Math 726: L-functions and modular forms

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## Week 3, lecture 9: Examples of Modular Forms

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## Eisenstein Series

Let  $z \in \mathcal{H}$  and  $k \in \mathbb{Z}_{>2}$  even.

We define

$$G_k(z) := \sum_{(m,n)\in\mathbb{Z}^2}' (mz+n)^{-k},$$

where the primed sum means we sum over all the pairs (m, n) except (0, 0).

FACT. It is easy to see that this sum converges absolutely when Im(z) > 0. So  $G_k(z)$  is holomorphic on  $\mathcal{H}$ .

Let  $\begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \mathrm{SL}_2(\mathbb{Z})$ . Since

$$\begin{pmatrix} a & b \\ c & d \end{pmatrix} \mathbb{Z}^2 = \mathbb{Z}^2,$$

we obtain that

$$G_k\left(\frac{az+b}{cz+d}\right) = \sum' \left[m\left(\frac{az+b}{cz+d}\right) + n\right]^{-k} = (cz+d)^k \sum' \left[m(az+b) + n(cz+d)\right]^{-k}$$
$$= (cz+d)^k \sum' \left[(am+nc)z + (bn+dn)\right]^{-k} = (cz+d)^k G_k(z).$$

We also define

$$E_k(z) := \frac{1}{2} \sum_{\gcd(m,n)=1}^{\prime} (mz+n)^{-k} = \frac{1}{\zeta(2k)} G_k(z) = 1 + \cdots$$

(the sum is multiplied by 1/2 in order to ensure that the first coefficient in the Fourier expansion is 1).

Theorem 1. The Fourier expansion of  $E_k$  is given by

$$E_k(z) = 1 + \frac{(2\pi i)^k}{(k-1)!\zeta(k)} \sum_{n=1}^{\infty} \frac{n^{k-1}q^n}{1-q^n} = 1 + \frac{(2\pi i)^k}{(k-1)!\zeta(k)} \sum_{n=1}^{\infty} \sigma_{k-1}(n)q^n,$$

where  $\sigma_{k-1}(n) = \sum_{d>0, d|n} d^{k-1}$  and  $q = e^{2\pi i z}$ .

*Proof.* We start with the Euler's product expansion for  $\sin(\pi z)$ :

$$\sin(\pi z) = \pi z \prod_{n=1}^{\infty} \left( 1 - \frac{z^2}{n^2} \right).$$

Taking the logarithmic derivative on both sides results

$$\frac{\pi\cos(\pi z)}{\sin(\pi z)} = \frac{1}{z} + \sum_{n=1}^{\infty} \left(\frac{1}{z+n} + \frac{1}{z-n}\right).$$

The left-hand side can be written as

$$\pi i \frac{e^{i\pi z} + e^{-i\pi z}}{e^{i\pi z} - e^{-i\pi z}} = \pi i \frac{e^{2\pi i z} + 1}{e^{2\pi i z} - 1} = \pi i \frac{q+1}{q-1} = -\pi i \left(1 + \frac{2q}{1-q}\right).$$

This implies that

$$\frac{1}{z} + \sum_{n=1}^{\infty} \left( \frac{1}{z+n} + \frac{1}{z-n} \right) = -\pi i - 2\pi i \sum_{n=1}^{\infty} q^n.$$

Applying  $\left(\frac{d}{dz}\right)^{k-1}$  to both sides yields

$$(-1)^{k-1}(k-1)! \left[ \frac{1}{z^k} + \sum_{n=1}^{\infty} \left( \frac{1}{(z+n)^k} + \frac{1}{(z-n)^k} \right) \right] = -(2\pi i)^k \sum_{n=1}^{\infty} n^{k-1} q^n.$$

Hence, since k is even,

$$\sum_{n \in \mathbb{Z}} \frac{1}{(z+n)^k} = \frac{(2\pi i)^k}{(k-1)!} \sum_{n=1}^{\infty} n^{k-1} q^n,$$

which in turn implies that

$$2\sum_{m=1}^{\infty}\sum_{n\in\mathbb{Z}}\frac{1}{(mz+n)^k}=2\frac{(2\pi i)^k}{(k-1)!}\sum_{m=1}^{\infty}\sum_{n=1}^{\infty}n^{k-1}q^{mn}=2\frac{(2\pi i)^k}{(k-1)!}\sum_{n=1}^{\infty}\sigma_{k-1}(n)q^n.$$

The terms with m=0 contribute with

$$\sum_{n \in \mathbb{Z} - \{0\}} \frac{1}{n^k} = 2\zeta(k).$$

Therefore

$$G_k(z) = 2\zeta(k) + 2\frac{(2\pi i)^k}{(k-1)!} \sum_{n=1}^{\infty} \sigma_{k-1}(n)q^n$$

and

$$E_k(z) = 1 + \frac{(2\pi i)^k}{(k-1)!\zeta(k)} \sum_{n=1}^{\infty} \sigma_{k-1}(n)q^n.$$

Exercise 1. Use the functional equation of the Riemann zeta function  $\zeta$  to show that

$$\frac{(2\pi i)^k}{(k-1)!\zeta(k)} = \frac{2}{\zeta(1-k)}$$

when k is even.

EXAMPLE 2. We can now compute the first few Eisenstein series:

$$E_4(q) = 1 + 240(q + 9q^2 + 28q^3 + 73q^4 + \cdots)$$

$$E_6(q) = 1 - 504(q + 33q^2 + 244q^3 + \cdots)$$

$$E_8(q) = 1 + 480(q + 129q^2 + \cdots)$$

$$E_6^2(q) = 1 - 1008q + \cdots$$

$$E_4(q)E_8(q) = 1 + 720q + \cdots$$

## Ramanujan $\Delta$ -function

The Ramanujan  $\Delta$ -function is the non-zero cusp form of weight 12 is defined by

$$\Delta(q) := \frac{E_4(q)E_8(q) - E_6^2(q)}{1728} = q + \cdots$$

Proposition 1. (1) If  $k \leq 2$  or k is odd, then

$$\dim_{\mathbb{C}} S_k(\mathrm{SL}_2(\mathbb{Z})) = 0.$$

(2) Multiplication by  $\Delta$  induces an isomorphism of  $\mathbb{C}$ -vector space

$$M_k(\operatorname{SL}_2(\mathbb{Z})) \xrightarrow{\sim} S_{k+12}(\operatorname{SL}_2(\mathbb{Z})).$$

(3) If  $k \geq 4$ , then

$$\dim_{\mathbb{C}} M_k(\mathrm{SL}_2(\mathbb{Z})) = 1 + \dim_{\mathbb{C}} S_k(\mathrm{SL}_2(\mathbb{Z})).$$

*Proof.* Suppose k = 0. Then  $M_0(\mathrm{SL}_2(\mathbb{Z}))$  is the space of  $\mathrm{SL}_2(\mathbb{Z})$ -invariant functions on  $\mathcal{H}^*$  with rapid decay. These functions are bounded holomorphic functions on the compact Riemann surface  $\mathrm{SL}_2(\mathbb{Z}) \backslash \mathcal{H}^*$ . Hence

$$S_0(\mathrm{SL}_2(\mathbb{Z})) = \{0\} \text{ and } M_0(\mathrm{SL}_2(\mathbb{Z})) = \mathbb{C}.$$