

Convergence to Travelling Fronts of Solutions of the p -System with Viscosity in the Presence of a Boundary

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Abstract

We study the asymptotic behavior as time goes to infinity of solutions to the initial-boundary-value problem on the half space R_+ for a one-dimensional model system for the isentropic flow of a compressible viscous gas, the so-called p -system with viscosity. As boundary conditions, we prescribe the constant state at infinity and require that the velocity be zero at the boundary $x = 0$. When the velocity at infinity is negative and satisfies a condition on the magnitude, we prove that if the initial data are suitably close to those for the corresponding outgoing viscous shock profile, which is suitably far from the boundary, then a unique solution exists globally in time and tends toward the properly shifted viscous shock profile as the time goes to infinity. The proof is given by an elementary energy method.

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1. Introduction

We consider a one-dimensional model system for the isentropic flow of a compressible viscous gas, the so-called p -system with viscosity, on the half space

$R_+ = (0, \infty)$ in the form

$$\left. \begin{aligned} v_t - u_x &= 0 \\ u_t + p(v)_x &= \left(\frac{\mu u_x}{v} \right)_x \\ p(v) &= av^{-\gamma} \end{aligned} \right\} (x, t) \in R_+ \times R_+, \quad (1.1)$$

with the initial and boundary conditions

$$(v, u)(x, 0) = (v_0, u_0)(x), \quad x \in R_+, \quad (1.2)$$

$$u(0, t) = 0, \quad (v, u)(+\infty, t) = (v_+, u_+), \quad t \in R_+. \quad (1.3)$$

Here, $v (> 0)$ is the specific volume, u is the velocity, $\mu (> 0)$ is the constant viscosity, $p(v) = av^{-\gamma}$ is the pressure, $\gamma \geq 1$ is the adiabatic constant, and a is a positive gas constant. We are especially interested in the asymptotic behavior of the solution as the time goes to infinity, and how it is influenced by the prescribed constant state (v_+, u_+) at infinity. Physically, we expect the asymptotic behavior essentially to depend only on the sign of the velocity u_+ at infinity. In particular, the solution is expected to tend toward an outgoing viscous shock profile (a front) if $u_+ < 0$, and toward an outgoing rarefaction wave if $u_+ > 0$. Since the case $u_+ > 0$ was recently solved in a very satisfactory fashion by MATSUMURA & NISHIHARA [13], we study the case $u_+ < 0$ here. The viscous shock profile with a shock speed s is a travelling-wave solution of (1.1) on the whole space $R = (-\infty, \infty)$ of the form $(v, u) = (V, U)(\xi)$ ($\xi = x - st$), satisfying the condition $(V, U)(\pm\infty) = (v_{\pm}, u_{\pm})$. It is well known that such a viscous shock profile exists and is unique up to shift, under the Rankine-Hugoniot (R-H) conditions

$$\begin{aligned} -s(v_+ - v_-) - (u_+ - u_-) &= 0, \\ -s(u_+ - u_-) + (p(v_+) - p(v_-)) &= 0 \end{aligned} \quad (1.4)$$

and the entropy condition

$$u_+ < u_-. \quad (1.5)$$

In our present problem, the desired viscous shock profile is to be determined, so that for any given (v_+, u_+) with $v_+ > 0$, $u_+ < 0$ and with $u_- = 0$, the values of $v_- > 0$ and $s > 0$ are uniquely given by the R-H condition (1.4):

$$av_+^{-\gamma+1} \left(1 - \left(\frac{v_-}{v_+} \right)^{-\gamma} \right) \left(1 - \frac{v_-}{v_+} \right) = -u_+^2, \quad s = \frac{-u_+}{v_+ - v_-}, \quad (1.6)$$

with $v_- < v_+$. The aim of this paper is to discuss the asymptotic convergence of a solution toward a shift of this viscous shock profile.

The stability of viscous shock profiles for the Cauchy problem for various systems has been studied in many works; see [1, 2, 4–6, 10–12, 14–16, 18] and the references therein. From both the mathematical and physical point of view it is natural to investigate next the asymptotic behavior of solutions in the presence of boundaries. For the Burgers equation on the half space R_+ with a Dirichlet boundary condition, the first treatment of the asymptotic convergence toward the viscous

shock profile was given by LIU & YU [9] (see also YU [19]) by the method of pointwise estimates. Recently, the problem for the generalized Burgers equation has been thoroughly analyzed by LIU & NISHIHARA [8] by the elementary weighted energy method. The asymptotic convergence toward the corresponding rarefaction wave for the scalar conservation law with viscosity and with a Dirichlet boundary has been studied by LIU, MATSUMURA & NISHIHARA [7], while the p -system with viscosity has been very recently analyzed by MATSUMURA & NISHIHARA [13] and PAN, LIU & NISHIHARA [17]. However, there are no works on the asymptotic convergence to the viscous shock profile for physically meaningful systems with the boundary effect.

In each of the previous cases, determining the amount of shift of the asymptotic viscous shock profile plays an important role in the treatment of stability. Even for the scalar cases, LIU & YU [9] and LIU & NISHIHARA [8] needed a difficult analysis to locate the shift, since it cannot be determined explicitly because of the viscosity term. So we had thought that the case of the system is much more difficult in many aspects. However, it turns out that the p -system with viscosity on the half space has several features better than those for the scalar case with boundary and also for systems without boundary:

1. Since there are no standing waves for the p -system, any waves with negative speed are expected to be reflected at the boundary and finally be captured by the outgoing shock profile. This makes the variations of asymptotic behavior of the solution simpler than that for the Cauchy problem case, that is, we may classify the behaviour in terms of the sign of u_+ .

2. The p -system with viscosity is not uniformly parabolic, i.e., there is no viscosity term for the specific volume $v(x, t)$ and we cannot impose the boundary value of $v(x, t)$, which usually gives difficulties. However, this is really the reason why we can specify the shift α of the outgoing viscous shock profile $V(x - st + \alpha)$ explicitly by the equation for $v(x, t)$, and we can expect that the value of $v(x, t)$ on the boundary is automatically controlled by the effects of boundary and viscosity, so that the velocity $u(x, t)$ tends to $U(x - st + \alpha)$ with the same shift α . The details will be discussed in the following section.

In this paper, under these considerations, we shall show that when $u_+ < 0$, there exist a viscous shock profile $(V, U)(x - st)$ unique up to a shift, and that if the viscous shock profile is suitably far from the boundary and if the initial perturbation is suitably small, then the global solution of the initial-boundary-value problem (1.1)–(1.3) exists, is unique, and tends toward the shifted viscous shock profile $(V, U)(x - st + \alpha)$, where α is a constant uniquely determined by the initial data and the viscous shock profile.

This paper is organized as follows. After some notations are given below, the properties of the viscous shock profiles, a heuristic argument on how the shift α is determined, and the main theorem are stated in Section 2. In Section 3, we reformulate the original problem to obtain a new initial-boundary-value problem, and prove the global existence and the asymptotic behavior of the solution for the reformulated initial-boundary-value problem by proving local existence together

with *a priori* estimates. The proof of the *a priori* estimates by the energy method will be given in Section 4.

Notation. L^2 denotes the space of measurable functions on R_+ which are square integrable, with the norm

$$\|f\| = \left(\int_0^\infty |f(x)|^2 dx \right)^{1/2}.$$

H^l ($l \geq 0$) denotes the Sobolev space of L^2 -functions f on R_+ whose derivatives $\partial_x^j f$, $j = 1, \dots, l$, are also L^2 -functions, with the norm

$$\|f\|_l = \left(\sum_{j=0}^l \|\partial_x^j f\|^2 \right)^{1/2}.$$

Let T be a positive constant and let B be a Banach space. $C^k(0, T; B)$ ($k \geq 0$) denotes the space of B -valued k -times continuously differentiable functions on $[0, T]$, and $L^2(0, T; B)$ denotes the space of B -valued L^2 -functions on $[0, T]$. The corresponding spaces of B -valued functions on $[0, \infty)$ are defined similarly. In what follows, C denote generic positive constants.

2. Preliminaries and Main Theorem

2.1. Viscous Shock Profile

We first recall the properties of viscous shock profiles. Viscous shock profiles are the travelling-wave solutions of (1.1) on the whole space of the form

$$(v, u)(x, t) = (V, U)(\xi), \quad \xi = x - st, \quad (2.1)$$

which must satisfy

$$-sV' - U' = 0, \quad -sU' + p(V)' = \mu \left(\frac{U'}{V} \right)', \quad (V, U)(\pm\infty) = (v_\pm, u_\pm), \quad (2.2)$$

where $' = d/d\xi$, s is the shock speed and (v_\pm, u_\pm) are the given constant states at $\xi = \pm\infty$. Integrating (2.2) under the Rankine-Hugoniot condition (1.4), we reduce problem (2.2) to

$$\begin{aligned} \frac{\mu s V'}{V} &= -s^2 V - p(V) - b \equiv: h(V), \quad V(\pm\infty) = v_\pm, \\ U &= -s(V - v_\pm) - u_\pm, \end{aligned} \quad (2.3)$$

where $b = -s^2 v_\pm - p(v_\pm)$. In this paper, we are interested in the case $u_+ < u_- = 0$, and in the 2-shock, i.e., the front shock, $s > 0$. In this case, as mentioned in Section 1, for any given (v_+, u_+) ($u_+ < 0, v_+ > 0$), we know that v_- ($0 < v_- < v_+$) and $s > 0$ are uniquely determined by the R-H condition (1.4); see also (1.6). Then, in view of the convexity of $p(v)$, i.e., $p''(v) > 0$ for $v > 0$, the standard arguments

for the ordinary differential equations assert the existence of a solution $(V, U)(\xi)$ of (2.2) satisfying

Proposition 2.1. *For any (v_+, u_+) ($v_+ > 0 = u_- > u_+$), there exist unique v_- ($v_+ > v_- > 0$) and s (> 0) satisfying (1.6), and a viscous shock profile $(V, U)(\xi)$ ($\xi = x - st$) unique up to a shift, which connects $(v_-, 0)$ and (v_+, u_+) , satisfying*

$$\begin{aligned} 0 < v_- < V(\xi) < v_+, \quad u_+ < U(\xi) < 0, \\ h(V) > 0, \quad V_\xi = Vh(V)/s\mu > 0, \end{aligned} \quad (2.4)$$

$$\begin{aligned} |V(\xi) - v_\pm| &= O(1)|v_+ - v_-|e^{-c_\pm|\xi|}, \\ |U(\xi) - u_\pm| &= O(1)|v_+ - v_-|e^{-c_\pm|\xi|} \end{aligned} \quad (2.5)$$

as $\xi \rightarrow \pm\infty$, where $c_\pm = v_\pm|p'(v_\pm) + s^2|/\mu s > 0$.

2.2. Location of the Shift

We first fix the viscous shock profile $(V, U)(x - st)$ mentioned above. We consider the situation where the initial data $(v_0, u_0)(x)$ are given in a neighborhood of $(V, U)(x - \beta)$ for some constant $\beta > 0$, so that we can describe how $(V, U)(x - \beta)$ is away from the boundary by taking β large. Then, we make a heuristic argument to determine which of the shifted profiles $(V, U)(x - st + \alpha - \beta)$ the solution tends toward. From the first equation of (1.1), we have

$$(v - V)_t = (u - U)_x, \quad (2.6)$$

where $(V, U) = (V, U)(x - st + \alpha - \beta)$. Integrating (2.6) over $[0, +\infty)$ with respect to x and using the boundary condition (1.3) yields

$$\frac{d}{dt} \int_0^\infty [v(x, t) - V(x - st + \alpha - \beta)] dx = (u - U)|_{x=0}^\infty = U(-st + \alpha - \beta). \quad (2.7)$$

Integrating (2.7) again with respect to t , we have

$$\begin{aligned} & \int_0^\infty [v(x, t) - V(x - st + \alpha - \beta)] dx \\ &= \int_0^\infty [v_0(x) - V(x + \alpha - \beta)] dx + \int_0^t U(-s\tau + \alpha - \beta) d\tau. \end{aligned} \quad (2.8)$$

If we suppose that $v(x, t)$ tends to $V(x - st + \alpha - \beta)$ in L^1 as $t \rightarrow \infty$, the right-hand side of (2.8) must go to zero as $t \rightarrow \infty$. Hence, if we set

$$I(\alpha) := \int_0^\infty [v_0(x) - V(x + \alpha - \beta)] dx + \int_0^\infty U(-st + \alpha - \beta) dt, \quad (2.9)$$

the shift α must be determined so that $I(\alpha) = 0$. Differentiating $I(\alpha)$ with respect to α gives

$$\begin{aligned} I'(\alpha) &= -\int_0^\infty V'(x + \alpha - \beta) dx + \int_0^\infty U'(-st + \alpha - \beta) dt \\ &= -v_+ + V(\alpha - \beta) + \frac{1}{s}U(\alpha - \beta) \\ &= -v_+ + \frac{1}{s}(sv_- + u_-) \\ &= v_- - v_+, \end{aligned} \quad (2.10)$$

where we used formula (2.3). Hence, it follows that

$$I(\alpha) = \int_0^\infty [v_0(x) - V(x - \beta)] dx + \int_0^\infty U(-st - \beta) dt + (v_- - v_+)\alpha.$$

Thus, the shift $\alpha = \alpha(\beta)$ is determined explicitly by

$$\alpha := \frac{1}{v_+ - v_-} \left\{ \int_0^\infty [v_0(x) - V(x - \beta)] dx + \int_0^\infty U(-st - \beta) dt \right\}, \quad (2.11)$$

and it follows from (2.8) and $I(\alpha) = 0$ that

$$\begin{aligned} &\int_0^\infty [v(x, t) - V(x - st + \alpha - \beta)] dx \\ &= \int_0^\infty [v_0(x) - V(x + \alpha - \beta)] dx + \int_0^t U(-s\tau + \alpha - \beta) d\tau \\ &= I(\alpha) - \int_t^\infty U(-s\tau + \alpha - \beta) d\tau \\ &= -\int_t^\infty U(-s\tau + \alpha - \beta) d\tau \rightarrow 0 \quad \text{as } t \rightarrow \infty. \end{aligned} \quad (2.12)$$

In particular,

$$\int_0^\infty [v_0(x) - V(x + \alpha - \beta)] dx = -\int_0^\infty U(-s\tau + \alpha - \beta) d\tau. \quad (2.13)$$

On the other hand, by applying a similar argument to the second equation of (1.1) in the form

$$(u - U)_t = -\left(p(v) - p(V) - \mu \frac{u_x}{v} + \mu \frac{U'}{V} \right)_x,$$

we find that

$$\begin{aligned} &\int_0^\infty [u_0(x) - U(x + \alpha - \beta)] dx + \int_0^\infty [p(v(0, t)) - p(V(-st + \alpha - \beta))] dt \\ &\quad - \mu \int_0^\infty \left[\frac{u_x(0, t)}{v(0, t)} - \frac{U'(-st + \alpha - \beta)}{V(-st + \alpha - \beta)} \right] dt = 0. \end{aligned} \quad (2.14)$$

However, as stated in the introduction, we expect that $v(0, t)$ and $u_x(0, t) = v_t(0, t)$ are automatically controlled by the effects of boundary and viscosity so that (2.14) holds with the same shift α defined by (2.11). This situation is really possible because $v(0, t)$ is not specified.

It is interesting to compare our case with the scalar case

$$u_t + f(u)_x = u_{xx}, \quad u|_{x=0} = u_-, \quad u|_{x=\infty} = u_+ \quad (u_- > u_+),$$

which is considered by LIU & YU [9] for $f(u) = \frac{1}{2}u^2$ and by LIU & NISHIHARA [8] for the general flux function $f(u)$. To locate the shift α they had to control the value $\int_0^\infty u_x(0, t)dt$, as suggested by the form (2.14). They chose the shift α as a function depending on time t , say $d(t)$, and studied it by getting pointwise estimates via the Green function in [9] or by getting technical weighted energy estimates in [8], where the decay rate estimates on $d(t)$ also played important role. But the same argument cannot be applied straightforwardly to the case of systems, even for the simpler 2×2 system, e.g., our p -system with viscosity, because the shift function $d(t)$ is overdetermined by two equations, a situation that is even worse for $n \times n$ conservation laws. However, in view of the observations 1 and 2 stated in the Introduction, we can reasonably conjecture that the amount of shift α is a constant for our problem, just as we have shown in (2.11). This is one of the key points in this paper.

2.3. Main Result

To state the main theorem precisely, we suppose that for some $\beta > 0$,

$$v_0(x) - V(x - \beta) \in H^1 \cap L^1, \quad u_0(x) - U(x - \beta) \in H^1 \cap L^1 \quad (2.15)$$

and suppose that the compatibility condition

$$u_0(0) = 0 \quad (2.16)$$

holds. Setting

$$(\Phi_0, \Psi_0)(x) = - \int_x^\infty (v_0(y) - V(y - \beta), u_0(y) - U(y - \beta)) dy, \quad (2.17)$$

we further assume that

$$(\Phi_0, \Psi_0) \in L^2. \quad (2.18)$$

We note an asymptotic property of the constant shift α , before we state the main theorem.

Lemma 2.2. *Under the assumptions (2.15), (2.16) and (2.18), $(\Phi_0, \Psi_0) \in H^2$ and the shift α defined by (2.11) satisfies $\alpha \rightarrow 0$ as $\|(\Phi_0, \Psi_0)\|_2 \rightarrow 0$ and $\beta \rightarrow +\infty$.*

Proof. It is easy to see that $(\Phi_0, \Psi_0) \in H^2$ from (2.15) and (2.18). Since $0 < -U(-st - \beta) \leq Ce^{-c-(st+\beta)}$ (see (2.4), (2.5)) and since $\beta > 0$, so that $|\int_0^\infty U(-st - \beta)dt| \leq Ce^{-c-\beta}$, we obtain from formula (2.11) for the shift α that

$$|\alpha| \leq C(|\Phi_0(0)| + e^{-c-\beta}) \leq C(\|\Phi_0\|_2 + e^{-c-\beta}) \rightarrow 0$$

as $\beta \rightarrow +\infty$ and $\|(\Phi_0, \Psi_0)\|_2 \rightarrow 0$. \square

Now, we state our main theorem.

Theorem 2.3. *For any $u_+ < 0$ and $v_+ > 0$, suppose that assumptions (2.15), (2.16), and (2.18) hold. Furthermore, let*

$$(\gamma - 1)^2(v_+ - v_-) < 2\gamma v_-, \quad (2.19)$$

where $v_- (v_+ > v_- > 0)$ and $s > 0$ are defined by (1.6). Then there exists a positive constant ε_0 such that if $\|(\Phi_0, \Psi_0)\|_2 + \beta^{-1} < \varepsilon_0$, then (1.1)–(1.3) has a unique global solution $(v, u)(x, t)$ satisfying

$$v(x, t) - V(x - st + \alpha - \beta) \in C^0([0, \infty); H^1) \cap L^2(0, \infty; H^1),$$

$$u(x, t) - U(x - st + \alpha - \beta) \in C^0([0, \infty); H^1) \cap L^2(0, \infty; H^2)$$

and the asymptotic behavior

$$\sup_{x \in R_+} |(v, u)(x, t) - (V, U)(x - st + \alpha - \beta)| \rightarrow 0 \quad \text{as } t \rightarrow \infty, \quad (2.20)$$

where $\alpha = \alpha(\beta)$ is determined by (2.11).

Remark. Condition (2.19) is much weaker than those in MATSUMURA & NISHIHARA [12] and LIU & WANG [10], even for the Cauchy problem. In fact, both of their conditions in [12, 10] imply that $v_+ - v_- < C(\gamma - 1)^{-1}$, but our condition is $v_+ - v_- < C\gamma(\gamma - 1)^{-2}$. We are indebted to Professor SHUICHI KAWASHIMA for his helpful suggestion [3] for this weaker condition.

3. Reformulation of the Original Problem

Following the argument in Subsection 2.2, let us continue a heuristic argument for the solution. Define new unknown functions $\phi(x, t)$ and $\psi(x, t)$ by

$$\left. \begin{aligned} \phi(x, t) &= - \int_x^\infty [v(y, t) - V(y - st + \alpha - \beta)] dy \\ \psi(x, t) &= - \int_x^\infty [u(y, t) - U(y - st + \alpha - \beta)] dy \end{aligned} \right\} (x, t) \in R_+ \times R_+, \quad (3.1)$$

which means that we look for the solution $(v, u)(x, t)$ in the form

$$\begin{aligned} v(x, t) &= \phi_x(x, t) + V(x - st + \alpha - \beta), \\ u(x, t) &= \psi_x(x, t) + U(x - st + \alpha - \beta). \end{aligned} \quad (3.2)$$

Substituting (3.2) into (1.1), and integrating the system on $[x, \infty)$ with respect to x , we obtain the system for $(\phi, \psi)(x, t)$ in the form

$$\begin{aligned} \phi_t - \psi_x &= 0, \\ \psi_t + p(V + \phi_x) - p(V) &= \mu \left(\frac{U' + \psi_{xx}}{V + \phi_x} - \frac{U'}{V} \right). \end{aligned} \quad (3.3)$$

By (3.1), the initial data satisfy

$$\begin{aligned}
\phi(x, 0) &= - \int_x^\infty [v_0(y) - V(y + \alpha - \beta)] dy \\
&= \Phi_0(x) + \int_x^\infty [V(y + \alpha - \beta) - V(y - \beta)] dy \\
&= \Phi_0(x) + \int_x^\infty \int_0^\alpha V'(y + \theta - \beta) d\theta dy \\
&= \Phi_0(x) + \int_0^\alpha [v_+ - V(x + \theta - \beta)] d\theta \\
&=: \phi_0(x),
\end{aligned} \tag{3.4}$$

$$\begin{aligned}
\psi(x, 0) &= - \int_x^\infty [u_0(y) - U(y + \alpha - \beta)] dy \\
&= \Psi_0(x) + \int_x^\infty [U(y + \alpha - \beta) - U(y - \beta)] dy \\
&= \Psi_0(x) + \int_0^\alpha [u_+ - U(x + \theta - \beta)] d\theta \\
&=: \psi_0(x).
\end{aligned} \tag{3.5}$$

The initial perturbations (3.4) and (3.5) satisfy

Lemma 3.1. *Under the assumptions (2.15), (2.16) and (2.18), the initial perturbation $(\phi_0, \psi_0) \in H^2$ and satisfies*

$$\|(\phi_0, \psi_0)\|_2 \rightarrow 0 \quad \text{as} \quad \|(\Phi_0, \Psi)\|_2 \rightarrow 0 \quad \text{and} \quad \beta \rightarrow +\infty. \tag{3.6}$$

Proof. Let $\chi_1(x) := \int_0^\alpha [v_+ - V(x - \beta + \theta)] d\theta$. Then it follows from (2.5) that

$$|v_+ - V(x - \beta + \theta)| \leq C e^{-c_+|x-\beta+\theta|} \leq C e^{-c_+|x-\beta|} e^{c_+|\alpha|} \leq C e^{-c_+|x-\beta|}$$

for $|\alpha| < 1$ (see Lemma 2.2). Hence, we have

$$\begin{aligned}
\|\chi_1\|^2 &\leq C \int_0^\infty \alpha^2 e^{-2c_+|x-\beta|} dx \\
&= C\alpha^2 \left[\int_0^\beta e^{-2c_+(\beta-x)} dx + \int_\beta^\infty e^{-2c_+(x-\beta)} dx \right] \\
&= \frac{C\alpha^2}{2c_+} [2 - e^{-2c_+\beta}] \leq C\alpha^2/c_+,
\end{aligned}$$

where C is independent of α and β . Similarly, we can prove that $\|\chi_1'\|^2 \leq C\alpha^2$ and $\|\chi_1''\|^2 \leq C\alpha^2$. Thus, we proved $\|\chi_1\|_2 \leq C|\alpha|$. In the same way, we have that

$\chi_2(x) := \int_0^\alpha [u_+ - U(x + \theta - \beta)]d\theta$ satisfies $\|\chi_2\|_2 \leq C|\alpha|$. Thus, using Lemma 2.2, we have

$$\|(\phi_0, \psi_0)\|_2 \leq \|(\Phi_0, \Psi_0)\|_2 + \|(\chi_1, \chi_2)\|_2 \leq C(\|(\Phi_0, \Psi_0)\|_2 + |\alpha|),$$

which tends to zero as $\beta \rightarrow +\infty$ and $\|(\Phi_0, \Psi_0)\|_2 \rightarrow 0$. \square

By (3.1), (3.2) and (2.12) the boundary data satisfy

$$\phi(0, t) = \int_t^\infty U(-s\tau + \alpha - \beta) d\tau \equiv: A(t), \quad (3.7)$$

$$\phi_0(0) = \int_0^\infty U(-s\tau + \alpha - \beta) d\tau = A(0), \quad (3.8)$$

$$\psi_x(0, t) = u(0, t) - U(-st + \alpha - \beta) = -U(-st + \alpha - \beta) = A'(t). \quad (3.9)$$

Note that if (3.8) and (3.9) hold, then (3.7) automatically holds by the equation $\phi_t - \psi_x = 0$. Hence, we regard (3.9) as a Neumann boundary condition for ψ and (3.8) as a restriction on the initial data ϕ_0 . Under these considerations, we rewrite the system (3.3) in the form

$$\left. \begin{array}{l} \phi_t - \psi_x = 0 \\ \psi_t - f(V)\phi_x - \frac{\mu}{V}\psi_{xx} = F \end{array} \right\} (x, t) \in R_+ \times R_+, \quad (3.10)$$

with the initial conditions (3.4) and (3.5) and Neumann boundary condition (3.9) as

$$\begin{aligned} (\phi, \psi)|_{t=0} &= (\phi_0, \psi_0)(x) \in H^2, \quad x \geq 0, \\ \psi_x|_{x=0} &= A'(t), \quad t \geq 0, \\ \phi_0(0) &= A(0), \end{aligned} \quad (3.11)$$

where $A(t) = \int_t^\infty U(-s\tau + \alpha - \beta)d\tau$ and

$$f(V) = -p'(V) + \frac{\mu s V_x}{V^2} = \frac{h(V) - p'(V)V}{V} \equiv \frac{K(V)}{V}, \quad (3.12)$$

$$F = -\{p(V + \phi_x) - p(V) - p'(V)\phi_x\} \quad (3.13)$$

$$-(\mu\psi_{xx} + h(V)\phi_x) \left(\frac{1}{V + \phi_x} - \frac{1}{V} \right).$$

Conversely, once we prove that the initial-boundary-value problem (3.10) and (3.11) has a unique global solution $(\phi, \psi)(x, t)$ in $C([0, +\infty); H^2)$, then we can have a unique global solution $(v, u)(x, t)$ of the original initial-boundary-value problem (1.1)–(1.3) in $C([0, +\infty); H^1)$ by (3.2).

For any interval $I \subset \mathbb{R}_+$, we define the solution space $X(I)$ by

$$X(I) = \left\{ (\phi, \psi) \in C^0(I; H^2); \phi_x \in L^2(I; H^1), \right. \\ \left. \psi_x \in L^2(I; H^2), \quad \sup_{t \in I} \|(\phi, \psi)(t)\|_2 \leq \delta_0 \right\},$$

where $\delta_0 = \frac{1}{2}v_-$, and set

$$N(t) = \sup_{0 \leq \tau \leq t} (\|\phi(\tau)\|_2 + \|\psi(\tau)\|_2), \quad N_0 = \|\phi_0\|_2 + \|\psi_0\|_2.$$

By the Sobolev lemma,

$$\sup_x |f(x)| \leq \|f\|_1 \quad \text{for } f \in H^1.$$

Note that if $(\phi, \psi) \in X([0, T])$ for $T \in \mathbb{R}_+$, then

$$(V + \phi_x)(x, t) \geq v_- - \|\phi_x\|_1 \geq \frac{1}{2}v_-, \quad (x, t) \in \mathbb{R}_+ \times [0, T],$$

which ensures that the system (3.10) is uniformly nonsingular on $[0, T]$, and

$$|F| = O(|\phi_x|^2 + |\phi_x||\psi_{xx}|). \quad (3.14)$$

Then, corresponding to Theorem 2.3, we give the following theorem for the initial-boundary-value problem (3.10) and (3.11).

Theorem 3.2. *Suppose that the assumptions of Theorem 2.3 hold. Then there exists a positive constant ε_1 such that if $N_0 + \beta^{-1} \leq \varepsilon_1$, then the initial-boundary-value problem (3.10) and (3.11) has a unique global solution $(\phi, \psi) \in X([0, \infty))$ satisfying*

$$\|(\phi, \psi)(t)\|_2^2 + \int_0^t \{\|\phi_x(\tau)\|_1^2 + \|\psi_x(\tau)\|_2^2\} d\tau \\ \leq C(\|(\phi_0, \psi_0)\|_2^2 + e^{-c-\beta}), \quad (3.15)$$

$$\int_0^t \left| \frac{d}{dt} \|\phi_x(\tau)\|^2 \right| + \left| \frac{d}{dt} \|\psi_x(\tau)\|^2 \right| d\tau \leq C(\|(\phi_0, \psi_0)\|_2^2 + e^{-c-\beta}), \quad (3.16)$$

for any $t \geq 0$. Moreover, the solution is asymptotically stable:

$$\sup_{x \in \mathbb{R}_+} |(\phi_x, \psi_x)(x, t)| \rightarrow 0 \quad \text{as } t \rightarrow \infty. \quad (3.17)$$

Theorem 2.3 easily follows from Theorem 3.2. Therefore, our purpose is now to prove Theorem 3.2. We now state the local existence result and the *a priori* estimates for the initial-boundary-value problem (3.10) and (3.11) as follows.

Proposition 3.3 (Local Existence). *For any $\tau \geq 0$, consider the problem*

$$\left. \begin{aligned} \phi_t - \psi_x &= 0 \\ \psi_t - f(V)\phi_x - \frac{\mu}{V}\psi_{xx} &= F \end{aligned} \right\} \quad x \in \mathbb{R}_+, t \geq \tau, \quad (3.18)$$

with initial and boundary conditions

$$\begin{aligned} (\phi, \psi)(x, \tau) &= (\phi_\tau, \psi_\tau)(x) \in H^2, \\ \psi_x(0, t) &= A'(t), \quad t \geq \tau, \end{aligned} \tag{3.19}$$

subject to the compatibility condition $\psi_{\tau,x}(0, \tau) = A'(\tau)$. Then there exists a positive constant C_0 independent of τ such that: For any $\delta \in (0, \delta_0/C_0]$ and $\beta > 1$, there exists a positive constant T_0 depending on δ and β but not on τ such that, if $\|(\phi_\tau, \psi_\tau)\| \leq \delta$ and $\sup_{t \geq 0} (|A'(t)| + |A''(t)|) \leq \delta$, then the problem (3.18) and (3.19) has a unique solution $(\phi, \psi) \in X([\tau, \tau + T_0])$ satisfying $\|(\phi, \psi)(t)\|_2 \leq C_0\delta$ for $t \in [\tau, \tau + T_0]$.

Proposition 3.4 (A Priori Estimates). *Let $(\phi, \psi) \in X([0, T])$ be a solution of (3.10) and (3.11) for a positive T . Then there exist positive constants $\delta_1 (\leq \delta_0)$ and C_1 , which are independent of T , such that if $N(T) < \delta_1$, then $(\phi, \psi)(x, t)$ satisfies the a priori estimates (3.15) and (3.16) with $C = C_1$ for $0 \leq t \leq T$.*

We omit the proof of Proposition 3.3 because it can be shown in a standard way. The proof of Proposition 3.4 is a key for Theorem 3.2; it will be obtained in the next section.

Proof of Theorem 3.2. Based on the repeated use of Propositions 3.3 and 3.4, the standard continuation argument asserts the existence of a unique global solution $(\phi, \psi) \in X([0, \infty))$ satisfying (3.15) and (3.16) for any $t \in [0, \infty)$, provided that $\|(\phi_0, \psi_0)\|_2$ and β^{-1} are chosen so small that

$$\begin{aligned} \|(\phi_0, \psi_0)\|_2 &\leq \delta_1/C_0, \quad C_1(\|(\phi_0, \psi_0)\|_2^2 + e^{-c-\beta}) \leq (\delta_1/C_0)^2, \\ \sup_{t \geq 0} (|A'(t)| + |A''(t)|) &\leq \delta_1/C_0. \end{aligned}$$

To prove (3.17), we consider the function $\mathbf{H}(t) := \|(\phi_x, \psi_x)(t)\|^2$. By virtue of the uniform estimates (3.15) and (3.16), we see that both $\mathbf{H}(t)$ and $|\mathbf{H}'(t)|$ are integrable over $t \geq 0$. Thus $\mathbf{H}(t) \rightarrow 0$, i.e., $\|(\phi_x, \psi_x)(t)\| \rightarrow 0$, as $t \rightarrow \infty$. Furthermore, $\|(\phi_{xx}, \psi_{xx})(t)\|$ is uniformly bounded for $t \geq 0$ due to (3.15). By the Sobolev inequality, we then obtain

$$\sup_{x \in \mathbb{R}_+} |(\phi_x, \psi_x)(x, t)|^2 \leq 2\{\|\phi_x(t)\|\|\phi_{xx}(t)\| + \|\psi_x(t)\|\|\psi_{xx}(t)\|\} \rightarrow 0$$

as $t \rightarrow \infty$. This completes the proof of Theorem 3.2. \square

4. Proof of the a Priori Estimates

Let $(\phi, \psi) \in X([0, T])$ be a solution of (3.10) and (3.11) for a positive constant T . Without loss of generality, we may restrict $N(T) < \delta_0$, $\beta > 1$ and $|\alpha| < 1$. Throughout this section, we use the letter C to denote some positive constant which is independent of T , β and α . We first give the boundary estimates.

Lemma 4.1. For $0 \leq t \leq T$, the following inequalities hold:

$$\left| \int_0^t (\phi\psi)|_{x=0} d\tau \right| \leq Ce^{-c-\beta}, \quad \left| \int_0^t (\psi\psi_x)|_{x=0} d\tau \right| \leq Ce^{-c-\beta}, \quad (4.1)$$

$$\left| \int_0^t (\phi_x\psi_x)|_{x=0} d\tau \right| \leq Ce^{-c-\beta}, \quad \left| \int_0^t (\psi_x\psi_t)|_{x=0} d\tau \right| \leq Ce^{-c-\beta}, \quad (4.2)$$

$$\left| \int_0^t (\psi_x\psi_{xx})|_{x=0} d\tau \right| \leq Ce^{-c-\beta}, \quad \left| \int_0^t (\psi_{xt}\psi_{xx})|_{x=0} d\tau \right| \leq Ce^{-c-\beta}, \quad (4.3)$$

where $c_- = |p'(v_-) + s^2|v_-/\mu s > 0$ is as in (2.5).

Proof. We need some preliminary results: From the first equation of (3.10) and the Neumann boundary condition in (3.11), we have $\phi_t|_{x=0} = \psi_x|_{x=0} = -U(-st + \alpha - \beta)$. We integrate this equation with respect to t to get

$$\begin{aligned} \phi(0, t) &= \phi_0(0) + \int_0^t A'(\tau) d\tau \\ &= \int_t^\infty U(-s\tau + \alpha - \beta) d\tau = A(t). \end{aligned} \quad (4.4)$$

Since $|-st + \alpha - \beta| = st + \beta - \alpha$ because $s > 0$ and $\beta - \alpha > 0$ ($\beta > 1$, $|\alpha| < 1$), and since $|U(-st + \alpha - \beta)| \leq Ce^{-c-|-st + \alpha - \beta|} = Ce^{-c-(\beta - \alpha)}e^{-c-st} \leq Ce^{-c-\beta}e^{-c-st}$ (see (2.5)), we have

$$|\phi(0, t)| = |A(t)| \leq O(1)e^{-c-\beta}e^{-c-st}. \quad (4.5)$$

Since $\psi_x|_{x=0} = -U(-st + \alpha - \beta) = A'(t)$ from the definitions (3.9) and (4.4), we have $\psi_{xt}|_{x=0} = A''(t)$. Similarly, using (2.2) (or (2.3)), (2.5), and $|-st + \alpha - \beta| = st + \beta - \alpha$, we can conclude that $A(t) \in W^{3,1}(0, \infty)$ and

$$\begin{aligned} \left| \frac{d^k}{dt^k} A(t) \right| &\leq Ce^{-c-\beta}e^{-c-st}, \quad k = 0, 1, 2, 3, \\ \|A\|_{W^{3,1}} &\leq Ce^{-c-\beta}. \end{aligned} \quad (4.6)$$

The following estimates obtained by the Sobolev lemma are also used in what follows:

$$\begin{aligned} |\psi(0, t)| &\leq \sup_{x \in \mathbb{R}_+} |\psi(x, t)| \leq CN(T) \leq C, \\ |\phi_x(0, t)| &\leq \sup_{x \in \mathbb{R}_+} |\phi_x(x, t)| \leq CN(T) \leq C, \end{aligned} \quad (4.7)$$

where we have assumed that $N(T) < \delta_0$.

Let us give the proofs of (4.1)–(4.3). Using (4.4)–(4.7), we have the first inequality of (4.1) for the boundary value:

$$\begin{aligned} \left| \int_0^t (\phi\psi)|_{x=0} d\tau \right| &\leq \int_0^t |A(\tau)| |\psi(0, \tau)| d\tau \\ &\leq CN(T) \int_0^t |A(\tau)| d\tau \leq Ce^{-c-\beta}. \end{aligned}$$

A similar computation yields the second inequality of (4.1) and the first inequality of (4.2):

$$\begin{aligned} \left| \int_0^t (\psi \psi_x)|_{x=0} d\tau \right| &\leq \int_0^t |A'(\tau)| |\psi(0, \tau)| d\tau \\ &\leq CN(T) \int_0^t |A'(\tau)| d\tau \leq Ce^{-c-\beta}, \end{aligned}$$

$$\begin{aligned} \left| \int_0^t (\phi_x \psi_x)|_{x=0} d\tau \right| &\leq \int_0^t |A'(\tau)| |\phi_x(0, \tau)| d\tau \\ &\leq CN(T) \int_0^t |A'(\tau)| d\tau \leq Ce^{-c-\beta}. \end{aligned}$$

To prove the other boundary estimates, we make use of $\phi_{tx} = \psi_{xx}$, integration by parts, and (4.6), (4.7), to obtain

$$\begin{aligned} \left| \int_0^t (\psi_x \psi_t)|_{x=0} d\tau \right| &= \left| \int_0^t A'(\tau) \psi_t(0, \tau) d\tau \right| \\ &= \left| \int_0^t [A'(\tau) \psi(0, \tau)]_t - A''(\tau) \psi(0, \tau) d\tau \right| \\ &\leq |A'(t) \psi(0, t)| + |A'(0) \psi_0(0)| + \int_0^t |A''(\tau)| |\psi(0, \tau)| d\tau \\ &\leq CN(T) \left[|A'(t)| + |A'(0)| + \int_0^t |A''(\tau)| d\tau \right] \\ &\leq Ce^{-c-\beta}; \end{aligned}$$

$$\begin{aligned} \left| \int_0^t (\psi_x \psi_{xx})|_{x=0} d\tau \right| &= \left| \int_0^t A'(\tau) \psi_{xx}(0, \tau) d\tau \right| = \left| \int_0^t A'(\tau) \phi_{xt}(0, \tau) d\tau \right| \\ &= \left| \int_0^t [A'(\tau) \phi_x(0, \tau)]_t - A''(\tau) \phi_x(0, \tau) d\tau \right| \\ &\leq |A'(t)| |\phi_x(0, t)| + |A'(0)| |\phi_x(0, 0)| \\ &\quad + \int_0^t |A''(\tau)| |\phi_x(0, \tau)| d\tau \\ &\leq CN(T) \left[|A'(t)| + |A'(0)| + \int_0^t |A''(\tau)| d\tau \right] \\ &\leq Ce^{-c-\beta}; \end{aligned}$$

$$\begin{aligned}
\left| \int_0^t (\psi_{xt} \psi_{xx})|_{x=0} d\tau \right| &= \left| \int_0^t A''(\tau) \psi_{xx}(0, \tau) d\tau \right| = \left| \int_0^t A''(\tau) \phi_{xt}(0, \tau) d\tau \right| \\
&= \left| \int_0^t [\{A''(\tau) \phi_x(0, \tau)\}_t - A'''(\tau) \phi_x(0, \tau)] \tau \right| \\
&\leq |A''(t)| |\phi_x(0, t)| + |A''(0)| |\phi_x(0, 0)| \\
&\quad + \int_0^t |A'''(\tau)| |\phi_x(0, \tau)| d\tau \\
&\leq CN(T) \left[|A''(t)| + |A''(0)| + \int_0^t |A'''(\tau)| d\tau \right] \\
&\leq Ce^{-c-\beta}. \quad \square
\end{aligned}$$

We now establish the *a priori* estimates. We first obtain

Lemma 4.2. *Suppose $V(x - st + \alpha - \beta)$ is the viscous shock profile. Then*

$$0 \leq \frac{h(V)}{V} \leq \frac{s^2(v_+ - v_-)}{v_-}, \quad (4.8)$$

$$0 < -p'(v_+) \leq f(V) \leq -p'(v_-) + \frac{s^2(v_+ - v_-)}{v_-} \equiv: c_0, \quad (4.9)$$

$$f(V) - \frac{h(V)}{2V} \geq -p'(v_+) > 0. \quad (4.10)$$

Proof. Since $0 < v_- < V < v_+$ and $p(V) = aV^{-\gamma}$, we easily find that

$$p(v_-) > p(V) > p(v_+) > 0, \quad -p'(v_-) > -p'(V) > -p'(v_+) > 0. \quad (4.11)$$

Thus, $h(V) = p(v_-) - s^2(V - v_-) - p(V) \leq p(v_-) - p(v_+) = s^2(v_+ - v_-)$ and $\frac{h(V)}{V} \leq \frac{s^2(v_+ - v_-)}{v_-}$. This proves (4.8). By the definition of $f(V)$ and by (4.8) and (4.11), we get (4.9). Furthermore,

$$f(V) - \frac{h(V)}{2V} = -p'(V) + \frac{h(V)}{2V} \geq -p'(v_+),$$

due to (4.8) and (4.11). This proves (4.10). \square

Next, we have the following basic energy estimate.

Lemma 4.3. *For $t \in [0, T]$,*

$$\begin{aligned}
&\|(\phi, \psi)(t)\|^2 + \int_0^t \|\psi_x(\tau)\|^2 d\tau \\
&\leq C \left\{ \|(\phi_0, \psi_0)\|^2 + e^{-c-\beta} + N(T) \int_0^t [\|\phi_x(\tau)\|^2 + \|\psi_{xx}(\tau)\|^2] d\tau \right\}.
\end{aligned} \quad (4.12)$$

Proof. Multiplying the first equation of (3.10) by ϕ and the second of (3.10) by $f(V)^{-1}\psi$ (where $f(V) = K(V)/V$; see (3.12)), and adding these equalities, we obtain

$$\begin{aligned} & \left\{ \frac{1}{2}\phi^2 \right\}_t - \{\phi\psi\}_x + \left\{ \frac{1}{2f(V)}\psi^2 \right\}_t + \frac{s}{2} \left(\frac{V}{K(V)} \right)' V_x \psi^2 - \left\{ \frac{\mu}{K(V)}\psi\psi_x \right\}_x \\ & + \frac{\mu}{K(V)}\psi_x^2 - \frac{\mu K'(V)V_x}{K(V)^2}\psi\psi_x = F \cdot \frac{V\psi}{K(V)}. \end{aligned} \quad (4.13)$$

Since

$$\left| \frac{\mu K'(V)V_x}{K(V)^2}\psi\psi_x \right| \leq \varepsilon \frac{\mu}{K(V)}\psi_x^2 + \frac{\mu K'(V)^2 V_x^2}{4\varepsilon K(V)^3}\psi^2$$

for any $\varepsilon > 0$, which will be determined later, substituting this inequality into (4.13) yields

$$\begin{aligned} & \left\{ \frac{1}{2}\phi^2 + \frac{1}{2f(V)}\psi^2 \right\}_t - \left\{ \phi\psi + \frac{\mu}{K(V)}\psi\psi_x \right\}_x \\ & + (1 - \varepsilon) \frac{\mu}{K(V)}\psi_x^2 + Z(V)V_x\psi^2 \leq F \cdot \frac{V\psi}{K(V)}, \end{aligned} \quad (4.14)$$

where

$$Z(V) = \frac{s}{2} \left(\frac{V}{K(V)} \right)' - \frac{\mu K'(V)^2 V_x}{4\varepsilon K(V)^3}. \quad (4.15)$$

In view of (2.3), (3.12) and $p(V) = aV^{-\gamma}$, a tedious but straightforward computation gives

$$\begin{aligned} Z(V) &= \frac{1}{4sK(V)^3} \left\{ 2s^2[\gamma^3 p(V)^2 + h(V)^2 + \gamma s^2 V p(V)] \right. \\ &+ 2s^2[\gamma(\gamma + 1) - (2\varepsilon)^{-1}\gamma(\gamma - 1)]p(V)h(V) \\ &\left. - \frac{\gamma^2(\gamma - 1)^2 h(V)}{\varepsilon V} p(V)^2 + 2s^4[1 - (2\varepsilon)^{-1}]Vh(V) \right\}. \end{aligned} \quad (4.16)$$

Substituting (4.8) into (4.16) yields

$$\begin{aligned} Z(V) &\geq \frac{1}{4sK(V)^3} \left\{ 2s^2[h(V)^2 + \gamma s^2 V p(V)] \right. \\ &+ 2s^2\gamma^2 \left[\gamma - \frac{(\gamma - 1)^2(v_+ - v_-)}{\varepsilon v_-} \right] p(V)^2 \\ &+ 2s^2\gamma[(\gamma + 1) - (2\varepsilon)^{-1}(\gamma - 1)]p(V)h(V) \\ &\left. + 2s^4[1 - (2\varepsilon)^{-1}]Vh(V) \right\}. \end{aligned} \quad (4.17)$$

Using the sufficient condition (2.19), and choosing ε as

$$\max \left\{ \frac{(\gamma - 1)^2(v_+ - v_-)}{2\gamma v_-}, \frac{1}{2} \right\} \leq \varepsilon < 1,$$

we have

$$Z(V) \geq C > 0. \quad (4.18)$$

Integrating (4.14) over $[0, \infty) \times [0, t]$, we have

$$\begin{aligned} & \int_0^\infty [\phi^2 + f(V)^{-1}\psi^2] dx + 2(1 - \varepsilon)\mu \int_0^t \int_0^\infty K(V)^{-1}\psi_x^2 dx d\tau \\ & + 2 \int_0^t \int_0^\infty Z(V)V_x\psi^2 dx d\tau \\ & \leq \int_0^\infty [\phi_0^2 + f(V(x + \alpha - \beta))^{-1}\psi_0^2] dx \\ & + 2 \left| \int_0^t \left(\phi\psi + \frac{\mu}{K(V)}\psi\psi_x \right) \Big|_{x=0} d\tau \right| + 2 \int_0^t \int_0^\infty |FVK(V)^{-1}\psi| dx d\tau. \end{aligned}$$

Using (4.18), $V_x > 0$ (see (2.4)), $v_-|p'(v_+)| \leq K(V) \leq c_0v_+$, $f(V)^{-1} \geq c_0^{-1}$ (see (4.9)), and the boundary estimates (4.1) and (4.3), we get the basic energy estimate (4.12). \square

Lemma 4.4. For $t \in [0, T]$,

$$\begin{aligned} & \|\phi_x(t)\|^2 + \int_0^t \|\phi_x(\tau)\|^2 d\tau \\ & \leq C \left\{ \|\phi_0, \psi_0\|_1^2 + e^{-c-\beta} + N(T) \int_0^t [\|\phi_x(\tau)\|^2 + \|\psi_x(\tau)\|_1^2] d\tau \right\}. \end{aligned} \quad (4.19)$$

Proof. From the equation (3.10), we have

$$\frac{\mu\phi_{xt}}{V} + f(V)\phi_x = \psi_t - F. \quad (4.20)$$

Multiplying (4.20) by ϕ_x yields

$$\left\{ \frac{\mu}{2V}\phi_x^2 \right\}_t + \left(f(V) - \frac{h(V)}{2V} \right) \phi_x^2 = \psi_t\phi_x - F\phi_x, \quad (4.21)$$

where we used $\mu s V_x = Vh(V)$. The first equation of (3.10) gives

$$\begin{aligned} \psi_t\phi_x &= \{\psi\phi_x\}_t - \psi\phi_{xt} = \{\psi\phi_x\}_t - \psi\psi_{xx} \\ &= \{\psi\phi_x\}_t - \{\psi\psi_x\}_x + \psi_x^2. \end{aligned} \quad (4.22)$$

Substituting (4.22) back into (4.21), and noting (4.10), we obtain

$$\left\{ \frac{\mu}{2V}\phi_x^2 - \psi\phi_x \right\}_t + |p'(v_+)|\phi_x^2 \leq \psi_x^2 - \{\psi\psi_x\}_x - F\phi_x. \quad (4.23)$$

Integrating (4.23) over $[0, \infty) \times [0, t]$ and using $v_- \leq V \leq v_+$ and the inequalities

$$\begin{aligned} \int_0^\infty |\psi_0 \phi_{0,x}| dx &\leq \frac{1}{2} (\|\psi_0\|^2 + \|\phi_{0,x}\|^2), \\ \int_0^\infty |\psi \phi_x| dx &\leq \frac{\mu}{4v_+} \|\phi_x(t)\|^2 + \frac{v_+}{\mu} \|\psi(t)\|^2, \end{aligned}$$

we have

$$\begin{aligned} &\frac{\mu}{4v_+} \|\phi_x(t)\|^2 + |p'(v_+)| \int_0^t \|\phi_x(\tau)\|^2 d\tau \\ &\leq \frac{\mu}{2v_-} \|\phi_{0,x}\|^2 + \frac{1}{2} \|\psi_0\|^2 + \frac{1}{2} \|\phi_{0,x}\|^2 + \left| \int_0^t \psi \psi_x|_{x=0} d\tau \right| + \frac{v_+}{\mu} \|\psi(t)\|^2 \\ &\quad + \int_0^t \|\psi_x(\tau)\|^2 d\tau + \int_0^t \int_0^\infty |F \phi_x| dx d\tau. \end{aligned}$$

Applying the estimate (4.1) for the boundary and the basic estimate (4.12) to this inequality yields the estimate (4.19). \square

Lemma 4.5. For $t \in [0, T]$,

$$\begin{aligned} &\|\psi_x(t)\|^2 + \int_0^t \|\psi_{xx}(\tau)\|^2 d\tau \\ &\leq C \left\{ \|(\phi_0, \psi_0)\|_1^2 + e^{-c-\beta} + N(T) \int_0^t [\|\phi_x(\tau)\|^2 + \|\psi_x(\tau)\|_1^2] d\tau \right\}. \end{aligned} \quad (4.24)$$

Proof. Multiplying the second equation of (3.10) by $-\psi_{xx}$ gives

$$\frac{1}{2} \{\psi_x^2\}_t - \{\psi_x \psi_t\}_x + f(V) \phi_x \psi_{xx} + \frac{\mu}{V} \psi_{xx}^2 = -F \psi_{xx}. \quad (4.25)$$

Inequality (4.9) and the Cauchy inequality yield

$$|f(V) \phi_x \psi_{xx}| \leq \frac{\mu}{2v_+} \psi_{xx}^2 + \frac{c_0^2 v_+}{2\mu} \phi_x^2, \quad (4.26)$$

and (3.14) and the Cauchy inequality yield

$$|-F \psi_{xx}| \leq C(|\phi_x|^2 + |\phi_x| |\psi_{xx}|) |\psi_{xx}| \leq C |\phi_x| (|\phi_x|^2 + |\psi_{xx}|^2). \quad (4.27)$$

Substituting (4.26), (4.27) and $\mu/V \geq \mu/v_+$ into (4.25), we have

$$\frac{1}{2} \{\psi_x^2\}_t - \{\psi_x \psi_t\}_x + \frac{\mu}{2v_+} \psi_{xx}^2 \leq \frac{c_0^2 v_+}{2\mu} \phi_x^2 + C |\phi_x| (|\phi_x|^2 + |\psi_{xx}|^2). \quad (4.28)$$

Integrating (4.28) over $[0, \infty) \times [0, t]$, and making use of the estimate (4.2) for the boundary and Lemmas 4.3 and 4.4, we have (4.24). \square

Lemma 4.6. For $t \in [0, T]$,

$$\begin{aligned} & \|\phi_{xx}(t)\|^2 + \int_0^t \|\phi_{xx}(\tau)\|^2 d\tau \\ & \leq C \left\{ \|(\phi_0, \psi_0)\|_2^2 + e^{-c-\beta} + N(T) \int_0^t \|\phi_x(\tau)\|^2 d\tau \right. \\ & \quad \left. + N(T) \int_0^t \|\psi_x(\tau)\|_1^2 d\tau + \int_0^t \|F_x(\tau)\|^2 d\tau \right\}. \end{aligned} \quad (4.29)$$

Proof. Differentiating (4.20) with respect to x and multiplying the derivative by ϕ_{xx} , we have

$$\begin{aligned} & \left\{ \frac{\mu}{2V} \phi_{xx}^2 \right\}_t + \left(f(V) - \frac{h(V)}{2V} \right) \phi_{xx}^2 + \frac{\mu V_x}{V^2} \phi_{xt} \phi_{xx} + f(V)_x \phi_x \phi_{xx} \\ & = \psi_{xt} \phi_{xx} - F_x \phi_{xx}, \end{aligned} \quad (4.30)$$

where we used $\mu_s V_x = V h(V)$. By the first equation of (3.10) and the Cauchy inequality, we have

$$\left| \frac{\mu V_x}{V^2} \phi_{xt} \phi_{xx} \right| = \left| \frac{\mu V_x}{V^2} \psi_{xx} \phi_{xx} \right| \leq \frac{1}{4} |p'(v_+)| \phi_{xx}^2 + C |\psi_{xx}|^2, \quad (4.31)$$

$$|f(V)_x \phi_x \phi_{xx}| \leq \frac{1}{4} |p'(v_+)| \phi_{xx}^2 + C |\phi_x|^2, \quad (4.32)$$

$$|F_x \phi_{xx}| \leq \frac{1}{4} |p'(v_+)| \phi_{xx}^2 + C |F_x|^2, \quad (4.33)$$

$$\begin{aligned} \psi_{xt} \phi_{xx} & = \{\psi_x \phi_{xx}\}_t - \psi_x \phi_{xxt} = \{\psi_x \phi_{xx}\}_t - \psi_x \psi_{xxx} \\ & = \{\psi_x \phi_{xx}\}_t - \{\psi_x \psi_{xx}\}_x + \psi_{xx}^2. \end{aligned} \quad (4.34)$$

Substituting (4.31)–(4.34) into (4.30), integrating it over $[0, \infty) \times [0, t]$, and making use of (4.10), we then have

$$\begin{aligned} \|\phi_{xx}(t)\|^2 + \int_0^t \|\phi_{xx}(\tau)\|^2 d\tau & \leq C \left\{ \|(\phi_0, \psi_0)\|_2^2 + e^{-c-\beta} \right. \\ & \quad \left. + \int_0^t (\|\phi_x(\tau)\|^2 + \|\psi_x(\tau)\|_1^2 + \|F_x(\tau)\|^2) d\tau \right\}, \end{aligned}$$

which implies (4.29) by Lemmas 4.3–4.5, that is, we used the fact

$$\begin{aligned} \int_0^t (\|\phi_x(\tau)\|^2 + \|\psi_x(\tau)\|_1^2) d\tau & \leq C \left\{ \|(\phi_0, \psi_0)\|_2^2 + e^{-c-\beta} \right. \\ & \quad \left. + N(T) \int_0^t (\|\phi_x(\tau)\|^2 + \|\psi_x(\tau)\|_1^2) d\tau \right\}. \end{aligned}$$

Thus, the proof is complete. \square

Lemma 4.7. For $t \in [0, T]$,

$$\begin{aligned} & \|\psi_{xx}(t)\|^2 + \int_0^t \|\psi_{xxx}(\tau)\|^2 d\tau \\ & \leq C \left\{ \|(\phi_0, \psi_0)\|_2^2 + e^{-c-\beta} + N(T) \int_0^t (\|\phi_x(\tau)\|_1^2 + \|\psi_x(\tau)\|_1^2) d\tau \right. \\ & \quad \left. + \int_0^t \|F_x(\tau)\|^2 d\tau \right\}. \end{aligned} \quad (4.35)$$

Proof. As in the proofs of Lemmas 4.5 and 4.6, we differentiate the second equation of (3.10) with respect to x , multiply the derivative by $-\psi_{xxx}$, integrate the resulting equality over $[0, \infty) \times [0, t]$, and make use of (4.10), Lemmas 4.1, 4.3–4.6, to prove (4.35). The details are omitted here. \square

Proof of Proposition 3.4. Combining Lemmas 4.3–4.7, we have

$$\begin{aligned} & \|(\phi, \psi)(t)\|_2^2 + \int_0^t [\|\phi_x(\tau)\|_1^2 + \|\psi_x(\tau)\|_2^2] d\tau \\ & \leq C \left\{ \|(\phi_0, \psi_0)\|_2^2 + e^{-c-\beta} + N(T) \int_0^t [\|\phi_x(\tau)\|^2 + \|\psi_x(\tau)\|_1^2] d\tau \right. \\ & \quad \left. + \int_0^t \|F_x(\tau)\|^2 d\tau \right\}. \end{aligned} \quad (4.36)$$

Using the Sobolev lemma, we have by (3.13) and (3.14) that

$$\begin{aligned} \|F_x\|^2 & \leq C \int_0^\infty (\phi_x^4 + \phi_x^2 \phi_{xx}^2 + \psi_{xx}^2 \phi_{xx}^2 + \psi_{xxx}^2 \phi_x^2 + \phi_x^2 \psi_{xx}^2) dx \\ & \leq C \left[\sup_{x \in R_+} \phi_x^2 \int_0^\infty (\phi_x^2 + \phi_{xx}^2 + \psi_{xxx}^2 + \psi_{xx}^2) dx + \sup_{x \in R_+} \psi_{xx}^2 \int_0^\infty \phi_{xx}^2 dx \right] \\ & \leq C [\|\phi_x\|_1^2 (\|\phi_x\|^2 + \|\psi_{xxx}\|^2) + \|\psi_{xx}\|_1^2 \|\phi_{xx}\|^2] \\ & \leq C \|\phi_x\|_1^2 (\|\phi_x\|_1^2 + \|\psi_x\|_2^2) \\ & \leq CN(T) (\|\phi_x\|_1^2 + \|\psi_x\|_2^2). \end{aligned} \quad (4.37)$$

Substituting (4.37) into (4.36) yields

$$\begin{aligned} & \|(\phi, \psi)(t)\|_2^2 + (1 - CN(T)) \int_0^t [\|\phi_x(\tau)\|_1^2 + \|\psi_x(\tau)\|_2^2] d\tau \\ & \leq C \left\{ \|(\phi_0, \psi_0)\|_2^2 + e^{-c-\beta} \right\}. \end{aligned} \quad (4.38)$$

Hence, choosing $N(T)$ so small that $N(T) \leq \min\{\delta_0, C^{-1}\}$, we can prove the *a priori* estimate (3.15).

To prove (3.16), we differentiate the first equation of (3.10) with respect to x , multiply it by ϕ_x , and integrate the resulting equality with respect to x , to obtain

$$\frac{d}{dt} \|\phi_x(t)\|^2 = 2 \int_0^\infty \psi_{xx} \phi_x dx.$$

Then, from (3.15) we get

$$\begin{aligned} \int_0^t \left| \frac{d}{dt} \|\phi_x(t)\|^2 \right| &\leq \int_0^t (\|\phi_x(\tau)\|^2 + \|\psi_{xx}(\tau)\|^2) d\tau \\ &\leq C \{ \|\phi_0, \psi_0\|_2^2 + e^{-c-\beta} \}. \end{aligned} \quad (4.39)$$

Similarly, the second equation of (3.10) and the estimate (3.15) give us

$$\int_0^t \left| \frac{d}{dt} \|\psi_x(t)\|^2 \right| \leq C \{ \|\phi_0, \psi_0\|_2^2 + e^{-c-\beta} \}. \quad (4.40)$$

Thus, (4.39) and (4.40) imply the *a priori* estimate (3.16). \square

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