

# Jacobi Forms

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## History of Jacobi Forms

### Notation

Let  $e(x)$  denote  $e^{2\pi ix}$  for  $x \in \mathbb{C}$ . Let  $q = e(\tau)$  and  $\zeta = e(z)$  where  $\tau \in \mathcal{H}$  and  $z \in \mathbb{C}$ .

Jacobi forms are meant to be a natural generalization of Jacobi theta series.

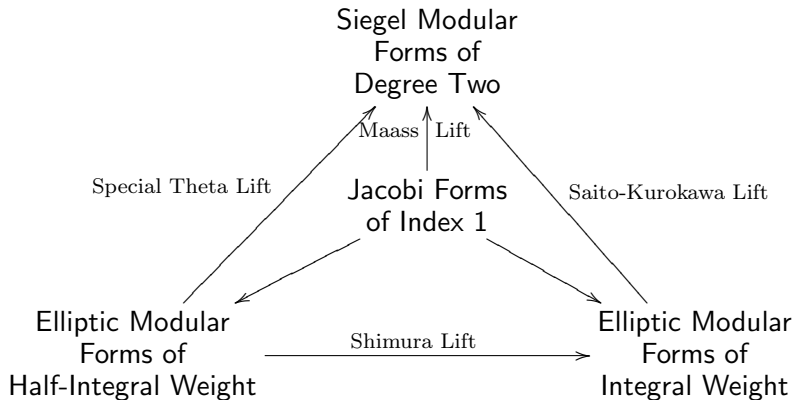
### Definition

Let  $L$  be a lattice of rank  $2k$  with a positive-definite quadratic form  $Q(x)$  and bilinear form  $B(x, y) = Q(x + y) - Q(x) - Q(y)$ . Given a vector  $y \in L$  we define the Jacobi theta series  $\Theta_y(\tau, z)$  by

$$\Theta_y(\tau, z) = \sum_{x \in L} e((Q(x)\tau + B(x, y)z)).$$

## Motivation

The main reference for this topic is *The Theory of Jacobi Forms* by Eichler and Zagier (1985). Their main interest in Jacobi forms was their relation to the Saito-Kurokawa lift.



Our interest in Jacobi forms will be in their connection with the Borcherds lift.

## The Transformation Law

Jacobi forms are complex functions on  $\mathcal{H} \times \mathbb{C}$  which are invariant under an action of the Jacobi group.

### Definition

The *Jacobi group* is  $SL_2(\mathbb{Z})^J = SL_2(\mathbb{Z}) \ltimes \mathbb{Z}^2$  where

$$[M, X][M', X'] = [MM', XM' + X'].$$

For a congruence subgroup  $\Gamma$  let  $\Gamma^J = \Gamma \ltimes \mathbb{Z}^2$ .

### Notation

Given integers  $k$  and  $m$ , the slash operator is

$$(\phi|_{k,m}\gamma)(\tau, z) = (c\tau + d)^{-k} e \left( m \left( \frac{-c(z + \lambda\tau + \mu)^2}{c\tau + d} + \lambda^2\tau + 2\lambda z + \lambda\mu \right) \right) \cdot \phi \left( \frac{a\tau + b}{c\tau + d}, \frac{z + \lambda\tau + \mu}{c\tau + d} \right)$$

for  $\gamma = \left[ \begin{pmatrix} a & b \\ c & d \end{pmatrix}, (\lambda, \mu) \right] \in SL_2(\mathbb{Z})^J$  This defines an action of the Jacobi group on complex function of  $\mathcal{H} \times \mathbb{C}$ .

## Relationship to Modular Forms

### Lemma

In the case  $\gamma = \left[ \begin{pmatrix} a & b \\ c & d \end{pmatrix}, (0, 0) \right]$  we have

$$(\phi|_{k,m}\gamma)(\tau, z) = (c\tau + d)^{-k} e\left(\frac{-cmz^2}{c\tau + d}\right) \phi\left(\frac{a\tau + b}{c\tau + d}, \frac{z}{c\tau + d}\right)$$

and in the case  $\gamma = \left[ \begin{pmatrix} 0 & 0 \\ 0 & 0 \end{pmatrix}, (\lambda, \mu) \right]$  we find

$$(\phi|_{k,m}\gamma)(\tau, z) = e(\lambda^2 m\tau + 2\lambda mz) \phi(\tau, z + \lambda\tau + \mu).$$

### Remark

In the case of  $m = 0$  the previous slash operators reduce to the slash operator for elliptic modular forms.

A holomorphic function on  $\mathcal{H} \times \mathbb{C}$  which is invariant under the action given above has a Fourier expansion.

## Definition of Jacobi Forms

### Definition

A *Jacobi form of weight  $k$  and index  $m$*  for a congruence subgroup  $\Gamma$  is a function  $\phi : \mathcal{H} \times \mathbb{C} \rightarrow \mathbb{C}$  which

- 1 is holomorphic on  $\mathcal{H} \times \mathbb{C}$ ,
- 2 satisfies  $\phi|_{k,m}\gamma = \phi$  for all  $\gamma \in \Gamma^J$  and
- 3 is holomorphic at each cusp  $Mi_\infty$  where  $M \in \mathrm{SL}_2(\mathbb{Z})^J$ , that is,

$$\phi|_{k,m}M = \sum_{\substack{n,r \in \mathbb{Z} \\ 4mn \geq r^2h}} c_M\left(\frac{n}{h}, r\right) q^{n/h} \zeta^r$$

where  $h$  is the width of the cusp  $Mi_\infty$  of  $\Gamma$ .

Furthermore we say  $\phi$  is a *Jacobi cusp form* if in addition to the conditions above  $\phi$  vanishes at each cusp  $Mi_\infty$  for  $M \in \mathrm{SL}_2(\mathbb{Z})^J$ , that is, if

$$\phi|_{k,m}M = \sum_{\substack{n,r \in \mathbb{Z} \\ 4mn > r^2h}} c_M\left(\frac{n}{h}, r\right) q^{n/h} \zeta^r.$$

## Structural Theorem

### Notation

Let  $J_{k,m}(\Gamma)$  denote the vector space of all Jacobi forms with weight  $k$  and index  $m$  on a congruence subgroup  $\Gamma$ . Let the subspace of cusp forms be denoted by  $J_{k,m}^{\text{cusp}}(\Gamma)$ . Furthermore let  $M_k(\Gamma)$  denote the space of elliptic modular forms of weight  $k$  on the congruence subgroup  $\Gamma$ .

### Theorem

Given a congruence subgroup  $\Gamma$  structurally  $\bigoplus_{k,m} J_{k,m}(\Gamma)$  forms a bigraded ring with each  $J_{k,m}(\Gamma)$  finite dimensional. Moreover  $J_{*,*}(\Gamma)$  is a module over  $M_*(\Gamma)$ .

## Jacobi-Eisenstein Series for $SL_2(\mathbb{Z})$

### Notation

Let  $SL_2(\mathbb{Z})_J^\infty$  be the set elements  $\gamma \in SL_2(\mathbb{Z})^J$  which satisfy  $1|_{k,m}\gamma = 1$ ; that is, let

$$SL_2(\mathbb{Z})_J^\infty = \{[\pm \begin{pmatrix} 1 & n \\ 0 & 1 \end{pmatrix}, (0, \mu)] \mid \mu, n \in \mathbb{Z}\}.$$

### Definition

Given integers  $m \geq 0$  and  $k \geq 4$  we define the *Jacobi-Eisenstein series*  $E_{k,m}$  by

$$E_{k,m}(\tau, z) = \sum_{\gamma \in SL_2(\mathbb{Z})_J^\infty \setminus SL_2(\mathbb{Z})^J} 1|_{k,m}\gamma.$$

### Theorem

The Jacobi-Eisenstein series  $E_{k,m}$  is a Jacobi form on  $SL_2(\mathbb{Z})$ .



## Jacobi-Eisenstein Series for $\Gamma(N)$

### Notation

Fix an integer  $N > 0$  and  $\bar{v} \in (\mathbb{Z}/N\mathbb{Z})^2$  where  $\bar{v}$  has order  $N$ . Let  $\delta = \left[ \begin{pmatrix} a & b \\ c_v & d_v \end{pmatrix}, (\lambda, \mu) \right] \in \mathrm{SL}_2(\mathbb{Z})^J$  where  $(\overline{c_v}, \overline{d_v}) = \bar{v}$ .

### Definition

Given integers  $m \geq 0$  and  $k \geq 4$  we define the *Jacobi Eisenstein series*  $E_{k,m}^{\bar{v}}$  by

$$E_{k,m}^{\bar{v}}(\tau, z) = \epsilon_N \sum_{\gamma \in (\mathrm{SL}_2(\mathbb{Z})_\infty^J \cap \Gamma(N)^J) \setminus \Gamma(N)^J \delta} 1|_{k,m} \gamma,$$

where  $\epsilon_N = 1$  if  $N > 2$  and  $\epsilon_N = 1/2$  otherwise.

### Theorem

The Jacobi-Eisenstein series  $E_{k,m}^{\bar{v}}$  is a Jacobi form on  $\Gamma(N)$ .

# Fourier Expansion of the Jacobi-Eisenstein Series

For  $n' \in \mathbb{Z}/N\mathbb{Z}$  define

$$L_D^{n'}(s) = \sum_{\substack{n=1 \\ n \equiv n' \pmod{N}}}^{\infty} \left(\frac{D}{n}\right) n^{-s}$$

$$\zeta_+^{n'}(k, \mu) = \sum_{\substack{l=1 \\ l \equiv n' \pmod{N}}}^{\infty} \mu(l) l^{-s}.$$

## Theorem

The Fourier expansion is of the form  $E_{k,m}^{\bar{v}} = C + \sum_{\substack{q,r \in \mathbb{Z} \\ 4nm > r^2N}} c\left(\frac{n}{N}, r\right) q^{n/N} \zeta^r$

where

$$C = \begin{cases} \sum_{\lambda \in \mathbb{Z}} q^{\lambda^2 m} \zeta^{2m\lambda} & \text{if } v \equiv (0, 1) \pmod{N} \\ 0 & \text{otherwise,} \end{cases}$$

Furthermore suppose  $m = 1$  and  $(c_v, N) = 1$  then

$$c\left(\frac{n}{N}, r\right) = \epsilon_N \frac{(-1)^{\frac{k}{2}} \pi^{k-\frac{1}{2}} (4m\frac{n}{N} - r^2)^{k-\frac{3}{2}}}{N2^{k-2} m^{k-1}} A \sum_{\substack{j \pmod{N} \\ \gcd(j, N)=1}} \left( \zeta_+^j(2k, \mu) L_{Nr^2-4mn}^{c_v j(-2)}(k) \right).$$

## Definition

Fix integers  $m \geq 0$  and  $k \geq 4$ . Let  $b$  be the largest positive number such that  $m = ab^2$  for some  $a$ . For an integer  $s$  define the *Jacobi-Eisenstein series*  $E_{k,m,s}^{\bar{v}}$  by

$$\begin{aligned} E_{k,m,s}^{\bar{v}}(\tau, z) &= \epsilon_N \sum_{\gamma \in (\mathrm{SL}_2(\mathbb{Z})_{\infty}^J \cap \Gamma(N)^J) \backslash \Gamma(N)^J \delta} \left( 1|_{k,m} \left[ \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}, \begin{pmatrix} s \\ b, 0 \end{pmatrix} \right] \right) |_{k,m} \gamma \\ &= \epsilon_N \sum_{\gamma \in (\mathrm{SL}_2(\mathbb{Z})_{\infty}^J \cap \Gamma(N)^J) \backslash \Gamma(N)^J \delta} \left( q^{as^2} \zeta^{2abs} \right) |_{k,m} \gamma. \end{aligned}$$

## Theorem

The Jacobi-Eisenstein series  $E_{k,m,s}^{\bar{v}}$  is a Jacobi form on  $\Gamma(N)$ .

## Definition

Fix integers  $m \geq 0$  and  $k \geq 4$ . Let the Jacobi-Eisenstein space  $E_{k,m}(\Gamma(N))$  be the span of the Jacobi-Eisenstein series  $E_{k,m,s}^{\bar{v}}$ .

## Basis of Jacobi-Eisenstein Space

### Theorem

If  $\bar{v}M \not\equiv \overline{(0, 1)} \pmod{N}$  then  $E_{k,m,s}^{\bar{v}}$  has no constant term at  $Mi_\infty$ , otherwise  $E_{k,m,s}^{\bar{v}}$  has constant term at  $Mi_\infty$  which are:

- 1  $\sum_{r \equiv 2abs \pmod{2m}} \left( q^{\frac{r^2}{4m}} \zeta^r + (-1)^{-k} q^{\frac{r^2}{4m}} \zeta^{-r} \right)$  if  $N \leq 2$  and
- 2  $\sum_{r \equiv 2abs \pmod{2m}} (\pm 1)^{-k} q^{\frac{r^2}{4m}} \zeta^{\pm r}$  if  $N > 2$ .

### Corollary

If  $K$  is the number of cusps the dimension of  $E_{k,m}(\Gamma(N))$

- 1 is  $K \left( \lfloor \frac{b}{2} \rfloor + 1 \right)$  if  $N \leq 2$  and  $k$  is even,
- 2 is  $K \lfloor \frac{b-1}{2} \rfloor$  if  $N \leq 2$  and  $k$  is odd, and
- 3 is  $K(b+1)$  if  $N > 2$ .

### Corollary

$$J_{k,m}(\Gamma(N)) = E_{k,m}(\Gamma(N)) \oplus J_{k,m}^{\text{cusp}}(\Gamma(N))$$

Number of Coefficients which Determine a Modular Form

Let  $e_a$  denote the ramification index at  $a$  (if  $a$  is a cusp  $\frac{1}{e_a} = 0$ ).

### Theorem

For a fixed congruence subgroup  $\Gamma$  let  $g$  denote the genus  $X(\Gamma)$ . For a non-zero modular form  $f \in M_k(\Gamma)$  we have the following formula

$$\deg(\operatorname{div}(f)) = k(g - 1) + \frac{k}{2} \sum_{a \in \Gamma \setminus \mathcal{H}^*} \left(1 - \frac{1}{e_a}\right).$$

### Theorem

The number of coefficients  $N_{k,\Gamma}$  needed to determine a modular form of weight  $k$  on a congruence subgroup  $\Gamma$  satisfies

$$N_{k,\Gamma} \leq k(g - 1) + \frac{k}{2} \sum_{a \in \Gamma \setminus \mathcal{H}^*} \left(1 - \frac{1}{e_a}\right).$$

## Number of Coefficients which Determine a Jacobi Form

### Theorem

Given a congruence subgroup  $\Gamma$  there exists an injection

$$\mathcal{D} = \left( \bigoplus_{\nu=0}^{2m} \mathcal{D}_{2\nu} \right) : J_{k,m}(\Gamma) \rightarrow M_k(\Gamma) \oplus S_{k+1}(\Gamma) \oplus \dots \oplus S_{k+2m}(\Gamma).$$

where the coefficient  $c(n)$  of the modular form  $\mathcal{D}_{2\nu}(\phi)$  can be defined in terms of the coefficients  $c(n, r)$  (where  $4mn \geq r^2h$ ) from the Jacobi form  $\phi$ .

Let  $N_{k,\Gamma}$  denote the number of coefficients needed to determine a modular form of weight  $k$  on a congruence subgroup  $\Gamma$ . Let

$$N' = (k + 2m)(g - 1) + \frac{k + 2m}{2} \sum_{a \in \Gamma \setminus \mathcal{H}^*} \left( 1 - \frac{1}{e_a} \right).$$

Then  $\max(N_{k,\Gamma}, \dots, N_{k+2m,\Gamma}) \leq N'$ .

### Theorem

A Jacobi form of weight  $k$  and index  $m$  on  $\Gamma$  is determined by the coefficients  $c(n, r)$  where  $n \leq N'$  and  $-m \leq r < m$ .

## Structural Results for Jacobi Forms for $SL_2(\mathbb{Z})$

### Theorem

The ring  $J_{2*,*}(SL_2(\mathbb{Z}))$  is contained in  $M_*(SL_2(\mathbb{Z})) [E_{4,1}, E_{6,1}, \frac{1}{\Delta}]$ .  
Furthermore  $J_{*,*}(SL_2(\mathbb{Z}))$  is free as a module over  $M_*(SL_2(\mathbb{Z}))$ .

### Notation

We introduce the functions

$$\phi_{10,1} = \frac{1}{144}(E_6 E_{4,1} - E_4 E_{6,1})$$
$$\phi_{12,1} = \frac{1}{144}(E_4^2 E_{4,1} - E_6 E_{6,1}).$$

### Theorem

The Jacobi forms  $E_{4,1}$  and  $E_{6,1}$  form a basis for  $J_{*,1}$  over  $M_*$ .  
The Jacobi forms  $\phi_{10,1}$  and  $\phi_{12,1}$  form a basis for  $J_{*,1}^{\text{cusp}}$  over  $M_*$ .

## The Borchers Lift

Let  $\tilde{J}_{\kappa,t}(\mathrm{SL}_2(\mathbb{Z}))$  denote the space of weak Jacobi forms of weight  $\kappa$  and index  $t$  on  $\mathrm{SL}_2(\mathbb{Z})$  and let  $\rho_L : \mathrm{Mp}_2(\mathbb{Z}) \rightarrow \mathbb{C}[L'/L]$  denote the Weil representation. Then

$$J_{\kappa,t}(\mathrm{SL}_2(\mathbb{Z})) \cong M_{\kappa-1/2, \mathbb{Z}, Q(x)=tx^2, \rho^*} \quad (1)$$

the space of vector-valued modular forms on the lattice  $\mathbb{Z}$  with quadratic form  $Q(x) = tx^2$  of weight  $\kappa - 1/2$  with respect to  $\rho^*$ . This space is relevant to the obstruction space.

More directly

$$\tilde{J}_{\kappa,t}(\mathrm{SL}_2(\mathbb{Z})) \cong M_{\kappa-1/2, \mathbb{Z}, Q(x)=-tx^2, \rho}^! \quad (2)$$

the space of nearly holomorphic vector-valued modular forms on the lattice  $\mathbb{Z}$  with quadratic form  $Q(x) = -tx^2$  of weight  $\kappa - 1/2$  with respect to  $\rho$ .

It is the functions in  $M_{\kappa-1/2, \mathbb{Z}, Q(x)=-tx^2, \rho}^!$  that can be lifted directly via the Borchers lift.



## An Example of the Borcherds Lift on a Jacobi Form

Let

$$\phi_{0,1}(\tau, z) = \frac{\phi_{12,1}(\tau, z)}{\Delta(\tau)} \in \tilde{J}_{0,1}(\mathrm{SL}_2(\mathbb{Z})).$$

By Equation 2

$$\tilde{J}_{0,1}(\mathrm{SL}_2(\mathbb{Z})) \cong M_{\kappa-1/2, \mathbb{Z}, Q(x)=-tx^2, \rho}^!$$

The discriminant groups for the lattices  $D$  and  $D \oplus H \oplus H$  where  $D$  is  $\mathbb{Z}$  with  $Q(x) = -tx^2$  are isomorphic. Thus

$$\tilde{J}_{0,1}(\mathrm{SL}_2(\mathbb{Z})) \cong M_{\kappa-1/2, D \oplus H \oplus H, \rho}^!$$

and hence  $\phi_{0,1}$  can be lifted through the Borcherds lift to a modular form on the Siegel upper-half plane  $\mathrm{Sp}_4(\mathbb{Z})$ .

Explicitly calculating the principal part of  $\phi_{0,1}$  by Borcherds theorem gives us the divisor of the lift. This divisor corresponds to the Humbert surface of discriminant  $|4m|$  which is also the divisor of a known function  $\Delta_0^{(2)}$ .

These two functions differ by a constant. Thus using the Borcherds product formula we get a product expansion for  $\Delta_0^{(2)}$ .