

GROUP THEORY

NOTES FOR THE COURSE ALGEBRA 3, MATH 370

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Part 1. Basic Concepts and Key Examples

Groups are among the most rudimentary forms of algebraic structures. Because of their simplicity, in terms of their definition, their complexity is large. For example, vector spaces, which have very complex definition, are easy to classify; once the field and dimension are known, the vector space is unique up to isomorphism. In contrast, it is difficult to list all groups of a given order, or even obtain an asymptotic formula for that number.

In the study of vector spaces the objects are well understood and so one focuses on the study of maps between them. One studies canonical forms (e.g., the Jordan canonical form), diagonalization, and other special properties of linear transformations (normal, unitary, nilpotent, etc.). In contrast, at least in the theory of finite groups on which this course focuses, there is no comparable theory of maps. A theory exist mostly for maps into matrix groups (such maps are called linear representation and will not be studied in this course).

While we shall define such maps (called homomorphisms) between groups in general, there will be a large set of so called simple groups¹ for which there are essentially no such maps: the image of a simple group under a homomorphism is for all practical purposes just the group itself. The set of atoms is large, infinite in fact. The classification of all simple groups was completed in the second half of the 20-th century and has required thousands of pages of difficult math.

Thus, our focus - apart from the three isomorphism theorems - will be on the structure of the objects themselves. We will occupy ourselves with understanding the structure of subgroups of a finite group, with groups acting as symmetries of a given set and with special classes of groups (cyclic, simple, abelian, solvable, etc.).

1. FIRST DEFINITIONS

Dummit & Foote
§1.1

1.1. **Group.** A *group* G is a non-empty set with a function

$$m : G \times G \longrightarrow G,$$

where we usually abbreviate $m(g, h)$ to $g \star h$ or simply gh , such that the following hold:

- (1) (*Associativity*) $f(gh) = (fg)h$ for all $f, g, h \in G$.²
- (2) (*Identity*) There is an element $e \in G$ such that for all $g \in G$ we have $eg = ge = g$.
- (3) (*Inverse*) For every $g \in G$ there is an element $h \in G$ such that $gh = hg = e$.

It follows quite easily from associativity that given any n elements g_1, \dots, g_n of G we can put parentheses as we like in $g_1 \star \dots \star g_n$ without changing the final outcome. For that reason we allow ourselves to write simply $g_1 \cdots g_n$ (though the actual computation of such product is done by successively multiplying two elements, e.g. $((g_1 g_2)(g_3 g_4))g_5$) is a way to compute $g_1 g_2 g_3 g_4 g_5$.)

¹A more appropriate name might be “atomic groups”, but the terminology is too deeply rooted to deviate from it.

²In fuller notation $m(f, m(g, h)) = m(m(f, g), h)$.

The identity element is unique: if e' has the same property then $e' = ee' = e$. Sometimes we will denote the identity element by 1 (or by 0 if the group is commutative - see below). The element h provided in axiom (3) is unique as well: if h' has the same property then $hg = e = h'g$ and so $hgh = h'gh$, thus $h = he = hgh = h'gh = h'e = h'$. We may therefore denote this h unambiguously by g^{-1} . A useful identity is $(fg)^{-1} = g^{-1}f^{-1}$. It is verified just by checking that $g^{-1}f^{-1}$ indeed functions as $(fg)^{-1}$ and it does: $(g^{-1}f^{-1})(fg) = g^{-1}(f^{-1}f)g = g^{-1}eg = g^{-1}g = e$.

We define by induction $g^n = g^{n-1}g$ for $n > 0$ and $g^n = (g^{-n})^{-1}$ for $n < 0$. Also $g^0 = e$, by definition. One proves that $g^{n+m} = g^n g^m$ for any $n, m \in \mathbb{Z}$.

A group is called of *finite order* if it has finitely many elements. It is called *abelian* if it is *commutative*: $gh = hg$ for all $g, h \in G$.

1.2. Subgroup and order.

Dummit & Foote
§§2.1, 2.3, 2.4

A *subgroup* H of a group G is a non-empty subset of G such that (i) $e \in H$, (ii) if $g, h \in H$ then $gh \in H$, and (iii) if $g \in H$ then also $g^{-1} \in H$. One readily checks that in fact H is a group. One checks that $\{e\}$ and G are always subgroups, called the *trivial subgroups*. We will use the notation

$$H < G$$

to indicate that H is a subgroup of G .

One calls a subgroup H *cyclic* if there is an element $h \in H$ such that $H = \{h^n : n \in \mathbb{Z}\}$. Note that $\{h^n : n \in \mathbb{Z}\}$ is always a cyclic subgroup. We denote it by $\langle h \rangle$. The *order* of an element $h \in G$, $o(h)$, is defined to be the minimal positive integer n such that $h^n = e$. If no such n exists, we say h has infinite order.

Lemma 1.2.1. *For every $h \in G$ we have $o(h) = \# \langle h \rangle$.*

end of lecture 1

Proof. Assume first that $o(h)$ is finite. Since for every n we have $h^{n+o(h)} = h^n h^{o(h)} = h^n$ we see that $\langle h \rangle = \{e, h, h^2, \dots, h^{o(h)-1}\}$. Thus, also $\# \langle h \rangle$ is finite and at most $o(h)$.

Suppose conversely that $\# \langle h \rangle$ is finite, say of order n . Then the elements $\{e = h^0, h, \dots, h^n\}$ cannot be distinct and thus for some $0 \leq i < j \leq n$ we have $h^i = h^j$. Therefore, $h^{j-i} = e$ and we conclude that $o(h)$ is finite and $o(h)$ is at most $\# \langle h \rangle$. This concludes the proof. \square

Corollary 1.2.2. *If h has a finite order n then $\langle h \rangle = \{e, h, \dots, h^{n-1}\}$ and consists of precisely n elements (that is, there are no repetitions in this list.)*

It is easy to check that if $\{H_\alpha; \alpha \in J\}$ is a non-empty set of subgroups of G then $\bigcap_{\alpha \in J} H_\alpha$ is a subgroup as well. Let $\{g_\alpha : \alpha \in I\}$ be a set consisting of elements of G (here I is some index set). We denote by $\langle \{g_\alpha : \alpha \in I\} \rangle$ the minimal subgroup of G containing $\{g_\alpha : \alpha \in I\}$. It is clearly the intersection of all subgroups of G containing $\{g_\alpha : \alpha \in I\}$.

Lemma 1.2.3. *The subgroup $\langle \{g_\alpha : \alpha \in I\} \rangle$ is the set of all finite expressions $h_1 \cdots h_t$ where each h_i is some g_α or g_α^{-1} .*

Proof. Clearly $\langle \{g_\alpha : \alpha \in I\} \rangle$ contains each g_α hence all the expressions $h_1 \cdots h_t$ where each h_i is some g_α or g_α^{-1} . Thus, it is enough to show that the set of all finite expressions $h_1 \cdots h_t$, where

each h_i is some g_α or g_α^{-1} , is a subgroup. Clearly e (equal to the empty product, or to $g_\alpha g_\alpha^{-1}$ if you prefer) is in it. Also, from the definition it is clear that it is closed under multiplication. Finally, since $(h_1 \cdots h_t)^{-1} = h_t^{-1} \cdots h_1^{-1}$ it is also closed under taking inverses. \square

We call $\langle \{g_\alpha : \alpha \in I\} \rangle$ the subgroup of G generated by $\{g_\alpha : \alpha \in I\}$; if it is equal to G , we say that $\{g_\alpha : \alpha \in I\}$ are generators for G .

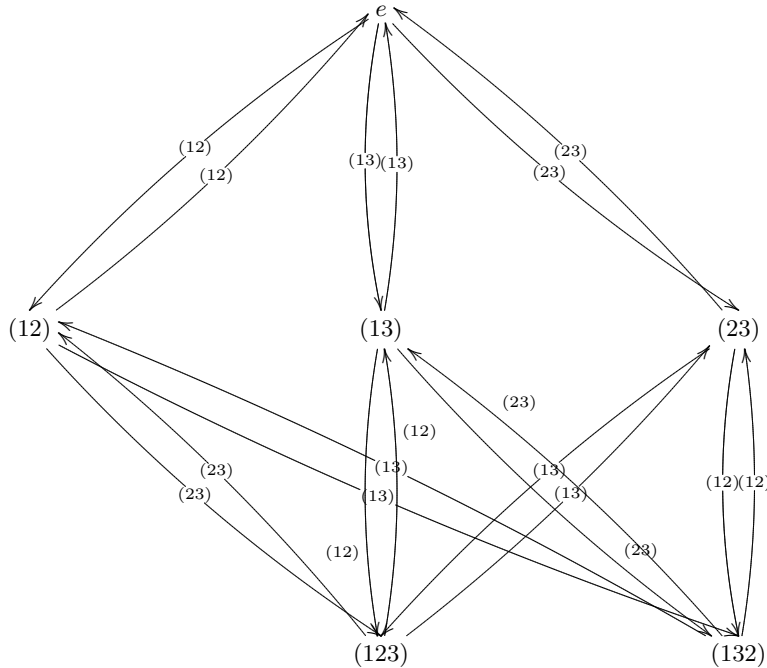
The Cayley graph.

Suppose that $\{g_\alpha : \alpha \in I\}$ are generators for G . We define an oriented graph taking as vertices the elements of G and taking for every $g \in G$ an oriented edge from g to gg_α . If we forget the orientation, the property of $\{g_\alpha : \alpha \in I\}$ being a set of generators is equivalent to the graph being connected.

Suppose that the set of generators consists of n elements. Then, by definition, from every vertex we have n vertices emanating and also n arriving. We see therefore that all Cayley graphs are regular graphs. This, in turn, gives a systematic way of constructing regular graphs.

Suppose we take as group the symmetric group (see below) S_n and the transpositions as generators. One can think as a permutation as being performed in practice by successively swapping the places of two elements. Thus, in the Cayley graph, the distance between a permutation and the identity (the distance is defined as the minimal length of a path between the two vertices) is the minimal way to write a permutation as a product of transpositions, and could be thought of as a certain measure of the complexity of a transposition.

The figure below gives the Cayley graph of S_3 with respect to the generating set of transpositions. It is a 3-regular oriented graph and a 6 regular graph.



2. MAIN EXAMPLES

2.1. \mathbb{Z} , $\mathbb{Z}/n\mathbb{Z}$ and $(\mathbb{Z}/n\mathbb{Z})^\times$. The set of integers $\mathbb{Z} = \{\dots, -2, -1, 0, 1, 2, 3, \dots\}$, with the addition operation, is an infinite abelian group. It is cyclic; both 1 and -1 are generators.

Dummit & Foote
§§0.1 - 0.3

The group $\mathbb{Z}/n\mathbb{Z}$ of integers modulo n , $\{0, 1, 2, \dots, n-1\}$, with addition modulo n , is a finite abelian group. The group $\mathbb{Z}/n\mathbb{Z}$ is a cyclic group with generator 1. In fact (see the section on cyclic groups), an element x generates $\mathbb{Z}/n\mathbb{Z}$ if and only if $(x, n) = 1$.

Consider $(\mathbb{Z}/n\mathbb{Z})^\times = \{a \in \mathbb{Z}/n\mathbb{Z} : (a, n) = 1\}$ with multiplication. It is a group whose order is denoted by $\phi(n)$ (the function $n \mapsto \phi(n)$ is called *Euler's phi function*). To see it is a group, note that multiplication is associative and if $(a, n) = 1, (b, n) = 1$ then also $(ab, n) = 1$ (thus, we do indeed get an operation on $\mathbb{Z}/n\mathbb{Z}^\times$). The congruence class 1 is the identity and the existence of inverse follows from finiteness: given $a \in \mathbb{Z}/n\mathbb{Z}^\times$ consider the function $x \mapsto ax$. It is injective: if $ax = ay$ then $a(x - y) = 0 \pmod{n}$, that is (using the same letters to denote integers in these congruence classes) $n|a(x - y)$. Since $(a, n) = 1$ we conclude that $n|(x - y)$ that is, $x = y$ in $\mathbb{Z}/n\mathbb{Z}$. It follows that $x \mapsto ax$ is also surjective and thus there is an element x such that $ax = 1$.

2.2. **The dihedral group D_{2n} .** Let $n \geq 3$. Consider the linear transformations of the plane that take a regular polygon with n sides, symmetric about zero, unto itself. One easily sees that every such symmetry is determined by its action of the vertices 1, 2 (thought of as vectors, they form a basis) and that it takes these vertices to the vertices $i, i+1$ or $i+1, i$, where $1 \leq i \leq n$ (and the labels of the vertices are read modulo n). One concludes that every such symmetry is of the form $y^a x^b$ for suitable and unique $a \in \{0, 1\}, b \in \{1, \dots, n\}$, where y is the reflection fixing 1 (so takes $n, 2$ to $2, n$) and x is the rotation taking $1, 2$ to $2, 3$. One finds that $y^2 = e = x^n$ and that $xyx = x^{-1}$. All other relations are consequences of these.

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§1.2

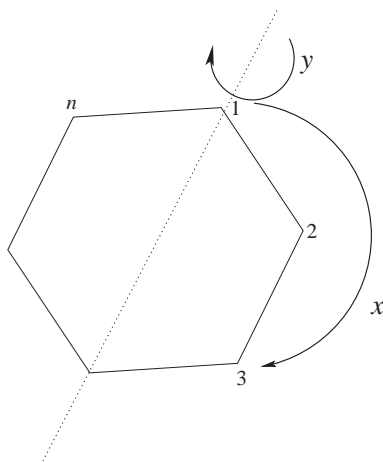


FIGURE 2.1. Symmetries of a regular Polygon with n vertices.

The Dihedral group is thus a group of order $2n$ generated by a reflection y and a rotation x satisfying $y^2 = x^n = xyxy = e$. This makes sense also for $n = 1, 2$.

end of second lecture

2.3. The symmetric group S_n . Consider the set S_n consisting of all injective (hence bijective) functions, called *permutations*, Dummit & Foote §1.3

$$\sigma : \{1, 2, \dots, n\} \longrightarrow \{1, 2, \dots, n\}.$$

We define

$$m(\sigma, \tau) = \sigma \circ \tau.$$

This makes S_n into a group, whose identity e is the identity function $e(i) = i, \forall i$.

We may describe the elements of S_n in the form of a table:

$$\begin{pmatrix} 1 & 2 & \dots & n \\ i_1 & i_2 & \dots & i_n \end{pmatrix}.$$

This defines a permutation σ by the rule $\sigma(a) = i_a$.

Another device is to use the notation $(i_1 i_2 \dots i_s)$, where the i_j are distinct elements of $\{1, 2, \dots, n\}$. This defines a permutation σ according to the following convention: $\sigma(i_a) = i_{a+1}$ for $1 \leq a < s$, $\sigma(i_s) = i_1$, and for any other element x of $\{1, 2, \dots, n\}$ we let $\sigma(x) = x$. Such a permutation is called a *cycle*. One can easily prove the following facts:

- (1) Disjoint cycles commute.
- (2) Every permutation is a product of disjoint cycles (uniquely up to permuting the cycles).
- (3) The order of $(i_1 i_2 \dots i_s)$ is s .
- (4) If $\sigma_1, \dots, \sigma_t$ are disjoint cycles of orders r_1, \dots, r_t then the order of $\sigma_1 \circ \dots \circ \sigma_t$ is the least common multiple of r_1, \dots, r_t .
- (5) The symmetric group has order $n!$.

2.3.1. The sign of a permutation, and realizing permutations as linear transformations.

Dummit & Foote §3.5

Lemma 2.3.1. *Let $n \geq 2$. Let S_n be the group of permutations of $\{1, 2, \dots, n\}$. There exists a surjective homomorphism³ of groups*

$$\text{sgn} : S_n \longrightarrow \{\pm 1\}$$

(called the ‘sign’). It has the property that for every $i \neq j$,

$$\text{sgn}((ij)) = -1.$$

Proof. Consider the polynomial in n -variables⁴

$$p(x_1, \dots, x_n) = \prod_{i < j} (x_i - x_j).$$

Given a permutation σ we may define a new polynomial

$$\prod_{i < j} (x_{\sigma(i)} - x_{\sigma(j)}).$$

³That means $\text{sgn}(\sigma\tau) = \text{sgn}(\sigma)\text{sgn}(\tau)$

⁴For $n = 2$ we get $x_1 - x_2$. For $n = 3$ we get $(x_1 - x_2)(x_1 - x_3)(x_2 - x_3)$.

Note that $\sigma(i) \neq \sigma(j)$ and for any pair $k < \ell$ we obtain in the new product either $(x_k - x_\ell)$ or $(x_\ell - x_k)$. Thus, for a suitable choice of sign $\text{sgn}(\sigma) \in \{\pm 1\}$, we have⁵

$$\prod_{i < j} (x_{\sigma(i)} - x_{\sigma(j)}) = \text{sgn}(\sigma) \prod_{i < j} (x_i - x_j).$$

We obtain a function

$$\text{sgn} : S_n \longrightarrow \{\pm 1\}.$$

This function satisfies $\text{sgn}(k\ell) = -1$ (for $k < \ell$): Let $\sigma = (k\ell)$ and consider the product

$$\prod_{i < j} (x_{\sigma(i)} - x_{\sigma(j)}) = (x_\ell - x_k) \prod_{\substack{i < j \\ i \neq k, j \neq \ell}} (x_i - x_j) \prod_{\substack{k < j \\ j \neq \ell}} (x_\ell - x_j) \prod_{\substack{i < \ell \\ i \neq k}} (x_i - x_k)$$

Counting the number of signs that change we find that

$$\prod_{i < j} (x_{\sigma(i)} - x_{\sigma(j)}) = (-1)(-1)^{\#\{j: k < j < \ell\}} (-1)^{\#\{i: k < i < \ell\}} \prod_{i < j} (x_i - x_j) = - \prod_{i < j} (x_i - x_j).$$

It remains to show that sgn is a group homomorphism. We first make the innocuous observation that for *any* variables y_1, \dots, y_n and for *any* permutation σ we have

$$\prod_{i < j} (y_{\sigma(i)} - y_{\sigma(j)}) = \text{sgn}(\sigma) \prod_{i < j} (y_i - y_j).$$

Let τ be a permutation. We apply this observation for the variables $y_i := x_{\tau(i)}$. We get

$$\begin{aligned} \text{sgn}(\tau\sigma)p(x_1, \dots, x_n) &= p(x_{\tau\sigma(1)}, \dots, x_{\tau\sigma(n)}) \\ &= p(y_{\sigma(1)}, \dots, y_{\sigma(n)}) \\ &= \text{sgn}(\sigma)p(y_1, \dots, y_n) \\ &= \text{sgn}(\sigma)p(x_{\tau(1)}, \dots, x_{\tau(n)}) \\ &= \text{sgn}(\sigma)\text{sgn}(\tau)p(x_1, \dots, x_n). \end{aligned}$$

This gives

$$\text{sgn}(\tau\sigma) = \text{sgn}(\tau)\text{sgn}(\sigma).$$

□

Calculating sgn in practice. Recall that every permutation σ can be written as a product of disjoint cycles

$$\sigma = (a_1 \dots a_\ell)(b_1 \dots b_m) \dots (f_1 \dots f_n).$$

Claim: $\text{sgn}(a_1 \dots a_\ell) = (-1)^{\ell-1}$.

Corollary: $\text{sgn}(\sigma) = (-1)^{\#\text{even length cycles}}$.

Proof. We write

$$(a_1 \dots a_\ell) = \underbrace{(a_1 a_\ell) \dots (a_1 a_3)}_{\ell-1 \text{ transpositions}} (a_1 a_2).$$

Since a transposition has sign -1 and sgn is a homomorphism, the claim follows. □

⁵For example, if $n = 3$ and σ is the cycle (123) we have

$$(x_{\sigma(1)} - x_{\sigma(2)})(x_{\sigma(1)} - x_{\sigma(3)})(x_{\sigma(2)} - x_{\sigma(3)}) = (x_2 - x_3)(x_2 - x_1)(x_3 - x_1) = (x_1 - x_2)(x_1 - x_3)(x_2 - x_3).$$

Hence, $\text{sgn}((1\ 2\ 3)) = 1$.

A Numerical example. Let $n = 11$ and

$$\sigma = \begin{pmatrix} 1 & 2 & 3 & 4 & 5 & 6 & 7 & 8 & 9 & 10 \\ 2 & 5 & 4 & 3 & 1 & 7 & 8 & 10 & 6 & 9 \end{pmatrix}.$$

Then

$$\sigma = (1\ 2\ 5)(3\ 4)(6\ 7\ 8\ 10\ 9).$$

Now,

$$\text{sgn}((1\ 2\ 5)) = 1, \quad \text{sgn}((3\ 4)) = -1, \quad \text{sgn}((6\ 7\ 8\ 10\ 9)) = 1.$$

We conclude that $\text{sgn}(\sigma) = -1$.

Realizing S_n as linear transformations. Let \mathbb{F} be any field. Let $\sigma \in S_n$. There is a unique linear transformation

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$$T_\sigma : \mathbb{F}^n \longrightarrow \mathbb{F}^n,$$

such that

$$T(e_i) = e_{\sigma(i)}, \quad i = 1, \dots, n,$$

where, as usual, e_1, \dots, e_n are the standard basis of \mathbb{F}^n . Note that

$$T_\sigma \begin{pmatrix} x_1 \\ x_2 \\ \vdots \\ x_n \end{pmatrix} = \begin{pmatrix} x_{\sigma^{-1}(1)} \\ x_{\sigma^{-1}(2)} \\ \vdots \\ x_{\sigma^{-1}(n)} \end{pmatrix}.$$

(For example, because $T_\sigma x_1 e_1 = x_1 e_{\sigma(1)}$, the $\sigma(1)$ coordinate is x_1 , namely, in the $\sigma(1)$ place we have the entry $x_{\sigma^{-1}(\sigma(1))}$.) Since for every i we have $T_\sigma T_\tau(e_i) = T_\sigma e_{\tau(i)} = e_{\sigma\tau(i)} = T_{\sigma\tau} e_i$, we have the relation

$$T_\sigma T_\tau = T_{\sigma\tau}.$$

The matrix representing T_σ is the matrix (a_{ij}) with $a_{ij} = 0$ unless $i = \sigma(j)$. For example, for $n = 4$ the matrices representing the permutations $(12)(34)$ and $(1\ 2\ 3\ 4)$ are, respectively

$$\begin{pmatrix} 0 & 1 & 0 & 0 \\ 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & 1 & 0 \end{pmatrix}, \quad \begin{pmatrix} 0 & 0 & 0 & 1 \\ 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \end{pmatrix}.$$

Otherwise said,⁶

$$T_\sigma = (e_{\sigma(1)} \mid e_{\sigma(2)} \mid \dots \mid e_{\sigma(n)}) = \begin{pmatrix} \overline{e_{\sigma^{-1}(1)}} \\ e_{\sigma^{-1}(2)} \\ \overline{\vdots} \\ e_{\sigma^{-1}(n)} \end{pmatrix}.$$

⁶This gives the interesting relation $T_{\sigma^{-1}} = T_\sigma^t$. Because $\sigma \mapsto T_\sigma$ is a group homomorphism we may conclude that $T_\sigma^{-1} = T_\sigma^t$. Of course for a general matrix this doesn't hold.

It follows that

$$\begin{aligned}\operatorname{sgn}(\sigma) \det(T_\sigma) &= \operatorname{sgn}(\sigma) \det(e_{\sigma(1)} \mid e_{\sigma(2)} \mid \cdots \mid e_{\sigma(n)}) \\ &= \det(e_1 \mid e_2 \mid \cdots \mid e_n) \\ &= \det(I_n) \\ &= 1.\end{aligned}$$

Recall that $\operatorname{sgn}(\sigma) \in \{\pm 1\}$. We get

$$\det(T_\sigma) = \operatorname{sgn}(\sigma).$$

2.3.2. Transpositions and generators for S_n . Let $1 \leq i < j \leq n$ and let $\sigma = (ij)$. Then σ is called a transposition. Let T be the set of all transpositions (T has $n(n-1)/2$ elements). Then T generates S_n . In fact, also the transpositions $(12), (23), \dots, (n-1, n)$ alone generate S_n .

Dummit & Foote
§3.5 and Exe. 3

2.3.3. The alternating group A_n . Consider the set A_n of all permutations in S_n whose sign is 1. They are called the *even* permutations (those with sign -1 are called *odd*). We see that $e \in A_n$ and that if $\sigma, \tau \in A_n$ also $\sigma\tau$ and σ^{-1} . This follows from $\operatorname{sgn}(\sigma\tau) = \operatorname{sgn}(\sigma)\operatorname{sgn}(\tau)$, $\operatorname{sgn}(\sigma^{-1}) = \operatorname{sgn}(\sigma)^{-1}$.

Thus, A_n is a group. It is called the *alternating group*. It has $n!/2$ elements (use multiplication by (12) to create a bijection between the odd and even permutations). Here are some examples

n	A_n
2	$\{1\}$
3	$\{1, (123), (132)\}$
4	$\{1, (123), (132), (124), (142), (134), (143), (234), (243), (12)(34), (13)(24), (14)(23)\}$

2.4. Matrix groups and the quaternions. Let R be a commutative ring with 1. We let $\operatorname{GL}_n(R)$ denote the $n \times n$ matrices with entries with R , whose determinant is a unit in R .

Dummit & Foote
§§1.4 - 1.5

Proposition 2.4.1. $\operatorname{GL}_n(R)$ is a group under matrix multiplication.

Proof. Multiplication of matrices is associative and the identity matrix is in $\operatorname{GL}_n(R)$. If $A, B \in \operatorname{GL}_n(R)$ then $\det(AB) = \det(A)\det(B)$ gives that $\det(AB)$ is a unit of R and so $AB \in \operatorname{GL}_n(R)$. The adjoint matrix satisfies $\operatorname{Adj}(A)A = \det(A)I_n$ and so every matrix A in $\operatorname{GL}_n(R)$ has an inverse equal to $\det(A)^{-1}\operatorname{Adj}(A)$. Note that $A^{-1}A = Id$ implies that $\det(A^{-1}) = \det(A)^{-1}$, hence an invertible element of R . Thus A^{-1} is in $\operatorname{GL}_n(R)$. \square

Proposition 2.4.2. If R is a finite field of q elements then $\operatorname{GL}_n(R)$ is a finite group of cardinality $(q^n - 1)(q^n - q) \cdots (q^n - q^{n-1})$.

Proof. To give a matrix in $\operatorname{GL}_n(R)$ is to give a basis of R^n (consisting of the columns of the matrix). The first vector v_1 in a basis can be chosen to be any non-zero vector and there are $q^n - 1$ such vectors. The second vector v_2 can be chosen to be any vector not in $\operatorname{Span}(v_1)$; there are $q^n - q$ such vectors. The third vector v_3 can be chosen to be any vector not in $\operatorname{Span}(v_1, v_2)$; there are $q^n - q^2$ such vectors. And so on. \square

Exercise 2.4.3. Prove that the set of upper triangular matrices in $\mathrm{GL}_n(\mathbb{F})$, where \mathbb{F} is any field, forms a subgroup of $\mathrm{GL}_n(F)$. It is also called a Borel subgroup.

Prove that the set of upper triangular matrices in $\mathrm{GL}_n(\mathbb{F})$ with 1 on the diagonal, where \mathbb{F} is any field, forms a subgroup of $\mathrm{GL}_n(F)$. It is also called a unipotent subgroup.

Calculate the cardinality of these groups when \mathbb{F} is a finite field of q elements.

end of 3-rd lecture

Consider the case $R = \mathbb{C}$, the complex numbers, and the set of eight matrices

$$\left\{ \pm \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}, \pm \begin{pmatrix} i & 0 \\ 0 & -i \end{pmatrix}, \pm \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}, \pm \begin{pmatrix} 0 & i \\ i & 0 \end{pmatrix} \right\}.$$

One verifies that this is a subgroup of $\mathrm{GL}_2(\mathbb{C})$, called the *Quaternion group*. One can use the notation

$$\pm 1, \pm i, \pm j, \pm k$$

for the matrices, respectively. Then we have

$$i^2 = j^2 = k^2 = -1, \quad ij = -ji = k, \quad jk = i, \quad ki = j.$$

2.5. Groups of small order. One can show that in a suitable sense (up to isomorphism, see § 8.1) the following is a complete list of groups for the given orders. (In the middle column we give the abelian groups and in the right column the non-abelian groups).

order	abelian groups	non-abelian groups
1	$\{1\}$	
2	$\mathbb{Z}/2\mathbb{Z}$	
3	$\mathbb{Z}/3\mathbb{Z}$	
4	$\mathbb{Z}/2\mathbb{Z} \times \mathbb{Z}/2\mathbb{Z}, \mathbb{Z}/4\mathbb{Z}$	
5	$\mathbb{Z}/5\mathbb{Z}$	
6	$\mathbb{Z}/6\mathbb{Z}$	S_3
7	$\mathbb{Z}/7\mathbb{Z}$	
8	$\mathbb{Z}/2\mathbb{Z} \times \mathbb{Z}/2\mathbb{Z} \times \mathbb{Z}/2\mathbb{Z}, \mathbb{Z}/2\mathbb{Z} \times \mathbb{Z}/4\mathbb{Z}, \mathbb{Z}/8\mathbb{Z}$	D_8, Q
9	$\mathbb{Z}/3\mathbb{Z} \times \mathbb{Z}/3\mathbb{Z}, \mathbb{Z}/9\mathbb{Z}$	
10	$\mathbb{Z}/10\mathbb{Z}$	D_{10}
11	$\mathbb{Z}/11\mathbb{Z}$	
12	$\mathbb{Z}/2\mathbb{Z} \times \mathbb{Z}/6\mathbb{Z}, \mathbb{Z}/12\mathbb{Z}$	D_{12}, A_4, T

In the following table we list for every n the number $G(n)$ of subgroups of order n (this is taken from J. Rotman/*An introduction to the theory of groups*):

n	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19
$G(n)$	1	1	1	2	1	2	1	5	2	2	1	5	1	2	1	14	1	5	1
n	20	21	22	23	24	25	26	27	28	29	30	31	32						
$G(n)$	5	2	2	1	15	2	2	5	4	1	4	1	51						

2.6. Direct product. Let G, H be two groups. Define on the cartesian product $G \times H$ multiplication by

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§1.1

$$m : (G \times H) \times (G \times H) \longrightarrow G \times H, \quad m((a, x), (b, y)) = (ab, xy).$$

This makes $G \times H$ into a group, called the *direct product* (also direct sum) of G and H .

One checks that $G \times H$ is abelian if and only if both G and H are abelian. The following relation among orders hold: $o(a, x) = \text{lcm}(o(a), o(x))$. It follows that if G, H are cyclic groups whose orders are co-prime then $G \times H$ is also a cyclic group.

Example 2.6.1. If $H_1 < H, G_1 < G$ are subgroups then $H_1 \times G_1$ is a subgroup of $H \times G$. However, not every subgroup of $H \times G$ is of this form. For example, the subgroups of $\mathbb{Z}/2\mathbb{Z} \times \mathbb{Z}/2\mathbb{Z}$ are $\{0\} \times \{0\}, \{0\} \times \mathbb{Z}/2\mathbb{Z}, \mathbb{Z}/2\mathbb{Z} \times \{0\}, \mathbb{Z}/2\mathbb{Z} \times \mathbb{Z}/2\mathbb{Z}$ and the subgroup $\{(0, 0), (1, 1)\}$ which is *not* a product of subgroups.

3. CYCLIC GROUPS

Let G be a finite cyclic group of order n , $G = \langle g \rangle$.

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§2.3

Lemma 3.0.2. We have $o(g^a) = n/\text{gcd}(a, n)$.

Proof. Note that $g^t = g^{t-n}$ and so $g^t = e$ if and only if $n|t$ (cf. Corollary 1.2.2). Thus, the order of g^a is the minimal r such that ar is divisible by n . Clearly $a \cdot n/\text{gcd}(a, n)$ is divisible by n so the order of g^a is less or equal to $n/\text{gcd}(a, n)$. On the other hand if ar is divisible by n then, because $n = \text{gcd}(a, n) \cdot n/\text{gcd}(a, n)$, r is divisible by $n/\text{gcd}(a, n)$. \square

Proposition 3.0.3. For every $h|n$ the group G has a unique subgroup of order h . This subgroup is cyclic.

Proof. We first show that every subgroup is cyclic. Let H be a non trivial subgroup. Then there is a minimal $0 < a < n$ such that $g^a \in H$ and hence $H \supseteq \langle g^a \rangle$. Let $g^r \in H$. We may assume that $r > 0$. Write $r = ka + k'$ for $0 \leq k' < a$. Note that $g^{r-ka} \in H$. The choice of a then implies that $k' = 0$. Thus, $H = \langle g^a \rangle$.

Since $\text{gcd}(a, n) = \alpha a + \beta n$ we have $g^{\text{gcd}(a, n)} = (g^a)^\alpha (g^n)^\beta \in H$. Thus, $g^{a-\text{gcd}(a, n)} \in H$. Therefore, by the choice of a , $a = \text{gcd}(a, n)$; that is, $a|n$. Thus, every subgroup is cyclic and of the form $\langle g^a \rangle$ for $a|n$. Its order is n/a . We conclude that for every $b|n$ there is a unique subgroup of order b and it is cyclic, generated by $g^{n/b}$. \square

Proposition 3.0.4. Let G be a finite group of order n such that for $h|n$ the group G has at most one subgroup of order h then G is cyclic.

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Proof. We define Euler's phi function as

$$\phi(h) = \#\{1 \leq a \leq h : \text{gcd}(a, h) = 1\}.$$

This function has the following properties (that we take as facts):

- If n and m are relatively prime then $\phi(nm) = \phi(n)\phi(m)$.⁷

⁷This can be proved as follows. Using the Chinese Remainder Theorem $\mathbb{Z}/nm\mathbb{Z} \cong \mathbb{Z}/n\mathbb{Z} \times \mathbb{Z}/m\mathbb{Z}$ as rings. Now calculate the unit groups of both sides.

$$\bullet n = \sum_{d|n} \phi(d).^8$$

We shall also use a consequence Lagrange's theorem: the order of every subgroup of G divides the order of G ; the order of every element of G divides the order of G .

Consider an element $g \in G$ of order h . The subgroup $\langle g \rangle$ it generates is of order h and has $\varphi(h)$ generators. We conclude that every element of order h must belong to this subgroup (because there is a unique subgroup of order h in G) and that there are exactly $\varphi(h)$ elements of order h in G .

On the one hand $n = \sum_{d|n} \{\text{num. elts. of order } d\} = \sum_{d|n} \phi(d)\epsilon_d$, where ϵ_d is 1 if there is an element of order d and is zero otherwise. On the other hand $n = \sum_{d|n} \phi(d)$. We conclude that $\epsilon_n = 1$ and so there is an element of order n . This element is a generator of G . \square

Corollary 3.0.5. *Let \mathbb{F} be a finite field then \mathbb{F}^\times is a cyclic group.*

Proof. Let q be the number of element of \mathbb{F} . To show that for every h dividing $q - 1$ there is at most one subgroup of order h we note that every element in that subgroup will have order dividing h and hence will solve the polynomial $x^h - 1$. That is, the h elements in that subgroup must be the h solutions of $x^h - 1$. In particular, this subgroup is unique. \square

end of 4-th lecture

4. CONSTRUCTING SUBGROUPS

4.1. Commutator subgroup. Let G be a group. Define its *commutator subgroup* G' , or $[G, G]$, to be the subgroup generated by $\{xyx^{-1}y^{-1}; x, y \in G\}$. An element of the form $xyx^{-1}y^{-1}$ is called a *commutator*. We use the notation $[x, y] = xyx^{-1}y^{-1}$. It is not true in general that every element in G' is a commutator, though every element is a product of commutators.

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Example 4.1.1. We calculate the commutator subgroup of S_3 . First, note that every commutator is an even permutation, hence contained in A_3 . Next, $(12)(13)(12)(13) = (123)$ is in S'_3 . It follows that $S'_3 = A_3$.

4.2. Centralizer subgroup. Let H be a subgroup of G . We define its *centralizer* $C_G(H)$ to be the set $\{g \in G : gh = hg, \forall h \in H\}$. One checks that it is a subgroup of G called *the centralizer of H in G* .

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§2.2

Given an element $h \in G$ we may define $C_G(h) = \{g \in G : gh = hg\}$. It is a subgroup of G called the centralizer of h in G . One checks that $C_G(h) = C_G(\langle h \rangle)$ and that $C_G(H) = \cap_{h \in H} C_G(h)$.

Taking $H = G$, the subgroup $C_G(G)$ is the set of elements of G such that each of them commutes with every other element of G . It has a special name; it is called the *center* of G and denoted $Z(G)$.

Example 4.2.1. We calculate the centralizer of (12) in S_5 . We first make the following useful observation: $\tau\sigma\tau^{-1}$ is the permutation obtained from σ by changing its entries according to τ . For

⁸This follows from $n = \sum_{d|n} \text{num. elts. of order } d$, the cyclicity of $\mathbb{Z}/n\mathbb{Z}$ and Proposition 3.0.3.

example: $(1234)[(12)(35)](1234)^{-1} = (1234)[(12)(35)](1432) = (1234)(1453) = (23)(45)$ and $(23)(45)$ is obtained from $(12)(35)$ by changing the labels 1, 2, 3, 4, 5 according to the rule (1234).

Using this, we see that the centralizer of (12) in S_5 is just $S_2 \times S_3$ (Here S_2 are the permutations of 1, 2 and S_3 are the permutations of 3, 4, 5. Viewed this way they are subgroups of S_5).

4.3. Normalizer subgroup. Let H be a subgroup of G . Define the *normalizer* of H in G , $N_G(H)$, to be the set $\{g \in G : gHg^{-1} = H\}$. It is a subgroup of G . Note that $H \subset N_G(H)$, $C_G(H) \subset N_G(H)$ and $H \cap C_G(H) = Z(H)$. Dummit & Foote §2.2

5. COSETS

Let G be a group and H a subgroup of G . A *left coset* of H in G is a subset S of G of the form Dummit & Foote pp. 78-81

$$gH := \{gh : h \in H\}$$

for some $g \in G$. A *right coset* is a subset of G of the form

$$Hg := \{hg : h \in H\}$$

for some $g \in G$. For brevity we shall discuss only left cosets but the discussion with minor changes applies for right cosets too.

Example 5.0.1. Consider the group S_3 and the subgroup $H = \{1, (12)\}$. The following table lists the left cosets of H . For an element g , we list the coset gH in the middle column, and the coset Hg in the last column.

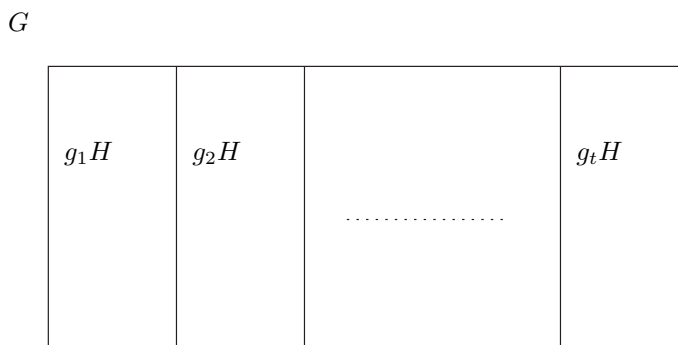
g	gH	Hg
1	$\{1, (12)\}$	$\{1, (12)\}$
(12)	$\{(12), 1\}$	$\{(12), 1\}$
(13)	$\{(13), (123)\}$	$\{(13), (132)\}$
(23)	$\{(23), (132)\}$	$\{(23), (123)\}$
(123)	$\{(123), (13)\}$	$\{(123), (23)\}$
(132)	$\{(132), (23)\}$	$\{(132), (13)\}$

The first observation is that the element g such that $S = gH$ is not unique. In fact, $gH = kH$ if and only if $g^{-1}k \in H$. The second observation is that two cosets are either equal or disjoint; this is a consequence of the following lemma.

end of 5-th lecture

Lemma 5.0.2. Define a relation $g \sim k$ if $\exists h \in H$ such that $gh = k$. This is an equivalence relation such that the equivalence class of g is precisely gH .

Proof. Since $g = ge$ and $e \in H$ the relation is reflexive. If $gh = k$ for some $h \in H$ then $kh^{-1} = g$ and $h^{-1} \in H$. Thus, the relation is symmetric. Finally, if $g \sim k \sim \ell$ then $gh = k$, $kh' = \ell$ for some $h, h' \in H$ and so $g(hh') = \ell$. Since $hh' \in H$ we conclude that $g \sim \ell$ and the relation is transitive. \square

FIGURE 5.1. Cosets of a subgroup H of a group G .

Thus, pictorially the cosets look like that:

Aside. One should note that in general $gH \neq Hg$; The table above provides an example. Moreover, $(13)H$ is not a right coset of H at all. A difficult theorem of P. Hall asserts that given a finite group G and a subgroup H one can find a set g_1, \dots, g_d such that g_1H, \dots, g_dH are precisely the left cosets of H and Hg_1, \dots, Hg_d are precisely the right cosets of H .

See M. Hall,
Combinatorial Theory,
Ch. 5

6. LAGRANGE'S THEOREM

Dummit & Foote
§3.2

Theorem 6.0.3. *Let $H < G$. The group G is a disjoint union of left cosets of H . If G is of finite order then the number of left cosets of H in G is $|G|/|H|$. We call the number of left cosets the index of H in G and denote it by $[G : H]$.*

Proof. We have seen that there is an equivalence relation whose equivalence classes are the cosets of H . Recall that different equivalence classes are disjoint. Thus,

$$G = \cup_{i=1}^s g_i H,$$

a disjoint union of s cosets, where the g_i are chosen appropriately. We next show that for every $x, y \in G$ the cosets xH, yH have the same number of elements.

Define a function

$$f : xH \longrightarrow yH, \quad f(xh) = yh.$$

Note that f is well defined ($xh = xh' \Rightarrow h = h'$), injective ($f(xh) = yh = yh' = f(xh') \Rightarrow h = h' \Rightarrow xh = xh'$) and surjective as every element of yH has the form yh for some $h \in H$ hence is the image of xh . Thus, $|G| = s \cdot |H|$ and $s = [G : H]$. \square

Corollary 6.0.4. *If G is a finite group then $|H|$ divides $|G|$.*

Remark 6.0.5. The converse does not hold. The group A_4 , which is of order 6, does not have a subgroup of order 6.

Corollary 6.0.6. *If G is a finite group then $o(g) \mid |G|$ for all $g \in G$.*

Proof. We saw that $o(g) = |\langle g \rangle|$. \square

Remark 6.0.7. The converse does not hold. If G is not a cyclic group then there is no element $g \in G$ such that $o(g) = |G|$.

7. NORMAL SUBGROUPS AND QUOTIENT GROUPS

Let $N < G$. We say that N is a *normal* subgroup if for all $g \in G$ we have $gN = Ng$; equivalently, $gNg^{-1} = N$ for all $g \in G$; equivalently, $gN \subset Ng$ for all $g \in G$; equivalently, $gNg^{-1} \subset N$ for all $g \in G$. We will use the notation $N \triangleleft G$ to signify that N is a normal subgroup of G . Note that an equivalent way to say that $N \triangleleft G$ is to say that $N < G$ and $N_G(N) = G$.

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pp. 81-85.

Example 7.0.8. The group A_3 is normal in S_3 . If $\sigma \in A_3$ and $\tau \in S_3$ then $\tau\sigma\tau^{-1}$ is an even permutation because its sign is $\text{sgn}(\tau)\text{sgn}(\sigma)\text{sgn}(\tau^{-1}) = \text{sgn}(\tau)^2\text{sgn}(\sigma) = 1$. Thus, $\tau A_3 \tau^{-1} \subset A_3$.

The subgroup $H = \{1, (12)\}$ is not a normal subgroup. Use the table above to see that $(13)H \neq H(13)$.

Let $N \triangleleft G$. Let G/N denote the set of left cosets of N in G . We show that G/N has a natural structure of a group; it is called the *quotient group* of G by N .

Given two cosets aN and bN we define

$$aN \star bN = abN.$$

We need to show this is well defined: if $aN = a'N$ and $bN = b'N$ then we should have $abN = a'b'N$. Now, we know that for a suitable $\alpha, \beta \in N$ we have $a'\alpha = a, b'\beta = b$. Thus, $a'b'N = a\alpha b'\beta N = abb^{-1}\alpha b\beta N = ab(b^{-1}\alpha b)N$. Note that since $N \triangleleft G$ and $\alpha \in N$ also $b^{-1}\alpha b \in N$ and so $ab(b^{-1}\alpha b)N = abN$.

One checks easily that $N = eN$ is the identity of G/N and that $(gN)^{-1} = g^{-1}N$. (Note that $(gN)^{-1}$ - the inverse of the element gN in the group G/N is also the set $\{(gn)^{-1} : n \in N\} = Ng^{-1} = g^{-1}N$.)

Definition 7.0.9. A group is called *simple* if its only normal subgroups are the trivial ones $\{e\}$ and G .

Remark 7.0.10. We shall later prove that A_n is a simple group for $n \geq 5$. By inspection one find that also A_n is simple for $n \leq 3$. On the other hand A_4 is not simple. The “Klein 4 group” $V := \{1, (12)(34), (13)(24), (14)(23)\}$ is a normal subgroup of A_4 .

end of 6-th lecture

Recall the definition of the commutator subgroup G' of G from §4.1. In particular, the notation $[x, y] = xyx^{-1}y^{-1}$. One easily checks that $g[x, y]g^{-1} = [gxg^{-1}, gyg^{-1}]$ and that $[x, y]^{-1} = [y, x]$. Hence, also $g[x, y]^{-1}g^{-1} = [gxg^{-1}, gyg^{-1}]^{-1}$.

Proposition 7.0.11. The subgroup G' is normal in G . The group G/G' is abelian (it is called the abelianization of G). Furthermore, if G/N is abelian then $N \supseteq G'$.

Proof. We know that $G' = \{[x_1, y_1]^{\epsilon_1} \cdots [x_r, y_r]^{\epsilon_r} : x_i, y_i \in G, \epsilon_i = \pm 1\}$. It follows that

$$gG'g^{-1} = \{[gx_1g^{-1}, g y_1 g^{-1}]^{\epsilon_1} \cdots [gx_r g^{-1}, g y_r g^{-1}]^{\epsilon_r} : x_i, y_i \in G, \epsilon_i = \pm 1\} \subseteq G',$$

hence $G' \triangleleft G$.

For every $x, y \in G$ we have $xG' \cdot yG' = xyG' = xy(y^{-1}x^{-1}yx)G' = yxG' = yG' \cdot xG'$. Thus, G/G' is abelian. If G/N is abelian then for every $x, y \in G$ we have $xN \cdot yN = yN \cdot xN$. That is, $xyN = yxN$; equivalently, $x^{-1}y^{-1}xyN = N$. Thus, for every $x, y \in G$ we have $xyx^{-1}y^{-1} \in N$. So N contains all the generators of G' and so $N \supseteq G'$. \square

Lemma 7.0.12. *Let B and N be subgroups of G , $N \triangleleft G$.*

- (1) $B \cap N$ is a normal subgroup of B .
- (2) $BN := \{bn : b \in B, n \in N\}$ is a subgroup of G . Also, NB is a subgroup of G . In fact, $BN = NB$.
- (3) If $B \triangleleft G$ then $BN \triangleleft G$ and $B \cap N \triangleleft G$.
- (4) If B and N are finite then $|BN| = |B||N|/|B \cap N|$. The same holds for NB .

Proof. (1) $B \cap N$ is a normal subgroup of B : First $B \cap N$ is a subgroup of G , hence of B . Let $b \in B$ and $n \in B \cap N$. Then $bnb^{-1} \in B$ because $b, n \in B$ and $bnb^{-1} \in N$ because $N \triangleleft G$.

- (2) $BN := \{bn : b \in B, n \in N\}$ is a subgroup of G : Note that $ee = e \in BN$. If $bn, b'n' \in BN$ then $bnb'n' = [bb'][(b')^{-1}nb'n'] \in BN$. Finally, if $bn \in BN$ then $(bn)^{-1} = n^{-1}b^{-1} = b^{-1}[bn^{-1}b^{-1}] \in BN$.

Note that $BN = \cup_{b \in B} bN = \cup_{b \in B} Nb = NB$.

- (3) If $B \triangleleft G$ then $BN \triangleleft G$: We saw that BN is a subgroup. Let $g \in G$ and $bn \in BN$ then $gbng^{-1} = [gbg^{-1}][gng^{-1}] \in BN$, using the normality of both B and N . If $x \in B \cap N, g \in G$ then $g x g^{-1} \in B$ and $g x g^{-1} \in N$, because both are normal. Thus, $g x g^{-1} \in B \cap N$, which shows $B \cap N$ is a normal subgroup of G .
- (4) If B and N are finite then $|BN| = |B||N|/|B \cap N|$: Define a map of sets,

$$f : B \times N \longrightarrow BN, \quad (b, n) \mapsto bn.$$

to prove the assertion it is enough to prove that every fibre $f^{-1}x$, $x \in BN$, has cardinality $|B \cap N|$.

Suppose that $x = bn$, then for every $y \in B \cap N$ we have $(by)(y^{-1}n) = bn$. This shows that $f^{-1}(x) \supseteq \{(by, y^{-1}n) : y \in B \cap N\}$, a set of $|B \cap N|$ elements. On the other hand, if $bn = b_1 n_1$ then $y_1 = b_1^{-1}b = n_1 n^{-1}$ and hence $y_1 \in B \cap N$. Let $y = y_1^{-1}$ then $(by)(y^{-1}n) = b_1 n_1$. Thus, $f^{-1}(x) = \{(by, y^{-1}n) : y \in B \cap N\}$.⁹

\square

Remark 7.0.13. In general, if B, N are subgroups of G (that are not normal) then BN need not be a subgroup of G . Indeed, consider the case of $G = S_3$, $B = \{1, (12)\}$, $N = \{1, (13)\}$ then $BN = \{1, (12), (13), (132)\}$ which is not a subgroup of S_3 . Thus, in general $\langle B, N \rangle \subsetneq BN$ and equality does not hold. We can deduce though that

$$|\langle B, N \rangle| \geq \frac{|B| \cdot |N|}{|B \cap N|}.$$

This is a very useful formula. Suppose, for example, that $(|B|, |N|) = 1$ then $|B \cap N| = 1$ because $B \cap N$ is a subgroup of both B and N and so by Lagrange's theorem: $|B \cap N|$ divides both $|B|$ and $|N|$. In this case then $|\langle B, N \rangle| \geq |B| \cdot |N|$. For example, and subgroup of order 3 of A_4 generates A_4 together with the Klein group.

⁹Note that we do need to assume BN is a subgroup. In particular, we do not need to assume that B or N are normal.

Simple Groups.

A group G is called simple if it has no non-trivial normal subgroups. Every group of prime order is simple. A group of odd order, which is not prime, is not simple (Theorem of Feit and Thompson). The classification of all finite simple groups is known. We shall later prove that the alternating group A_n is a simple group for $n \geq 5$.

Another family of simple groups is the following: Let \mathbb{F} be a finite field and let $\mathrm{SL}_n(\mathbb{F})$ be the $n \times n$ matrices with determinant 1. It's a group. Let T be the diagonal matrices with all elements on the diagonal being equal (hence the elements of T are in bijection with solutions of $x^n = 1$ in \mathbb{F}); it is the center of $\mathrm{SL}_n(\mathbb{F})$. Let $\mathrm{PSL}_n(\mathbb{F}) = \mathrm{SL}_n(\mathbb{F})/T$. This is a simple group for $n \geq 2$ and any \mathbb{F} , the only exceptions being $n = 2$ and $\mathbb{F} \cong \mathbb{Z}/2\mathbb{Z}, \mathbb{Z}/3\mathbb{Z}$. (See Rotman, op. cit., §8).

One can gain some understanding about the structure of a group from its normal subgroups. If $N \triangleleft G$ then we have a *short exact sequence*

$$1 \longrightarrow N \longrightarrow G \longrightarrow G/N \longrightarrow 1.$$

(That means that all the arrows are group homomorphisms and the image of an arrow is exactly the kernel of the next one.) Thus, might hope that the knowledge of N and G/N allows to find the properties of G . This works best when the map $G \longrightarrow G/N$ has a section, i.e., there is a homomorphism $f : G/N \longrightarrow N$ such that $\pi_N \circ f = \mathrm{Id}$. Then G is a *semi-direct product*. We'll come back to this later in the course.

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p. 105 ff.; pp. 130-131;
§4.6

Part 2. The Isomorphism Theorems

8. HOMOMORPHISMS

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§1.6

8.1. Basic definitions. Let G and H be two groups. A *homomorphism* $f : G \longrightarrow H$ is a function satisfying $f(ab) = f(a)f(b)$. It is a consequence of the definition that $f(e_G) = e_H$ and that $f(a^{-1}) = f(a)^{-1}$.

A homomorphism is called an *isomorphism* if it is 1 : 1 and surjective. In that case, the set theoretic inverse function f^{-1} is also automatically a homomorphism. Thus, f is an isomorphism if and only if there exists a homomorphism $g : H \longrightarrow G$ such that $h \circ g = id_H$, $g \circ h = id_G$.

end of 7-th lecture

Two groups, G and H , are called *isomorphic* if there exists an isomorphism $f : G \longrightarrow H$. We use the notation $G \cong H$. For all practical purposes two isomorphic groups should be considered as the same group.

Example 8.1.1. The sign map $\text{sgn} : S_n \longrightarrow \{\pm 1\}$ is a surjective group homomorphism.

Example 8.1.2. Let G be a cyclic group of order n , say $G = \langle g \rangle$. The group G is isomorphic to $\mathbb{Z}/n\mathbb{Z}$: Indeed, define a function $f : G \longrightarrow \mathbb{Z}/n\mathbb{Z}$ by $f(g^a) = a$. Note that f is well defined because if $g^a = g^b$ then $n|(b - a)$. It is a homomorphism: $g^a g^b = g^{a+b}$. It is easy to check that f is surjective. It is injective, because $f(g^a) = 0$ implies that $n|a$ and so $g^a = g^0 = e$ in the group G .

The *kernel* $\text{Ker}(f)$ of a homomorphism $f : G \longrightarrow H$ is by definition the set

$$\text{Ker}(f) = \{g \in G : f(g) = e_H\}.$$

For example, the kernel of the sign homomorphism $S_n \longrightarrow \{\pm 1\}$ is the alternating group A_n .

Example 8.1.3. We have an isomorphism $S_3 \cong D_6$ coming from the fact that a symmetry of a triangle (an element of D_6) is completely determined by its action on the vertices.

Example 8.1.4. The Klein V -group $\{1, (12)(34), (13)(24), (14)(23)\}$ is isomorphic to $\mathbb{Z}/2\mathbb{Z} \times \mathbb{Z}/2\mathbb{Z}$ by $(12)(34) \mapsto (0, 1)$, $(13)(24) \mapsto (1, 0)$, $(14)(23) \mapsto (1, 1)$.

Lemma 8.1.5. The set $\text{Ker}(f)$ is a normal subgroup of G ; f is injective if and only if $\text{Ker}(f) = \{e\}$. For every $h \in H$ the preimage $f^{-1}(h) := \{g \in G : f(g) = h\}$ is a coset of $\text{Ker}(f)$.

Proof. First, since $f(e) = e$ we have $e \in \text{Ker}(f)$. If $x, y \in \text{Ker}(f)$ then $f(xy) = f(x)f(y) = ee = e$ so $xy \in \text{Ker}(f)$ and $f(x^{-1}) = f(x)^{-1} = e^{-1} = e$ so $x^{-1} \in \text{Ker}(f)$. That shows that $\text{Ker}(f)$ is a subgroup. If $g \in G, x \in \text{Ker}(f)$ then $f(gxg^{-1}) = f(g)f(x)f(g^{-1}) = f(g)ef(g)^{-1} = e$. Thus, $\text{Ker}(f) \triangleleft G$.

If f is injective then there is a unique element x such that $f(x) = e$. Thus, $\text{Ker}(f) = \{e\}$. Suppose that $\text{Ker}(f) = \{e\}$ and $f(x) = f(y)$. Then $e = f(x)f(y)^{-1} = f(xy^{-1})$ so $xy^{-1} = e$. That is $x = y$ and f is injective.

More generally, note that $f(x) = f(y)$ iff $f(x^{-1}y) = e$ iff $x^{-1}y \in \text{Ker}(f)$ iff $y \in x\text{Ker}(f)$. Thus, if $h \in H$ and $f(x) = h$ then the fibre $f^{-1}(h)$ is precisely $x\text{Ker}(f)$. \square

Lemma 8.1.6. If $N \triangleleft G$ then the canonical map $\pi_N : G \longrightarrow G/N$, given by $\pi_N(a) = aN$, is a surjective homomorphism with kernel N .

Proof. We first check that $\pi = \pi_N$ is a homomorphism: $\pi(ab) = abN = aNbN = \pi(a)\pi(b)$. Since every element of G/N is of the form aN for some $a \in G$, π is surjective. Finally, $a \in \text{Ker}(\pi)$ iff $\pi(a) = aN = N$ (the identity element of G/N) iff $a \in N$. \square

Corollary 8.1.7. *A subgroup $N < G$ is normal if and only if it is the kernel of a homomorphism.*

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8.2. Behavior of subgroups under homomorphisms. Let $f : G \longrightarrow H$ be a group homomorphism.

Proposition 8.2.1. *If $A < G$ then $f(A) < H$, in particular $f(G) < H$. If $B < H$ then $f^{-1}(B) < G$. Furthermore, if $B \triangleleft H$ then $f^{-1}(B) \triangleleft G$.*

Proof. Since $f(e) = e$, $e \in f(A)$. Furthermore, the identities $f(x)f(y) = f(xy)$, $f(x)^{-1} = f(x^{-1})$ show that $f(A)$ is closed under multiplication and inverses. Thus, $f(A)$ is a subgroup.

Let $B < H$. Since $f(e) = e$ we see that $e \in f^{-1}(B)$. Let $x, y \in f^{-1}(B)$ then $f(xy) = f(x)f(y) \in B$ because both $f(x)$ and $f(y)$ are in B . Thus, $xy \in f^{-1}(B)$. Also, $f(x^{-1}) = f(x)^{-1} \in B$ and so $x^{-1} \in f^{-1}(B)$. This shows that $f^{-1}(B) < G$.

Suppose now that $B \triangleleft H$. Let $x \in f^{-1}(B)$, $g \in G$. Then $f(gxg^{-1}) = f(g)f(x)f(g)^{-1}$. Since $f(x) \in B$ and $B \triangleleft H$ it follows that $f(g)f(x)f(g)^{-1} \in B$ and so $gxg^{-1} \in f^{-1}(B)$. Thus, $f^{-1}(B) \triangleleft G$. \square

Remark 8.2.2. It is not necessarily true that if $A \triangleleft G$ then $f(A) \triangleleft H$. For example, consider $G = \{1, (12)\}$ with its embedding into S_3 .

9. THE FIRST ISOMORPHISM THEOREM

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Theorem 9.0.3. (The First Isomorphism Theorem) *Let $f : G \longrightarrow H$ be a homomorphism of groups. There is an injective homomorphism $f' : G/\text{Ker}(f) \longrightarrow H$ such that the following diagram commutes:*

$$\begin{array}{ccc} G & \xrightarrow{f} & H \\ \pi_{\text{Ker}(f)} \searrow & & \nearrow f' \\ & G/\text{Ker}(f) & \end{array}$$

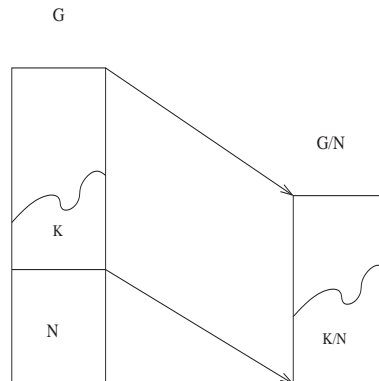
In particular, $G/\text{Ker}(f) \cong f(G)$.

Proof. Let $N = \text{Ker}(f)$. We define f' by

$$f'(aN) = f(a).$$

The map f' is well defined: if $aN = bN$ then $a = bn$ for some $n \in N$. Then $f'(a) = f(a) = f(bn) = f(b)f(n) = f(b) = f'(bN)$. Therefore, f' is well defined. Now $f'(aNbN) = f'(abN) = f(ab) = f(a)f(b) = f'(aN)f'(bN)$, which shows f' is a homomorphism. If $f'(aN) = f(a) = e$ then $a \in N$ and so $aN = N$. That is, f' is injective and surjective onto its image. We conclude that $f' : G/N \longrightarrow f(G)$ is an isomorphism.

Finally, $f'(\pi_N(a)) = f'(aN) = f(a)$ so $f' \circ \pi_N = f$. Therefore, the diagram commutes. \square



end of lecture 8

Example 9.0.4. Let us construct two homomorphisms

$$f_i : D_8 \longrightarrow S_2.$$

We get the first homomorphism f_1 by looking at the action of the symmetries on the axes $\{a, b\}$. Thus, $f_1(x) = (ab)$, $f_1(y) = 1$ (x permutes the axes, while y fixes the axes – though not point-wise). Similarly, if we let A, B be the lines whose equation is $a = b$ and $a = -b$, then D_8 acts as permutations on $\{A, B\}$ and we get a homomorphism $f_2 : D_8 \longrightarrow S_2$ such that $f_2(x) = (AB)$, $f_2(y) = (AB)$.

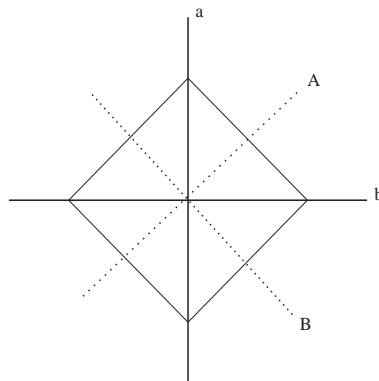
The homomorphism f_i is surjective and therefore the kernel $N_i = \text{Ker}(f_i)$ has 4 elements. We find that $N_1 = \{1, x^2, y, x^2y\}$ and $N_2 = \{1, x^2, xy, x^3y\}$. By the first isomorphism theorem we have $D_8/N_i \cong S_2$.

Now, quite generally, if $g_i : G \longrightarrow H_i$ are group homomorphisms then $g : G \longrightarrow H_1 \times H_2$, defined by $g(r) = (g_1(r), g_2(r))$ is a group homomorphism with kernel $\text{Ker}(g_1) \cap \text{Ker}(g_2)$. One uses the notation $g = (g_1, g_2)$. Applying this to our situation, we get a homomorphism

$$f = (f_1, f_2) : D_8 \longrightarrow S_2 \times S_2,$$

whose kernel is $\{1, x^2\}$. It follows that the image of f has 4 elements and hence f is surjective. That is,

$$D_8 / \langle x^2 \rangle \cong S_2 \times S_2.$$



10. THE SECOND ISOMORPHISM THEOREM

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Theorem 10.0.5. *Let G be a group. Let $B < G, N \triangleleft G$. Then*

$$BN/N \cong B/(B \cap N).$$

Proof. Recall from Lemma 7.0.12 that $B \cap N \triangleleft B$. We define a function

$$f : BN \longrightarrow B/B \cap N, \quad f(bn) = b \cdot B \cap N.$$

We need first to show it is well defined. Recall from the proof of Lemma 7.0.12 that if $bn = b'n'$ then $b' = by$ for some $y \in B \cap N$. Therefore, $b \cdot B \cap N = by \cdot B \cap N = b' \cdot B \cap N$ and f is well defined.

We show now that f is a homomorphism. Note that $(bn)(b_1n_1) = (bb_1)(b_1^{-1}nb_1)n_1$ and so $f(bn \cdot b_1n_1) = bb_1 \cdot B \cap N = b \cdot B \cap N \cdot b_1 \cdot B \cap N = f(b)f(b_1)$, which shows f is a homomorphism. Moreover, f is surjective: $b \cdot B \cap N = f(b)$.

The kernel of f is $\{bn : f(b) = e, b \in B, n \in N\} = \{bn : b \in B \cap N, b \in B, n \in N\} = (B \cap N)N = N$. By the First Isomorphism Theorem $BN/N \cong B/B \cap N$. \square

Remark 10.0.6. This is often used as follows: Let $f : G \longrightarrow H$ be a group homomorphism with kernel N . Let $B < G$. What can we say about the image of B under f ? Well $f(B) = f(BN)$ and $f : BN \longrightarrow H$ has kernel N . We conclude that $f(B) \cong BN/N \cong B/(B \cap N)$.

In fact, this idea gives another proof of the theorem. Consider the homomorphism $\pi : G \longrightarrow G/N$. Its restriction to BN is a homomorphism with kernel N and so, by the First Isomorphism Theorem, $f(BN) \cong BN/N$. The restriction of f to B is also a group homomorphism with kernel $B \cap N$. Thus, $f(B) \cong B/(B \cap N)$. But, $f(B) = f(BN)$ and we are done.

11. THE THIRD ISOMORPHISM THEOREM

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§3.3

Theorem 11.0.7. *Let $N < K < G$ be groups, such that $N \triangleleft G, K \triangleleft G$. Then, there is a bijection between the subgroups of G/N and subgroups of G containing N , under which normal subgroups correspond to normal subgroups. In particular $K/N \triangleleft G/N$ and furthermore*

$$(G/N)/(K/N) \cong G/K.$$

Proof. By Proposition 8.2.1 if $N < A < G$ then $\pi_N(A) < G/N$ and if $B < G/N$ is a (normal) subgroup then $\pi_N^{-1}(B) < G$ is a (normal) subgroup clearly containing N . These maps are inverses to each other. Namely, $\pi_N \pi_N^{-1}(B) = B$ because π_N is surjective, and $\pi_N^{-1} \pi_N(A) = A$ if $A > N$, using Lemma 8.1.5.

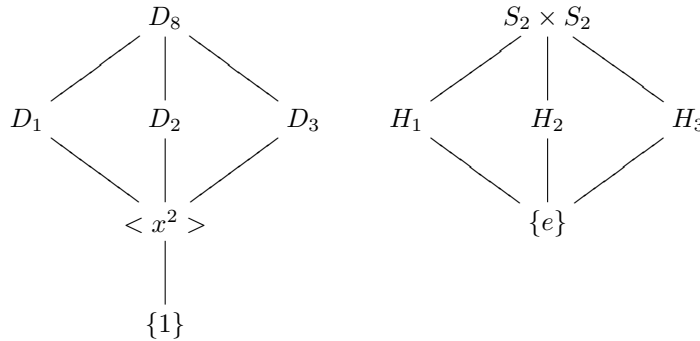
It remains to show that if $N < A \triangleleft G$ then $\pi_N(A) \triangleleft G/N$. Let $a \in A$ and $g \in G$. We need to show that $gNaN(gN)^{-1} = a'N$ for some $a' \in A$. But $gNaN(gN)^{-1} = gag^{-1}N$ and $gag^{-1} = a' \in A$ because $A \triangleleft G$.

Finally, define a function

$$f : G/N \longrightarrow G/K, \quad f(gN) = gK.$$

First, f is well defined: $f(gnN) = gnK = gK$ for $n \in N$. Next, f is a homomorphism: $f(gNg_1N) = f(gg_1N) = gg_1K = gKg_1K = f(gN)f(g_1N)$. Clearly, f is surjective. The kernel of f are the cosets gN such that $gK = K$, i.e. $g \in K$. That is, the kernel of f is just K/N . We conclude by the First Isomorphism Theorem. \square

Example 11.0.8. Consider again the group homomorphism $f : D_8 \longrightarrow S_2 \times S_2$ constructed in Example 9.0.4. Using the third isomorphism theorem we conclude that the graph of the subgroups of D_8 containing $\langle x^2 \rangle$ is exactly that of $S_2 \times S_2$ (analyzed in Example 2.6.1). Hence we have:



We'll see later that this does not exhaust the list of subgroups of D_8 . Here we have

$$D_1 = \langle x \rangle,$$

$$D_2 = \langle y, x^2 \rangle,$$

$$D_3 = \langle xy, x^2 \rangle$$

and

$$H_1 = f(D_1) = \{(1, 1), ((ab), (AB))\},$$

$$H_2 = f(D_2) = \{(1, 1), (1, (AB))\},$$

$$H_3 = f(D_3) = \{(1, 1), ((ab), 1)\}.$$

end of lecture 9

Example 11.0.9. Let \mathbb{F} be a field and let $N = \{\text{diag}[f, f, \dots, f] : f \in \mathbb{F}^\times\}$ be the set of diagonal matrices with the same non-zero element in each diagonal entry. We proved in an assignment that $N = Z(\text{GL}_n(\mathbb{F}))$ and is therefore a normal subgroup. The quotient group

$$\text{PGL}_n(\mathbb{F}) := \text{GL}_n(\mathbb{F})/N$$

is called the projective linear group.

Let $\mathbb{P}^{n-1}(\mathbb{F})$ be the set of equivalence classes of non-zero vectors in \mathbb{F}^n under the equivalence $v \sim w$ if there is $f \in \mathbb{F}^\times$ such that $fv = w$; that is, the set of lines through the origin. The importance of the group $\text{PGL}_n(\mathbb{F})$ is that it acts as automorphisms on the projective $n - 1$ -space $\mathbb{P}^{n-1}(\mathbb{F})$.

Let

$$\pi : \text{GL}_n(\mathbb{F}) \longrightarrow \text{PGL}_n(\mathbb{F})$$

be the canonical homomorphism. The function

$$\det : \text{GL}_n(\mathbb{F}) \longrightarrow \mathbb{F}^\times$$

is a group homomorphism, whose kernel, the matrices with determinant one, is denoted $SL_n(\mathbb{F})$. Consider the image of $SL_n(\mathbb{F})$ in $PGL_n(\mathbb{F})$; it is denoted $PSL_n(\mathbb{F})$. We want to analyze it and the quotient $PGL_n(\mathbb{F})/PSL_n(\mathbb{F})$.

The group $PSL_n(\mathbb{F})$ is equal to $\pi(SL_n(\mathbb{F})) = \pi(SL_n(\mathbb{F})N)$ and is isomorphic to $SL_n(\mathbb{F})N/N \cong SL_n(\mathbb{F})/SL_n(\mathbb{F}) \cap N = SL_n(\mathbb{F})/\mu_n(\mathbb{F})$, where by $\mu_n(\mathbb{F})$ we mean the group $\{f \in \mathbb{F}^\times : f^n = 1\}$ (where we identify f with $\text{diag}[f, f, \dots, f]$). Therefore,

$$PSL_n(\mathbb{F}) \cong SL_n(\mathbb{F})/\mu_n(\mathbb{F}).$$

The group $PGL_n(\mathbb{F})/PSL_n(\mathbb{F})$ is isomorphic to $(GL_n(\mathbb{F})/N)/(SL_n(\mathbb{F})N/N) \cong GL_n(\mathbb{F})/SL_n(\mathbb{F})N$. Let $\mathbb{F}^{\times(n)}$ be the subgroup of \mathbb{F}^\times consisting of the elements $\{f^n : f \in \mathbb{F}^\times\}$. Under the isomorphism $GL_n(\mathbb{F})/SL_n(\mathbb{F}) \cong \mathbb{F}^\times$ the subgroup $SL_n(\mathbb{F})N$ corresponds to $\mathbb{F}^{\times(n)}$. We conclude that

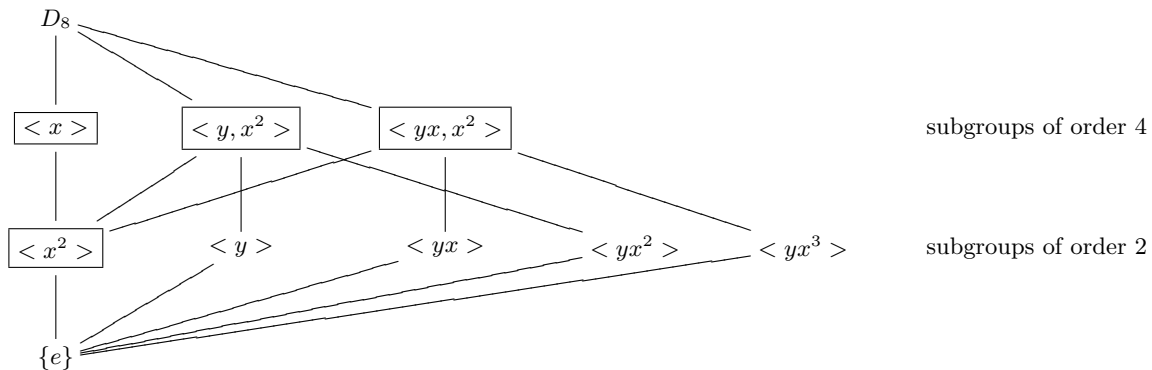
$$PGL_n(\mathbb{F})/PSL_n(\mathbb{F}) \cong \mathbb{F}^\times/\mathbb{F}^{\times(n)}.$$

12. THE LATTICE OF SUBGROUPS OF A GROUP

Let G be a group. Consider the set $\Lambda(G)$ of all subgroups of G . Define an order on this set by $A \leq B$ if A is a subgroup of B . This relation is transitive and $A \leq B \leq A$ implies $A = B$. That is, the relation is really an order.

The set $\Lambda(G)$ is a lattice. Every two elements A, B have a minimum $A \cap B$ (that is if $C \leq A, C \leq B$ then $C \leq A \cap B$) and a maximum $\langle A, B \rangle$ - the subgroup generated by A and B (that is $C \geq A, C \geq B$ then $C \geq \langle A, B \rangle$). If $A \in \Lambda(G)$ then let $\Lambda_A(G)$ to be the set of all elements in $\Lambda(G)$ that are greater or equal to A . It is a lattice in its own right. We have the property that if $N \triangleleft G$ then $\Lambda_N(G) \cong \Lambda(G/N)$ as lattices.

Here is the lattice of subgroups of D_8 . Normal subgroups are boxed.



How to prove that these are all the subgroups? Note that every proper subgroup has order 2 or 4 by Lagrange's theorem. If it is cyclic then it must be one of the above, because the diagram certainly contains all cyclic subgroups. Else, it can only be of order 4 and every element different from e has order 2. It is easy to verify that any two distinct elements of order 2 generate one of the subgroups we have listed.

Part 3. Group Actions on Sets

13. BASIC DEFINITIONS

Let G be a group and let S be a non-empty set. We say that G *acts on* S if we are given a function

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$$G \times S \longrightarrow S, \quad (g, s) \longmapsto g \star s,$$

such that;

- (i) $e \star s = s$ for all $s \in S$;
- (ii) $(g_1 g_2) \star s = g_1 \star (g_2 \star s)$ for all $g_1, g_2 \in G$ and $s \in S$.

Given an action of G on S we can define the following sets. Let $s \in S$. Define the *orbit* of s

$$\text{Orb}(s) = \{g \star s : g \in G\}.$$

Note that $\text{Orb}(s)$ is a subset of S , equal to all the images of the element s under the action of the elements of the group G . We also define the *stabilizer* of s to be

$$\text{Stab}(s) = \{g \in G : g \star s = s\}.$$

Note that $\text{Stab}(s)$ is a subset of G . In fact, it is a subgroup, as the next Lemma states.

One should think of every element of the group as becoming a symmetry of the set S . We'll make more precise later. For now, we just note that every element $g \in G$ defines a function $S \longrightarrow S$ by $s \mapsto gs$. This function, we'll see later, is bijective.

14. BASIC PROPERTIES

Lemma 14.0.10. (1) Let $s_1, s_2 \in S$. We say that s_1 is related to s_2 , i.e., $s_1 \sim s_2$, if there exists $g \in G$ such that

$$g \star s_1 = s_2.$$

This is an equivalence relation. The equivalence class of s_1 is its orbit $\text{Orb}(s_1)$.

- (2) Let $s \in S$. The set $\text{Stab}(s)$ is a subgroup of G .
- (3) Suppose that both G and S have finitely many elements. Then

$$|\text{Orb}(s)| = \frac{|G|}{|\text{Stab}(s)|}.$$

Proof. (1) We need to show reflexive, symmetric and transitive. First, we have $e \star s = s$ and hence $s \sim s$, meaning the relation is reflexive. Second, if $s_1 \sim s_2$ then for a suitable $g \in G$ we

have $g \star s_1 = s_2$. Therefore

$$\begin{aligned}
 & g^{-1} \star (g \star s_1) = g^{-1} \star s_2 \\
 \Rightarrow & (g^{-1}g) \star s_1 = g^{-1} \star s_2 \\
 \Rightarrow & e \star s_1 = g^{-1} \star s_2 \\
 \Rightarrow & s_1 = g^{-1} \star s_2 \\
 \Rightarrow & g^{-1} \star s_2 = s_1 \\
 \Rightarrow & s_2 \sim s_1.
 \end{aligned}$$

It remains to show transitive. If $s_1 \sim s_2$ and $s_2 \sim s_3$ then for suitable $g_1, g_2 \in G$ we have

$$g_1 \star s_1 = s_2, \quad g_2 \star s_2 = s_3.$$

Therefore,

$$(g_2 g_1) \star s_1 = g_2 \star (g_1 \star s_1) = g_2 \star s_2 = s_3,$$

and hence $s_1 \sim s_3$.

Moreover, by the very definition the equivalence class of an element s_1 of S is all the elements of the form $g \star s_1$ for some $g \in G$, namely, $\text{Orb}(s_1)$.

- (2) Let $H = \text{Stab}(s)$. We have to show that: (i) $e \in H$; (2) If $g_1, g_2 \in H$ then $g_1 g_2 \in H$; (iii) If $g \in H$ then $g^{-1} \in H$.

First, by definition of group action we have

$$e \star s = s.$$

Therefore $e \in H$. Next suppose that $g_1, g_2 \in H$, i.e.,

$$g_1 \star s = s, \quad g_2 \star s = s.$$

Then

$$(g_1 g_2) \star s = g_1 \star (g_2 \star s) = g_1 \star s = s.$$

Thus, $g_1 g_2 \in H$. Finally, if $g \in H$ then $g \star s = s$ and so

$$\begin{aligned}
 & g^{-1} \star (g \star s) = g^{-1} \star s \\
 \Rightarrow & (g^{-1}g) \star s = g^{-1} \star s \\
 \Rightarrow & e \star s = g^{-1} \star s \\
 \Rightarrow & s = g^{-1} \star s,
 \end{aligned}$$

and therefore $g^{-1} \in H$.

- (3) We claim that there exists a bijection between the left cosets of H and the orbit of s . If we show that, then by Lagrange's theorem,

$$|\text{Orb}(s)| = \text{no. of left cosets of } H = \text{index of } H = |G|/|H|.$$

Define a function

$$\{\text{left cosets of } H\} \xrightarrow{\phi} \text{Orb}(s),$$

by

$$\phi(gH) = g \star s.$$

We claim that ϕ is a well defined bijection. First

Well-defined: Suppose that $g_1H = g_2H$. We need to show that the rule ϕ would give the same result whether we take the representative g_1 or the representative g_2 to the coset, that is, we need to show

$$g_1 \star s = g_2 \star s.$$

Note that $g_1^{-1}g_2 \in H$, i.e., $(g_1^{-1}g_2) \star s = s$. We get

$$\begin{aligned} g_1 \star s &= g_1 \star ((g_1^{-1}g_2) \star s) \\ &= (g_1(g_1^{-1}g_2)) \star s \\ &= g_2 \star s. \end{aligned}$$

ϕ is surjective: Let $t \in \text{Orb}(s)$ then $t = g \star s$ for some $g \in G$. Thus,

$$\phi(gH) = g \star s = t,$$

and we get that ϕ is surjective.

ϕ is injective: Suppose that $\phi(g_1H) = \phi(g_2H)$. We need to show that $g_1H = g_2H$. Indeed,

$$\begin{aligned} \phi(g_1H) &= \phi(g_2H) \\ \Rightarrow g_1 \star s &= g_2 \star s \\ \Rightarrow g_2^{-1} \star (g_1 \star s) &= g_2^{-1} \star (g_2 \star s) \\ \Rightarrow (g_2^{-1}g_1) \star s &= (g_2^{-1}g_2) \star s \\ \Rightarrow (g_2^{-1}g_1) \star s &= e \star s \\ \Rightarrow (g_2^{-1}g_1) \star s &= s \\ \Rightarrow g_2^{-1}g_1 &\in \text{Stab}(s) = H \\ \Rightarrow g_1H &= g_2H. \end{aligned}$$

□

Corollary 14.0.11. *The set S is a disjoint union of orbits.*

Proof. The orbits are the equivalence classes of the equivalence relation \sim defined in Lemma 14.0.10. Any equivalence relation partitions the set into disjoint equivalence classes. □

We have in fact seen that every orbit is in bijection with the cosets of some group. If H is any subgroup of G let us use the notation G/H for its cosets (note though that if H is not normal this is not a group, but just a set). We saw that if $s \in S$ then there is a natural bijection $G/\text{Stab}(s) \leftrightarrow \text{Orb}(s)$. Thus, the picture of S is as follows

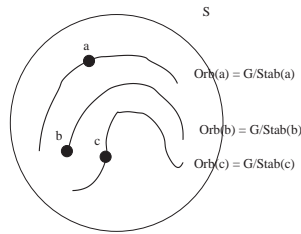


FIGURE 14.1. The set decomposes into orbits; each is the cosets of a subgroup.

15. SOME EXAMPLES

Example 15.0.12. The group S_n acts on the set $\{1, 2, \dots, n\}$. The action is transitive, i.e., there is only one orbit. The stabilizer of i is $S_{\{1, 2, \dots, i-1, i+1, \dots, n\}} \cong S_{n-1}$.

Example 15.0.13. The group $\text{GL}_n(\mathbb{F})$ acts on \mathbb{F}^n , and also $\mathbb{F}^n - \{0\}$. The action is transitive on $\mathbb{F}^n - \{0\}$ and has two orbits on \mathbb{F}^n . The stabilizer of 0 is of course $\text{GL}_n(\mathbb{F})$; the stabilizer of a non-zero vector v_1 can be written in a basis w_1, w_2, \dots, w_n with $w_1 = v_1$ as the matrices of the shape

$$\begin{pmatrix} 1 & * & \dots & * \\ 0 & * & \dots & * \\ \vdots & \vdots & \dots & \vdots \\ 0 & * & \dots & * \end{pmatrix}.$$

Example 15.0.14. Let H be a subgroup of G then we have an action

$$H \times G \longrightarrow G, \quad (h, g) \mapsto hg.$$

In this example, H is “the group” and G is “the set”. Here the orbits are right cosets of H and the stabilizers are trivial. Since $G = \coprod \text{Orb}(g_i) = \coprod Hg_i$ we conclude that $|G| = \sum_i |\text{Orb}(g_i)| = \sum_i |H|/|\text{Stab}(g_i)| = \sum_i |H|$ and therefore that $|H| \mid |G|$ and that $[G : H]$, the number of cosets, is $|G|/|H|$. We have recovered Lagrange’s theorem.

Example 15.0.15. Let H be a subgroup of G . Let $S = \{gH : g \in G\}$ be the set of left cosets of H in G . Then we have an action

$$G \times S \longrightarrow S, \quad (a, bH) \mapsto abH.$$

Here there is a unique orbit (we say G acts *transitively*). The stabilizer of gH is the subgroup gHg^{-1} .

Example 15.0.16. Let $G = \mathbb{R}/2\pi\mathbb{Z}$. It acts on the sphere by rotations: an element $\theta \in G$ rotates the sphere by angle θ around the north-south axes. The orbits are latitude lines and the stabilizers of every point is trivial, except for the poles whose stabilizer is G . See Figure 15.1.

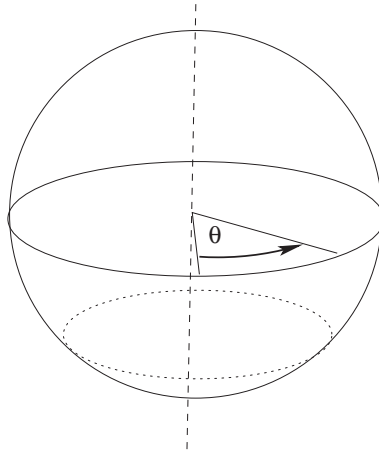


FIGURE 15.1. Action on the sphere by rotation.

Example 15.0.17. Let G be the dihedral group D_{16} . Recall that G is the group of symmetries of a regular octagon in the plane.

$$G = \{e, x, x^2, \dots, x^7, y, yx, yx^2, \dots, yx^7\},$$

where x is the rotation clockwise by angle $2\pi/8$ and y is the reflection through the y -axis. We have the relations

$$x^8 = y^2 = e, \quad yxy = x^{-1}.$$

We let S be the set of colorings of the octagon (= necklaces laid on the table) having 4 red vertices (rubies) and 4 green vertices (sapphires). The group G acts on S by its action on the octagon.

For example, the coloring s_0 in Figure 15.2 is certainly preserved under x^2 and under y . Therefore, the stabilizer of s_0 contains at least the set of eight elements

$$(15.1) \quad \{e, x^2, x^4, x^6, y, yx^2, yx^4, yx^6\}.$$

Remember that the stabilizer is a subgroup and, by Lagrange's theorem, of order dividing $16 = |G|$.

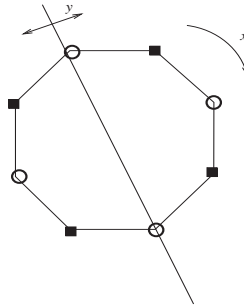


FIGURE 15.2. A necklace with 4 rubies and 4 sapphires.

On the other hand, $\text{Stab}(s_0) \neq G$ because $x \notin \text{Stab}(s_0)$. It follows that the stabilizer has exactly 8 elements and is equal to the set in (15.1).

According to Lemma 14.0.10 the orbit of s_0 is in bijection with the left cosets of $\text{Stab}(s_0) = \{e, x^2, x^4, x^6, y, yx^2, yx^4, yx^6\}$. By Lagrange's theorem there are two cosets. For example, $\text{Stab}(s_0)$ and $x\text{Stab}(s_0)$ are distinct cosets. The proof of Lemma 14.0.10 tells us how to find the orbit: it is the set

$$\{s_0, xs_0\},$$

portrayed in Figure 15.3.

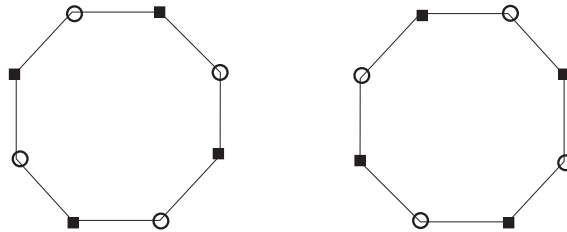


FIGURE 15.3. The orbit of the necklace.

16. CAYLEY'S THEOREM

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Theorem 16.0.18. *Every finite group of order n is isomorphic to a subgroup of S_n .*

We first prove a lemma that puts group actions in a different context. Let A be a finite set. Let Σ_A be the set of bijective functions $A \rightarrow A$. Then, Σ_A is a group. In fact, if we let s_1, \dots, s_n be the elements of A , we can identify bijective functions $A \rightarrow A$ with permutations of $\{1, \dots, n\}$ and we see that $\Sigma_A \cong S_n$.

Lemma 16.0.19. *To give an action of a group G on a set A is equivalent to giving a homomorphism $G \rightarrow \Sigma_A$. The kernel of this homomorphism is $\cap_{a \in A} \text{Stab}(a)$.*

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Proof. An element g define a function $\phi_g : A \rightarrow A$ by $\phi_g(a) = ga$. We have ϕ_e being the identity function. Note that $\phi_h \phi_g(a) = \phi_h(ga) = hga = \phi_{hg}(a)$ for every a and hence $\phi_h \phi_g = \phi_{hg}$. In particular, $\phi_g \phi_{g^{-1}} = \phi_{g^{-1}g} = \text{Id}$. This shows that every ϕ_g is a bijection and the map

$$\Psi : G \rightarrow \Sigma_A, \quad g \mapsto \phi_g,$$

is a homomorphism. (Conversely, given such a homomorphism Ψ , define a group action by $g \star a := \Psi(g)(a)$.)

The kernel of this homomorphism is the elements g such that ϕ_g is the identity, i.e., $\phi_g(a) = a$ for all $a \in A$. That is, $g \in \text{Stab}(a)$ for every $a \in A$. The set of such elements g is just $\cap_{a \in A} \text{Stab}(a)$. \square

Proof. (of Theorem) Consider the action of G on itself by multiplication (Example 15.0.14), $(g, g') \mapsto gg'$. Recall that all stabilizers are trivial. Thus this action gives an injective homomorphism

$$G \rightarrow \Sigma_G \cong S_n,$$

where $n = |G|$. \square

16.1. Applications to construction of normal subgroups. Let G be a group and H a subgroup of finite index n . Consider the action of G on the set of cosets G/H of H and the resulting homomorphism

$$\Psi : G \rightarrow \Sigma_{G/H} \cong \Sigma_n,$$

where $n = [G : H]$. The kernel K of Ψ is

$$\cap_{a \in G/H} \text{Stab}(a) = \cap_{g \in G} \text{Stab}(gH) = \cap_{g \in G} gHg^{-1}.$$

Being a kernel of a homomorphism, K is normal in G and is contained in H . Furthermore, since the resulting homomorphism $G/K \rightarrow \Sigma_n$ is injective we get that $|G/K| = [G : K]$ divides $[G : H]! = |S_n|$. In particular, we conclude that every subgroup H of G contains a subgroup K which is normal in G and of index at most $[G : H]!$. Thus, for example, a simple infinite group has no subgroups of finite index.

In fact, the formula $K = \cap_{g \in G} gHg^{-1}$ shows that K is the maximal subgroup of H which is normal in G . Indeed, if $K' \triangleleft G, K' < H$ then $K = gKg^{-1} \subset gHg^{-1}$ and we see that $K' \subseteq K$.

17. THE CAUCHY-FROBENIUS FORMULA

17.1. A formula for the number of orbits.

Theorem 17.1.1. (CFF) *Let G be a finite group acting on a finite set S . Let N be the number of orbits of G in S . Define* Rotman, op. cit., §3, Thm. 3.26

$$I(g) = |\{s \in S : g \star s = s\}|$$

*(the number of elements of S fixed by the action of g). Then*¹⁰

$$(17.1) \quad N = \frac{1}{|G|} \sum_{g \in G} I(g).$$

Remark 17.1.2. If $N = 1$ we say that G acts *transitively* on S . It means exactly that: For every $s_1, s_2 \in S$ there exists $g \in G$ such that $g \star s_1 = s_2$.

Proof. We define a function

$$T : G \times S \longrightarrow \{0, 1\}, \quad T(g, s) = \begin{cases} 1 & g \star s = s \\ 0 & g \star s \neq s \end{cases}.$$

Note that for a fixed $g \in G$ we have

$$I(g) = \sum_{s \in S} T(g, s),$$

and that for a fixed $s \in S$ we have

$$|\text{Stab}(s)| = \sum_{g \in G} T(g, s).$$

Let us fix representatives s_1, \dots, s_N for the N disjoint orbits of G in S . Now,

$$\begin{aligned} \sum_{g \in G} I(g) &= \sum_{g \in G} \left(\sum_{s \in S} T(g, s) \right) = \sum_{s \in S} \left(\sum_{g \in G} T(g, s) \right) \\ &= \sum_{s \in S} |\text{Stab}(s)| = \sum_{s \in S} \frac{|G|}{|\text{Orb}(s)|} \\ &= \sum_{i=1}^N \sum_{s \in \text{Orb}(s_i)} \frac{|G|}{|\text{Orb}(s)|} = \sum_{i=1}^N \sum_{s \in \text{Orb}(s_i)} \frac{|G|}{|\text{Orb}(s_i)|} \\ &= \sum_{i=1}^N \frac{|G|}{|\text{Orb}(s_i)|} \cdot |\text{Orb}(s_i)| = \sum_{i=1}^N |G| \\ &= N \cdot |G|. \end{aligned}$$

□

Corollary 17.1.3. *Let G be a finite group acting transitively on a finite S . Suppose that $|S| > 1$. Then there exists $g \in G$ without fixed points.*

¹⁰The sum appearing in the formula means just that: If you write $G = \{g_1, \dots, g_n\}$ then $\sum_{g \in G} I(g)$ is $\sum_{i=1}^n I(g_i) = I(g_1) + I(g_2) + \dots + I(g_n)$. The double summation $\sum_{g \in G} \sum_{s \in S} T(g, s)$ appearing in the proof means that if we write $S = \{s_1, \dots, s_m\}$ then the double sum is $T(g_1, s_1) + T(g_1, s_2) + \dots + T(g_1, s_m) + T(g_2, s_1) + T(g_2, s_2) + \dots + T(g_2, s_m) + \dots + T(g_n, s_1) + T(g_n, s_2) + \dots + T(g_n, s_m)$.

Proof. By contradiction. Suppose that every $g \in G$ has a fixed point in S . That is, suppose that for every $g \in G$ we have

$$I(g) \geq 1.$$

Since $I(e) = |S| > 1$ we have that

$$\sum_{g \in G} I(g) > |G|.$$

By Cauchy-Frobenius formula, the number of orbits N is greater than 1. Contradiction. \square

end of lecture 12

17.2. Applications to combinatorics.

Example 17.2.1. How many roulettes with 11 wedges painted 2 blue, 2 green and 7 red are there when we allow rotations?

Let S be the set of painted roulettes. Let us enumerate the sectors of a roulette by the numbers $1, \dots, 11$. The set S is a set of $\binom{11}{2} \binom{9}{2} = 1980$ elements (choose which 2 are blue, and then choose out of the nine left which 2 are green).

Let G be the group $\mathbb{Z}/11\mathbb{Z}$. It acts on S by rotations. The element 1 rotates a painted roulette by angle $2\pi/11$ anti-clockwise. The element n rotates a painted roulette by angle $2n\pi/11$ anti-clockwise. We are interested in N – the number of orbits for this action. We use **CFF**.

The identity element always fixes the whole set. Thus $I(0) = 1980$. We claim that if $1 \leq i \leq 10$ then i doesn't fix any element of S . We use the following lemma:

Lemma 17.2.2. *Let G be a finite group of prime order p . Let $g \neq e$ be an element of G . Then*

$$\langle g \rangle = G.$$

That is, the group G is cyclic (hence commutative), generated by any non-trivial element.

Proof. The subgroup $\langle g \rangle$ has more than one element because $e, g \in \langle g \rangle$. By Lagrange's theorem

$$|\langle g \rangle| \text{ divides } |G| = p.$$

Thus, $|\langle g \rangle| = p$ and therefore $\langle g \rangle = G$. \square

Suppose that $1 \leq i \leq 10$ and i fixes s . Then so does $\langle i \rangle = \mathbb{Z}/11\mathbb{Z}$ (the stabilizer is a subgroup). But any coloring fixed under rotation by 1 must be single colored! Contradiction.

Applying **CFF** we get

$$N = \frac{1}{11} \sum_{n=0}^{10} I(n) = \frac{1}{11} \cdot 1980 = 180.$$

Example 17.2.3. How many roulettes with 12 wedges painted 2 blue, 2 green and 8 red are there when we allow rotations?

Let S be the set of painted roulettes. Let us enumerate the sectors of a roulette by the numbers $1, \dots, 12$. The set S is a set of $\binom{12}{2} \binom{10}{2} = 2970$ elements (choose which 2 are blue, and then choose out of the ten left which 2 are green).

Let G be the group $\mathbb{Z}/12\mathbb{Z}$. It acts on S by rotations. The element 1 rotates a painted roulette by angle $2\pi/12$ anti-clockwise. The element n rotates a painted roulette by angle $2n\pi/12$ anti-clockwise. We are interested in N – the number of orbits for this action. We use **CFF**.

The identity element always fixes the whole set. Thus $I(0) = 2970$. We claim that if $1 \leq i \leq 11$ and $i \neq 6$ then i doesn't fix any element of S . Indeed, suppose that i fixes a painted roulette. Say in that roulette the r -th sector is blue. Then so must be the $i + r$ sector (because the r -th sector goes under the action of i to the $r + i$ -th sector). Therefore so must be the $r + 2i$ sector. But there are only 2 blue sectors! The only possibility is that the $r + 2i$ sector is the same as the r sector, namely, $i = 6$.

If i is equal to 6 and we enumerate the sectors of a roulette by the numbers $1, \dots, 12$ we may write i as the permutation

$$(1\ 7)(2\ 8)(3\ 9)(4\ 10)(5\ 11)(6\ 12).$$

In any coloring fixed by $i = 6$ the colors of the pairs $(1\ 7), (2\ 8), (3\ 9), (4\ 10), (5\ 11)$ and $(6\ 12)$ must be the same. We may choose one pair for blue, one pair for green. The rest would be red. Thus there are $30 = 6 \cdot 5$ possible choices. We summarize:

element g	$I(g)$
0	2970
$i \neq 6$	0
$i = 6$	30

Applying **CFF** we get that there are

$$N = \frac{1}{12}(2970 + 30) = 250$$

different roulettes.

Example 17.2.4. In this example S is the set of necklaces made of four rubies and four sapphires laid on the table. We ask how many necklaces there are when we allow rotations and flipping-over.

We may talk of S as the colorings of a regular octagon, four vertices are green and four are red. The group $G = D_{16}$ acts on S and we are interested in the number of orbits for the group G .

The results are the following

element g	$I(g)$
e	70
x, x^3, x^5, x^7	0
x^2, x^6	2
x^4	6
yx^i for $i = 0, \dots, 7$	6

We explain how the entries in the table are obtained:

The identity always fixes the whole set S . The number of elements in S is $\binom{8}{4} = 70$ (choosing which 4 would be green).

The element x cannot fix any coloring, because any coloring fixed by x must have all sections of the same color (because $x = (1\ 2\ 3\ 4\ 5\ 6\ 7\ 8)$). If x^r fixes a coloring s_0 so does $(x^r)^r = x^{(r^2)}$ because

the stabilizer is a subgroup. Apply that for $r = 3, 5, 7$ to see that if x^r fixes a coloring so does x , which is impossible.¹¹

Now, x^2 written as a permutation is $(1\ 3\ 5\ 7)(2\ 4\ 6\ 8)$. We see that if, say 1 is green so are 3, 5, 7 and the rest must be red. That is, all the freedom we have is to choose whether the cycle $(1\ 3\ 5\ 7)$ is green or red. This gives us two colorings fixed by x^2 . The same rational applies to $x^6 = (8\ 6\ 4\ 2)(7\ 5\ 3\ 1)$.

Consider now x^4 . It may be written in permutation notation as $(1\ 5)(2\ 6)(3\ 7)(4\ 8)$. In any coloring fixed by x^4 each of the cycles $(1\ 5)(2\ 6)(3\ 7)$ and $(4\ 8)$ must be single colored. There are thus $\binom{4}{2} = 6$ possibilities (Choosing which 2 out of the four cycles would be green).

It remains to deal with the elements yx^i . We recall that these are all reflections. There are two kinds of reflections. One may be written using permutation notation as

$$(i_1\ i_2)(i_3\ i_4)(i_5\ i_6)$$

(with the other two vertices being fixed. For example $y = (2\ 8)(3\ 7)(4\ 6)$ is of this form). The other kind is of the form

$$(i_1\ i_2)(i_3\ i_4)(i_5\ i_6)(i_7\ i_8).$$

(For example $yx = (1\ 8)(2\ 7)(3\ 6)(4\ 5)$ is of this sort). Whatever is the case, one uses similar reasoning to deduce that there are 6 colorings preserved by a reflection.

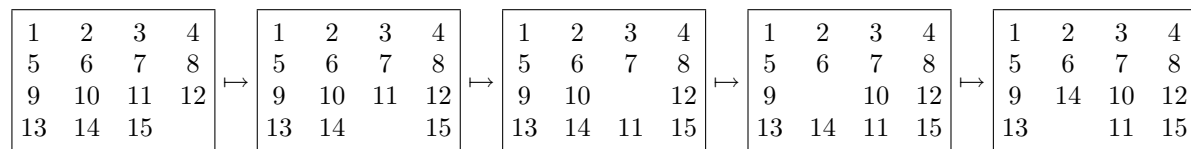
One needs only apply **CaF** to get that there are

$$N = \frac{1}{16}(70 + 2 \cdot 2 + 6 + 8 \cdot 6) = 8$$

distinct necklaces.

17.3. The game of 16 squares. Sam Loyd (1841-1911) was America's greatest puzzle expert and invented thousands of ingenious and tremendously popular puzzles.

In this game, we are given a 4×4 box with 15 squares numbered $1, 2, \dots, 15$ and one free spot. At every step one is allowed to move an adjacent square into the vacant spot. For example



Can one pass from the original position to the position below?

1	2	3	4
5	6	7	8
9	10	11	12
13	15	14	

It turns out that the answer is no. Can you prove it? Apparently, the puzzle was originally marketed with the tiles in the impossible position with the challenge to rearrange them into the initial position!

¹¹ $x(3^2) = x^9 = x$ because $x^8 = e$, etc.



FIGURE 17.1. Loyd's 14 – 15 puzzle.

17.4. Rubik's cube. ¹² In the case of the Rubik cube there is a group G acting on the cube. The group G is generated by 6 basic moves a, b, c, d, e, f (each is a rotation of a certain “third of the cube”) and could be thought of as a subgroup of the symmetric group on $54 = 9 \times 6$ letters. It is called the cube group. The order of the Cube Group is $2^{27} \cdot 3^{14} \cdot 5^3 \cdot 7^2 \cdot 11 = 43,252,003,274,489,856,000$

One is usually interested in solving the cube. Namely, reverting it to its original position. Since the current position was gotten by applying an element τ of G , in group theoretic terms we attempt to find an algorithm of writing every G in terms of the generators a, b, c, d, e, f since then also τ^{-1} will have such an expression, which is nothing else than a series of moves that return the cube to its original position. It is natural to deal with the set of generators $a^{\pm 1}, b^{\pm 1}, \dots, f^{\pm 1}$ (why do 3 times a when you can do a^{-1} ??). A common question is what is the maximal number of basic operations that may be required to return a cube to its original position. Otherwise said, what is the diameter of the Cayley graph? But more than that, is there a simple algorithm of finding for every element of G an expression in terms of the generators?

Now, since the Cayley graph of G has 12 edges emanating from each vertex (and is connected by definition of the cube group) it follows that to reach all positions one is forced to allow at least $\log_{12} |G| \sim 18.2$, thus at least 19, moves.

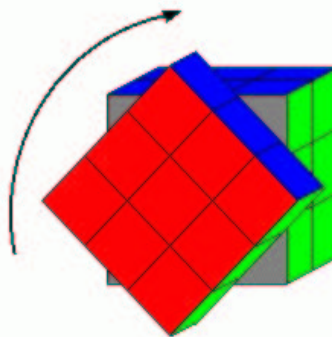


FIGURE 17.2. The Rubik Cube.

¹²Also known as the Hungarian cube.

Part 4. The Symmetric Group

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18. CONJUGACY CLASSES

Let $\sigma \in S_n$. We write σ as a product of disjoint cycles:

$$\sigma = \sigma_1 \sigma_2 \cdots \sigma_r.$$

Since disjoint cycles commute, the order does not matter and we may assume that the length of the cycles is non-decreasing. Namely, if we let $|(i_1 i_2 \dots i_t)| = t$ (we shall call it the length of the cycle; it is equal to its order as an element of S_n), then

$$|\sigma_1| \leq |\sigma_2| \leq \cdots \leq |\sigma_r|.$$

We may also allow cycles of length 1 (they simply stand for the identity permutation) and then we find that

$$n = |\sigma_1| + |\sigma_2| + \cdots + |\sigma_r|.$$

We therefore get a partition $p(\sigma)$ of the number n , that is, a set of non-decreasing positive integers $1 \leq a_1 \leq a_2 \leq \cdots \leq a_r$ such that $n = a_1 + a_2 + \cdots + a_r$. Note that every partition is obtained from a suitable σ .

Lemma 18.0.1. *Two permutations, σ and ρ , are conjugate (namely there is a τ such that $\tau\sigma\tau^{-1} = \rho$) if and only if $p(\sigma) = p(\rho)$.*

Proof. Recall the formula we used before, if $\sigma(i) = j$ then $(\tau\sigma\tau^{-1})(\tau(i)) = \tau(j)$. This implies that for every cycle $(i_1 i_2 \dots i_t)$ we have

$$\tau(i_1 i_2 \dots i_t)\tau^{-1} = (\tau(i_1) \tau(i_2) \dots \tau(i_t)).$$

In particular, since $\tau\sigma\tau^{-1} = (\tau\sigma_1\tau^{-1})(\tau\sigma_2\tau^{-1}) \cdots (\tau\sigma_r\tau^{-1})$, a product of disjoint cycles, we get that $p(\sigma) = p(\tau\sigma\tau^{-1})$.

Conversely, suppose that $p(\sigma) = p(\rho)$. Say

$$\begin{aligned} \sigma &= \sigma_1 \sigma_2 \cdots \sigma_r \\ &= (i_1^1 \dots i_{t(1)}^1)(i_1^2 \dots i_{t(2)}^2) \cdots (i_1^r \dots i_{t(r)}^r), \end{aligned}$$

and

$$\begin{aligned} \rho &= \rho_1 \rho_2 \cdots \rho_r \\ &= (j_1^1 \dots j_{t(1)}^1)(j_1^2 \dots j_{t(2)}^2) \cdots (j_1^r \dots j_{t(r)}^r). \end{aligned}$$

Define τ by

$$\tau(i_b^a) = j_b^a,$$

then $\tau\sigma\tau^{-1} = \rho$. □

Corollary 18.0.2. *Let $p(n)$ be the number of partitions of n .¹³ There are $p(n)$ conjugacy classes in S_n .*

¹³Since $2 = 2 = 1+1$, $3 = 3 = 1+2 = 1+1+1$, $4 = 4 = 2+2 = 1+3 = 1+1+2 = 1+1+1+1$, $5 = 5 = 2+3 = 1+4 = 1+1+3 = 1+2+2 = 1+1+1+2 = 1+1+1+1+1 \dots$ we get $p(1) = 1, p(2) = 2, p(3) = 3, p(4) = 5, p(5) = 7, p(6) = 11, \dots$

Next, we discuss conjugacy classes in A_n . Note that if $\sigma \in A_n$ then since $A_n \triangleleft S_n$ also $\tau\sigma\tau^{-1} \in A_n$. That is, all the S_n -conjugacy classes of elements of A_n are in A_n . However, we would like to consider the A_n -conjugacy classes of elements of A_n .

Lemma 18.0.3. *The S_n -conjugacy class of an element $\sigma \in A_n$ is a disjoint union of $[S_n : A_n C_{S_n}(\sigma)]$ A_n -conjugacy classes. In particular, it is one A_n -conjugacy class if there is an odd permutation commuting with σ and is two A_n -conjugacy class if there is no odd permutation commuting with σ . In the latter case, the S_n -conjugacy class of σ is the disjoint union of the A_n -conjugacy class of σ and the A_n -conjugacy class of $\tau\sigma\tau^{-1}$, where τ can be chosen to be any odd permutation.*

Proof. Let A be the S_n -conjugacy class of σ . Write $A = \coprod_{\alpha \in J} A_\alpha$, a disjoint union of A_n -conjugacy classes. We first note that S_n acts on the set $B = \{A_\alpha : \alpha \in J\}$. Indeed, if A_α is the A_n -conjugacy class of σ_α , and $\rho \in S_n$ then define $\rho A_\alpha \rho^{-1}$ to be the A_n -conjugacy class of $\rho\sigma_\alpha\rho^{-1}$. This is well defined: if σ'_α is another representative for the A_n -conjugacy class of σ_α then $\sigma'_\alpha = \tau\sigma_\alpha\tau^{-1}$ for some $\tau \in A_n$. It follows that $\rho\sigma'_\alpha\rho^{-1} = \rho\tau\sigma_\alpha\tau^{-1}\rho^{-1} = (\rho\tau\rho^{-1})(\rho\sigma_\alpha\rho^{-1})(\rho\tau\rho^{-1})^{-1}$ is in the A_n -conjugacy class of $\rho\sigma_\alpha\rho^{-1}$ (because $\rho\tau\rho^{-1} \in A_n$).

The action of S_n is transitive on B . Consider the A_n -conjugacy class of σ and denote it by A_0 . The stabilizer of A_0 is just $A_n C_{S_n}(\sigma)$. Indeed, $\rho A_0 \rho^{-1} = A_0$ if and only if $\rho\sigma\rho^{-1}$ is in the same A_n -conjugacy class as σ . Namely, if and only if $\rho\sigma\rho^{-1} = \tau\sigma\tau^{-1}$ for some $\tau \in A_n$, equivalently, $(\tau^{-1}\rho)\sigma = \sigma(\tau^{-1}\rho)$, that is $(\tau^{-1}\rho) \in C_{S_n}(\sigma)$ which is to say that $\rho \in A_n C_{S_n}(\sigma)$.

We conclude that the size of B is the length of the orbit of A_0 and hence is of size $[S_n : A_n C_{S_n}(\sigma)]$. Since $[S_n : A_n] = 2$, we get that $[S_n : A_n C_{S_n}(\sigma)] = 1$ or 2 , with the latter happening if and only if $A_n \supseteq C_{S_n}(\sigma)$. That is, if and only if σ does not commute with any odd permutation. Moreover, the orbit consists of the A_n -conjugacy classes of the elements $g\sigma$, g running over a complete set of representatives for the cosets of $A_n C_{S_n}(\sigma)$ in S_n . \square

19. THE SIMPLICITY OF A_n

In this section we prove that A_n is a simple group for $n \neq 4$. The cases where $n < 4$ are trivial; for $n = 4$ we have seen it fails (the Klein 4-group is normal). We shall focus on the case $n \geq 5$ and prove the theorem inductively. We therefore first consider the case $n = 5$.

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We make the following general observation:

Lemma 19.0.4. *Let $N \triangleleft G$ then N is a disjoint union of G -conjugacy classes.*

Proof. Distinct conjugacy classes, being orbits for a group action, are always disjoint. If N is normal and $n \in N$ then its conjugacy class $\{gng^{-1} : g \in G\}$ is contained in N . \square

Let us list the conjugacy classes of S_5 and their sizes.

Conjugacy classes in S_5

cycle type	representative	size of conjugacy class	order	even?
5	(12345)	24	5	✓
1+4	(1234)	30	4	×
1+1+3	(123)	20	3	✓
1+ 2+ 2	(12)(34)	15	2	✓
1 + 1 + 1 + 2	(12)	10	2	×
1 + 1+ 1+ 1+ 1	1	1	1	✓
2+ 3	(12)(345)	20	6	×

Let τ be a permutation commuting with (12345). Then

$$(12345) = \tau(12345)\tau^{-1} = (\tau(1) \tau(2) \tau(3) \tau(4) \tau(5))$$

and so τ is the permutation $i \mapsto i + n$ for $n = \tau(1) - 1$. In particular, $\tau = (12345)^{n-1}$ and so is an even permutation. We conclude that the S_5 -conjugacy class of (12345) breaks into two A_5 -conjugacy classes, with representatives (12345), (21345).

One checks that (123) commutes with the odd permutation (45). Therefore, the S_5 -conjugacy class of (123) is also an A_5 -conjugacy class. Similarly, the permutation (12)(34) commutes with the odd permutation (12). Therefore, the S_5 -conjugacy class of (12)(34) is also an A_5 -conjugacy class. We get the following table for conjugacy classes in A_5 .

Conjugacy classes in A_5

cycle type	representative	size of conjugacy class	order
5	(12345)	12	5
5	(21345)	12	5
1+1+3	(123)	20	3
1+ 2+ 2	(12)(34)	15	2
1 + 1+ 1+ 1+ 1	1	1	1

If $N \triangleleft A_5$ then $|N|$ divides 60 and is the sum of 1 and some of the numbers in (12, 12, 20, 15). One checks that this is impossible unless $N = A_5$. We deduce

Lemma 19.0.5. *The group A_5 is simple.*

Theorem 19.0.6. *The group A_n is simple for $n \geq 5$.*

Proof. The proof is by induction on n . We may assume that $n \geq 6$. Let N be a normal subgroup of A_n and assume $N \neq \{1\}$.

First step: There is a permutation $\rho \in N, \rho \neq 1$ and $1 \leq i \leq n$ such that $\rho(i) = i$.

Indeed, let $\sigma \in N$ be a non-trivial permutation and write it as a product of disjoint non-trivial cycles, $\sigma = \sigma_1 \sigma_2 \dots \sigma_s$, say in decreasing length. Suppose that σ_1 is $(i_1 i_2 \dots i_r)$, where $r \geq 3$. Then conjugating by the transposition $\tau = (i_1 i_2)(i_5 i_6)$, we get that $\tau \sigma \tau^{-1} \sigma \in N$, $\tau \sigma \tau^{-1} \sigma(i_1) = i_1$ and if $r > 3$ $\tau \sigma \tau^{-1} \sigma(i_2) = i_4 \neq i_2$. If $r = 3$ then $\sigma = (i_1 i_2 i_3)(i_4 \dots) \dots$. Take $\tau = (i_1 i_2)(i_3 i_4)$ then $\tau \sigma \tau^{-1} \sigma(i_1) = i_1$ and $\tau \sigma \tau^{-1} \sigma(i_2) = \tau \sigma(i_4) \in \{i_3, i_5\}$. Thus, $\tau \sigma \tau^{-1} \sigma$ is a permutation of the kind we were seeking.

It still remains to consider the case where each σ_i is a transposition. Then, if $\sigma = (i_1 i_2)(i_3 i_4)$ then σ moves only 4 elements and thus fixes some element and we are done, else $\sigma = (i_1 i_2)(i_3 i_4)(i_5 i_6) \dots$. Let $\tau = (i_1 i_2)(i_3 i_5)$ then $\tau \sigma \tau^{-1} \sigma = (i_2 i_1)(i_5 i_4)(i_3 i_6) \dots (i_1 i_2)(i_3 i_4)(i_5 i_6) \dots = (i_3 i_5)(i_4 i_6) \dots$ and so is a permutation of the sort we were seeking.

Second step: $N = A_n$.

Consider the subgroups $G_i = \{\sigma \in A_n : \sigma(i) = i\}$. We note that each G_i is isomorphic to A_{n-1} and hence is simple. By the preceding step, for some i we have that $N \cap G_i$ is a non-trivial normal subgroup of G_i , hence equal to G_i .

Next, note that $(12)(34)G_1(12)(34) = G_2$ and, similarly, all the groups G_i are conjugate in A_n to each other. It follows that $N \supseteq \langle G_1, G_2, \dots, G_n \rangle$. Now, every element in S_n is a product of (usually not disjoint) transpositions and so every element σ in A_n is a product of an even number of transpositions, $\sigma = \lambda_1 \mu_1 \dots \lambda_r \mu_r$ (λ_i, μ_i transpositions). Since $n > 4$ every product $\lambda_i \mu_i$ belongs to some G_j and we conclude that $\langle G_1, G_2, \dots, G_n \rangle = A_n$.

□

Part 5. p -groups, Cauchy's and Sylow's Theorems

20. THE CLASS EQUATION

Let G be a finite group. G acts on itself by conjugation: $g \star h = ghg^{-1}$. The class equation is the partition of G to orbits obtained this way. The orbits are called in this case *conjugacy classes*. Note that the stabilizer of $h \in G$ is $C_G(h)$ and so its orbit has length $[G : C_G(H)]$. Note thus the elements with orbit of length 1 are precisely the elements in the center $Z(G)$ of G . We get

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§4.3

$$(20.1) \quad |G| = |Z(G)| + \sum_{\text{reps. } x \notin Z(G)} \frac{|G|}{|C_G(x)|}.$$

Remark 20.0.7. One can prove that for every $n > 0$ there are only finitely many finite groups with exactly n conjugacy classes. (One uses the following fact: Given $n > 0$ and a rational number q there are only finitely many n -tuples (c_1, \dots, c_n) of natural numbers such that $q = \frac{1}{c_1} + \dots + \frac{1}{c_n}$.)

For example, the only group with one conjugacy class is the trivial group $\{1\}$; the only group with two conjugacy classes is $\mathbb{Z}/2\mathbb{Z}$; the only groups with 3 conjugacy classes are $\mathbb{Z}/3\mathbb{Z}$ and S_3 .

21. p -GROUPS

Let p be a prime. A finite group G is called a p -group if its order is a positive power of p .

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§§4.3, 6.1

Lemma 21.0.8. *Let G be a finite p group. Then the center of G is not trivial.*

Proof. We use the class equation 20.1. Note that if $x \notin Z(G)$ then $C_G(x) \neq G$ and so the integer $\frac{|G|}{|C_G(x)|}$ is divisible by p . Thus, the left hand side of

$$|G| - \sum_{\text{reps. } x \notin Z(G)} \frac{|G|}{|C_G(x)|} = |Z(G)|$$

is divisible by p , hence so is the right hand side. In particular $|Z(G)| \geq p$. □

Theorem 21.0.9. *Let G be a finite p group, $|G| = p^n$.*

- (1) *For every normal subgroup $H \triangleleft G$ there is a subgroup $K \triangleleft G$ such that $H < K < G$ and $[K : H] = p$.*
- (2) *There is a chain of subgroups $H_0 = \{1\} < H_1 < \dots < H_n = G$, such that each $H_i \triangleleft G$ and $|H_i| = p^i$.*

Proof. (1) The group G/H is a p group and hence its center is a non-trivial group. Take an element $e \neq x \in Z(G/H)$; its order is p^r for some r . Then $y = x^{p^{r-1}}$ has exact order p . Let $K' = \langle y \rangle$. It is a normal subgroup of G/H of order p (y commutes with any other element). Let $K = \pi_H^{-1}(K')$. By the Third Isomorphism Theorem K is a normal subgroup of G , $K/H \cong K'$ so $[K : H] = p$.

- (2) The proof just given shows that every p group has a normal subgroup of p elements. Now apply repeatedly the first part. □

21.1. Examples of p groups.

21.1.1. *Groups of order p .* We proved in the assignments that every such group is cyclic, thus isomorphic to $\mathbb{Z}/p\mathbb{Z}$.

21.1.2. *Groups of order p^2 .* We shall prove in the assignments that every such group is commutative. It then follows from the structure theorem for finite abelian groups that such a group is either isomorphic to $\mathbb{Z}/p^2\mathbb{Z}$ or to $(\mathbb{Z}/p\mathbb{Z})^2$.

21.1.3. *Groups of order p^3 .* First, there are the abelian groups $\mathbb{Z}/p^3\mathbb{Z}$, $\mathbb{Z}/p^2\mathbb{Z} \times \mathbb{Z}/p\mathbb{Z}$ and $(\mathbb{Z}/p\mathbb{Z})^3$.

We shall prove in the assignments that if G is not abelian then $G/Z(G)$ cannot be cyclic. It follows that $Z(G) \cong \mathbb{Z}/p\mathbb{Z}$ and $G/Z(G) \cong (\mathbb{Z}/p\mathbb{Z})^2$. One example of such a group is provided by the matrices

$$\begin{pmatrix} 1 & a & b \\ 0 & 1 & c \\ 0 & 0 & 1 \end{pmatrix},$$

where $a, b, c \in \mathbb{F}_p$. Note that if $p \geq 3$ then every element in this group is of order p (use $(I + N)^p = I + N^p$), yet the group is non-abelian. (This group, using a terminology to be introduced later, is a semi-direct product $(\mathbb{Z}/p\mathbb{Z})^2 \rtimes \mathbb{Z}/p\mathbb{Z}$.) More generally the upper unipotent matrices in $\mathrm{GL}_n(\mathbb{F}_p)$ are a group of order $p^{n(n-1)/2}$ in which every element has order p if $p \geq n$. Notice that these groups are non-abelian.

Getting back to the issue of non-abelian groups of order p^3 , one can prove that there is precisely one additional non-abelian group of order p^3 . It is generated by two elements x, y satisfying: $x^p = y^p = 1, xyx^{-1} = y^{1+p}$. (This group is a semi-direct product $(\mathbb{Z}/p^2\mathbb{Z}) \rtimes \mathbb{Z}/p\mathbb{Z}$.)

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pp. 179-180, 183-184

Let x_1, \dots, x_d be formal symbols. The *free group on x_1, \dots, x_d* is the set of expressions (called “words”) $y_1 \dots y_t$, where each y_i is a symbol x_j or x_j^{-1} , taken under the equivalence relation generated by the following basic equivalence: if v, w are words then

$$vx_jx_j^{-1}w \sim vw, \quad vx_j^{-1}x_jw \sim vw.$$

We remark that the empty word is allowed. We define multiplication of two words v, w by putting them together into one word

$$v \star w = vw.$$

One checks that this is well defined on equivalence classes, that it is an associative operation, that the (equivalence class of the) empty word is the identity, and that every element has an inverse: $(y_1 \dots y_t)^{-1} = y_t^{-1} \dots y_1^{-1}$. We thus get a group, called the free group of rank d , denoted $\mathcal{F}(d)$. It has the following properties:

- (1) given a group G , and d elements s_1, \dots, s_d in G , there is a unique group homomorphism $f: \mathcal{F}(d) \longrightarrow G$ such that $f(x_i) = s_i$;
- (2) if G is a group generated by d elements there is a surjective group homomorphism $\mathcal{F}(d) \longrightarrow G$;
- (3) if w_1, \dots, w_r are words in $\mathcal{F}(d)$, let N be the minimal normal subgroup containing all the w_i (such exists!). The group $\mathcal{F}(d)/N$ is also denoted by $\langle x_1, \dots, x_d | w_1, \dots, w_r \rangle$ and is said to be given by the generators x_1, \dots, x_d and relations w_1, \dots, w_r . For example, one can prove that $\mathbb{Z}/n\mathbb{Z} \cong \langle x_1 | x_1^n \rangle$, $\mathbb{Z}^2 \cong \langle x_1, x_2 | x_1x_2x_1^{-1}x_2^{-1} \rangle$ and $S_3 \cong \langle x_1, x_2 | x_1^2, x_2^3, (x_1x_2)^2 \rangle$.
- (4) if $d = 1$ then $\mathcal{F}(d) \cong \mathbb{Z}$ but if $d > 1$ then $\mathcal{F}(d)$ is a non-commutative infinite group.

Fix positive integers d, n . The *Burnside problem* asks if a group generated by d elements in which every element x satisfies $x^n = 1$ is finite. Every such group is a quotient of the following group $B(d, n)$: it is the free group $\mathcal{F}(d)$ generated by x_1, \dots, x_d moded out by the minimal normal subgroup containing the expressions f^n where f is an element of $\mathcal{F}(d)$. It turns out that in general the answer is negative; $B(d, n)$ is infinite for $d \geq 2, n \geq 4381, n$ odd. There are some instances where it is finite: $d \geq 2, n = 2, 3, 4, 6$.

One can then ask, is there a finite group $B_0(d, n)$ such that every finite group G , generated by d elements and in which $f^n = 1$ for every element $f \in G$, is a quotient of $B_0(d, n)$? E. Zelmanov, building on the work of many others, proved that the answer is yes. He received the 1994 Fields medal for this.

22. CAUCHY'S THEOREM

One application of group actions is to provide a simple proof of an important theorem in the theory of finite groups.

Theorem 22.0.1. (Cauchy) *Let G be a finite group of order n and let p be a prime dividing n . Then G has an element of order p .*

Proof. Let S be the set consisting of p -tuples (g_1, \dots, g_p) of elements of G , considered up to cyclic permutations. Thus if T is the set of p -tuples (g_1, \dots, g_p) of elements of G , S is the set of orbits for the action of $\mathbb{Z}/p\mathbb{Z}$ on T by cyclic shifts. One may therefore apply **CF** and get

$$|S| = \frac{n^p - n}{p} + n.$$

Note that $n \nmid |S|$.

Now define an action of G on S . Given $g \in G$ and $(g_1, \dots, g_p) \in S$ we define

$$g(g_1, \dots, g_p) = (gg_1, \dots, gg_p).$$

This is a *well defined* action.

Since the order of G is n , since $n \nmid |S|$, and since S is a disjoint union of orbits of G , there must be an orbit $\text{Orb}(s)$ whose size is not n . However, the size of an orbit is $|G|/|\text{Stab}(s)|$, and we conclude that there must be an element (g_1, \dots, g_p) in S with a non-trivial stabilizer. This means that for some $g \in G$, such that $g \neq e$, we have

$$(gg_1, \dots, gg_p) \text{ is equal to } (g_1, \dots, g_p) \text{ up to a cyclic shift.}$$

This means that for some i we have

$$(gg_1, \dots, gg_p) = (g_{i+1}, g_{i+2}, g_{i+3}, \dots, g_p, g_1, g_2, \dots, g_i).$$

Therefore, $gg_1 = g_{i+1}$, $g^2g_1 = gg_{i+1} = g_{2i+1}$, \dots , $g^pg_1 = \dots = g_{pi+1} = g_1$ (we always read the indices mod p). That is, there exists $g \neq e$ with

$$g^p = e.$$

□

23. SYLOW'S THEOREMS

Let G be a finite group and let p be a prime dividing its order. Write $|G| = p^r m$, where $(p, m) = 1$. By a p -subgroup of G we mean a subgroup whose order is a power of p . By a maximal p subgroup of G we mean a p -subgroup of G not contained in a strictly larger p -subgroup. Dummit & Foote §4.5

Theorem 23.0.2. *Every maximal p -subgroup of G has order p^r (such a subgroup is called a Sylow p -subgroup) and such a subgroup exists. All Sylow p -subgroups are conjugate to each other. The number n_p of Sylow p -subgroups satisfies: (i) $n_p | m$; (ii) $n_p \equiv 1 \pmod{p}$.*

Remark 23.0.3. To say that P is conjugate to Q means that there is a $g \in G$ such that $gPg^{-1} = Q$. Recall that the map $x \mapsto gxg^{-1}$ is an automorphism of G . This implies that P and Q are isomorphic as groups.

Another consequence is that to say there is a unique p -Sylow subgroup is the same as saying that a p -Sylow is normal. This is often used this way: given a finite group G the first check in ascertaining whether it is simple or not is to check whether the p -Sylow subgroup is unique for some p dividing the order of G . Often one engages in combinatorics of counting how many p -Sylow subgroups can be, trying to conclude there can be only one for a given p and hence getting a normal subgroup.

We first prove a lemma that is an easy case of Cauchy's Theorem 22.0.1:

Lemma 23.0.4. *Let A be a finite abelian group, let p be a prime dividing the order of A . Then A has an element of order p .* Dummit & Foote §3.4

Proof. We prove the result by induction on $|A|$. Let N be a maximal subgroup of A , distinct from A . If p divides the order of N we are done by induction. Otherwise, let $x \notin N$ and let $B = \langle x \rangle$. By maximality the subgroup BN is equal to A . On the other hand $|BN| = |B| \cdot |N|/|B \cap N|$. Thus, p divides the order of B . That is the order of x is pa for some a and so the order of x^a is precisely p . \square

Proposition 23.0.5. *There is a p -subgroup of G of order p^r .*

Proof. We prove the result by induction on the order of G . Assume first that p divides the order of $Z(G)$. Let x be an element of $Z(G)$ of order p and let $N = \langle x \rangle$, a normal subgroup. The order of G/N is $p^{r-1}m$ and by induction it has a p -subgroup H' of order p^{r-1} . Let H be the preimage of H' . It is a subgroup of G such that $H/N \cong H'$ and thus H has order $|H'| \cdot |N| = p^r$.

Consider now the case where p does not divide the order of G . Consider the class equation

$$|G| = |Z(G)| + \sum_{\text{reps. } x \notin Z(G)} \frac{|G|}{|C_G(x)|}.$$

We see that for some $x \notin Z(G)$ we have that p does not divide $\frac{|G|}{|C_G(x)|}$. Thus, p^r divides $C_G(x)$. The subgroup $C_G(x)$ is a proper subgroup of G because $x \notin Z(G)$. Thus, by induction $C_G(x)$, and hence G , has a p -subgroup of order p^r . \square

Lemma 23.0.6. *Let P be a maximal p -subgroup and Q any p -subgroup then $Q \cap P = Q \cap N_G(P)$.*

Proof. Since $P \subset N_G(P)$ also $Q \cap P \subset Q \cap N_G(P)$. Let $H = Q \cap N_G(P)$. Then, since $P \triangleleft N_G(P)$ we have that HP is a subgroup of $N_G(P)$. Its order is $|H| \cdot |P|/|H \cap P|$ and so a power of p . Since P is a maximal p -subgroup we must have $HP = P$ and thus $H \subset P$. \square

Proof. (Of Theorem) Let P be a Sylow subgroup of G . Such exists by Proposition 23.0.5. Let

$$S = \{P_1, \dots, P_a\}$$

be the set of conjugates of $P = P_1$. That is, the subgroups gPg^{-1} one gets by letting g vary over G . Note that for a fixed g the map $P \longrightarrow gPg^{-1}$, $x \mapsto gxg^{-1}$ is a group isomorphism. Thus, every P_i is a Sylow p -subgroup. Our task is to show that every maximal p -subgroup is an element of S and find out properties of a .

Let Q be any p -subgroup of G . The subgroup Q acts by conjugation on S . The size of $\text{Orb}(P_i)$ is $|Q|/|\text{Stab}_Q(P_i)|$. Now $\text{Stab}_Q(P_i) = Q \cap N_G(P_i) = Q \cap P_i$ by Lemma 23.0.6. Thus, the orbit consists of one element if $Q \subset P_i$ and is a proper power of p otherwise.

Take first Q to be P_1 . Then, the orbit of P_1 has size 1. Since P_1 is a maximal p -subgroup it is not contained in any other p -subgroup, thus the size of every other orbit is p . It follows, using that S is a disjoint union of orbits, that $a = 1 + tp$ for some t . Note also that $a = |G|/|N_G(P)|$ and thus divides $|G|$.

We now show that all maximal p -subgroups are conjugate. Suppose, to the contrary, that Q is a maximal p -subgroup which is not conjugate to P . Thus, for all i , $Q \neq P_i$ and so $Q \cap P_i$ is a proper subgroup of Q . It follows then that S is a union of disjoint orbit all having size a proper power of p . Thus, $p|a$. This is a contradiction. \square

23.1. Examples and applications.

23.1.1. p -groups. Every finite p -group is of course the only p -Sylow subgroup (trivial case).

23.1.2. $\mathbb{Z}/6\mathbb{Z}$. In every abelian group the p -Sylow subgroups are normal and unique. The 2-Sylow subgroup is $\langle 3 \rangle$ and the 3-Sylow subgroup is $\langle 2 \rangle$.

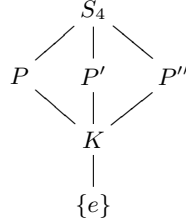
23.1.3. S_3 . Consider the symmetric group S_3 . Its 2-Sylow subgroups are $\{1, (12)\}$, $\{1, (13)\}$, $\{1, (23)\}$. Note that indeed $3|3 = 3!/2$ and $3 \equiv 1 \pmod{2}$. It has a unique 3-Sylow subgroup $\{1, (123), (132)\}$. This is expected since $n_3|2 = 3!/3$ and $n_3 \equiv 1 \pmod{3}$ implies $n_3 = 1$.

23.1.4. S_4 . We want to find the 2-Sylow subgroups. Their number $n_2|3 = 24/8$ and is congruent to 1 modulo 2. It is thus either 1 or 3. Note that every element of S_4 has order 1, 2, 3, 4. The number of elements of order 3 is 8 (the 3-cycles). Thus, we cannot have a unique subgroup of order 8 (it will contain any element of order 2 or 4). We conclude that $n_2 = 3$. One such subgroup is $D_8 \subset S_4$; the rest are conjugates of it.

Further, $n_3|24/3$ and $n_3 \equiv 1 \pmod{3}$. If $n_3 = 1$ then that unique 3-Sylow would need to contain all 8 element of order 3 but is itself of order 3. Thus, $n_3 = 4$.

Remark 23.1.1. A group of order 24 is never simple, though it does not mean that one of the Sylow subgroups is normal, as the example of S_4 shows. However, consider the representation of a group G of order 24 on the cosets of P , where P is its 2-Sylow subgroup. It gives us, as we have seen in the past, a normal subgroup of G , contained in P , whose index divides $6 = [G : P]!$ and hence is non-trivial.

Call this subgroup K . Then, we see that $|K| = 4$; it is preserved under conjugation hence is a subgroup of all three 2-Sylow subgroups, say P, P', P'' . We have the following picture



23.1.5. *Groups of order pq .* Let $p < q$ be primes. Let G be a group of order pq . Then $n_q|p$, $n_q \equiv 1 \pmod{q}$. Since $p < q$ we have $n_q = 1$ and the q -Sylow subgroup is normal (in particular, G is never simple). Also, $n_p|q$, $n_p \equiv 1 \pmod{p}$. Thus, either $n_p = 1$, or $n_p = q$ and the last possibility can happen only for $q \equiv 1 \pmod{p}$.

We conclude that if $p \nmid (q-1)$ then both the p -Sylow P subgroup and the q -Sylow subgroup Q are normal. Note that the order of $P \cap Q$ divides both p and q and so is equal to 1. Let $x \in P, y \in Q$ then $[x, y] = (xyx^{-1})y^{-1} = x(yx^{-1}y^{-1}) \in P \cap Q = \{1\}$. Thus, PQ , which is equal to G , is abelian.

We shall later see that whenever $p|(q-1)$ there is a non-abelian group of order pq (in fact, unique up to isomorphism). The case of S_3 falls under this.

23.1.6. *Groups of order p^2q .* Let G be a group of order p^2q , where p and q are distinct primes. We prove that G is not simple:

If $q < p$ then $n_p \equiv 1 \pmod{p}$ and $n_p|q < p$, which implies that $n_p = 1$ and the p -Sylow subgroup is normal.

Suppose that $p < q$, then $n_q \equiv 1 \pmod{q}$ and $n_q|p^2$, which implies that $n_q = 1$ or p^2 . If $n_q = 1$ then the q -Sylow subgroup is normal. Assume that $n_q = p^2$. Each pair of the p^2 q -Sylow subgroups intersect only at the identity (since q is prime). Hence they account for $1 + p^2(q-1)$ elements. Suppose that there were 2 p -Sylow subgroups. They intersect at most at a subgroup of order p . Thus, they contribute at least $2p^2 - p$ new elements. All together we got at least $1 + p^2(q-1) + 2p^2 - p = p^2q + p^2 - p + 1 > p^2q$ elements. That's a contradiction and so $n_p = 1$; the p -Sylow subgroup is normal.

Remark 23.1.2. A theorem of Burnside states that a group of order $p^a q^b$ with $a + b > 1$ is not simple. You will prove in the assignments that groups of order pqr ($p < q < r$ primes) are not simple. Note that $|A_5| = 60 = 2^2 \cdot 3 \cdot 5$ and A_5 is simple. A theorem of Feit and Thompson says that a finite simple group is either of prime order, or of even order.

23.1.7. $GL_n(\mathbb{F})$. Let \mathbb{F} be a finite field with q elements. The order of $GL_n(\mathbb{F})$ is $(q^n - 1)(q^n - q) \cdots (q^n - q^{n-1}) = q^{(n-1)n/2} (q^n - 1)(q^{n-1} - 1) \cdots (q - 1)$. Thus, a p -Sylow has order $q^{(n-1)n/2}$. One such subgroup consists of the upper triangular matrices with 1 on the diagonal (the unipotent group):

$$\begin{pmatrix} 1 & * & \cdots & * \\ 0 & 1 & \cdots & * \\ & & \ddots & \\ 0 & 0 & \cdots & 1 \end{pmatrix}$$

Part 6. Finitely Generated Abelian Groups, Semi-direct Products and Groups of Low Order

24. THE STRUCTURE THEOREM FOR FINITELY GENERATED ABELIAN GROUPS

The structure theorem will be proved in the next semester as a corollary of the structure theorem for modules over a principal ideal domain. That same theorem will also yield the Jordan canonical form of a matrix.

Theorem 24.0.3. *Let G be a finitely generated abelian group. Then there exists a unique non-negative integer r and integers $1 < n_1 | n_2 | \dots | n_t$ ($t \geq 0$) such that*

$$G \cong \mathbb{Z}^r \times \mathbb{Z}/n_1\mathbb{Z} \times \dots \times \mathbb{Z}/n_t\mathbb{Z}.$$

Remark 24.0.4. The integer r is called the *rank* of G . The subgroup in G that corresponds to $\mathbb{Z}/n_1\mathbb{Z} \times \dots \times \mathbb{Z}/n_t\mathbb{Z}$ under such an isomorphism is canonical (independent of the isomorphism). It is the subgroup of G of elements of finite order, also called the *torsion subgroup* of G and sometime denoted G_{tor} .

On the other hand, the subgroup corresponding to \mathbb{Z}^r is not canonical and depends very much on the isomorphism.

A group is called *free abelian group* if it is isomorphic to \mathbb{Z}^r for some r (the case $t = 0$ in the theorem above). In this case, elements x_1, \dots, x_r of G that correspond to a basis of \mathbb{Z}^r are called a basis of G ; every element of G has the form $a_1x_1 + \dots + a_rx_r$ for unique integers a_1, \dots, a_r .

Remark 24.0.5. The Chinese remainder theorem gives that if $n = p_1^{a_1} \dots p_s^{a_s}$, p_i distinct primes, then

$$\mathbb{Z}/n\mathbb{Z} \cong \mathbb{Z}/p_1^{a_1}\mathbb{Z} \times \dots \times \mathbb{Z}/p_s^{a_s}\mathbb{Z}.$$

Thus, one could also write an isomorphism $G \cong \mathbb{Z}^r \times \prod_i \mathbb{Z}/p_i^{b_i}\mathbb{Z}$.

We shall also prove the following corollary in greater generality next semester.

Corollary 24.0.6. *Let G, H be two free abelian groups of rank r . Let $f : G \rightarrow H$ be a homomorphism such that $G/f(H)$ is a finite group. There are bases x_1, \dots, x_r of G and y_1, \dots, y_r of H and integers $1 \leq n_1 | \dots | n_r$ such that $f(y_i) = n_i x_i$.*

Example 24.0.7. Let G be a finite abelian p group, $|G| = p^n$. Then $G \cong \mathbb{Z}/p_1^{a_1}\mathbb{Z} \times \dots \times \mathbb{Z}/p_s^{a_s}\mathbb{Z}$ for unique a_i satisfying $1 \leq a_1 \leq \dots \leq a_s$ and $a_1 + \dots + a_s = n$. It follows that the number of isomorphism groups of finite abelian groups of order p^n is $p(n)$ (the partition function of n).

25. SEMI-DIRECT PRODUCTS

Given two groups B, N we have formed their direct product $G = N \times B$. Identifying B, N with their images $\{1\} \times B, N \times \{1\}$ in G , we find that: (i) $G = NB$, (ii) $N \triangleleft G, B \triangleleft G$, (iii) $N \cap B = \{1\}$. Conversely, one can easily prove that if G is a group with subgroups B, N such that: (i) $G = NB$, (ii) $N \triangleleft G, B \triangleleft G$, (iii) $N \cap B = \{1\}$, then $G \cong N \times B$. The definition of a semi-direct product relaxes the conditions a little.

Definition 25.0.8. Let G be a group and let B, N be subgroups of G such that: (i) $G = NB$; (ii) $N \cap B = \{1\}$; (iii) $N \triangleleft G$. Then we say that G is a *semi-direct product* of N and B .

Let N be any group. Let $\text{Aut}(N)$ be the set of automorphisms of the group N . It is a group in its own right under composition of functions.

Let B be another group and $\phi : B \longrightarrow \text{Aut}(N), b \mapsto \phi_b$ be a homomorphism (so $\phi_{b_1 b_2} = \phi_{b_1} \circ \phi_{b_2}$). Define a group

$$G = N \rtimes_{\phi} B$$

as follows: as a set $G = N \times B$, but the group law is defined as

$$(n_1, b_1)(n_2, b_2) = (n_1 \cdot \phi_{b_1}(n_2), b_1 b_2).$$

We check associativity:

$$\begin{aligned} [(n_1, b_1)(n_2, b_2)](n_3, b_3) &= (n_1 \cdot \phi_{b_1}(n_2), b_1 b_2)(n_3, b_3) \\ &= (n_1 \cdot \phi_{b_1}(n_2) \cdot \phi_{b_1 b_2}(n_3), b_1 b_2 b_3) \\ &= (n_1 \cdot \phi_{b_1}(n_2 \cdot \phi_{b_2}(n_3)), b_1 b_2 b_3) \\ &= (n_1, b_1)(n_2 \cdot \phi_{b_2}(n_3), b_2 b_3) \\ &= (n_1, b_1)[(n_2, b_2)(n_3, b_3)]. \end{aligned}$$

The identity is clearly $(1_N, 1_B)$. The inverse of (n_2, b_2) is $(\phi_{b_2^{-1}}(n_2^{-1}), b_2^{-1})$. Thus G is a group. The two bijections

$$N \longrightarrow G, \quad n \mapsto (n, 1); \quad B \longrightarrow G, \quad b \mapsto (1, b),$$

are group homomorphisms. We identify N and B with their images in G . We claim that G is a semi-direct product of N and B .

Indeed, clearly the first two properties of the definition hold. It remains to check that N is normal and it's enough to verify that $B \subset N_G(N)$. According to the calculation above:

$$(1, b)(n, 1)(1, b^{-1}) = (\phi_b(n), 1).$$

We now claim that every semi-direct product is obtained this way: Let G be a semi-direct product of N and B . Let $\phi_b : N \longrightarrow N$ be the map $n \mapsto bnb^{-1}$. This is an automorphism of N and the map

$$\phi : B \longrightarrow \text{Aut}(N)$$

is a group homomorphism. We claim that $N \rtimes_{\phi} B \cong G$. Indeed, define a map

$$(n, b) \mapsto nb.$$

It follows from the definition that the map is surjective. It is also bijective since $nb = 1$ implies that $n = b^{-1} \in N \cap B$ hence $n = 1$. It remains to check that this is a group homomorphism, but $(n_1 \cdot \phi_{b_1}(n_2), b_1 b_2) \mapsto n_1 \phi_{b_1}(n_2) b_1 b_2 = n_1 b_1 n_2 b_1^{-1} b_1 b_2 = (n_1 b_1)(n_2 b_2)$.

Proposition 25.0.9. A semi-direct product $N \rtimes_{\phi} B$ is the direct product $N \times B$ if and only if $\phi : B \longrightarrow \text{Aut}(N)$ is the trivial homomorphism.

Proof. Indeed, that happens iff for all $(n_1, b_1), (n_2, b_2)$ we have $(n_1 \phi_{b_1}(n_2), b_1 b_2) = (n_1 n_2, b_1 b_2)$. That is, iff for all b_1, n_2 we have $\phi_{b_1}(n_2) = n_2$, which implies $\phi_{b_1} = \text{id}$ for all b_1 . That is, ϕ is the trivial homomorphism. \square

Example 25.0.10. The Dihedral group D_{2n} is a semi-direct product. Take $N = \langle x \rangle \cong \mathbb{Z}/n\mathbb{Z}$ and $B = \langle y \rangle \cong \mathbb{Z}/2\mathbb{Z}$. Then $D_{2n} \cong \mathbb{Z}/n\mathbb{Z} \rtimes_{\phi} \mathbb{Z}/2\mathbb{Z}$ with $\phi_1 = -1$.

25.1. Application to groups of order pq . We have seen in § 23.1.5 that if $p < q$ and $p \nmid (q-1)$ then every group of order pq is abelian. Assume therefore that $p \mid (q-1)$.

Proposition 25.1.1. *If $p \mid (q-1)$ there is a unique non-abelian group, up to isomorphism, of order pq .*

Proof. Let G be a non-abelian group of order pq . We have seen that in every such group G the q -Sylow subgroup Q is normal. Let P be any p -Sylow subgroup. Then $P \cap Q = \{1\}$ and $G = QP$. Thus, G is a semi-direct product of Q and P .

It is thus enough to show that there is a non-abelian semi-direct product and that any two such products are isomorphic. We may consider the case $Q = \mathbb{Z}/q\mathbb{Z}, P = \mathbb{Z}/p\mathbb{Z}$.

Lemma 25.1.2. $\text{Aut}(Q) = (\mathbb{Z}/q\mathbb{Z})^*$.

Proof. Since Q is cyclic any group homomorphism $f : Q \rightarrow H$ is determined by its value on a generator, say 1. Conversely, if $h \in H$ is of order dividing q then there is such a group homomorphism with $f(1) = h$. Take $H = Q$. The image of f is the cyclic subgroup $\langle h \rangle$ and thus f is surjective (equivalently, isomorphic) iff h is a generator. Thus, any element $h \in (\mathbb{Z}/q\mathbb{Z})^*$ determines an automorphism f_h of Q by $a \mapsto ah$. Note that $f_h(f_g)(a) = f_h(ag) = agh = f_{hg}(a)$ and so the association $h \mapsto f_h$ is a group isomorphism $(\mathbb{Z}/q\mathbb{Z})^* \cong \text{Aut}(Q)$. \square

Since $(\mathbb{Z}/q\mathbb{Z})^*$ is a cyclic group of order $q-1$ (Corollary 3.0.5), and since $p \mid (q-1)$, there is an element h of exact order p in $(\mathbb{Z}/q\mathbb{Z})^*$. Let ϕ be the homomorphism determined by $\phi_1 = f_h$ and let $G = Q \rtimes_{\phi} P$. We claim that G is not abelian.

$$(n, 0)(0, b) = (n, b), \quad (0, b)(n, 0) = (\phi_b(n), b).$$

The two are always equal only if $\phi_b(n) = n$ for all b and n , i.e., $\phi_b = 1$ for all b , but choosing $b = 1$ we get $\phi_1 = h$ and thus a contradiction.

We now show that G is unique up to isomorphism. If H is another such semi-direct product then $H = \mathbb{Z}/q\mathbb{Z} \rtimes_{\psi} \mathbb{Z}/p\mathbb{Z}$, where ψ_1 is an element of order p (if it is the identity H is abelian) and thus $\psi_1 = \phi_1^r = \phi_r$ for some r prime to p .

Define a map

$$\mathbb{Z}/q\mathbb{Z} \rtimes_{\psi} \mathbb{Z}/p\mathbb{Z} \rightarrow \mathbb{Z}/q\mathbb{Z} \rtimes_{\phi} \mathbb{Z}/p\mathbb{Z}, \quad (n, b) \mapsto (n, rb).$$

This function is easily checked to be injective, hence bijective. We check it is a group homomorphism:

In G we have $(n_1, rb_1)(n_2, rb_2) = (n_1 + \phi_{rb_1}(n_2), r(b_1 + b_2)) = (n_1 + \psi_{b_1}(n_2), r(b_1 + b_2))$ which is the image of $(n_1 + \psi_{b_1}(n_2), b_1 + b_2)$, the product $(n_1, b_1)(n_2, b_2)$ in H . \square

Cases where two semi-direct products are isomorphic.

It is useful to generalize the last argument. Consider a map $\phi : B \longrightarrow \text{Aut}(N)$ be a homomorphism and consider the group

$$G = N \rtimes_{\phi} B.$$

Consider two automorphisms $f : N \rightarrow N, g : B \rightarrow B$. Let S be G considered as a set and consider the self map

$$S \longrightarrow S, \quad (n, b) \mapsto (f(n), g(b)).$$

We may define a new group law on S by

$$\begin{aligned} (n_1, b_1) \star (n_2, b_2) &= f \circ g \left(f^{-1}(n_1), g^{-1}(b_1) \right) (f^{-1}(n_2), g^{-1}(b_2)) \\ &= f \circ g \left(f^{-1}(n_1) \cdot [\phi(g^{-1}(b_1))](f^{-1}(n_2)), g^{-1}(b_1)g^{-1}(b_2) \right) \\ &= (n_1 \cdot f([\phi(g^{-1}(b_1))](f^{-1}(n_2))), b_1 b_2) \end{aligned}$$

Clearly, S with the new group law is isomorphic as groups to G .

This suggests the following, define an action of $\text{Aut}(B) \times \text{Aut}(N)$ on $\text{Hom}(B, \text{Aut}(N))$ via the embedding $\text{Aut}(B) \times \text{Aut}(N) \longrightarrow \text{Aut}(B) \times \text{Aut}(\text{Aut}(N))$. That is, $g \in \text{Aut}(B)$ acts by $\phi \mapsto \phi \circ g$ and $f \in \text{Aut}(N)$ acts by $\phi \mapsto c_f \circ \phi$, where c_f is conjugation by f . That is, $(c_f \circ \phi)(b) = f\phi(b)f^{-1}$. Then, we see that every orbit for this action gives isomorphic groups $N \rtimes_{\phi} B$. Note that the action of $\text{Aut}(B) \times \text{Aut}(N)$ on $\text{Hom}(B, \text{Aut}(N))$ factors through $\text{Aut}(B) \times \text{Aut}(N)/Z(\text{Aut}(N))$.

26. GROUPS OF LOW, OR SIMPLE, ORDER

26.1. Groups of prime order. We have seen in Lemma 17.2.2 that all such groups are cyclic. By Example 8.1.2 the unique cyclic group up to isomorphism of order p is $\mathbb{Z}/p\mathbb{Z}$.

26.2. Groups of order p^2 . You proved in Assignment 7 that every such group is abelian. By the structure theorem it is either isomorphic to $\mathbb{Z}/p^2\mathbb{Z}$ or to $\mathbb{Z}/p\mathbb{Z} \times \mathbb{Z}/p\mathbb{Z}$.

26.3. Groups of order pq , $p < q$. This case was discussed in § 25.1 above. We summarize the results: there is a unique abelian group of order pq . If $p \nmid (q-1)$ then every group of order pq is abelian. If $p \mid (q-1)$ there is a unique non-abelian group up to isomorphism; it can be taken as any non trivial semi-direct product $\mathbb{Z}/p\mathbb{Z} \rtimes \mathbb{Z}/q\mathbb{Z}$.

27. GROUPS OF ORDER 1, 2, 3, 4, 5, 6, 7, 9, 10, 11, 13, 14, 15

The results about groups of prime order and of order $pq, p \leq q$ allow us to determine the following possibilities:

order	abelian groups	non-abelian groups
1	$\{1\}$	
2	$\mathbb{Z}/2\mathbb{Z}$	
3	$\mathbb{Z}/3\mathbb{Z}$	
4	$\mathbb{Z}/2\mathbb{Z} \times \mathbb{Z}/2\mathbb{Z}, \mathbb{Z}/4\mathbb{Z}$	
5	$\mathbb{Z}/5\mathbb{Z}$	
6	$\mathbb{Z}/6\mathbb{Z}$	S_3
7	$\mathbb{Z}/7\mathbb{Z}$	
9	$\mathbb{Z}/3\mathbb{Z} \times \mathbb{Z}/3\mathbb{Z}, \mathbb{Z}/9\mathbb{Z}$	
10	$\mathbb{Z}/10\mathbb{Z}$	D_{10}
11	$\mathbb{Z}/11\mathbb{Z}$	
13	$\mathbb{Z}/13\mathbb{Z}$	
14	$\mathbb{Z}/14\mathbb{Z}$	D_{14}
15	$\mathbb{Z}/15\mathbb{Z}$	

28. GROUPS OF ORDER 8

We know already the structure of abelian groups of order 8: $\mathbb{Z}/2\mathbb{Z} \times \mathbb{Z}/2\mathbb{Z} \times \mathbb{Z}/2\mathbb{Z}$, $\mathbb{Z}/2\mathbb{Z} \times \mathbb{Z}/4\mathbb{Z}$, $\mathbb{Z}/8\mathbb{Z}$. We also know two non-isomorphic non-abelian groups of order 8: D_8 , Q (in Q there are six elements of order 4, while in D_8 there are two).

We prove that every non-abelian group G of order 8 is isomorphic to either D_8 or Q . Suppose that G has a non-normal subgroup of order 2, then the kernel of the coset representation $G \rightarrow S_4$ is trivial. Thus, G is a 2-Sylow subgroup of S_4 , but so is D_4 . Since all 2-Sylow subgroups are conjugate, hence isomorphic, we conclude that $G \cong D_4$.

Thus, assume that G doesn't have a non-normal subgroup of order 2. Consider the center $Z(G)$ of G . We claim that the center has order 2. Indeed, otherwise $G/Z(G)$ is of order 2 hence cyclic. But $G/Z(G)$ is never cyclic (seen in assignments).

We now claim that $Z(G) = \{1, z\}$ is the unique subgroup of G of order 2. Indeed, if $\{1, h\} = H < G$ of order 2 it must be normal by hypothesis. Then, for every $g \in G$, $ghg^{-1} = h$, i.e. $h \in Z(G)$. It follows that every element x in G apart from 1 or z has order 4, and so every such x satisfies $x^2 = z$. Rename z to -1 and the rest of the elements (which are of order 4 so come in pairs) are then $i, i^{-1}, j, j^{-1}, k, k^{-1}$. Since $i^2 = j^2 = k^2 = -1$ we can write $i^{-1} = -i$, etc.

Note that the subgroup $\langle i, j \rangle$ must be equal to G and so i and j do not commute. Thus, $ij \neq 1, -1, i, -i, j, -j$ (for example, $ij = -i$ implies that $j = (-i)^2 = -1$ and so commutes with i). Without loss of generality $ij = k$ and then $ji = -k$ (because the only other possibility is $ji = k$ which gives $ij = ji$). We therefore get the relations (the new ones are easy consequences):

$$G = \{\pm 1, \pm i, \pm j, \pm k\}, \quad i^2 = j^2 = k^2 = -1, \quad ij = -ji = k.$$

This determines completely the multiplication table of G which is identical to that of Q . Thus, $G \cong Q$.

29. GROUPS OF ORDER 12

We know that the abelian groups are $\mathbb{Z}/12\mathbb{Z}$ and $\mathbb{Z}/2\mathbb{Z} \times \mathbb{Z}/6\mathbb{Z}$. We are also familiar with the groups A_4 and D_{12} . One checks that in A_4 there are no elements of order 6 so these two groups are not isomorphic.

Note that in A_4 the 4-Sylow subgroup is normal (it is $\{1, (12)(34), (13)(24), (14)(23)\}$), and the 3-Sylow is not. Note that in D_{12} the 3-Sylow is normal (it is $\{1, x^2, x^4\}$, the rest are 6 reflections and the rotations x, x^3, x^5).

In a non-abelian group of order $12 = 2^2 \cdot 3$, either the 3-Sylow is normal or the 2-Sylow is normal, but not both (if both are, prove the group is abelian).

We conclude that each non-abelian group is the semi direct product of a group of order 4 and a group of order 3. Indeed, one checks that $A_4 = (\mathbb{Z}/2\mathbb{Z} \times \mathbb{Z}/2\mathbb{Z}) \rtimes \mathbb{Z}/3\mathbb{Z}$, $D_{12} = (\mathbb{Z}/2\mathbb{Z} \times \mathbb{Z}/2\mathbb{Z}) \rtimes \mathbb{Z}/3\mathbb{Z}$. Let us then consider a semi-direct product $\mathbb{Z}/4\mathbb{Z} \rtimes \mathbb{Z}/3\mathbb{Z}$ (show that every semi-direct product $\mathbb{Z}/4\mathbb{Z} \rtimes \mathbb{Z}/3\mathbb{Z}$ is actually a direct product and so is commutative). Here $1 \in \mathbb{Z}/4\mathbb{Z}$ acts on $\mathbb{Z}/3\mathbb{Z}$ as multiplication by -1 . This gives a non-abelian group with a cyclic group of order 4 that is therefore not isomorphic to the previous groups. Call it T .

The proof that these are all the non-abelian groups of order 12 is easy given the results of § 25.1. We already know that every such group is a non-trivial semi-direct product $(\mathbb{Z}/2\mathbb{Z} \times \mathbb{Z}/2\mathbb{Z}) \rtimes \mathbb{Z}/3\mathbb{Z}$, $(\mathbb{Z}/2\mathbb{Z} \times \mathbb{Z}/2\mathbb{Z}) \rtimes \mathbb{Z}/3\mathbb{Z}$ or $\mathbb{Z}/4\mathbb{Z} \rtimes \mathbb{Z}/3\mathbb{Z}$.

A non-trivial homomorphism $\mathbb{Z}/3\mathbb{Z} \longrightarrow \text{Aut}(\mathbb{Z}/2\mathbb{Z} \times \mathbb{Z}/2\mathbb{Z}) = \text{GL}_2(\mathbb{F}_2) \cong S_3$ corresponds to an element of order 3 in S_3 . All those elements are conjugate and by § 25.1 all these semi-direct products are isomorphic.

A non-trivial homomorphism $\mathbb{Z}/2\mathbb{Z} \times \mathbb{Z}/2\mathbb{Z} \longrightarrow \text{Aut}(\mathbb{Z}/3\mathbb{Z}) \cong \mathbb{Z}/2\mathbb{Z}$ is determined by its kernel which is a subgroup of order 2 = line in the 2-dimensional vector space $\mathbb{Z}/2\mathbb{Z} \times \mathbb{Z}/2\mathbb{Z}$ over $\mathbb{Z}/2\mathbb{Z}$. The automorphism group of $\mathbb{Z}/2\mathbb{Z} \times \mathbb{Z}/2\mathbb{Z}$ acts transitively on lines and by § 25.1 all these semi-direct products are isomorphic.

A non-trivial homomorphism $\mathbb{Z}/4\mathbb{Z} \longrightarrow \text{Aut}(\mathbb{Z}/3\mathbb{Z}) \cong \mathbb{Z}/2\mathbb{Z}$ is uniquely determined.

Part 7. Composition series, the Jordan-Hölder theorem and solvable groups

30. COMPOSITION SERIES

30.1. Two philosophies. In the study of finite groups one can sketch two broad philosophies:

The first one, that we may call the “*Sylow philosophy*” (though such was not made by Sylow, I believe), is given a finite group to study its p -subgroups and then study how they fit together. Sylow’s theorems guarantee that the size of p -subgroup is as big as one can hope for, guaranteeing the first step can be taken. The theory of p -groups, the second step, is a beautiful and powerful theory, which is quite successful. I know little about a theory that tells us how p -groups fit together.¹⁴

The second philosophy, that one may call the “*Jordan-Hölder philosophy*”, suggests given a group G to find a non-trivial normal subgroup N in G and study the possibilities for G given N and G/N . The first step then is to hope for the classification of all finite simple groups. Quite astonishingly, this is possible and was completed towards the end of the last (20th) century.

The second step is figuring out how to create groups G from two given subgroups N and H such that N will be a normal subgroup of G and H isomorphic to G/H . There is a lot known here. We have seen one machinery, the semi-direct product $N \rtimes H$.

30.2. Composition series. Let G be a finite group. A *composition series* for G is a sequence of distinct subgroups

$$\underline{G}_\bullet := \{e\} = G_0 \triangleleft G_1 \triangleleft \dots \triangleleft G_t = G$$

(note that $G_i \triangleleft G_{i+1}$ but we do not require that $G_i \triangleleft G$), such that G_{i+1}/G_i is a simple group for every i . If the series just satisfies the normality+ distinct condition, but without requiring the quotient to be simple, then we call it a *normal series*.

Given a normal series $\underline{G}_\bullet = \{e\} = G_0 \triangleleft G_1 \triangleleft \dots \triangleleft G_t = G$, we say that a normal series $\underline{H}_\bullet = \{e\} = H_0 \triangleleft H_1 \triangleleft \dots \triangleleft H_s = G$ is a refinement of \underline{G}_\bullet if all the groups G_i appear among the groups H_j . Then, a composition series is a normal series that cannot be refined. (The statement that we can form $G_i \triangleleft H \triangleleft G_{i+1}$ with distinct quotients is equivalent via the third isomorphism theorem with the statement that G_{i+1}/G_i is not simple).

One call the quotient groups G_i/G_{i-1} of a composition series, the *composition factors*.

31. THE JORDAN-HÖLDER THEOREM

Theorem 31.0.1. *Let G be a finite group, $G \neq \{e\}$. Then G has a composition series. Moreover, if $\{e\} = G_0 \triangleleft G_1 \triangleleft \dots \triangleleft G_t = G$ and $\{e\} = H_0 \triangleleft H_1 \triangleleft \dots \triangleleft H_s = G$ are composition series then $s = t$ and there is a permutation π of $\{1, 2, \dots, r\}$ such that*

$$G_i/G_{i-1} \cong H_{\pi(i)}/H_{\pi(i)-1}, \quad \forall i.$$

That is, the composition factors (with their multiplicities) are uniquely determined.

¹⁴The class of nilpotent groups turns out to be the same as the class of groups that are a direct product of their p -Sylow subgroups.

The proof is not particularly difficult, but will not cover in this course. It can be found, for example, in the book J. Rotman/*Introduction to the theory of groups*.

32. SOLVABLE GROUPS

A finite group G is called *Solvable* (or *Soluble*) if it has a normal series such that the composition factors are abelian. It is not hard to prove that G is solvable if and only if there is a composition series \underline{G}_\bullet such that the quotient groups G_i/G_{i-1} are cyclic of prime order.

Example 32.0.2. (1) Every abelian group is solvable.

(2) Every p -group G is solvable. Indeed we proved that there is a normal series $G = G_0 \supset G_1 \supset \cdots \supset G_r = \{e\}$ such that $G_i \triangleleft G_{i-1}$ (even $G_i \triangleleft G$ but that is not needed right now) and G_{i-1}/G_i is of order p , hence cyclic abelian.

(3) The group S_3 is solvable. It has the series $S_3 \supset A_3 \supset \{e\}$ with quotients isomorphic to $\mathbb{Z}/2\mathbb{Z}$ and $\mathbb{Z}/3\mathbb{Z}$.

Proposition 32.0.3. *Let G be a finite group and $N \triangleleft G$. Then G is solvable if and only if N and G/N are solvable.*

Proof. Assume that N and G/N are solvable,

$$G/N = H'_0 \supset H'_1 \supset \cdots \supset H'_r = \{e\}, \quad N = N_0 \supset N_1 \supset \cdots \supset N_s = \{e\},$$

with abelian quotients. Let $H_i = \pi_N^{-1}(H'_i)$. Then we have a sequence of groups

$$G = H_0 \supset H_1 \supset \cdots \supset H_r = N_0 \supset N_1 \supset \cdots \supset N_s = \{e\}.$$

It follows from the third isomorphism theorem that $H_i \triangleleft H_{i-1}$ and $H_{i-1}/H_i \cong H'_{i-1}/H'_i$ and in particular is abelian. Thus, G is solvable.

Let G be solvable,

$$G = G_0 \supset G_1 \supset \cdots \supset G_n = \{e\},$$

with abelian quotients. Let N be a subgroup of G . Consider the series

$$N = N \cap G_0 \supset N \cap G_1 \supset \cdots \supset N \cap G_n = \{e\}.$$

We claim that $N \cap G_i \triangleleft N \cap G_{i-1}$ and that the quotient is abelian (it follows then that N is solvable; no need to assume N is normal). Consider the homomorphism $f : G_{i-1} \rightarrow G_{i-1}/G_i$ and its restriction

$$g := f|_{N \cap G_i} : N \cap G_i \rightarrow G_{i-1}/G_i.$$

By the first isomorphism theorem $\text{Ker}(g) = \text{Ker}(f) \cap (N \cap G_{i-1}) = N \cap G_i$ is normal in $N \cap G_{i-1}$ and $N \cap G_{i-1}/N \cap G_i = N \cap G_{i-1}/\text{Ker}(g) \cong \text{Im}(g)$. Since the image of g is a subgroup of the abelian group G_{i-1}/G_i , it is abelian.

Assume now that N is normal and let $\pi := \pi_N : G \rightarrow G/N$ be the canonical map. We have a sequence of subgroups

$$G/N = \pi(G_0) \supset \pi(G_1) \supset \cdots \supset \pi(G_n) = \{e\}.$$

We claim that $\pi(G_i) \triangleleft \pi(G_{i-1})$ and that $\pi(G_{i-1})/\pi(G_i)$ is abelian. Indeed, let $x \in \pi(G_{i-1}), y \in \pi(G_i)$. We need to prove that $xyx^{-1} \in \pi(G_{i-1})$. Choose $X \in G_{i-1}, Y \in G_i$ such that $\pi(X) = x, \pi(Y) = y$. Then $XYX^{-1} \in G_i$, because $G_i \triangleleft G_{i-1}$, and $\pi(XYX^{-1}) = xyx^{-1}$. It follows that $xyx^{-1} \in \pi(G_i)$.

Consider now the induced homomorphism $f : G_{i-1} \xrightarrow{\pi} \pi(G_{i-1}) \longrightarrow \pi(G_{i-1})/\pi(G_i)$. It is surjective. The kernel of f contains G_i . We can therefore argue as follows: $\pi(G_{i-1})/\pi(G_i) \cong G_{i-1}/\text{Ker}(f) \cong (G_{i-1}/G_i)/(\text{Ker}(f)/G_i)$ and so $\pi(G_{i-1})/\pi(G_i)$ is a quotient of the abelian group G_{i-1}/G_i and hence abelian.

□

Example 32.0.4. *Every group of order less than 60 is solvable.* To show that we argue by induction on the order of the group. Using Proposition 32.0.3, it is enough to prove that a non-abelian group of order less than 60 is not simple.¹⁵ We know already (by results proven in class and in assignments) that groups of order p are abelian and of order p^a ($a > 1$), pq , pqr and p^2q are not simple. The numbers less than 60 not of this form are 24, 36, 40, 48, 54, 56. We saw that groups of order 24 (in class) 36, 40, 48, 54 (in an assignment) are not simple. It remains to show that a group G of order $56 = 2^3 \cdot 7$ is not simple.

Suppose that the 7-Sylow of G is not normal. Then there are 8 7-Sylow subgroups. These already account for a set S consisting of $1 + (7-1) \times 8 = 49$ distinct elements of G . If P is a 2-Sylow subgroup then $P \cap S = \{e\}$ and it follows that $P = G \setminus S \cup \{e\}$. Since this holds for any 2-Sylow subgroup, we conclude that P is the unique 2-Sylow subgroup and hence normal.

The motivation for the study of solvable groups comes from Galois theory. Let $f(x) = x^n + a_{n-1}x^{n-1} + \cdots + a_0$ be an irreducible polynomial with rational coefficients. In Galois theory one associates to f a finite group $G_f \subseteq S_n$, called the Galois group of f . One of Galois's main achievements is to prove that one can solve f in radicals (meaning, express the solutions of f using operations as taking roots, adding and multiplying) if and on if G_f is a solvable group.

It follows that there are formulas in radicals to solve equations of degree ≤ 4 (every group that can possibly arise as G_f has order less than 60, hence is solvable). On the other hand, one can produce easily an equation f of degree 5 such that $G_f = S_5$, hence is not solvable.

¹⁵Note that A_5 is a simple non-abelian group of order 60 and hence non-solvable.