseteq \cdots$  on cohomology in a natural way. Given this setup, one may prove the following.

**Theorem 2.** *Suppose that  $K^\cdot$  is a nonnegative filtered complex (i.e.,  $K^n = 0$  if  $n < 0$ ). Then there exists a spectral sequence  $E_r^{p,q}$  satisfying*

- $E_0^{p,q} = \text{Gr}^p K^{p+q}$
- $E_1^{p,q} = H^{p+q}(\text{Gr}^p K^\cdot)$
- $E_r^{p,q} = \text{Gr}^p H^{p+q}(K^\cdot)$  for  $r = r(p, q) \gg 0$ .

*Sketch of proof.* Set  $E_0^{p,q} = \text{Gr}^p K^{p+q}$ . As differential of the complex  $K^\cdot$  respects the filtration, it induces a map  $d_0 : E_0^{p,q} \rightarrow E_0^{p,q+1}$ . To realize  $E_r^{p,q}$ ,  $r \geq 1$ , one defines the set

$$Z_r^{p,q} = \{x \in F^p K^{p+q} \mid dx \in F^{p+r} K^{p+q+1}\}$$

of ‘cocycles modulo  $F^{p+r} K^{p+q+1}$ ’ and sets

$$E_r^{p,q} = Z_r^{p,q}/(\text{appropriate coboundaries}).$$

The differential  $d$  then induces the map  $d_r : E_r \rightarrow E_r$  (it is at least evident from the above that we get a map  $Z_r^{p,q} \rightarrow Z_r^{p+r, q-r+1}$ ). It remains to check the desired properties of these object. We omit this; for details see [3, Ch. XX, Proposition 9.1].  $\square$

The spectral sequence whose existence is asserted in the above theorem is an example of a *first quadrant spectral sequence*, by definition a spectral sequence such that  $E_r^{p,q}$  is zero unless  $p, q \geq 0$ . It is easy to see that in a first quadrant spectral sequence,  $E_r^{p,q} = E_{r+1}^{p,q} = \dots$  if  $r > \max(p, q + 1)$ . In the situation of the theorem, this stable value is  $\text{Gr}^p H^{p+q}(K^\cdot)$ .

### 3. CONVERGENCE OF SPECTRAL SEQUENCES

Let  $E_r^{p,q}$  be a spectral sequence, and suppose that for every pair  $(p, q)$ , the term  $E_r^{p,q}$  stabilizes as  $r \rightarrow \infty$  (a first quadrant spectral sequence, for example). Denote this stable value by  $E_\infty^{p,q}$ . Let  $H^n$  be a collection of objects with *finite* filtrations

$$0 \subseteq F^s H^n \subseteq \dots \subseteq F^t H^n = H^n.$$

We say that  $E_r^{p,q}$  converges to  $H^\cdot$ , and write  $E_r^{p,q} \Rightarrow H^{p+q}$ , if

$$E_\infty^{p,q} = F^p H^{p+q} / F^{p+1} H^{p+q} = \text{Gr}^p H^{p+q}.$$

*Example 3.* Suppose  $K^\cdot$  is a nonnegative filtered complex. Then by Theorem 2, we have a convergent spectral sequence

$$E_1^{p,q} = H^{p+q}(\text{Gr}^p K^\cdot) \Rightarrow H^{p+q}(K^\cdot).$$

Given this definition of convergence, one is led immediately to ask to what extent the limit of a spectral sequence is determined by the sequence itself. The next two lemmas give some basic though useful results in this direction.

**Lemma 4.** *Suppose  $E_r^{p,q} \Rightarrow H^{p+q}$ .*

- (1) *If  $E_\infty^{p,q} = 0$  unless  $q = q_0$ , then  $H^n = E_\infty^{n-q_0, q_0}$ .*
- (2) *If  $E_\infty^{p,q} = 0$  unless  $p = p_0$ , then  $H^n = E_\infty^{p_0, n-p_0}$ .*

*Proof.* We prove (1), the proof of (2) being similar. Consider the filtration

$$0 \subseteq \dots \subseteq F^{n-q_0+1} H^n \subseteq F^{n-q_0} H^n \subseteq F^{n-q_0-1} H^n \subseteq \dots \subseteq H^n.$$

Since  $E_\infty^{p,q} = 0$  unless  $q = q_0$ , the only nonzero quotient of this filtration is  $F^{n-q_0} H^n / F^{n-q_0+1} H^n = E_\infty^{n-q_0, q_0}$ . Thus,  $F^{n-q_0+1} H^n = 0$  and  $F^{n-q_0} H^n = H^n$ , implying

$$H^n = F^{n-q_0} H^n = H^n / F^{n-q_0+1} H^n = E_\infty^{n-q_0, q_0}.$$

$\square$

The next result shows that in certain cases the form of the filtration on the limit object is determined by the spectral sequence.

**Lemma 5.** *Suppose  $E_r^{p,q} \Rightarrow H^{p+q}$ .*

- (1) *If  $E_r^{p,q}$  is a first quarter spectral sequence, then  $H^n$  has a filtration of the form*

$$0 = F^{n+1}H^n \subseteq F^n H^n \subseteq \dots \subseteq F^1 H^n \subseteq F^0 H^n = H^n.$$

- (2) *If  $E_r^{p,q}$  is a third quarter spectral sequence, then  $H^{-n}$  has a filtration of the form*

$$0 = F^1 H^{-n} \subseteq F^0 H^{-n} \subseteq \dots \subseteq F^{-n+1} H^{-n} \subseteq F^{-n} H^{-n} = H^{-n}.$$

A third quarter spectral sequence  $E_r^{p,q}$  is one in which  $E_r^{p,q} = 0$  unless  $p, q \leq 0$ .

*Proof.* Again we only prove (1), the proof of (2) being similar. We must show that  $F^{n+k}H^n = 0$  if  $k > 0$  and  $F^k H^n = H^n$  if  $k \leq 0$ . Consider the “left tail” of the (finite!) filtration of  $H^n$ ,

$$0 \subseteq \dots \subseteq F^{n+2}H^n \subseteq F^{n+1}H^n \subseteq F^n H^n.$$

As  $E_r^{p,q}$  is a first quarter spectral sequence,

$$F^{n+k}H^n / F^{n+k+1}H^n = \begin{cases} E_\infty^{n+k, -k} = 0 & \text{if } k > 0 \\ E_\infty^{n, 0} & \text{if } k = 0. \end{cases}$$

Therefore,  $F^{n+k}H^n = 0$  if  $k > 0$ . One shows that  $F^k H^n = H^n$  if  $k \leq 0$  in a similar fashion by considering the “right tail” of the filtration.  $\square$

#### 4. THE SPECTRAL SEQUENCE OF A DOUBLE COMPLEX

In this section, we treat one of the most common ways spectral sequences arise – from a double complex.

**Definition 6.** A double complex  $M$  consists of a bigraded object  $M = \bigoplus_{p,q \in \mathbb{Z}} M^{p,q}$  together with differentials  $d : M^{p,q} \rightarrow M^{p+1,q}$  and  $\delta : M^{p,q} \rightarrow M^{p,q+1}$  satisfying  $d^2 = \delta^2 = d\delta + \delta d = 0$ .

*Example 7.* Let  $R$  be a ring  $(P, d_P)$  and  $(Q, d_Q)$  be complexes of  $R$ -modules. Define a double complex  $M = P \otimes_R Q$  by  $M^{p,q} = P^p \otimes_R Q^q$ ,  $d = d_P$ , and  $\delta = (-1)^p d_Q : P^p \otimes_R Q^q \rightarrow P^p \otimes_R Q^{q+1}$ .

To each double complex  $M$ , we attach a (single) complex  $\text{Tot } M$  called its *total complex* defined by

$$\text{Tot}^n M = \bigoplus_{p+q=n} M^{p,q}.$$

The differential  $D$  on this total complex is given by  $D = d + \delta$ . Notice that  $D^2 = (d + \delta)^2 = d^2 + \delta^2 + d\delta + \delta d = 0$ , i.e.,  $(\text{Tot } M, D)$  is a complex.

There are two canonical filtrations on the total complex  $\text{Tot } M$  of a double complex  $M$  given by

$$'F^p \text{Tot}^n M = \bigoplus_{\substack{r+s=n \\ r \geq p}} M^{r,s} \quad \text{and} \quad ''F^q \text{Tot}^n M = \bigoplus_{\substack{r+s=n \\ s \geq q}} M^{r,s}.$$

By the theorem of Section 2, the filtrations  $'F^p \text{Tot}^n M$  and  $''F^p \text{Tot}^n M$  determine spectral sequences  $'E_r^{p,q}$  and  $''E_r^{p,q}$ , respectively. One observes easily that  $'E_0^{p,q} =$

$M^{p,q}$  and checks (perhaps not so easily) that the differential  $'E_0^{p,q} \rightarrow 'E_0^{p,q+1}$  arising from the construction of the spectral sequence of a filtration is simply given by  $\delta$ . Thus,  $'E_1^{p,q} = H_\delta^q(M^{p,\cdot})$ . The differential  $'E_1^{p,q} \rightarrow 'E_1^{p+1,q}$  is induced by  $d$ , viewed as a homomorphism of complexes  $M^{p,\cdot} \rightarrow M^{p+1,\cdot}$ , implying that  $'E_2^{p,q}$  is equal to the  $p$ -th homology group of the complex  $(H_\delta^q(M^{p,\cdot}), d)$ . We will write this simply (though slightly ambiguously) as  $H_d^p(H_\delta^q(M))$ . One may obtain similar expressions for  $''E_r^{p,q}$ ,  $r = 0, 1, 2$ . We summarize these important results in the following theorem.

**Theorem 8.** *Let  $M$  be a double complex with total complex  $\text{Tot } M$ . Then there exist two spectral sequences  $'E_r^{p,q}$  and  $''E_r^{p,q}$  (corresponding to the two canonical filtrations on  $\text{Tot } M$ ) such that*

$$\begin{aligned} 'E_0^{p,q} &= M^{p,q}, & 'E_1^{p,q} &= H_\delta^q(M^{p,\cdot}), & 'E_2^{p,q} &= H_d^p(H_\delta^q(M)), \\ ''E_0^{p,q} &= M^{q,p}, & ''E_1^{p,q} &= H_d^q(M^{\cdot,p}), & ''E_2^{p,q} &= H_\delta^p(H_d^q(M)). \end{aligned}$$

Further, if  $M$  is a first or third quadrant double complex, then both  $'E_r^{p,q}$  and  $''E_r^{p,q}$  converge to  $H^{p+q}(\text{Tot } M)$ .

*Example 9.* Let  $R$  be a ring. In what all follows, all tensor products are taken over  $R$ . We shall use the spectral sequences attached to a double complex to show that for  $R$ -modules  $A$  and  $B$ , we have equality of left derived functors  $L_p(- \otimes B)(A) = L_p(A \otimes -)(B)$ . Let

$$\dots \xrightarrow{d} P^{-2} \xrightarrow{d} P^{-1} \xrightarrow{d} P^0 \rightarrow A \quad \text{and} \quad \dots \xrightarrow{\delta} Q^{-2} \xrightarrow{\delta} Q^{-1} \xrightarrow{\delta} Q^0 \rightarrow B$$

be projective resolutions of  $A$  and  $B$ , respectively, and consider the double complex  $P \otimes Q$ . We use negative indexing on these spectral sequences in order to stay in a cohomological, as opposed to a homological, situation. Then  $'E_1^{p,q} = H_\delta^q(P^p \otimes Q)$ . Since  $P^p$  is a projective and hence flat  $R$ -module, one can show in an elementary fashion that  $H_\delta^q(P^p \otimes Q) = P^p \otimes H_\delta^q(Q)$ . Since  $Q$  is a projective resolution of  $B$ , we have

$$'E_1^{p,q} = \begin{cases} P^p \otimes B & \text{if } q = 0, \\ 0 & \text{otherwise.} \end{cases}$$

Therefore,

$$'E_2^{p,q} = \begin{cases} L_{-p}(- \otimes B)(A) & \text{if } q = 0, \\ 0 & \text{otherwise.} \end{cases}$$

In like manner, one computes

$$''E_2^{p,q} = \begin{cases} L_{-p}(A \otimes -)(B) & \text{if } q = 0, \\ 0 & \text{otherwise.} \end{cases}$$

Using Lemma 4 and the fact that both of these (third quadrant!) spectral sequences converge to  $H^{p+q}(\text{Tot } P \otimes Q)$ , we have

$$\begin{aligned} L_{-p}(- \otimes B)(A) &= 'E_2^{p,0} = 'E_\infty^{p,0} = H^p(\text{Tot } P \otimes Q) \\ &= ''E_\infty^{p,0} = ''E_2^{p,0} = L_{-p}(A \otimes -)(B). \end{aligned}$$

*Example 10.* Let  $M$  be an  $R$ -module and  $P$  be a nonpositive complex of flat  $R$ -modules (i.e.,  $P^p = 0$  if  $p > 0$ ). Again, all tensor products and Tor's are taken over  $R$ . The relation between  $H^*(P \otimes M)$  and  $H^*(P) \otimes M$  is encoded by a spectral sequence.

**Proposition 11.** *Let  $M$  and  $P$  be as above. Then there exists a third quadrant spectral sequence*

$$E_2^{p,q} = \text{Tor}_{-p}(H^q(P), M) \Rightarrow H^{p+q}(P \otimes M).$$

*Proof.* Take a projective resolution  $\cdots \xrightarrow{\delta} Q^{-2} \xrightarrow{\delta} Q^{-1} \xrightarrow{\delta} Q^0 \rightarrow M$  of  $M$ . We consider the double complex  $P \otimes Q$  and its associated spectral sequences. By the same argument as in the previous example,

$${}'E_2^{p,q} = \begin{cases} H^p(P \otimes M) & \text{if } q = 0, \\ 0 & \text{otherwise.} \end{cases}$$

Here, we have used the flatness of  $P$ . Therefore, by Lemma 4,

$$H^{p+q}(\text{Tot } P \otimes Q) = {}'E_\infty^{p,q} = {}'E_2^{p+q,0} = H^{p+q}(P \otimes M),$$

and we have identified the limit term of the spectral sequence whose existence the proposition asserts. Now let us consider the spectral sequence  ${}''E_r^{p,q}$ . We have

$${}''E_1^{p,q} = H^q(P \otimes Q^p) = H^q(P) \otimes Q^p,$$

as  $Q^p$  is projective and hence flat. Therefore,

$${}''E_2^{p,q} = H_\delta^p(H^q(P) \otimes Q^p) = L_{-p}(H^q(P) \otimes -)(M) = \text{Tor}_{-p}(H^q(P), M).$$

Since  ${}''E_r^{p,q} \Rightarrow H^{p+q}(\text{Tot } P \otimes Q) = H^{p+q}(P \otimes M)$ , we are done.  $\square$

This spectral sequence contains much useful information, the extraction of which is the topic of the next section.

## 5. GETTING INFORMATION OUT OF SPECTRAL SEQUENCES

**5.1. A universal coefficients theorem.** Consider again the situation of Example 10 in the special case where the ring  $R$  is a principal ideal domain. In this case,  $E_2^{p,q} = 0$  unless  $p = 0, 1$ . In such a situation, one may apply the following lemma to obtain an exact sequence from the spectral sequence of Proposition 11.

**Lemma 12.** *Suppose  $E_2^{p,q} \Rightarrow H^{p+q}$  is a third quarter spectral sequence, and that  $E_2^{p,q} = 0$  unless  $p = 0, -1$ . Then we have an exact sequence*

$$0 \rightarrow E_2^{0,-n} \rightarrow H^{-n} \rightarrow E_2^{-1,-n+1} \rightarrow 0,$$

for all  $n \geq 0$ .

*Proof.* By Lemma 5, the filtration on  $H^{-n}$  has the form

$$0 = F^1 H^{-n} \subseteq F^0 H^{-n} \subseteq \cdots \subseteq F^{-n+1} H^{-n} \subseteq F^{-n} H^{-n} = H^{-n}.$$

Combining this with the fact that

$$F^{-k} H^{-n} / F^{-k+1} = E_\infty^{-k,-n+k} = E_2^{-k,-n+k} = 0$$

for  $2 \leq k \leq n$ , it follows that  $F^{-1} H^{-n} = H^{-n}$  and  $F^0 H^{-n} = E_2^{0,-n}$ . Now substitute these values in the exact sequence

$$0 \rightarrow F^0 H^{-n} \rightarrow F^{-1} H^{-n} \rightarrow E_2^{-1,-n+1} \rightarrow 0.$$

$\square$

Proposition 11 and Lemma 12 yield the *universal coefficients theorem for homology*.

**Corollary 13.** *Let  $R$  be a principal ideal domain, and let  $M$ , and  $P$  be as in proposition 11. Then for all  $n \geq 0$ , we have an exact sequence*

$$0 \rightarrow H^{-n}(P) \otimes_R M \rightarrow H^{-n}(P \otimes_R M) \rightarrow \mathrm{Tor}_1^R(H^{-n+1}(P), M) \rightarrow 0.$$

**5.2. Edge maps and terms of low degree.** Let  $E_2^{p,q} \Rightarrow H^{p+q}$  be a first quadrant spectral sequence. By Lemma 5,  $F^{n+1}H^n = 0$ , implying

$$E_\infty^{n,0} = F^n H^n / F^{n+1} H^n = F^n H^n \hookrightarrow H^n.$$

For each  $r \geq 2$ , the differential leaving  $E_r^{n,0}$  is the zero map. Therefore, we have surjections  $E_r^{n,0} \twoheadrightarrow E_{r+1}^{n,0} \twoheadrightarrow \cdots \twoheadrightarrow E_\infty^{n,0}$ . The composition

$$E_r^{n,0} \twoheadrightarrow E_\infty^{n,0} \hookrightarrow H^n$$

is called an edge map, as the terms  $E_r^{n,0}$  lie along the bottom edge of the  $r$ -th stage diagram. Similarly,  $E_\infty^{0,n} = F^0 H^n / F^1 H^n = H^n / F^1 H^n$  by Lemma 5, giving a surjection  $H^n \twoheadrightarrow E_\infty^{0,n}$ . As the differentials mapping into  $E_r^{0,n}$  are all zero, we have inclusions  $E_r^{0,n} \hookrightarrow E_{r+1}^{0,n} \hookrightarrow \cdots \hookrightarrow E_\infty^{0,n}$ . The composition

$$H^n \twoheadrightarrow E_\infty^{0,n} \hookrightarrow E_r^{0,n}$$

is also called an edge map. When  $r = 2$  and  $n$  is small, we can be more specific about the kernels and images of these edge maps.

**Proposition 14** (Exact sequence of terms of low degree). *Let  $E_2^{p,q} \Rightarrow H^{p+q}$  be a first quadrant spectral sequence. Then the sequence*

$$0 \rightarrow E_2^{1,0} \xrightarrow{e} H^1 \xrightarrow{e} E_2^{0,1} \xrightarrow{d} E_2^{2,0} \xrightarrow{e} H^2$$

is exact, where  $d$  is the  $E_2$ -stage differential and the arrows labelled  $e$  are the edge maps described above.

*Proof.* By Lemma 5,  $F^2 H^1 = 0$ . Therefore,

$$E_2^{1,0} = E_\infty^{1,0} = F^1 H^1 / F^2 H^1 = F^1 H^1 \hookrightarrow H^1,$$

and the edge map  $E_2^{1,0} \rightarrow H^1$  is injective with image  $F^1 H^1$ . The edge map  $H^1 \rightarrow E_2^{0,1}$  is the composition  $H^1 \rightarrow E_3^{0,1} = E_\infty^{0,1} \hookrightarrow E_2^{0,1}$ . Therefore,  $\ker(H^1 \rightarrow E_2^{0,1}) = \ker(H^1 \rightarrow E_\infty^{0,1}) = F^1 H^1$ , proving exactness at  $H^1$ . Also, the image of  $H^1$  in  $E_2^{0,1}$  is precisely  $E_3^{0,1} = \ker(E_2^{0,1} \xrightarrow{d} E_2^{2,0})$ , proving exactness at  $E_2^{0,1}$ . As

$$E_3^{2,0} = F^2 H^2 / F^3 H^2 = F^2 H^2 \hookrightarrow H^2,$$

we have  $\ker(E_2^{2,0} \rightarrow H^2) = \ker(E_2^{2,0} \rightarrow E_3^{2,0}) = \mathrm{im}(E_2^{0,1} \rightarrow E_2^{2,0})$ , completing the verification.  $\square$

## 6. THE GROTHENDIECK SPECTRAL SEQUENCE

Let  $\mathcal{A}$ ,  $\mathcal{B}$ , and  $\mathcal{C}$  be abelian categories with enough injectives and let  $\mathcal{A} \xrightarrow{G} \mathcal{B} \xrightarrow{F} \mathcal{C}$  left exact covariant functors (so we may form their right derived functors). It is natural to ask if there is a relationship between right derived functors of  $FG$  and those of  $F$  and  $G$ . Under a certain technical hypothesis, this relationship exists and is encoded in the Grothendieck spectral sequence.

**Theorem 15** (The Grothendieck spectral sequence). *Suppose that  $GI$  is  $F$ -acyclic for each injective object  $I$  of  $\mathcal{A}$  (i.e.,  $R^pF(GI) = 0$  if  $p > 0$ ). Then for each object  $A$  of  $\mathcal{A}$ , there exists a spectral sequence*

$$E_2^{p,q} = (R^pF)(R^qG)(A) \Rightarrow R^{p+q}(FG)(A).$$

Let  $C^\cdot$  be a complex and let  $C^\cdot \rightarrow I^{\cdot,0} \rightarrow I^{\cdot,1} \rightarrow \dots$  be an injective resolution (i.e., each term of each complex  $I^{\cdot,j}$  is injective).

$$\begin{array}{ccccccc} I^{0,1} & \longrightarrow & I^{1,1} & \longrightarrow & I^{2,1} & \longrightarrow & \dots \\ \uparrow & & \uparrow & & \uparrow & & \\ I^{0,0} & \longrightarrow & I^{1,0} & \longrightarrow & I^{2,0} & \longrightarrow & \dots \\ \uparrow & & \uparrow & & \uparrow & & \\ C^0 & \longrightarrow & C^1 & \longrightarrow & C^2 & \longrightarrow & \dots \end{array}$$

From this array, we can extract complexes

$$\begin{aligned} Z^p(C^\cdot) &\rightarrow Z^p(I^{\cdot,0}) \rightarrow Z^p(I^{\cdot,1}) \rightarrow \dots, \\ B^p(C^\cdot) &\rightarrow B^p(I^{\cdot,0}) \rightarrow B^p(I^{\cdot,1}) \rightarrow \dots, \quad \text{and} \\ H^p(C^\cdot) &\rightarrow H^p(I^{\cdot,0}) \rightarrow H^p(I^{\cdot,1}) \rightarrow \dots. \end{aligned}$$

We shall say that  $I^\cdot$  is a *fully injective resolution* of  $C^\cdot$  if the above complexes are injective resolutions of  $Z^p(C^\cdot)$ ,  $B^p(C^\cdot)$ , and  $H^p(C^\cdot)$ , respectively. Such things exist:

**Lemma 16.** *Suppose  $\mathcal{A}$  has enough injectives. Then any complex in  $\mathcal{A}$  has a fully injective resolution.*

*Proof.* [3, Ch. 20, Lemma 9.5] □

*Sketch of proof of Theorem 15.* Let  $A$  be an object of  $\mathcal{A}$ , and let  $0 \rightarrow A \rightarrow C^\cdot$  be an injective resolution of  $A$ . Let  $I^\cdot$  be a fully injective resolution of  $GC^\cdot$ . We examine the spectral sequences associated to the double complex  $FI^\cdot$ . We have

$${}^I E_1^{p,q} = H^q(FI^{\cdot,p}) = R^qF(GC^p) = \begin{cases} (FG)C^p & \text{if } q = 0, \\ 0 & \text{otherwise,} \end{cases}$$

as  $GC^p$  is  $F$ -acyclic. Therefore,

$${}^I E_2^{p,q} = \begin{cases} H^p((FG)C^\cdot) = R^p(FG)(A) & \text{if } q = 0, \\ 0 & \text{otherwise,} \end{cases}$$

and consequently,  ${}^I E_2^{p,q} \Rightarrow H^{p+q}(\text{Tot } FI^\cdot) = R^p(FG)(A)$ , by Lemma 4.

To complete the proof, it suffices to show that  ${}^I E_2^{p,q} = (R^pF)(R^qG)(A)$ . Evidently,  ${}^I E_1^{p,q} = H^q(FI^{\cdot,p})$ . Using the fact that everything in sight is injective, one may show that  $H^q(FI^{\cdot,p}) = FH^q(I^{\cdot,p})$ . Therefore,  ${}^I E_2^{p,q} = H^p(FH^q(I^{\cdot,p}))$ . Now  $H^q(I^{\cdot,p})$  is an injective resolution of  $H^q(GC^\cdot) = R^qG(A)$  by the full injectivity of  $I^\cdot$ . Therefore,

$${}^I E_2^{p,q} = H^p(FH^q(I^{\cdot,p})) = (R^pF)(R^qG)(A),$$

as desired. □

*Example 17* (Base change for Ext). Let  $R \rightarrow S$  be a ring homomorphism (endowing  $S$  with an  $R$ -module structure) and let  $A$  be an  $S$ -module. Let  $G = \text{Hom}_R(S, -)$  and  $F = \text{Hom}_S(A, -)$ , and consider the diagram

$$R\text{-modules} \xrightarrow{G} S\text{-modules} \xrightarrow{F} \text{Abelian Groups}.$$

$F$  and  $G$  are left exact, covariant functors, and

$$FG(B) = \text{Hom}_S(A, \text{Hom}_R(S, B)) = \text{Hom}_R(A \otimes_S S, B) = \text{Hom}_R(A, B),$$

i.e.,  $FG = \text{Hom}_R(A, -)$ . If  $I$  be an injective  $R$ -module, then

$$\text{Hom}_S(-, \text{Hom}_R(S, I)) = \text{Hom}_R(- \otimes_S S, I) = \text{Hom}_R(-, I).$$

So  $\text{Hom}_S(-, \text{Hom}_R(S, I))$  is exact and  $\text{Hom}_R(S, -)$  sends injectives to injectives. Therefore, Theorem 15 implies that there exists a spectral sequence

$$E_2^{p,q} = \text{Ext}_S^p(A, \text{Ext}_R^q(S, B)) \Rightarrow \text{Ext}_R^{p+q}(A, B).$$

Now suppose  $S$  is a *projective*  $R$  module. In this case,  $\text{Hom}_R(S, -)$  is exact, implying  $\text{Ext}_R^q(S, B) = R^q \text{Hom}_R(S, -)(B) = 0$  if  $q > 0$ . That is, the above spectral sequence collapses at the  $E_2$ -stage. Therefore, by Lemma 4, we obtain the identity

$$\text{Ext}_S^p(A, \text{Hom}_R(S, B)) = \text{Ext}_R^p(A, B)$$

for any  $S$ -module  $A$  and  $R$ -module  $B$ .

## 7. THE LERAY SPECTRAL SEQUENCE

In this section, we construct the Leray spectral sequence, an essential tool in modern algebraic geometry. Its construction is an application of Theorem 15. Let  $f : X \rightarrow Y$  be a continuous map of topological spaces. Then we have a commutative diagram

$$\begin{array}{ccc} \text{Sheaves}_X & \xrightarrow{f_*} & \text{Sheaves}_Y \\ & \searrow \Gamma(X, -) & \swarrow \Gamma(Y, -) \\ & \text{Ab} & \end{array}$$

where  $\text{Ab}$ ,  $f_*$  and  $\Gamma$  denote the category of abelian groups, sheaf pushforward, and the sections functor, respectively. It is well known that the category of sheaves of abelian groups on a topological space has enough injectives. Also,  $f_*$  and  $\Gamma(Y, -)$  are left exact, covariant functors. We claim that  $f_*$  sends injectives to injectives (and thus, to  $\Gamma(Y, -)$ -acyclics). To see this, we shall use the following useful lemma.

**Lemma 18.** *Let  $G : \mathcal{A} \rightarrow \mathcal{B}$  and  $F : \mathcal{B} \rightarrow \mathcal{A}$  be covariant functors. Further suppose that  $F$  is right adjoint to  $G$  and that  $G$  is exact. Then  $F$  sends injectives to injectives.*

*Proof.* Let  $I$  be an injective object of  $\mathcal{B}$ . We must show that  $\text{Hom}(FI, -)$  is exact. Let  $0 \rightarrow A' \rightarrow A \rightarrow A'' \rightarrow 0$  be an exact sequence in  $\mathcal{A}$ . Then by the exactness of  $G$ , the sequence  $0 \rightarrow GA' \rightarrow GA \rightarrow GA'' \rightarrow 0$  is exact. By the injectivity of  $I$ , the sequence  $0 \rightarrow \text{Hom}(GA'', I) \rightarrow \text{Hom}(GA, I) \rightarrow \text{Hom}(GA', I) \rightarrow 0$  is exact. The adjointness property of  $F$  and  $G$  completes the argument.  $\square$



It is a fact that  $f_*$  is right adjoint to the sheaf inverse image functor  $f^{-1}$  – an exact functor (see [2, Ch. 2, Exercise 1.18]). Therefore, by the lemma,  $f_*$  sends injectives to injectives, and by Theorem 15, for any sheaf  $\mathcal{F}$  on  $X$ , there exists a spectral sequence

$$E_2^{p,q} = H^p(Y, R^q f_* \mathcal{F}) \Rightarrow H^{p+q}(X, \mathcal{F})$$

with exact sequence of terms of low degree

$$0 \rightarrow H^1(Y, f_* \mathcal{F}) \rightarrow H^1(X, \mathcal{F}) \rightarrow \Gamma(Y, R^1 f_* \mathcal{F}) \rightarrow H^2(Y, f_* \mathcal{F}) \rightarrow H^2(X, \mathcal{F}).$$

The above spectral sequence is called the Leray spectral sequence. For a nice application of this spectral sequence to geometry, see [1], where it is used to analyze certain birational isomorphisms between surfaces.

## 8. GROUP COHOMOLOGY AND THE HOCHSCHILD-SERRE SPECTRAL SEQUENCE

Let  $G$  be a finite group and let  $A$  be a  $G$ -module (equivalently, a  $\mathbb{Z}[G]$ -module). Let  $G\text{-mod}$  and  $\text{Ab}$  denote the categories of  $G$ -modules and abelian groups, respectively. We consider the  $G$ -invariants functor

$$\text{inv}_G : G\text{-mod} \rightarrow \text{Ab}, \quad A \mapsto A^G$$

which to a  $G$ -module  $A$  associates its subgroup of elements invariant under the action of  $G$ . It is easy to see that  $\text{inv}_G$  is a left exact, covariant functor, so we may take its right derived functors. We define  $H^n(G, A) = R^n \text{inv}_G(A)$  and call this the  $n$ -th cohomology group of  $G$  with coefficients in  $A$ .

If  $H$  is a normal subgroup of  $G$  and  $A$  is a  $G$ -module (and thus, also an  $H$ -module, then  $A^H$  naturally has the structure of a  $G/H$ -module. In fact, the diagram

$$\begin{array}{ccc} G\text{-mod} & \xrightarrow{\text{inv}_H} & G/H\text{-mod} \\ & \searrow \text{inv}_G & \swarrow \text{inv}_{G/H} \\ & & \text{Ab} \end{array}$$

is easily seen to be commutative. In order to apply Theorem 15 to this situation, we shall verify that  $\text{inv}_H$  sends injectives to injectives. Every  $G/H$ -module is also a  $G$ -module in a natural way. Let  $\rho : G/H\text{-mod} \rightarrow G\text{-mod}$  be this functor, easily checked to be exact. It follows trivially that  $\text{inv}_H$  is right adjoint to  $\rho$  and therefore, by Lemma 18, the functor  $\text{inv}_H$  preserves injectives. Thus, by Theorem 15, there exists a spectral sequence

$$E_2^{p,q} = H^p(G/H, H^q(H, A)) \Rightarrow H^{p+q}(G, A)$$

with exact sequence of terms of low degree

$$\begin{aligned} 0 \rightarrow H^1(G/H, A^H) &\xrightarrow{\text{inf}} H^1(G, A) \xrightarrow{\text{res}} H^1(H, A)^{G/H} \xrightarrow{t} \\ &H^2(G/H, A^H) \xrightarrow{\text{inf}} H^2(G, A) \xrightarrow{\text{res}} H^2(H, A)^{G/H}. \end{aligned}$$

The maps  $\text{inf}$ ,  $\text{res}$ , and  $t$  are called ‘inflation’, ‘restriction’, and ‘transgression’, respectively. Working with a more down-to-earth description of these cohomology groups in terms of certain cocycles and coboundaries, one may explicitly describe these maps; see [3, Ch. XX, Exercise 6].

## REFERENCES

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