## Geometric generating series, base change, and distinction

Jayce Getz McGill University Funded by NSERC.

(joint work with Mark Goresky).

#### Notation for Hirzebruch-Zagier:

Let  $p \equiv 1 \pmod{4}$  be a prime.

•  $Y_p := SL_2(\mathcal{O}_{\mathbb{Q}(\sqrt{p})}) \setminus \mathfrak{H}^2$ 

• 
$$X_p := \frac{\text{Satake-Baily-Borel}}{\text{compactification of } Y_p}$$

•  $X_p^T := a \text{ particular (smooth) toroidal}$ compactification of  $Y_p$ .

$$Z_m^{\circ} := \pi \left( \left\{ (z_1, z_2) \in \mathfrak{H}^2 : a, b \in \mathbb{Z}, \gamma \in \mathcal{O}_{\mathbb{Q}(\sqrt{p})} \\ \gamma \gamma' + abp = m \right\} \right)$$

where

$$\pi:\mathfrak{H}^2\to Y_p$$

is the canonical projection.

Let

$$\Phi := \sum_{n=0}^{\infty} [Z_n] q^n \qquad (q := e^{2\pi i z}).$$

Theorem (Hirzebruch-Zagier Invent. '76)

For each  $\xi \in H_2(X_p^T)$  we have that

$$\langle \xi, \Phi \rangle_H := \sum_{n \ge 0} \langle \xi, [Z_n] \rangle_H q^n$$

is a weight 2 modular form with nebentypus.

# Theorem (Zagier, LNM '76): $\langle [Z_m], \Phi \rangle_H = c(m) E_{2,p}^+(z) + r \sum_{n=1}^{\infty} \left( \sum_{f} \frac{\left( \int_{Z_1} \omega(\widehat{f}) \right)^2}{(\widehat{f}, \widehat{f})} a_f(m) a_f(n) \right) q^n.$

- $\sum_{f}$  is over a certain basis of  $S_2^+(\Gamma_0(p), \left(\frac{p}{\cdot}\right))$ .
- E<sup>+</sup><sub>2,p</sub> := an Eisenstein series for this space.
   r := constant
   c(m) := constant depending on m.
- (,) := Petersson inner product.
- $\hat{f}$  is the Naganuma lift of f $\eta_{\hat{f}} := (1, 1)$ -form attached to  $\hat{f}$ .

## Salient points:

- Subspace of  $H_2(X_p^T)$  spanned by algebraic cycles.
- Geometric generating series:

$$\Phi \in H_2(X_p^T) \otimes M_2^+(\Gamma_0(p)).$$

• Explicit description of  $\operatorname{Im}\left(\langle \cdot, \Phi \rangle : H_2(X_p^T)^{\vee} \longrightarrow M_2^+(\Gamma_0(p))\right).$  All three points are consequences of

- Intersection homology.
- Langlands functoriality.
- Distinction.

#### Notation:

- L/E := quadratic extension of totally real number fields with Hecke character  $\eta$ .
- $\Sigma(L) :=$  set of embeddings  $\sigma : L \hookrightarrow \mathbb{R}$ .
- $\mathcal{O}_L := \text{ring of integers of } L.$
- $\mathfrak{c} := \operatorname{ideal} \operatorname{of} \mathcal{O}_L.$
- $\mathfrak{c}_E := \text{ideal of } \mathcal{O}_E.$
- $G := G_L := \operatorname{Res}_{L/\mathbb{Q}}(\operatorname{GL}_2).$

## Hilbert modular varieties

$$Y_0(\mathfrak{c}) := G(\mathbb{Q}) \setminus G(\mathbb{A}) / K_\infty K_0(\mathfrak{c}).$$
  
$$X_0(\mathfrak{c}) := \text{Satake-Baily-Borel of } Y_0(\mathfrak{c}).$$

$$K_{\infty} \text{ is the stabilizer of}$$

$$\mathbb{C}^{\times} \to G(\mathbb{R})$$

$$x + iy \mapsto \left( \begin{pmatrix} x & y \\ -y & x \end{pmatrix}, \dots, \begin{pmatrix} x & y \\ -y & x \end{pmatrix} \right)^{\cdot}$$

• 
$$K_0(\mathfrak{c})$$
 is the compact open  
 $\left\{\gamma \in \mathrm{GL}_2(\widehat{\mathcal{O}_L}) : \gamma \equiv \begin{pmatrix} * & * \\ 0 & * \end{pmatrix} \pmod{\mathfrak{c}}\right\}.$ 

Analytic realization:

$$Y_0(\mathfrak{c}) = \prod_j \Gamma_j \setminus \mathfrak{H}^{\Sigma(L)}.$$

### Base change:

 $\mathbb{T}_{\mathfrak{c}} := \mathsf{Hecke} \text{ algebra over } L$  $\mathbb{T}_{\mathfrak{c}_E} := \mathsf{Hecke} \text{ algebra over } E$ 

$$b: \mathbb{T}_{\mathfrak{c}} \longrightarrow \mathbb{T}_{\mathfrak{c}_E}$$

{Newforms on E}  $\longrightarrow$  {Newforms on L}<sup>Gal(L/E)</sup>  $f \mapsto \hat{f}$  $\lambda_{\hat{f}}(\mathfrak{n}) = \lambda_f(b(T(\mathfrak{n})))$ 

## Notation:

Define 
$$S^+(\mathfrak{c}_E,\eta)$$
 to be  
 $\left\{g \in S(K_0(\mathfrak{c}_E),\eta) : \begin{array}{l}a(\mathfrak{m},g) = 0 \text{ if } \eta(\mathfrak{m}) = -1\\ \text{ or } \mathfrak{m} + \mathfrak{c}_E \neq \mathcal{O}_E\end{array}\right\}.$ 

$$IH^{E}(X_{0}(\mathfrak{c})) := \bigoplus_{\substack{f \in S^{\mathsf{new}}(K_{0}(\mathfrak{c})):\\\lambda_{f}(\mathfrak{m}^{\sigma}) = \lambda_{f}(\mathfrak{m})\\\forall \sigma \in \mathsf{Gal}(L/E)}} IH_{[L:\mathbb{Q}]}(X_{0}(\mathfrak{c}))(f).$$

 $IH^{\eta}(X_{0}(\mathfrak{c})) := \bigoplus_{\substack{\text{nebentypus}(g)=\eta\\ \widehat{g} \in S(K_{0}(\mathfrak{c}))}} IH_{[L:\mathbb{Q}]}(X_{0}(\mathfrak{c}))(\widehat{g}).$ 

## The Hecke operators $\widehat{T}(\mathfrak{m})$ :

## For $\mathfrak{m} \subset \mathcal{O}_L$ , define a Hecke operator $\widehat{T}(N_{L/E}(\mathfrak{m})) \in \mathbb{T}_{\mathfrak{c}} \otimes \mathbb{C}.$

For example,  $\widehat{T}(\mathsf{N}_{L/E}(\mathfrak{P})^r)$  is

$$\begin{cases} \frac{1}{2} \left( T(\mathfrak{P}^r) + T(\overline{\mathfrak{P}}^r) \right) \\ T(\mathfrak{P}^r) + \eta(\mathfrak{p}) \mathsf{N}_{E/\mathbb{Q}}(\mathfrak{p}) \widehat{T}(\mathfrak{p}^{2r-2}) \\ 0 \end{cases}$$

if p splits if p is inert otherwise.

Thus

$$\begin{aligned} \mathbb{T}_{\mathfrak{c}_E} &\longrightarrow \mathbb{T}_{\mathfrak{c}} \\ T(\mathfrak{n}) &\longmapsto \widehat{T}(\mathfrak{n}) \end{aligned}$$

is a section of b:

$$\lambda_{\widehat{f}}(\widehat{T}(\mathfrak{n})) = \lambda_f(\mathfrak{n})$$

## Definition of $\gamma(\mathfrak{m}')$ :

Let

$$Q: IH^E(X_0(\mathfrak{c})) \longrightarrow IH^{\eta}(X_0(\mathfrak{c}))$$

be the projection.

$$\begin{split} & \text{For each } \gamma \in IH^E(X_0(\mathfrak{c})), \text{ define} \\ & \gamma(\mathfrak{m}') := \begin{cases} \widehat{T}(\mathsf{N}_{L/E}(\mathfrak{m}))_*Q\gamma & \text{ if } \mathfrak{m}' = \mathsf{N}_{L/E}(\mathfrak{m}), \\ 0 & \text{ otherwise.} \end{cases} \end{split}$$

## **Results:**

## Theorem 1 (G.-Goresky).

Let L/E be quadratic and let  $\gamma \in IH^E(X_0(\mathfrak{c}))$ .

We then have that

$$\Phi_{\gamma}\left(\left(\begin{smallmatrix}y&x\\0&1\end{smallmatrix}\right)\right) := |y|_{\mathbb{A}_{E}} \sum_{\substack{\xi \in E^{\times}\\0 \ll \xi}} \gamma(\xi y \mathcal{D}_{E/\mathbb{Q}})q(\xi x, \xi y)$$

is an element of

$$IH^E(X_0(\mathfrak{c}))\otimes S^+(\mathcal{N}(\mathfrak{c}),\eta).$$

For  $t \in \mathbb{T}_{\mathfrak{c}}$  one has

 $\langle \psi, \Phi_\gamma 
angle$ 

Theorem 2 (G.-Goresky).

Suppose that Z is a subanalytic cycle on  $X_0(\mathfrak{c})$ admitting a class  $[Z] \in IH_{[L:\mathbb{Q}]}(X_0(\mathfrak{c}))$ . If

 $\mathfrak{n} + d_{L/E}(\mathfrak{c} \cap \mathcal{O}_E) = \mathfrak{m} + d_{L/E}(\mathfrak{c} \cap \mathcal{O}_E) = \mathcal{O}_E$ and  $\mathfrak{n}, \mathfrak{m}$  are norms from  $\mathcal{O}_L$ , then the  $\mathfrak{n}$ th Fourier

coefficient of

$$\langle [Z](\mathfrak{m}), \Phi_{[Z]} \rangle_{IH}$$

is

$$\frac{1}{4} \sum_{J \subset \Sigma(E)} \sum_{f} \frac{\int_{Z} \omega_{J}(\widehat{f}) \int_{Z} \omega_{J}(\widehat{f})}{\int_{Y_{0}(\mathfrak{c})} \omega_{J}(\widehat{f}) \wedge \overline{\omega_{J}(\widehat{f})}} \lambda_{f}(\mathfrak{m}) \lambda_{f}(\mathfrak{n})$$

where f ranges over newforms of nebentypus  $\eta$  with  $\hat{f} \in S(K_0(\mathfrak{c}))$ .

Otherwise, the nth Fourier coefficient is zero.

## Déjà vu:

Theorem (Zagier, LNM '76):

$$\langle [Z_m], \Phi \rangle_H = c(m) E_{2,p}(z) + r \sum_{n=1}^{\infty} \left( \sum_{f} \frac{\left( \int_{Z_1} \eta_{\widehat{f}} \right)^2}{(\widehat{f}, \widehat{f})} a_f(m) a_f(n) \right) q^n$$

## **Remark:**

Have:

• Geometric generating series:

 $\Phi_{\gamma} \in IH^{\eta}(X_0(\mathfrak{c})) \otimes S^+(\mathcal{N}(\mathfrak{c}),\eta)).$ 

• Explicit description of  $\operatorname{Im}\left(\langle \cdot, \Phi_{\gamma} \rangle : IH^{\eta}(X_{0}(\mathfrak{c}))^{\vee} \longrightarrow S^{+}(\mathcal{N}(\mathfrak{c}), \eta)\right).$ 

Still need:

 Subspace of IH<sub>[L:ℚ]</sub>(X<sub>0</sub>(𝔅)) spanned by algebraic cycles.

## Examples of cycles admitting classes in *IH*:

• Have

$$\iota : G_E \hookrightarrow G = G_L.$$

 This gives, for every compact open K ≤ G(A<sub>f</sub>), Shimura subvarieties

$$Y_{\iota^{-1}(K_0(\mathfrak{c}))\cap G_E(\mathbb{A}_f)} \hookrightarrow Y_0(\mathfrak{c})$$
$$X_{\iota^{-1}(K_0(\mathfrak{c}))\cap G_E(\mathbb{A}_f)} \hookrightarrow X_0(\mathfrak{c}).$$

where

$$Y_{K_E} := G_E(\mathbb{Q}) \setminus G_E(\mathbb{A}) / K_{E,\infty} K_E.$$

The associated cycles intersect the cusps nontrivially.

Theorem 3 (G.-Goresky).

A Shimura subvariety  $Z \subset X_0(\mathfrak{c})$  as above admits a canonical class

$$[Z] \in IH_{[L:\mathbb{Q}]}(X_0(\mathfrak{c})).$$

Moreover

$$\langle [Z], [\omega(f)] \rangle_{IH} = \int_Z \omega(f).$$

Let Z be a Shimura subvariety as above. Let

 $P_{\text{new}} : IH_{[L:\mathbb{Q}]}(X_0(\mathfrak{c})) \longrightarrow IH_{[L:\mathbb{Q}]}^{\text{new}}(X_0(\mathfrak{c}))$ be the projection.

## Theorem 4 (G.-Goresky).

The class  $P_{\text{new}}([Z])$  is an element of  $IH^{\eta}(X_0(\mathfrak{c}))$ . Moreover, if  $g \in S(K_0(\mathfrak{c}))$  is the base change of a form f of nebentypus  $\eta$ , then

$$\langle [Z], [\omega(g)] \rangle_{IH} = \int_Z \omega(g)$$
  
=  $L(Ad(f) \otimes \eta, 1).$ 

Otherwise,

$$\langle [Z], [\omega(g)] \rangle_{IH} = 0.$$

This provides

• Subspace of  $IH^{\eta}(X_0(\mathfrak{c})) \leq IH_{[L:\mathbb{Q}]}(X_0(\mathfrak{c}))$ spanned by algebraic cycles.

## **Proofs:**

## **Proof of Theorem 1:**

Consequence of the fact that

$$\mathbb{T}_{\mathfrak{c}_E} \longrightarrow \mathbb{T}_{\mathfrak{c}}$$
$$T(\mathfrak{n}) \longmapsto \widehat{T}(\mathfrak{n})$$

is a section of

$$b: \mathbb{T}_{\mathfrak{c}} \longrightarrow \mathbb{T}_{\mathfrak{c}_E}$$

Thus

$$\lambda_{\widehat{f}}(\widehat{T}(\mathfrak{n})) = \lambda_f(\mathfrak{n}).$$

This is where we use

• Langlands functoriality.

## **Proof of Theorem 2:**

Step 1: Prove Theorem 2 up to nonzero constants:

$$\langle [Z](\mathfrak{m}), \Phi_{\gamma} \rangle_{IH} = \sum_{f} c_{f} \lambda_{f}(\mathfrak{m}) f^{(\mathfrak{c} \cap \mathcal{O}_{E}) d_{L/E}},$$

where  $c_f \in \mathbb{C}^{\times}$ .

Step 1 is a consequence of:

- 1. The intersection pairing  $\langle , \rangle_{IH}$  is nondegenerate.
- 2. There is an isomorphism of Hecke modules  $\mathcal{Z} : H_{(2)}^{[L:\mathbb{Q}]}(X_0(\mathfrak{c})) \xrightarrow{\sim} IH_{[L:\mathbb{Q}]}(X_0(\mathfrak{c}))$

(the Zucker conjecture, proven by Saper-Stern and Looijenga).

3. The  $L_2$ -cohomology has a nice description, due to work of Harder (rephrased by Hida):

 $H^{\bullet}_{(2)}(X_{0}(\mathfrak{c})) \cong \text{ invariant forms } \oplus$  $\bigoplus_{J \subset \Sigma(L)} \bigoplus_{f \in S(K_{0}(\mathfrak{c}))} \omega_{J}(f)$ 

Step 2: Prove compatibilities between pairings.

E.g.

$$\begin{aligned} \langle \mathcal{Z}[\omega_J(f)], \mathcal{Z}[\omega_{\Sigma(L)-J}(g)] \rangle_{IH} \\ &= \int_{Y_0(\mathfrak{c})} \omega_J(f) \wedge \omega_{\Sigma(L)-J}(g) \\ &= * \langle f, g \rangle_{Petersson}. \end{aligned}$$

and

$$\int_{Z} \omega_J(f) = \langle [Z], [\omega_J(f)] \rangle_K.$$

These statements would be classical if  $X_0(\mathfrak{c})$  was a manifold, but it is not:

- $Y_0(\mathfrak{c})$  is only an orbifold.
- $X_0(\mathfrak{c})$  has isolated singularities.

A proof of these statements involves

- Subanalytic triangulations of spaces with isolated singularities, and how differential forms and chains behave with respect to such triangulations.
- Deligne's characterization of the intersection cohomology sheaf on a pseudomanifold X as an element of D<sup>b</sup>(X).

Clearly using

• Intersection homology.

## **Proof of Theorem 3:**

We have to show that a Shimura subvariety  $Z \subset X_0(\mathfrak{c})$  admits a canonical class in intersection homology.

The key input:

**Theorem 5** (Saper). The quotient map  $Y_0(\mathfrak{c})^{RBS} \to X_0(\mathfrak{c})$  induces an isomorphism

 $IH_{\bullet}(Y_{0}(\mathfrak{c})^{RBS}) \xrightarrow{\sim} IH_{\bullet}(X_{0}(\mathfrak{c})).$ 

## **Proof of Theorem 4:**

Use a Rankin-Selberg convolution.

Leads to **distinction**:

 $H' \leq H :=$  reductive *F*-groups.

An automorphic representation  $\pi$  of  $H(\mathbb{A}_F)$  is H'-distinguished if some form in the space of  $\pi$  has a nonzero period over

$$H'(F)\setminus H'(\mathbb{A}_F)\cap H(\mathbb{A}_F)^1.$$

## Summary:

• We produced a Hilbert modular form with coefficients in intersection homology:

 $\Phi_{\gamma} \in IH_{[L:\mathbb{Q}]}(X_0(\mathfrak{c})) \otimes S(K_0(\mathfrak{c})).$ 

• The Fourier coefficients of

 $\langle [Z], \Phi_{[Z]} \rangle_{IH}$ 

were then computed in terms of period integrals for nice cycles Z.

• Constructed cycles in  $IH_{L:\mathbb{Q}}(X_0(\mathfrak{c}))$ .

**Remark 6.** In the book, we allow arbitrary weight and character.

## Where to go from here:

General theory of distinction.

• Nonvanishing of cohomological periods.

Questions in geometry.

• Integration formulae of the type

$$\langle [Z], \omega(f) \rangle_{IH} = \int_Z \omega(f)$$

for locally symmetric spaces of higher  $\mathbb{Q}$ -rank (non-isolated singularities)?

• Construction of canonical classes (also in étale setting).

Modularity

• Relationship between models of representations and Hecke algebras.